

# 2001-02 NCAA DIVISION III MANUAL

Constitution

Operating Bylaws

Administrative Bylaws

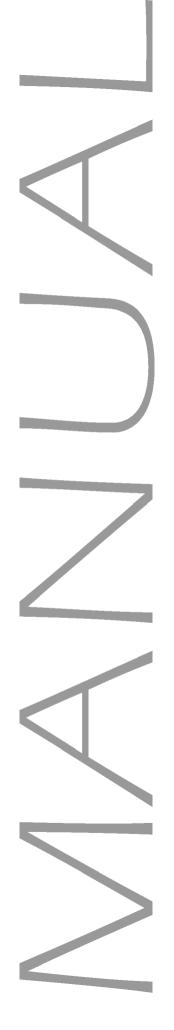


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### THE NATIONAL COLLEGIATE ATHLETIC ASSOCIATION

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This publication incorporates the legislative actions of the 95th annual NCAA Convention, January 6-8, 2001. In addition, interpretations identified by the Division III Interpretations and Legislation Committee, noncontroversial amendments adopted by the Management Council, pursuant to Constitution 5.3.1.1.1, and modifications of wording adopted by the Management Council, pursuant to 5.4.1.1.1, are included in the Manual. Legislation approved by the 95th Convention is set off by a gray background and contains the date of adoption or revision. Interpretations included by the committee, noncontroversial amendments and modifications of wording adopted by the Management Council are set off by a gray background and include an adoption or revision date. Readers seeking the legislative history of a given provision (i.e., earlier dates of adoption or revision) should consult the appropriate paragraphs in the 1988-89 NCAA Manual or the NCAA membership services staff.

Distributed to: directors of athletics; faculty athletics representatives; senior woman administrators; chief executive officers; conference commissioners; compliance officers; and provisional, affiliated and corresponding members.

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### **User's Guide**

### I. MANUAL FORMAT

### LARGE PAGE/LARGE TYPE

The  $8\frac{1}{2}$  x 11-inch page size and large type size facilitate effective and efficient use of the Manual.

### **BLEED TABS**

A "bleed tab" on each page helps the user turn to the desired article quickly.

ided by the Practice and

### ARTICLE TABLE OF CONTENTS

At the beginning of each article is an abbreviated table of contents to assist the user in locating specific information within the article.

### BYLAW, ARTICLE 16

# Awards, Benefits and Expenses for Enrolled Student-Athletes

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16.6	Expenses for Student-Athlete's	16.12	Benefits, Gifts a
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#### **GENERAL PRINCIPLES**

General principles that are considered of particular importance in helping the user understand the rationale for the detailed regulations that follow are presented at the beginning of appropriate articles.

#### 16.01 GENERAL PRINCIPLES

16.01.1 Eligibility Effect of Violation. Receipt by a student-athlete of an award, benefit or expense allowance not authorized by NCAA legislation renders the student-athlete ineligible for athletics competition in the sport for which the improper award, benefit or expense was received. If the student-athlete receives an extra benefit not authorized by NCAA legislation or an improper award or expense allowance in conjunction with competition that involves the use of overall athletics skill (e.g., "superstars" competition), the individual is ineligible in all sports.

16.01.2 Exception for Benefits Available to Other Students. The receipt of a benefit by a student-athlete or his or her relatives or friends that is not authorized by NCAA legislation is not a violation if it is demonstrated that the same benefit generally is available to the institution's students, their relatives or friends or to a particular segment of the student body (e.g., foreign students, minority students) determined on a basis unrelated to athletics ability. (Revised: 1/10/91)

**16.01.3 Money for Unspecified Expenses.** A payment to a student-athlete for unspecified, unitemized, excessive or improper expenses is not permitted.

16.01.4 Compliance with Legislation for Emerging Sports. Beginning with the 1995-96 academic year, a member institution sponsoring an emerging sport for women (see 20.02.5) shall comply fully in that program with all applicable awards and benefits legislation set forth in Bylaw 16. (Adopted: 1/10/95)

### **DEFINITIONS AND APPLICATIONS**

Following the general principles in most articles is a section in which definitions and applications are provided for a number of the more important words or terms used in that article.

### 16.02 DEFINITIONS AND APPLICATIONS

**16.02.1 Award.** An award is an item given in recognition of athletics participation or performance. Such awards are subject to the limitations set forth in 16.1.

**16.02.2 Excessive Expense.** An excessive expense is one not specifically authorized under regulations of the Association concerning awards, benefits and expenses.

16.02.3 Extra Benefit. An extra benefit is any special arrangement by an institutional employee or a representative of the institution's athletics interests to provide a student-athlete or the student-athlete's relative or friend a benefit not expressly authorized by NCAA legislation. Receipt of a benefit by student-athletes or their relatives or friends is not a violation of NCAA legislation if it is demonstrated that the same benefit is generally available to the institution's students or their relatives or friends or to a particular segment of the student body (e.g., foreign students, minority students) determined on a basis unrelated to athletics ability. (Revised: 1/10/91)

16.02.4 Pay. Pay is the receipt of funds, awards or benefits not permitted by governing legislation of the Association for participation in athletics. (See 12.1.2 for explanation of forms of pay prohibited under the Association's amateur-status regulations.)

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### DECIMAL NUMBERING WITH TOPIC HEADINGS

The decimal numbering system provides efficiency and flexibility. Section headings, to at least the fourth subsection level, and for further subsections where appropriate, assist the user in identifying the section content, thus facilitating ready access to pertinent regulations. This system also facilitates a "hanging indention" presentation, which helps the reader relate the subsections to the basic section.

### **VOTING REQUIREMENTS**

Symbols for voting requirements appear in the outside margin opposite each regulation. See page x for a complete explanation of all symbols.

ARDS AND BENEFITS

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1/11/111

### II. ORGANIZATION OF ARTICLES IN THREE DISTINCT CATEGORIES

All regulations governing the administration of intercollegiate athletics appear in the bylaws. The constitution contains only principles for the conduct of intercollegiate athletics and other basic information.

#### Constitution

Articles 1 through 6 are the constitution, which consists of information relevant to the purposes of the Association, its structure, its membership and legislative-process information, and the more important principles for the conduct of intercollegiate athletics.

- **Article 1** Name, Purposes and Fundamental Policy
- **Article 2** Principles for Conduct of Intercollegiate Athletics
- Article 3 NCAA Membership
- Article 4 Organization
- **Article 5** Legislative Authority and Process
- **Article 6** Institutional Control

#### Operating Bylaws

Articles 10 through 21 are the operating bylaws, which consist of legislation adopted by the membership to promote the principles enunciated in the constitution and to achieve the Association's purposes.

- **Article 10** Ethical Conduct
- Article 11 Conduct and Employment of Athletics Personnel
- Article 12 Amateurism
- Article 13 Recruiting
- Article 14 Eligibility: Academic and General Requirements
- Article 15 Financial Aid
- Article 16 Awards, Benefits and Expenses for Enrolled Student-Athletes
- Article 17 Playing and Practice Seasons
- Article 18 Championships and Postseason Football
- Article 19 Enforcement
- Article 20 Division Membership
- **Article 21** Committees

### Administrative Bylaws

Articles 30 through 32 are administrative bylaws, which set forth policies and procedures for the implementation of (a) the general legislative actions of the Association, (b) the NCAA championships and the business of the Association, (c) the Association's enforcement program and (d) the Association's athletics certification program. These administrative bylaws may be adopted or modified by the applicable divisional presidential administrative groups in Divisions I and II and the Management Council in Division III (administrative regulations; on recommendation of the Committee on Infractions in Divisions II and III enforcement policies and procedures; and, on recommendation of the Committee on Athletics Certification, athletics certification policies and procedures; and executive regulations) for the efficient administration of the activities that they govern. These same bylaws also may be amended by a majority vote of the membership [or applicable division(s)] at NCAA Conventions.

- **Article 30** Administrative Regulations
- **Article 31** Executive Regulations
- **Article 32** Enforcement Policies and Procedures

### III. VOTING REQUIREMENTS FOR MANUAL

The Manual attempts to present all regulations on a given subject in logical order. As a result, different paragraphs in the same sections may carry different voting requirements. The following terms designate voting requirements currently in effect for sections in the Manual:

- **Dominant provision**—Legislation that is derived from the constitution in the 1988-89 Manual (the Manual format that was employed until the membership approved the revised format at the 1989 Convention). All such legislation is identified by an asterisk (\*) in the margin and requires a two-thirds majority vote of the total membership (present and voting) for adoption or amendment.
- **Common provision**—Legislation that is derived from the common bylaws (9, 10, 12 and 13) in the 1988-89 Manual. All such legislation is identified by a pound sign (#) in the margin and requires a majority vote of each of the three divisions, voting separately, for adoption or amendment.
- **General provision**—Legislation that applies to all three divisions and that requires a simple majority vote of all divisions, voting jointly, for adoption or amendment (e.g., enforcement procedures, some executive regulations). Such legislation is identified by a plus sign (+) in the margin.
- Federated provision—Legislation that is derived from divided bylaws in the 1988-89 Manual. Such legislation can be adopted or amended by a majority vote of one or more of the divisions (or, in some cases, subdivisions), voting separately. A federated provision is identified by the Roman numeral(s) of the division(s) to which it applies.
- **Division dominant**—A division dominant provision is one that applies to all members of a division and is of sufficient importance to the division that it requires a two-thirds majority vote of all delegates present and voting at a division's annual or special Convention. Division dominant provisions are identified by a diamond symbol (♠) in the margin.

Note: The authorization for adoption and amendment of each of the administrative bylaws (30, 31, 32 and 33) is set forth in a note at the beginning of each of those bylaws, as provided in 5.2.3.1, 5.2.3.2 and 5.2.3.3.

Amendments to existing sections of the Manual have the same voting requirement as the section does now. The Council is authorized to establish the voting requirement for any new section when the content or context does not clearly determine it. The authorization for this is set forth in 5.3.9.1.

### Symbols Designating Voting Requirements and Divisions For Which Federated Legislation is Applicable

Provision	Symbol Provision		Symbol
Dominant	*	(Federated)	
Common	#	Divisions I-A and I-AA	I-A/I-AA
General	+	Division II	
Federated		Division III	III
Applicable to all divisions	1/11/111	Divisions I and II	1/11
Division I	I	Divisions I and III	1/111
Division I-A	I-A	Divisions II and III	11/111
Division I-AA	I-AA	Division dominant	•

### IV. DIAGRAMS AND TABLES

Diagrams and tables are included as supplements to the text to help present the content of certain regulations in a clear and concise manner and are not intended to substitute for the legislation. They are presented as "Figures" and are listed on page vii. With the exception of Bylaw 17, all diagrams and tables related to a given article of the Constitution or a particular bylaw have been placed at the back of the article or bylaw.

#### **EXAMPLE**

### **FIGURE 17-12**

Exemptions: Football Contests 2001-02 Academic Year

Annual Exemptions (All Divisions)	Twelve-Member Conference Championship Game [17.10.5.3-(b)] NCAA Championships [17.10.5.3-(e)] NCAA Championship Play-In Competition [17.10.5.3-(f)] NAIA Championship [17.10.5.3-(g)] NCCAA Championships [17.10.5.3-(k)] Celebrity Sports Activity [17.10.5.3-(j)]
Additional Annual Exemptions (By Division)	Football Hall of Fame Game or Coaches Retirement Trust Game [17.10.5.3-(a)] AND Conference Tournament [17.10.5.3-(c)] AND Preseason Scrimmage [17.10.5.3-(d)]
Once-in-Four-Years Exemptions (By Division)	Game(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.10.5.3-(i)]
Once-in-Three-Years Exemptions (By Division)	Foreign Tour [17.10.5.3-(h)]

### V. LEGISLATION THAT IS SHADED/SCREENED

Legislation that was adopted by the 2001 Convention is set off by a gray background and contains the date of adoption or revision. Interpretations, noncontroversial amendments and modifications of wording approved by the Council are set off by a gray background and include an adoption or revision date.

14.2.3.5 10-Semester/15-Quarter Extension Request. A student-athlete, who has exhausted his or her 10-semester/15-quarter period of eligibility may practice, but not compete, for 30 consecutive-calendar days, provided the institution has filed a 10-semester/15-quarter extension waiver request per Bylaw 30.6.1 with the NCAA national office. ( $Adopted\ 1/8/01\ effective\ 8/1/01$ )

### VI. NOTATION OF LEGISLATION WITH DELAYED EFFECTIVE DATE

Legislation with a delayed effective date (e.g., adopted by the 2001 Convention, effective August 1, 2002) is enclosed in a box and set off by a gray background. The first item in each box is a notation of the action taken at a Convention and the date the amendment becomes effective. It will be shaded. The legislation currently applicable follows and does not have a gray background. The legislation as amended, scheduled to take effect at a later date, is next and is set off by a gray background. Because this manual is effective August 1, 2001, the only legislation that will be shown in this manner is that which is to be effective August 1, 2002, or later.

### The following Constitution 3.2.1.4 was revised by the 1997 NCAA Convention, effective August 1, 2001:

**3.2.1.4 Four-Sport/Three-Season Requirement.** The institution shall sponsor and conduct a representative schedule in a minimum of four varsity intercollegiate sports that involve all-male teams or mixed teams of males and females and four varsity intercollegiate sports that involve all-female teams, subject to the requirements of the institution's conference(s), if any. At least one sport involving an all-male team or a mixed team of males and females and at least one sport involving an all-female team shall be conducted in every sport season. An institution may use a sport to meet the three-season requirement only if the institution has met the minimum contest and participants requirements for sports sponsorship in that sport as set forth in Bylaw 20.11.3.2. See Constitution 3.2.4.11 for details of the member's obligations in meeting this requirement. (*Revised:* 1/16/93)

**3.2.1.4 Five-Sport/Three-Season Requirement.** The institution shall sponsor and conduct a representative schedule in a minimum of five varsity intercollegiate sports that involve allmale teams or mixed teams of males and females and five varsity intercollegiate sports that involve all-female teams, subject to the requirements of the institution's conference(s), if any. At least one sport involving an all-male team or a mixed team of males and females and at least one sport involving an all-female team shall be conducted in every sport season. An institution may use a sport to meet the three-season requirement only if the institution has met the minimum contest and participants requirements for sports sponsorship in that sport as set forth in Bylaw 20.11.3.2. See Constitution 3.2.4.11 for details of the member's obligations in meeting this requirement. (*Revised: 1/14/97 effective 8/1/01*)

### VII. ORGANIZATION OF THE NCAA MANUAL

Divisions I, II and III each have a master manual containing Articles 1-32. Each division's manual contains legislation specific to the applicable division and does not contain legislation pertaining only to one or both of the other divisions. However, legislation that includes references to one or both of the other divisions will appear in its entirety. In addition, since each division's manual does not contain legislation specific to the other division(s), some bylaws may have gaps in the numbering sequence.

	MASTER MANUAL	
Article 1	Name, Purposes and Fundamental Policy	8
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	Intercollegiate Athletics	킄
Article 3	NCAA Membership	<b>-</b>
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### **CONSTITUTION, ARTICLE 1**

# Name, Purposes and Fundamental Policy

1.1	Name1
1.2	Purposes1
1.3	Fundamental Policy1

### **1.1 NAME**

The name of this organization shall be "The National Collegiate Athletic Association."

### 1.2 PURPOSES

The purposes of this Association are:

- (a) To initiate, stimulate and improve intercollegiate athletics programs for student-athletes and to promote and develop educational leadership, physical fitness, athletics excellence and athletics participation as a recreational pursuit;
- (b) To uphold the principle of institutional control of, and responsibility for, all intercollegiate sports in conformity with the constitution and bylaws of this Association;
- (c) To encourage its members to adopt eligibility rules to comply with satisfactory standards of scholarship, sportsmanship and amateurism;
- (d) To formulate, copyright and publish rules of play governing intercollegiate athletics;
- (e) To preserve intercollegiate athletics records;
- (f) To supervise the conduct of, and to establish eligibility standards for, regional and national athletics events under the auspices of this Association;
- (g) To cooperate with other amateur athletics organizations in promoting and conducting national and international athletics events;
- (h) To legislate, through bylaws or by resolutions of a Convention, upon any subject of general concern to the members related to the administration of intercollegiate athletics; and
- (i) To study in general all phases of competitive intercollegiate athletics and establish standards whereby the colleges and universities of the United States can maintain their athletics programs on a high level.

### 1.3 FUNDAMENTAL POLICY

- 1.3.1 Basic Purpose. The competitive athletics programs of member institutions are designed to be a vital part of the educational system. A basic purpose of this Association is to maintain intercollegiate athletics as an integral part of the educational program and the athlete as an integral part of the student body and, by so doing, retain a clear line of demarcation between intercollegiate athletics and professional sports.
- 1.3.2 Obligations of Member Institutions. Legislation governing the conduct of intercollegiate athletics programs of member institutions shall apply to basic athletics issues such as admissions, financial aid, eligibility and recruiting. Member institutions shall be obligated to apply and enforce this legislation, and the enforcement procedures of the Association shall be applied to an institution when it fails to fulfill this obligation.

### **CONSTITUTION, ARTICLE 2**

# Principles for Conduct of Intercollegiate Athletics

2.01 2.1	General Principle3 The Principle of Institutional	2.10	The Principle of Competitive
۷.۱	Control and Responsibility3	2.11	Equity5 The Principle Governing
2.2	The Principle of Student-Athlete		Recruiting5
	Welfare3	2.12	The Principle Governing Eligibility5
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	Standards4	2.15	The Principle Governing Postseason
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	Compliance4		of Athletics Program Operation5
2.9	The Principle of Amateurism5		
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### 2.01 GENERAL PRINCIPLE

Legislation enacted by the Association governing the conduct of intercollegiate athletics shall be designed to advance one or more basic principles, including the following, to which the members are committed. In some instances, a delicate balance of these principles is necessary to help achieve the objectives of the Association.

### 2.1 THE PRINCIPLE OF INSTITUTIONAL CONTROL AND RESPONSIBILITY

- 2.1.1 Responsibility for Control. It is the responsibility of each member institution to control its intercollegiate athletics program in compliance with the rules and regulations of the Association. The institution's chief executive officer is responsible for the administration of all aspects of the athletics program, including approval of the budget and audit of all expenditures.
- 2.1.2 Scope of Responsibility. The institution's responsibility for the conduct of its intercollegiate athletics program includes responsibility for the actions of its staff members and for the actions of any other individual or organization engaged in activities promoting the athletics interests of the institution.

### 2.2 THE PRINCIPLE OF STUDENT-ATHLETE WELFARE

Intercollegiate athletics programs shall be conducted in a manner designed to protect and enhance the physical and educational welfare of student-athletes.

- 2.2.1 Overall Educational Experience. It is the responsibility of each member institution to establish and maintain an environment in which a student-athlete's activities are conducted as an integral part of the student-athlete's educational experience. (*Adopted: 1/10/95*)
- 2.2.2 Cultural Diversity and Gender Equity. It is the responsibility of each member institution to establish and maintain an environment that values cultural diversity and gender equity among its student-athletes and intercollegiate athletics department staff. (Adopted: 1/10/95)
- 2.2.3 Health and Safety. It is the responsibility of each member institution to protect the health of and provide a safe environment for each of its participating student-athletes. (Adopted: 1/10/95)
- 2.2.4 Student-Athlete/Coach Relationship. It is the responsibility of each member institution to establish and maintain an environment that fosters a positive relationship between the student-athlete and coach. (*Adopted: 1/10/95*)
- 2.2.5 Fairness, Openness and Honesty. It is the responsibility of each member institution to ensure that coaches and administrators exhibit fairness, openness and honesty in their relationships with student-athletes. (Adopted: 1/10/95)

### Student-Athlete Welfare/2.2.6—Rules Compliance/2.8.2

2.2.6 Student-Athlete Involvement. It is the responsibility of each member institution to involve student-athletes in matters that affect their lives. (*Adopted: 1/10/95*)

### 2.3 THE PRINCIPLE OF GENDER EQUITY

- \* 2.3.1 Compliance with Federal and State Legislation. It is the responsibility of each member institution to comply with federal and state laws regarding gender equity. (*Adopted: 1/11/94*)
- \* 2.3.2 NCAA Legislation. The Association should not adopt legislation that would prevent member institutions from complying with applicable gender-equity laws, and should adopt legislation to enhance member institutions' compliance with applicable gender-equity laws. (Adopted: 1/11/94)
- \* 2.3.3 Gender Bias. The activities of the Association should be conducted in a manner free of gender bias. (*Adopted: 1/11/94*)

### 2.4 THE PRINCIPLE OF SPORTSMANSHIP AND ETHICAL CONDUCT

- For intercollegiate athletics to promote the character development of participants, to enhance the integrity of higher education and to promote civility in society, student-athletes, coaches, and all others associated with these athletics programs and events should adhere to such fundamental values as respect, fairness, civility, honesty and responsibility. These values should be manifest not only in athletics participation but also in the broad spectrum of activities affecting the athletics program. It is the responsibility of each institution to: (Revised: 1/9/96)
  - (a) Establish policies for sportsmanship and ethical conduct in intercollegiate athletics consistent with the educational mission and goals of the institution; and (Adopted: 1/9/96)
  - (b) Educate, on a continuing basis, all constituencies about the policies in Constitution 2.4-(a). (Adopted: 1/9/96)

### 2.5 THE PRINCIPLE OF SOUND ACADEMIC STANDARDS

\* Intercollegiate athletics programs shall be maintained as a vital component of the educational program, and student-athletes shall be an integral part of the student body. The admission, academic standing and academic progress of student-athletes shall be consistent with the policies and standards adopted by the institution for the student body in general.

### 2.6 THE PRINCIPLE OF NONDISCRIMINATION

The Association shall promote an atmosphere of respect for and sensitivity to the dignity of every person. It is the policy of the Association to refrain from discrimination with respect to its governance policies, educational programs, activities and employment policies, including on the basis of age, color, disability, gender, national origin, race, religion, creed or sexual orientation. It is the responsibility of each member institution to determine independently its own policy regarding nondiscrimination. (Adopted: 1/16/93, Revised: 1/11/00)

### 2.7 THE PRINCIPLE OF DIVERSITY WITHIN GOVERNANCE STRUCTURES

\* The Association shall promote diversity of representation within its various divisional governance structures and substructures. Each divisional governing body must assure gender and ethnic diversity among the membership of the bodies in the division's administrative structure. (Adopted: 1/9/96 effective 8/1/97)

### 2.8 THE PRINCIPLE OF RULES COMPLIANCE

- \* 2.8.1 Responsibility of Institution. Each institution shall comply with all applicable rules and regulations of the Association in the conduct of its intercollegiate athletics programs. It shall monitor its programs to assure compliance and to identify and report to the Association instances in which compliance has not been achieved. In any such instance, the institution shall cooperate fully with the Association and shall take appropriate corrective actions. Members of an institution's staff, student-athletes, and other individuals and groups representing the institution's athletics interests shall comply with the applicable Association rules, and the member institution shall be responsible for such compliance.
- \* 2.8.2 Responsibility of Association. The Association shall assist the institution in its efforts to achieve full compliance with all rules and regulations and shall afford the institution, its staff and student-athletes fair procedures in the consideration of an identified or alleged failure in compliance.

### Rules Compliance/2.8.3—Athletics Program Operation/2.16

2.8.3 Penalty for Noncompliance. An institution found to have violated the Association's rules shall be subject to such disciplinary and corrective actions as may be determined by the Association.

### 2.9 THE PRINCIPLE OF AMATEURISM

Student-athletes shall be amateurs in an intercollegiate sport, and their participation should be motivated primarily by education and by the physical, mental and social benefits to be derived. Student participation in intercollegiate athletics is an avocation, and student-athletes should be protected from exploitation by professional and commercial enterprises.

### 2.10 THE PRINCIPLE OF COMPETITIVE EQUITY

The structure and programs of the Association and the activities of its members shall promote opportunity for equity in competition to assure that individual student-athletes and institutions will not be prevented unfairly from achieving the benefits inherent in participation in intercollegiate athletics.

### 2.11 THE PRINCIPLE GOVERNING RECRUITING

The recruiting process involves a balancing of the interests of prospective student-athletes, their educational institutions and the Association's member institutions. Recruiting regulations shall be designed to promote equity among member institutions in their recruiting of prospects and to shield them from undue pressures that may interfere with the scholastic or athletics interests of the prospects or their educational institutions.

### 2.12 THE PRINCIPLE GOVERNING ELIGIBILITY

Eligibility requirements shall be designed to assure proper emphasis on educational objectives, to promote competitive equity among institutions and to prevent exploitation of student-athletes.

### 2.13 THE PRINCIPLE GOVERNING FINANCIAL AID

A student-athlete may receive athletically related financial aid administered by the institution without violating the principle of amateurism, provided the amount does not exceed the cost of education authorized by the Association; however, such aid as defined by the Association shall not exceed the cost of attendance as published by each institution. Any other financial assistance, except that received from one upon whom the student-athlete is naturally or legally dependent, shall be prohibited unless specifically authorized by the Association. (Revised: 1/9/96)

### 2.14 THE PRINCIPLE GOVERNING PLAYING AND PRACTICE SEASONS

The time required of student-athletes for participation in intercollegiate athletics shall be regulated to minimize interference with their opportunities for acquiring a quality education in a manner consistent with that afforded the general student body.

### 2.15 THE PRINCIPLE GOVERNING POSTSEASON COMPETITION AND CONTESTS SPONSORED BY NONCOLLEGIATE ORGANIZATIONS

The conditions under which postseason competition occurs shall be controlled to assure that the benefits inherent in such competition flow fairly to all participants, to prevent unjustified intrusion on the time student-athletes devote to their academic programs, and to protect student-athletes from exploitation by professional and commercial enterprises.

### 2.16 THE PRINCIPLE GOVERNING THE ECONOMY OF ATHLETICS PROGRAM OPERATION

Intercollegiate athletics programs shall be administered in keeping with prudent management and fiscal practices to assure the financial stability necessary for providing student-athletes with adequate opportunities for athletics competition as an integral part of a quality educational experience.

### **CONSTITUTION, ARTICLE 3**

### NCAA Membership

3.02 E 3.1 E 3.2 A	General Principles Definitions and Applications Eligibility for Membership Active Membership Member Conference	7 8 8		Affiliated Membership Corresponding Membership Provisional Membership Dues of Members	15 16	
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### 3.01 GENERAL PRINCIPLES

- 3.01.1 Classes of Membership. The NCAA offers five classes of membership: active, conference, affiliated, corresponding and provisional. Eligibility for and method of election to membership, obligations and conditions for continuing membership, voting rights, and other membership privileges for each class are defined in this article. (Revised: 1/11/94 effective 9/2/94)
- 3.01.2 Division Membership. Active and conference members of the NCAA may be divided into divisions for purposes of bylaw legislation and competition in NCAA championships. Criteria for membership in these divisions are defined in Bylaw 20.
- 3.01.3 Obligation to Meet Division Criteria. Division membership criteria constitute enforceable legislation. Each member institution shall comply with all applicable criteria of its division, and an institution that fails to do so shall be subject to the enforcement procedures, as well as to possible reclassification.
- 3.01.4 Assignment to Geographical Areas. Active and conference members are assigned to geographical areas for the purpose of representation on the Management Council, Presidents Council and various committees, as well as to facilitate the work of the Association. Geographical regions are defined in Constitution 4.12.
- 3.01.5 Termination or Suspension of Membership. All rights and privileges of a member shall cease immediately upon termination or suspension of its membership.

### 3.02 DEFINITIONS AND APPLICATIONS

- 3.02.1 Competitive Body. A competitive body is an athletics conference that conducts competition among its member institutions and determines a conference champion in one or more sports.
- 3.02.2 Legislative Body. A legislative body is an athletics conference that develops and maintains rules and regulations governing the athletics programs and activities of its member institutions.
- 3.02.3 Membership Categories
  - **3.02.3.1 Active Member**. An active member is a four-year college or university or a two-year upper-level collegiate institution accredited by the appropriate regional accrediting agency and duly elected to active membership under the provisions of this article (see Constitution 3.2.3). Active members have the right to compete in NCAA championships, to vote on legislation and other issues before the Association, and to enjoy other privileges of membership designated in the constitution and bylaws of the Association.
    - **3.02.3.1.1 Athletics Consortium**. An athletics consortium consists of one member institution and neighboring member or nonmember institutions (but not more than one nonmember institution), recognized and approved by a two-thirds vote of the Management Council (based upon recommendation of the Membership Committee). The student-athletes of the combined institutions are permitted to compete on the NCAA member institution's athletics teams, provided they meet the eligibility requirements of the NCAA and the member institution (see Constitution 3.1.2 and Bylaw 30.4).
  - **3.02.3.2 Provisional Member**. A provisional member is a four-year college or university or a two-year upper-level collegiate institution accredited by the appropriate regional accrediting agency and that has applied for active membership in the Association. Provisional membership is a prerequisite for active membership in the Association. The institution shall be elected to provisional membership under the provisions of this article (see Constitution 3.6.3). Provisional members shall receive all publications and mailings received by active members in addition to other privileges designated in the constitution

### Definitions and Applications/3.02.3.2—Active Membership/3.2.1.4.1

and by laws of the Association. Provisional membership is limited to a three-year period. (Adopted: 1/11/94 effective 9/2/94)

- **3.02.3.3 Member Conference.** A member conference is a group of colleges and/or universities that conducts competition among its members and determines a conference champion in one or more sports (in which the NCAA conducts championships or for which it is responsible for providing playing rules for intercollegiate competition), duly elected to conference membership under the provisions of this article (see Constitution 3.3.3). A member conference is entitled to all of the privileges of active members except the right to compete in NCAA championships (see Constitution 3.3.2). Only those conferences that meet specific criteria as competitive and legislative bodies (see Constitution 3.02.1 and 3.02.2) and minimum standards related to size and division status are permitted to vote on legislation or other issues before the Association.
- **3.02.3.4 Affiliated Member**. An affiliated member is a nonprofit group or association whose function and purpose are directly related to one or more sports in which the NCAA conducts championships, duly elected to affiliated membership under the provisions of this article (see Constitution 3.4.3). An affiliated member is entitled to be represented by one nonvoting delegate at any NCAA Convention and enjoys other privileges as designated in the bylaws of the Association (see Constitution 3.4.2). (Revised: 1/11/97 effective 8/1/97)
- **3.02.3.5 Corresponding Member**. A corresponding member is an institution, a nonprofit organization or a conference that is not eligible for active, provisional, conference or affiliated membership and desires to receive membership publications and mailings. A corresponding member duly elected under the provisions of this article (see Constitution 3.5.3) receives all publications and mailings received by the general NCAA membership and is not otherwise entitled to any membership privileges (see Constitution 3.5.2).

### 3.1 ELIGIBILITY FOR MEMBERSHIP

- 3.1.1 General. Membership is available to colleges, universities, athletics conferences or associations and other groups that are related to intercollegiate athletics; that have acceptable academic standards (as defined in Constitution 3.2.3.3), and that are located in the United States, its territories or possessions. Such institutions or organizations must accept and observe the principles set forth in the constitution and bylaws of the Association.
- 3.1.2 Athletics Consortiums. The Management Council, by a two-thirds majority of its members present and voting, may approve an athletics consortium involving a member institution and neighboring member or nonmember institutions, but not more than one nonmember institution, to permit the student-athletes of the combined institutions to compete on the member institution's intercollegiate athletics teams, provided the student-athletes satisfy the eligibility requirements of the member institution and the NCAA. The Management Council shall develop and publish appropriate criteria to be applied to such consortiums (see Bylaw 30.4 for criteria).
- 3.1.3 Membership Moratorium. For a two-year period beginning April 27, 2000, an institution shall not be elected to Division III provisional membership. (Adopted 4/27/00)

### 3.2 ACTIVE MEMBERSHIP

- 3.2.1 Eligibility Requirements
  - **3.2.1.1 Types of Institutions.** Active membership is available to four-year colleges and universities and two-year upper-level collegiate institutions, accredited by the appropriate regional accrediting agency and duly elected to active membership under the provisions of Constitution 3.2.3.
  - **3.2.1.2 Compliance with Association Rules**. The institution shall administer its athletics programs in accordance with the constitution, bylaws and other legislation of the Association.
  - **3.2.1.3 Standards.** The institution's athletics programs shall reflect the establishment and maintenance of high standards of personal honor, eligibility and fair play.
  - **3.2.1.4 Five-Sport/Three-Season Requirement.** The institution shall sponsor and conduct a representative schedule in a minimum of five varsity intercollegiate sports that involve all-male teams or mixed teams of males and females and five varsity intercollegiate sports that involve all-female teams, subject to the requirements of the institution's conference(s), if any. At least one sport involving an all-male team or a mixed team of males and females and at least one sport involving an all-female team shall be conducted in every sport season. An institution may use a sport to meet the three-season requirement only if the institution has met the minimum contest and participants requirements for sports sponsorship in that sport as set forth in Bylaw 20.11.3.2. See Constitution 3.2.4.11 for details of the member's obligations in meeting this requirement. (*Revised: 1/14/97 effective 8/1/01*)
    - **3.2.1.4.1 One Team Sport Each Season.** A member institution does not need to sponsor one team sport each season. (*Adopted:* 10/25/00)

- **3.2.1.4.2 Third and Fourth Years of Provisional Membership.** Provisional members entering the third and fourth years of provisional membership shall meet all requirements for sports sponsorship as set forth in Bylaw 20.11.3.2.
- **3.2.1.5 Compliance Requirement.** The institution shall be in compliance with Constitution 3.2.1.2, 3.2.1.3 and 3.2.1.4 at the time it makes application for active membership.

### 3.2.2 Privileges

- **3.2.2.1 Active Members**. Active members shall be entitled to all of the privileges of membership under the constitution and bylaws of the Association and all privileges incidental thereto.
- **3.2.2.2 Use of Association's Registered Marks.** Active members may use the registered marks of the Association (i.e., the Association's name, logo or other insignia) only in accordance with guidelines established by the Executive Committee.

### 3.2.3 Election Procedures

- **3.2.3.1 Voting Requirement.** Procedures for election to active membership under this subsection are common provisions (see Constitution 5.02.1.2) established by majority vote of each of the three divisions voting separately.
- **3.2.3.2 Application.** An institution desiring to become an active member of this Association shall complete a four-year provisional membership period (see Constitution 3.6). After it has been determined that the institution has met the requirements of provisional membership, its request for active membership will be referred to the Management Council for election. (Revised: 1/11/94 effective 9/2/94, Revised: 1/14/97 effective 8/1/97 for institutions petitioning for provisional membership on or after 8/1/97)
- **3.2.3.3 Accreditation and Division Classification**. After it has been determined that the institution meets the Association's requirement of acceptable academic standards (i.e., the institution is accredited by one of the six regional accrediting agencies\*), the application shall be considered with regard to requested membership division and sport classification in accordance with Bylaw 20. The application then shall be referred to the Management Council for consideration.
- **3.2.3.4 Election**. A favorable vote by two-thirds of the Management Council members present and voting shall elect the applicant to membership effective the following September 1, the beginning of practice in any sport for that fall term or the first day of classes for that fall term, whichever occurs first. When the vote of the Management Council has been completed, the applicant shall be notified.
- **3.2.3.5 Resignation and Reelection to Membership**. If an institution resigns its membership and subsequently applies to reestablish its membership, the institution first shall complete a three-year provisional membership period (see Constitution 3.6) before such institution becomes eligible for reelection as an active member. (*Revised:* 1/11/94 *effective* 9/2/94)
- 3.2.4 Conditions and Obligations of Membership
  - **3.2.4.1 General**. The active members of this Association agree to administer their athletics programs in accordance with the constitution, bylaws and other legislation of the Association.
  - **3.2.4.2 Obligation to Meet Division Criteria.** Division membership criteria constitute enforceable legislation. Each member institution shall comply with all applicable criteria of its division, and an institution that fails to do so shall be subject to the enforcement procedures, as well as to possible reclassification.
  - **3.2.4.3 Certification of Eligibility/Declaration of Ineligibility.** The active member is responsible for certifying the eligibility of student-athletes under the terms of the constitution, bylaws or other legislation of the Association. Procedures for eligibility certification shall be approved by the chief executive officer, who may designate an individual on the institution's staff to administer proper certification of eligibility. The institution shall be obligated immediately to apply all applicable rules and withhold ineligible student-athletes from all intercollegiate competition (see Bylaw 14.10). See Bylaw 14.11 for procedures regarding restoration of eligibility.
  - **3.2.4.4 Application of Rules to All Recognized Varsity Sports.** The constitution, bylaws and other legislation of this Association, unless otherwise specified therein, shall apply to all teams in sports recognized by the member institution as varsity intercollegiate sports and that involve all-male teams, mixed teams of males and females, and all-female teams. To be recognized as a varsity sport, the following conditions must be met:

<sup>\*</sup> Middle States Association of Colleges and Secondary Schools, New England Association of Schools and Colleges, North Central Association of Colleges and Schools, Northwest Association of Schools and Colleges, Southern Association of Colleges and Schools and Western Association of Schools and Colleges.

### Active Membership/3.2.4.4—3.2.4.10.1

- (a) The sport shall be one in which the Association conducts championships, except as provided in Bylaw 20.11.3.1.1 (waiver) or an emerging sport for women per Bylaw 20.02.5 (see timetable in Constitution 3.2.4.4.2 for application of legislation to emerging sports for women); (Revised: 1/11/89, 1/11/94, 1/10/95)
- (b) The sport officially shall have been accorded varsity status by the institution's chief executive officer or committee responsible for intercollegiate athletics;
- (c) The sport is administered by the department of intercollegiate athletics;
- (d) The eligibility of student-athletes participating in the sport shall be reviewed and certified by a staff member designated by the institution's chief executive officer or committee responsible for intercollegiate athletics policy; and
- (e) Qualified participants in the sport shall receive the institution's official varsity awards.
  - **3.2.4.4.1 Intent to Sponsor a Varsity Sport**. Once an institution evidences an intent or commitment to sponsor a sport on a varsity level (e.g., official announcement that competition will be conducted on a varsity basis, employment of individuals to coach the varsity team), the institution must begin applying NCAA recruiting regulations to the applicable sport. (Adopted: 1/14/97)
  - **3.2.4.4.2 Emerging Sports Timetable.** The following timetable shall govern the application of legislation to emerging sports for women: (Adopted: 1/10/95)
  - (a) 1994-95—Financial aid legislation (see Bylaws 15.5.3.1 and 15.5.3.2) and minimum contests and participants requirements for sports sponsorship applicable to emerging sports programs.
  - (b) 1995-96—Amateurism legislation (see Bylaw 12.01.5), seasons of competition legislation (see Bylaw 14.01.4) and awards and benefits legislation (see Bylaw 16.01.5) applicable to emerging sports programs.
  - (c) 1996-97 and thereafter—Institutions must be in full compliance with all remaining NCAA legislation.
- **3.2.4.5 Student-Athlete Statement.** The active member shall administer annually, on a form prescribed by the Council, a signed statement for each student-athlete that provides information prescribed in Bylaws 14.1.3 and 30.12.
  - **3.2.4.5.1** Administrative Requirements. The statement shall be administered individually to each student-athlete by the athletics director or the athletics director's designee prior to the student's participation in intercollegiate competition each academic year. The athletics director and head coach in the sport in which the student-athlete participates shall sign each statement as required by the prescribed form. The statement shall be kept on file in the office of the athletics director, and such file shall be available for examination upon request by an authorized representative of the NCAA.
- **3.2.4.6 Drug-Testing Consent Form** The active member shall administer annually, on a form prescribed by the Management Council, a signed drug-testing consent form for each student-athlete (per Bylaw 12.02.5) per Bylaws 14.1.4 and 30.5. (Adopted: 1/10/92 effective 8/1/92)
  - **3.2.4.6.1 Administrative Requirements.** The consent form shall be administered individually to each student-athlete before the student's participation in intercollegiate competition each academic year. Failure to complete and sign the consent form before such competition may result in the student-athlete's ineligibility for practice and competition in all intercollegiate athletics. The consent form shall be kept on file in the office of the director of athletics, and such file shall be available for examination on request by an authorized representative of the NCAA (see Bylaw 14.1.4.1). (Adopted: 1/10/92 effective 8/1/92, Revised: 1/16/93)
- **3.2.4.7 Discipline of Members.** In accordance with directions of the Management Council or the annual Convention, active members shall refrain from athletics competition with designated institutions as required under the provisions of the Association's enforcement procedures (see Bylaw 19).
- **3.2.4.8 Standards.** Active members agree to establish and maintain high standards of personal honor, eligibility and fair play.
- **3.2.4.9 Publication of Satisfactory-Progress Requirements.** Active members are obligated to publish their satisfactory-progress requirements for student-athletes (see Bylaw 14.4.1).
- **3.2.4.10 Guidelines for the Five-Sport/Three-Season Requirement.** To meet the five-sport/three-season provision, active member institutions are obligated to comply with the requirements set forth in the following subsections.
  - **3.2.4.10.1 Counting Multiseason Sports.** If an institution sponsors the same sport in two different seasons, it may count the sport only in the season in which its team participates in the most

Active Membership/3.2.4.10.1—3.2.5.2.1

- contests. To be counted as a fall sport, the majority of an institution's contests or dates of competition would have to occur from September through December; to be counted as a spring sport, February through May. (Revised: 1/11/94)
- **3.2.4.10.2 Meeting Three-Season Requirement**. An institution may use a sport to meet the three-season requirement only if the institution has met the minimum contest and participants requirements for sports sponsorship in that sport as set forth in Bylaw 20.11.3.2. (*Adopted:* 1/16/93)
  - **3.2.4.10.2.1 Waiver**. The Management Council, by a two-thirds majority of its members present and voting, may waive the requirements of Constitution 3.2.4.11.2 due to circumstances beyond an institution's control. (*Adopted:* 1/9/96)
- **3.2.4.10.3 Mixed Team.** A mixed team is a varsity intercollegiate sports team on which at least one individual of each gender competes.
- **3.2.4.10.4 Single-Gender Institution Exception**. Institutions that sponsor and conduct athletics programs for only one gender need not meet the four-sport/three-season requirement for the other gender.
- **3.2.4.10.5** Male-Female Enrollment Ratio Waiver. The Management Council, by a two-thirds majority of its members present and voting, may grant waivers to the five-sport sponsorship requirement for men or women if the institution provides data to demonstrate that the male-female enrollment ratio prohibits the offering of the required number of sports for one or the other. The institution shall submit its request for a waiver to the Association's president, and it shall be received in the national office no later than October 1. Any request received after that date shall be postmarked no later than September 23. The request shall include pertinent information supporting the institution's request and shall be signed by the institution's chief executive officer. (Revised: 1/10/90)
- **3.2.4.10.6 Three-Season Sport Waiver**. The Management Council, by a two-thirds majority of its members present and voting, may waive the requirement that an active member shall conduct at least one sport in every sport season if the institution is precluded by its academic calendar and climatic conditions from conducting a sport in a particular season.
- **3.2.4.11 Missed Class-Time Policies**. Active members are obligated to establish policies in all sports concerning student-athletes' missed class time due to participation in intercollegiate athletics and in athletics competition scheduled during final examination periods (see Bylaw 17.1.8).
- **3.2.4.12 Compliance-Related Forms**. A member institution shall not be eligible to enter a team or individual competitors in an NCAA championship unless its chief executive officer makes an annual institutional eligibility certification [see Bylaw 18.4.2.1-(d)] attesting that the conditions specified have been satisfied. (Adopted: 1/10/95)
- 3.2.5 Loss of Active Membership
  - **3.2.5.1 Termination or Suspension**. The membership of any active member failing to maintain the academic or athletics standards required for such membership or failing to meet the conditions and obligations of membership may be suspended, terminated or otherwise disciplined by a vote of two-thirds of the delegates present and voting at an annual Convention. Membership shall not be suspended or terminated unless:
  - (a) A notice of intention to suspend or terminate membership, stating the grounds on which such a motion will be based, is given in writing to the secretary of this Association and to the chief executive officer of the member institution on or before the first day of November before the Convention;
  - (b) The Presidents Council approves the notification of intention to move for suspension or termination; and
  - (c) Such notice is included in the Official Notice of the annual Convention.
    - **3.2.5.1.1 Cessation of Rights and Privileges**. All rights and privileges of the member shall cease on any termination or suspension of active membership.
  - **3.2.5.2 Failure to Meet Five-Sport/Three-Season Requirement.** If an active member no longer meets the five-sport/three-season requirement for either men or women, its entire program (both men's and women's sports) shall be placed on probation for one year beginning in the next academic year after noncompliance is discovered. An institution shall be afforded the one-year probationary period for failure to comply with the criteria only once in a 10-year period. The 10-year period shall begin September 1 following completion of the academic year in which the membership criterion is not met. (*Revised:* 1/8/01 effective 8/1/01)
    - **3.2.5.2.1 Application of Restricted Membership Status.** If an institution fails to meet the five-sport/three-season requirement at the end of the probationary year or is ineligible for the once-in-

### Active Membership/3.2.5.2.1—Member Conference/3.3.2.2.2.2

10-year probationary period, it shall be placed in restricted membership and its entire program (both men's and women's sports) shall not be eligible for NCAA championship competition in the first academic year after the probationary year (or the first academic year after failure to meet the requirements if the institution is ineligible for the once-in-10-year probationary period). If the institution still cannot certify compliance with the five-sport/three-season requirement at the end of that year, it shall be reclassified as a corresponding member. (Adopted: 1/8/01, effective 8/1/01)

- **3.2.5.2.2 Waiver.** The Management Council, by a two-thirds majority of its members present and voting, may grant waivers of constitution 3.2.5.2. if it deems that unusual circumstances worth such action. (Adopted: 1/9/96)
- **3.2.5.3 Removal of Accreditation**. If an active member's accreditation is removed by its regional accrediting agency, it shall be reclassified immediately as a corresponding member.
- **3.2.5.4 Failure to Pay Dues**. If an active member fails to pay its annual dues for one year, its membership shall be automatically terminated.
- **3.2.5.5 Reinstatement of Terminated Member.** Any active member whose membership has been terminated (see Constitution 3.2.5.1) may have it reinstated by a two-thirds vote of the members present and voting at any annual Convention.
- **3.2.5.6 Reinstatement of Suspended Member**. Any active member whose membership has been suspended may be reinstated to good standing in accordance with the terms, if any, of the suspension action, or at any time after six months from the date of such suspension, by vote of a majority of the Presidents Council or by vote of the majority of the members present and voting at any annual Convention.
- 3.2.6 Discipline of Active Members. Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between annual Conventions for violation of NCAA rules. (See Bylaws 19 and 32 for enforcement regulations, policies and procedures.)
  - **3.2.6.1 Restoration of Good Standing.** Disciplined members shall resume good standing in accordance with the terms of the disciplinary action taken, or may be restored to good standing at any time by a majority vote of the members of the Committee on Infractions present and voting. If fewer than eight members are present, any committee action requires a favorable vote of at least four committee members. Disciplined members also may be restored to good standing at the annual Convention, by vote of a majority of the members present and voting.

### 3.3 MEMBER CONFERENCE

### 3.3.1 Eligibility

- **3.3.1.1 Conference Competition Requirement**. Conference membership is available to duly elected athletics conferences of colleges and universities that conduct conference competition and determine a champion in one or more sports in which the Association conducts championships or for which it is responsible for providing playing rules for intercollegiate competition.
- **3.3.1.2 Composition of Conference**. All of the members of the conference shall be active members of this Association, except that a conference with at least six active members also may qualify as a member conference if it contains one or more provisional members. (Revised: 1/11/94 effective 9/2/94, Revised: 1/12/99)

### 3.3.2 Privileges

- **3.3.2.1 Privileges of Member Conferences**. Member conferences shall be entitled to all of the privileges of active members except the right to compete as such in NCAA championships.
- **3.3.2.2 Voting Rights.** Only those member conferences that meet the criteria listed below shall be permitted to vote on issues before the Association.
  - **3.3.2.2.1 Competitive and Legislative Body**. The member conference shall be both a competitive and a legislative body on the conference level (see Constitution 3.02.1 and 3.02.2).

#### 3.3.2.2.2 Minimum Size and Division Status

- **3.3.2.2.2.1 Full Voting Privileges.** The member conference shall be composed of at least six members in a single division in order to be eligible for full voting privileges, including voting on federated bylaws (those bylaws that may be amended by one or more divisions acting separately).
- **3.3.2.2.2.2 Partial Voting Privileges.** Those member conferences with at least six members but without a minimum of six members in a single division shall be permitted to vote on all dominant or common provisions (those provisions that apply to all three divisions of the Association). On these common provisions, the conference shall vote in the division in which the majority of its membership is classified. In the event that its membership is divided evenly between two

- divisions, the Executive Committee shall determine its voting division. Such conferences shall not vote on federated provisions (those provisions that may be amended by one or more divisions acting separately).
- **3.3.2.2.3 Five-Sport/Three-Season Requirement.** The member conference shall conduct conference competition in at least five sports for men, with at least one in each season, for the conference to vote on issues related solely to men's programs and five sports for women, with at least one in each season, for the conference to vote on issues related solely to women's programs. A season-ending tournament or round-robin regular-season play in a sport shall satisfy the conference competition requirement for that sport. (Revised: 1/14/97 effective 8/1/01)
- **3.3.2.2.4 Football Issues.** In addition to meeting the requirements of Constitution 3.3.2.2.3 for men's and/or women's sports, conference championship competition shall be conducted in the sport of football in order for the conference to vote on issues pertaining only to football.
- **3.3.2.3 Use of Association's Registered Marks.** Member conferences may use the registered marks of the Association (i.e., the Association's name, logo or other insignia) only in accordance with guidelines established by the Executive Committee.
- 3.3.3 Election Procedures
  - **3.3.3.1 Voting Requirement.** Procedures for election to conference membership under this subsection are common provisions (see Constitution 5.02.1.2) established by majority vote of each of the three divisions voting separately.
  - **3.3.3.2 Application.** An athletics conference desiring to become a member conference shall make application on a form available from the national office. A check in the appropriate amount for annual dues (see Constitution 3.7.3) shall accompany the application. Should the applicant fail election, the dues paid shall be refunded.
  - **3.3.3.3 Election.** Athletics conferences may be elected as member conferences by a majority vote of the delegates present and voting at an annual Convention or by a majority vote of the Management Council.
- 3.3.4 Conditions and Obligations of Membership
  - **3.3.4.1 General.** The member conferences of this Association agree to administer their athletics programs in accordance with the constitution, bylaws and other legislation of the Association.
  - **3.3.4.2 Conference Competition.** Member conferences shall conduct conference competition and determine a champion in one or more sports in which the Association conducts championships or for which it is responsible for providing playing rules for intercollegiate competition.
  - **3.3.4.3 Composition of Conference.** The member conference shall maintain a membership of institutions that are active members of this Association, except that a conference with at least six active members also may continue to qualify as a member conference if it contains one or more provisional members. (Revised: 1/12/99)
  - **3.3.4.4 Chief Executive Officer Involvement.** Institutional chief executive officers shall have the ultimate responsibility and final authority for the operation of the member conference and the actions of any institution that is a member of that conference. (Adopted: 1/11/00 effective 8/1/01)
  - **3.3.4.5 Conference Student-Athlete Advisory Committee.** Each multisport member conference shall establish a student-athlete advisory committee for its student-athletes. The composition and duties of the committee shall be determined by the conference. (*Adopted: 1/11/00 effective 8/1/01*)
- 3.3.5 Loss of Member-Conference Status
  - **3.3.5.1 Termination or Suspension.** The membership of any member conference failing to maintain the academic or athletics standards required for membership or failing to meet the conditions and obligations of membership may be suspended or terminated or the member conference otherwise disciplined by a vote of two-thirds of the delegates present and voting at an annual Convention. Membership shall not be suspended or terminated unless:
  - (a) Notice of intention to suspend or terminate membership, stating the grounds on which such motion will be based, is given in writing to the secretary of this Association and to the chief executive officer of the member conference on or before the first day of November prior to the Convention;
  - (b) The Presidents Council approves the notification of intention to move for suspension or termination; and
  - (c) Such notice is included in the Official Notice of the annual Convention.

### Member Conference/3.3.5.1.1—Affiliated Membership/3.4.5.1

- **3.3.5.1.1 Cessation of Rights and Privileges**. All rights and privileges of the member shall cease on any termination or suspension of conference membership.
- **3.3.5.2 Ineligible Conference Member Institution.** If any member of an athletics conference is found to be ineligible for active membership in this Association, such conference shall be ineligible for conference membership and its membership terminated, unless the conference has 40 or more members and at least 90 percent of said conference members are active members of the Association.
- **3.3.5.3 Failure to Pay Dues.** If a member conference fails to pay its annual dues for one year, its membership shall be automatically terminated.
- **3.3.5.4 Reinstatement of Terminated Member.** Any member conference whose membership has been terminated (see Constitution 3.3.5.1) may have it reinstated by a two-thirds vote of the members present and voting at any annual Convention.
- **3.3.5.5 Reinstatement of Suspended Member.** Any member conference whose membership has been suspended may be reinstated to good standing in accordance with the terms, if any, of the suspension action, or at any time after six months from the date of such suspension, by vote of a majority of the Presidents Council or by vote of the majority of the members present and voting at any annual Convention
- 3.3.6 Discipline of Member Conferences. Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between annual Conventions for violation of NCAA rules. (See Bylaws 19 and 32 for enforcement regulations, policies and procedures.)
  - **3.3.6.1 Restoration of Good Standing.** Disciplined members shall resume good standing in accordance with the terms of disciplinary action taken, or may be restored to good standing at any time by a vote of at least three members of the Committee on Infractions present and voting in accordance with Bylaw 19.6.2.8, or, at the annual Convention, by vote of a majority of the members present and voting.

### 3.4 AFFILIATED MEMBERSHIP

- 3.4.1 Eligibility. Affiliated membership is available to a duly elected nonprofit group or association whose function and purpose are directly related to one or more sports in which the Association conducts championships or identifies as an emerging sport. (*Revised: 1/11/97*)
- 3.4.2 Privileges
  - **3.4.2.1 Privileges and Voting Rights.** An affiliated member shall be entitled to be represented by one nonvoting delegate at any Convention of the Association and shall have such other privileges as may be accorded to affiliated members by the bylaws of the Association.
  - **3.4.2.2 Use of Association's Registered Marks.** An affiliated member may use the registered marks of the Association (i.e., the Association's name, logo or other insignia) only if such use is approved by the NCAA staff in accordance with guidelines established by the Executive Committee. (*Revised:* 1/11/97 effective 8/1/97)
- 3.4.3 Election Procedures
  - **3.4.3.1 Voting Requirement.** Procedures for election to affiliated membership under this subsection are common provisions (see Constitution 5.02.1.2) established by majority vote of each of the three divisions voting separately.
  - **3.4.3.2 Application.** A group or association desiring to become an affiliated member shall make application on a form available from the national office. A check in the appropriate amount for annual dues (see Constitution 3.7.3) shall accompany the application. Should the applicant fail election, the dues paid shall be refunded.
  - **3.4.3.3 Election.** Groups or associations may be granted to affiliated membership by the Association's president.
- 3.4.4 Conditions and Obligations of Membership
  - **3.4.4.1 General.** An affiliated member is responsible for observing the principles set forth in the constitution and bylaws of the Association.
  - **3.4.4.2 Function and Purpose**. The function and purpose of the affiliated member must be directly related to one or more sports in which the Association conducts championships.
- 3.4.5 Loss of Membership
  - **3.4.5.1 Termination or Suspension.** The membership of any affiliated member failing to meet the conditions and obligations of membership or failing to support and adhere to the purposes and policies

### Affiliated Membership/3.4.5.1—Corresponding Membership/3.5.5.1

of the Association (see Constitution 1) may be suspended or terminated or the affiliated member otherwise disciplined through the following procedure:

- (a) The Executive Committee, by a two-thirds majority of its members present and voting, may take such action on its own initiative; or (Adopted: 1/11/89)
- (b) The Committee on Infractions, by majority vote, may recommend such action to the Executive Committee, which may adopt the recommendation by a two-thirds majority of its members present and voting; and
- (c) The affiliated member shall be advised of the proposed action at least 30 days before any Committee on Infractions or Executive Committee meeting in which such action is considered and shall be provided the opportunity to appear at any such meeting.
  - **3.4.5.1.1 Cessation of Rights and Privileges.** All rights and privileges of the affiliated member shall cease on any termination or suspension of affiliated membership.
- **3.4.5.2 Failure to Pay Dues.** If an affiliated member fails to pay its annual dues for one year, its membership shall be automatically terminated.
- **3.4.5.3 Reinstatement of Terminated Member.** Any affiliated member whose membership has been terminated may have it reinstated by a two-thirds vote of the Executive Committee.
- **3.4.5.4 Reinstatement of Suspended Member.** Any affiliated member whose membership has been suspended may be reinstated to good standing in accordance with the terms, if any, of the suspension action, or at any time after six months from the date of such suspension, by vote of a majority of the Executive Committee or by vote of the majority of the members present and voting at any annual Convention.
- 3.4.6 Discipline of Affiliated Members. Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between annual Conventions for violation of NCAA rules. (See Bylaws 19 and 32 for enforcement regulations, policies and procedures.)
  - **3.4.6.1 Restoration of Good Standing.** Disciplined members shall resume good standing in accordance with the terms of disciplinary action taken, or may be restored to good standing at any time by a vote of at least three members of the Executive Committee present and voting in accordance with Bylaw 19.6.2.8, or, at the annual Convention, by vote of a majority of the members present and voting.

### 3.5 CORRESPONDING MEMBERSHIP

- 3.5.1 Eligibility. Corresponding membership is available to duly elected institutions, conferences and nonprofit organizations that are not eligible for active, provisional, conference or affiliated membership but wish to receive the Association's membership publications and mailings. (*Revised: 1/10/90*)
- 3.5.2 Privileges. Corresponding members shall receive all publications and mailings received by the general membership of the Association but shall not be entitled to any other membership privileges, including the right to use the Association's name, logo or other insignia.
- 3.5.3 Election Procedures
  - **3.5.3.1 Voting Requirement.** Procedures for election to corresponding membership under this subsection are common provisions (see Constitution 5.02.1.2) established by majority vote of each of the three divisions voting separately.
  - **3.5.3.2 Application.** An entity desiring to become a corresponding member shall make application on a form available from the national office. A check in the appropriate amount for annual dues (see Constitution 3.7.3) shall accompany the application. Should the applicant fail election, the dues paid shall be refunded.
  - **3.5.3.3 Election.** Eligible institutions, organizations and conferences may be granted corresponding membership by the Association's president.
  - **3.5.3.4 Resignation and Reelection to Membership.** If a corresponding member resigns its membership and subsequently applies to reestablish its membership, the application first shall be approved by the Executive Committee before becoming eligible for reelection as a corresponding member.
- 3.5.4 Conditions and Obligations of Membership. A corresponding member is responsible for observing the principles set forth in the constitution and bylaws of the Association. The member shall not use the Association's name, logo or other insignia.
- 3.5.5 Loss of Membership
  - **3.5.5.1 Termination or Suspension.** The membership of any corresponding member failing to meet the conditions and obligations of membership or failing to support and adhere to the purposes and policies of the Association (see Constitution 1) may be suspended or terminated or the corresponding

### Corresponding Membership/3.5.5.1—Provisional Membership/3.6.1.2.1.2

member otherwise disciplined through the following procedure:

- (a) The Executive Committee, by a two-thirds majority of its members present and voting, may take such action on its own initiative; or (Adopted: 1/11/89)
- (b) The Committee on Infractions, by majority vote, may recommend such action to the Executive Committee, which may adopt the recommendation by a two-thirds majority of its members present and voting; and
- (c) The corresponding member shall be advised of the proposed action at least 30 days before any Committee on Infractions or Executive Committee meeting in which such action is considered and shall be provided the opportunity to appear at any such meeting.
  - **3.5.5.1.1 Cessation of Rights and Privileges.** All rights and privileges of the corresponding member shall cease on any termination or suspension of corresponding membership.
- **3.5.5.2 Failure to Pay Dues.** If a corresponding member fails to pay its annual dues for one year, its membership shall be automatically terminated.
- **3.5.5.3 Reinstatement of Terminated Member.** Any corresponding member whose membership has been terminated may have it reinstated by a two-thirds vote of the Executive Committee.
- **3.5.5.4 Reinstatement of Suspended Member.** Any corresponding member whose membership has been suspended may be reinstated to good standing in accordance with the terms, if any, of the suspension action, or at any time after six months from the date of such suspension, by vote of a majority of the Executive Committee or by vote of the majority of the members present and voting at any annual Convention.
- 3.5.6 Discipline of Corresponding Members. Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between annual Conventions for violation of NCAA rules. (See Bylaws 19 and 32 for enforcement regulations, policies and procedures.)
  - **3.5.6.1 Restoration of Good Standing.** Disciplined members shall resume good standing in accordance with the terms of disciplinary action taken, or may be restored to good standing at any time by a vote of at least three members of the Executive Committee present and voting in accordance with Bylaw 19.6.2.8, or, at the annual Convention, by vote of a majority of the members present and voting.

### 3.6 PROVISIONAL MEMBERSHIP

### 3.6.1 Eligibility

- **3.6.1.1 Types of Institutions.** Provisional membership is available to four-year colleges and universities and two-year upper-level collegiate institutions, accredited by the appropriate regional accrediting agency and duly elected to provisional membership under the provisions of Constitution 3.6.3. ( $Adopted: 1/11/94 \ effective \ 9/2/94$ )
  - **3.6.1.1.1 Four-Year Provision.** Provisional membership shall not be less than a four-year period. At the end of the four-year period, a provisional member shall be eligible to apply for active membership (see Constitution 3.2.3). (Adopted: 1/11/94 effective 9/2/94, Revised: 1/14/97 effective 8/1/97 for institutions petitioning for provisional membership on or after 8/1/97)
- **3.6.1.2 Compliance with Association Rules.** A provisional member shall administer its athletics program in accordance with the constitution, bylaws and other legislation of the Association. (Adopted: 1/11/94 effective 9/2/94)
  - **3.6.1.2.1 Four-Year Progression.** During the first and second years of provisional membership, an institution shall apply the legislation of the Association to the greatest extent possible. During the third and fourth years of provisional membership, an institution shall administer its athletics program in accordance with the constitution, bylaws and other legislation of the Association. The third and fourth years of provisional membership must be completed consecutively. (Adopted: 1/11/94 effective 9/2/94, Revised: 1/14/97 effective 8/1/97 for institutions petitioning for provisional membership on or after 8/1/97)
    - **3.6.1.2.1.1 Credit for Time Completed Previously as Provisional Member.** The Management Council, by a two-thirds majority of its members present and voting, may grant credit to an institution for any portion of the first two years of the four-year provisional period the institution previously completed as a provisional member, provided the portion was completed within the last 10 years. (Adopted: 1/13/98)
    - **3.6.1.2.1.2** Athletically Related Financial Aid Prohibition. A provisional member shall not award new athletically related financial aid to incoming students (e.g., freshmen, transfers) beginning with the second year of provisional membership and thereafter. (Adopted: 1/12/99 effective 8/1/99)

- **3.6.1.2.2 Reclassification of Provisional Membership from Division II to Division III.** The Division III Management Council, upon recommendation from the Division III Membership Committee, may waive one year of the four-year provisional membership period if an institution reclassifies its provisional membership from Division II to Division III. The waiver may be granted only if the institution meets Division III financial aid requirements and the minimum contest and participant requirements during its previous year of provisional membership. The institution shall serve a minimum of four total years of provisional membership before being considered for active membership. (Adopted: 1/12/99 effective 8/1/99)
- **3.6.1.3 Standards.** The institution's athletics programs shall reflect the establishment and maintenance of high standards of personal honor, eligibility and fair play. (Adopted: 1/11/94 effective 9/2/94)
- **3.6.1.4 Five-Sport/Three-Season Requirement.** After two years of provisional membership, the institution shall sponsor and conduct a representative schedule in a minimum of five varsity intercollegiate sports that involve all-male teams or mixed teams of males and females and five varsity intercollegiate sports that involve all-female teams, subject to the requirements of the institution's conference(s), if any. An institution may use a sport to meet the three-season requirement only if the institution has met the minimum contest and participants requirements for sports sponsorship in that sport as set forth in Bylaw 20.11.3.2. (See Constitution 3.6.4.4 regarding the provisional member's obligations in meeting this requirement.) (Adopted: 1/11/94 effective 9/2/94, Revised: 1/14/97 effective 8/1/97 for institutions petitioning for provisional membership on or after 8/1/97)
  - **3.6.1.4.1 Third and Fourth Years of Provisional Membership.** Provisional members entering the third and fourth years of provisional membership shall meet all requirements for sports sponsorship as set forth in Bylaw 20.11.3.2.
- 3.6.2 Privileges and Voting Rights
  - **3.6.2.1 Provisional Members.** Provisional members shall receive all publications and mailings received by active members of the Association, shall be entitled to be represented by one nonvoting delegate at any Convention of the Association and shall have such other privileges as may be accorded by the bylaws of the Association. (*Adopted: 1/11/94 effective 9/2/94*)
  - **3.6.2.2 Use of Association's Registered Marks.** A provisional member may use the registered marks of the Association (i.e., the Association's name, logo or other insignia) only in accordance with guide-lines established by the Executive Committee. (Adopted: 1/11/94 effective 9/2/94)
- 3.6.3 Election Procedures
  - **3.6.3.1 Voting Requirement.** Procedures for election to provisional membership under this subsection are common provisions (see Constitution 5.02.1.2) established by majority vote of each of the three divisions voting separately. (*Adopted: 1/11/94 effective 9/2/94*)
  - **3.6.3.2 Application.** An institution desiring to become a provisional member of this Association shall apply on a form available from the national office. An application fee in the amount of \$2,500 shall accompany the application form. The completed application must be received in the national office by June 15. In addition, a check in the appropriate amount for annual dues (see the current annual dues for active members per Constitution 3.7.3) also shall accompany the application. Should the applicant fail to qualify academically or fail election, the dues paid shall be refunded. (Adopted: 1/11/94 effective 9/2/94, Revised: 4/22/98, Revised: 1/8/01)
  - **3.6.3.3 Accreditation and Division Classification.** After it has been determined that the institution meets the Association's requirement of acceptable academic standards (i.e., the institution is accredited by one of the six regional accrediting agencies), the application shall be considered with regard to requested membership division in accordance with Bylaw 20. The application then shall be referred to the Management Council for consideration. (*Adopted: 1/11/94 effective 9/2/94*)
  - **3.6.3.4 Election.** A favorable vote by two-thirds of the Management Council members present and voting shall elect the applicant to provisional membership effective the following September 1. When the vote of the Management Council has been completed, the applicant shall be notified. (*Adopted:* 1/11/94 effective 9/2/94)
- 3.6.4 Conditions and Obligations of Membership
  - **3.6.4.1 General.** During the first and second years of the four-year provisional membership, an institution shall apply the legislation of the Association to the greatest extent possible. During the third and fourth years of provisional membership, an institution shall administer its athletics program in accordance with the constitution, bylaws and other legislation of the Association. The third and fourth years of provisional membership must be completed consecutively. (Adopted: 1/11/94 effective 9/2/94, Revised: 1/14/97 effective 8/1/97 for institutions petitioning for provisional membership on or after 8/1/97)

### Provisional Membership/3.6.4.1.1—Dues of Members/3.7.3

- **3.6.4.1.1** Athletically Related Financial Aid Prohibition. A provisional member shall not award new athletically related financial aid to incoming students (e.g., freshmen, transfers) beginning with the second year of provisional membership and thereafter. (Adopted: 1/12/99 effective 8/1/99)
- **3.6.4.2 Self-Study and Annual Review.** During the first year of provisional membership, a provisional member shall complete a comprehensive institutional self-study and evaluation of the member's intercollegiate athletics program. A provisional member also shall provide, on an annual basis, a written report to be reviewed by the Management Council describing the institution's progress in meeting active membership requirements. The annual report shall be received in the national office not later than June 15 and shall be on a form approved by the Management Council. The annual report shall be completed in accordance with requirements set forth in the provisional member education plan. (Adopted: 1/11/94 effective 9/2/94, Revised: 1/13/98, Revised: 1/8/01)
- **3.6.4.3 Convention Attendance.** The chief executive officer and one athletics administrator from a provisional institution shall attend the annual Convention of the Association each year during the provisional membership period. (*Adopted: 1/11/94 effective 9/2/94, Revised: 1/8/01*)
- **3.6.4.4 Compliance Period.** Following the institution's second year of provisional membership, a provisional member shall fulfill the conditions and obligations applicable to active members per Constitution 3.2.4.3 through 3.2.4.13, except that the waivers described in Constitution 3.2.4.11.5 and 3.2.4.11.6 shall not apply. (Adopted: 1/11/94 effective 9/2/94, Revised: 1/14/97 effective 8/1/97 for institutions petitioning for provisional membership on or after 8/1/97)
- **3.6.4.5 Repeat of Provisional Membership.** The Management Council, on recommendation from the Membership Committee, may require a provisional member to repeat any one of the four years of the provisional membership period. An institution may repeat only once during the four-year provisional membership period. (Adopted: 1/08/01)
- 3.6.5 Loss of Provisional Membership
  - **3.6.5.1 Termination.** The membership of any provisional member failing to maintain the academic or athletics standards required for such membership or failing to meet the conditions and obligations of membership may be terminated by a two-thirds vote of the Management Council members present and voting. A notice of intention to terminate membership, stating the grounds on which such a motion will be based, shall be given in writing to the chief executive officer of the member institution. If an institution's membership status is terminated, the institution shall be reclassified immediately as a corresponding member. (*Adopted: 1/11/94 effective 9/2/94, Revised: 1/13/98*)
  - **3.6.5.2 Cessation of Rights and Privileges.** All rights and privileges of the provisional member shall cease on any termination of provisional membership. (*Adopted: 1/11/94 effective 9/2/94*)
  - **3.6.5.3 Discipline of Member Conference.** During the provisional member's compliance period, disciplinary or corrective actions other than termination of membership may be effected on a member conference that contains provisional members that fail to fulfill conditions and obligations of provisional membership. (Adopted: 1/11/94 effective 9/2/94)
  - **3.6.5.4 Reinstatement of Terminated Member.** Any provisional member whose membership is terminated may reapply for membership after a period of one year by a two-thirds vote of the Management Council members present and voting. The Management Council, by a two-thirds majority of its members present and voting, may grant credit to such an institution for any portion of the first two years of the four-year provisional period the institution previously completed as a provisional member, provided the portion was completed within the last 10 years. (Adopted: 1/11/94 effective 9/2/94, Revised: 1/13/98)

### 3.7 DUES OF MEMBERS

- # 3.7.1 Voting Requirement. The dues of all classes of membership shall be determined by majority voting of each of the three divisions of the Association.
- # 3.7.2 Determination of Dues. The annual dues of the various classes of membership shall be recommended to the membership by the Executive Committee. Dues shall be established on a two-to-one ratio for Division I in relation to Divisions II and III, for both active members and member conferences. (Revised: 1/10/90)
  - 3.7.3 Current Annual Dues. The annual dues for various classes of membership shall be:

Active Members:\*

Division I	\$ 1	1,800.00
Division II	\$	900.00
Division III	\$	900.00

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### Member Conferences:

Division I	\$ 900.00
Division II	\$ 450.00
Division III	\$ 450.00
Affiliated Members	\$ 225.00
Corresponding Members	\$ 225.00

**3.7.3.1 Application Fee—Provisional Members.** Provisional members shall pay a nonrefundable \$2,500 application fee in addition to the payment of annual dues. (Adopted: 1/12/99)

3.7.4 Payment Deadline. Dues are payable September 1 of each year. A member shall not be permitted to vote at a Convention of the Association if its dues are not paid for that year. To be eligible to compete in NCAA championships, dues shall be paid in accordance with Bylaw 31.2.1.2. Membership is terminated if a member fails to pay dues for one year (see Constitution 3.2.5.4, 3.3.5.3, 3.4.5.2 and 3.5.5.2).

<sup>\*</sup>See Constitution 3.6.3.2 for provisional membership.

### CONSTITUTION, ARTICLE 4

### Organization

	General Principles				
4.02	Definitions and Applications	21		Committee	25
4.1	Executive Committee	22	4.12	Geographical Districts and	
4.4	Presidents Council	23		Regions	25
4.7	Management Council	24		Figure 4-1	27
4.8	Committees/Cabinets	25		Figure 4-2	

See Figure 4-1, page 27, for the Division III organizational structure and Figure 4-2, page 28, for the organizational structure of the Association.

### 4.01 GENERAL PRINCIPLES

- 4.01.1 Structure. The Association's administrative structure shall include an Executive Committee comprised of institutional chief executive officers (CEOs) that oversees Association-wide issues and shall ensure that each division operates consistent with the basic purposes, fundamental policies and general principles of the Association (see Constitution 1 and 2). In addition, the administrative structure shall empower a body of institutional chief executive officers (CEOs) to set forth the policies, rules and regulations for operating the division. Further, the administrative structure shall empower a body of athletics administrators, faculty athletics representatives and institutional CEOs to make recommendations to the body of institutional CEOs and to handle responsibilities delegated to it. (Adopted: 1/9/96 effective 8/1/97)
- 4.01.2 Guarantees. The Association's overall governance structure guarantees its members the following: (*Adopted: 1/9/96 effective 8/1/97*)
  - **4.01.2.1 Budget Allocations.** Members are guaranteed revenue through allocations made to each division from the Association's general operating revenue. Division III shall receive at least 3.18 percent of the Association's annual general operating revenue. (*Adopted: 1/9/96 effective 8/1/97*)
    - **4.01.2.1.1 General Operating Revenue.** General operating revenue, as used in this section, shall include at least all sources of revenue existing as of January 9, 1996, including revenue from contracts for these existing sources and revenue from any modified, extended or successor contract for such sources. (Adopted: 1/9/96 effective 8/1/97)
  - **4.01.2.2 Championships.** Members are guaranteed access to national championships. (Adopted: 1/9/96 effective 8/1/97)
  - **4.01.2.3 Membership Services.** Members are guaranteed services provided through the Association's national office at least at the level provided as of January 9, 1996 (e.g., membership services, statistics, research). (*Adopted: 1/9/96 effective 8/1/97*)
  - **4.01.2.4 Special Programs.** Members are guaranteed the continuation of Association programs operating at the time of the adoption of this legislation (e.g., the catastrophic-injury insurance program, the drug-testing program). In addition, members are guaranteed the continuation of Association programs that were considered by the NCAA Council or Presidents Commission by the spring of 1995 and began operating after the adoption of this legislation. (*Adopted: 1/9/96 effective 8/1/97*)

### 4.02 DEFINITIONS AND APPLICATIONS

- 4.02.1 Association. The "Association," as used in this Manual, refers to the National Collegiate Athletic Association, a diverse, voluntary, unincorporated Association of four-year colleges and universities, conferences, affiliated associations and other educational institutions. (Adopted: 1/9/96 effective 8/1/97)
- 4.02.2 Faculty Athletics Representative. A faculty athletics representative is a member of an institution's faculty or administrative staff who is designated by the institution's chief executive officer or other appropriate entity to represent the institution and its faculty in the institution's relationships with the NCAA and its conference(s), if any (see also Constitution 6.1.3).

## Definitions and Applications/4.02.3—Executive Committee/4.1.3.3

- 4.02.3 "On the Staff." On the staff, as it applies to individuals from member institutions or conferences who are eligible to serve on committees or as officers or representatives of the Association, is defined as those individuals who receive a regular salary from a member institution or organization for the performance of a regular staff function representing at least 50 percent of the normal workload for a staff member at that institution or conference. An individual on sabbatical or other temporary leave for a period not exceeding 12 consecutive months may be considered to be "on the staff" of an institution or organization. An individual on terminal leave or on leave in excess of 12 consecutive months shall not be considered to be "on the staff."
- 4.02.4 Senior Woman Administrator. A senior woman administrator is the highest ranking female administrator involved with the conduct of a member institution's intercollegiate athletics program.

#### 4.1 EXECUTIVE COMMITTEE

- \* 4.1.1 Composition. The Executive Committee shall consist of 20 members. The Association's chief executive officer (e.g., president) and the chairs of each of the divisional Management Councils (see Constitution 4.5, 4.6 and 4.7) shall be ex officio nonvoting members, except that the Association's chief executive officer is permitted to vote in the case of a tie among the voting members of the Executive Committee present and voting. The other 16 voting members of the Executive Committee shall include: (Adopted: 1/9/96 effective 8/1/97)
  - (a) Eight Division I-A chief executive officers from the Division I Board of Directors (see Constitution 4.2);
  - (b) Two Division I-AA chief executive officers from the Division I Board of Directors (see Constitution 4.2);
  - (c) Two Division I-AAA chief executive officers from the Division I Board of Directors (see Constitution 4.2);
  - (d) Two Division II chief executive officers from the Division II Presidents Council (see Constitution 4.3);
  - (e) Two Division III chief executive officers from the Division III Presidents Council (see Constitution 4.4).
- \* 4.1.2 Duties and Responsibilities. The Executive Committee shall: (Adopted: 1/9/96 effective 8/1/97)
  - (a) Provide final approval and oversight of the Association's budget;
  - (b) Employ the Association's chief executive officer (e.g., president), who shall be administratively responsible to the Executive Committee and who shall be authorized to employ such other persons as may be necessary to conduct efficiently the business of the Association;
  - (c) Provide strategic planning for the Association as a whole;
  - (d) Identify core issues that affect the Association as a whole;
  - (e) Act on behalf of the Association to resolve core issues and other Association-wide matters;
  - (f) Initiate and settle litigation;
  - (g) Convene at least one combined meeting per year of the three divisional presidential governing bodies;
  - (h) Convene at least one same-site meeting per year of the three divisional Management Councils;
  - (i) Forward proposed amendments to Constitution 1 and 2 and other dominant legislation to the entire membership for a vote;
  - (j) Call for a vote of the entire membership on the action of any division that it determines to be contrary to the basic purposes, fundamental policies and general principles set forth in the Association's constitution. This action may be overridden by the Association's entire membership by a two-thirds majority vote of those institutions voting; and
  - (k) Call for an annual or special Convention of the Association.
  - 4.1.3 Election/Term of Office
- **4.1.3.1 Election.** Division I members of the Executive Committee shall be appointed by the Division I Board of Directors. Divisions II and III members of the Executive Committee shall be appointed by the Divisions II and III Presidents Councils, respectively. (Adopted: 1/9/96 effective 8/1/97)
- **4.1.3.2 Terms.** The terms of service of members of the Executive Committee shall coincide with their service on the applicable divisional presidential governing body, unless otherwise specified by that governing body. (*Adopted:* 1/9/96 effective 8/1/97)
- \* **4.1.3.3 Committee Chair.** The Executive Committee shall elect one of its members to serve for a two-year period as chair. (*Adopted: 1/9/96 effective 8/1/97*)

#### 4.4 PRESIDENTS COUNCIL

- 4.4.1 Composition. The Presidents Council shall include 15 members and shall be comprised of Division III chief executive officers (CEOs). At least two members of the Council shall be included from each Division III geographical region (see Constitution 4.12.2.1) and seven members shall serve "at large." In addition, appropriate consideration shall be given to appointing Division III CEOs from historically black colleges and universities. The members of the Council shall include: (Adopted: 1/9/96 effective 8/1/97, Revised: 1/12/99 effective 8/1/99)
- (a) At least two institutional CEOs from colleges or universities with full-time undergraduate enrollment of 4,000 or more;
- (b) At least two institutional CEOs from colleges or universities with full-time undergraduate enrollment of less than 2,000;
- (c) At least two institutional CEOs from public colleges or universities;
- (d) At least two institutional CEOs from private colleges or universities;
- (e) At least three institutional CEOs who are women;
- (f) At least three institutional CEOs who are men;
- (g) At least two institutional CEOs who are members of an ethnic minority; and
- (h) At least two institutional CEOs who are not ethnic minorities.
- 4.4.2 Duties and Responsibilities. The Presidents Council shall: (Adopted: 1/9/96 effective 8/1/97)
- (a) Implement policies adopted by the Association's Executive Committee;
- (b) Establish and direct the general policy of Division III;
- (c) Establish a strategic plan for Division III;
- (d) Adopt noncontroversial and intent-based amendments, administrative bylaws and regulations to govern Division III;
- (e) Sponsor Division III legislation;
- (f) Identify, prior to the printing of the notice of any Convention, Division III proposals for which a roll-call vote of the eligible voters may be required and designate during the Convention the roll-call votes that must occur;
- (g) Delegate the resolution of management issues to the Management Council and ratify those actions (see Constitution 4.7);
- (h) Ratify, amend or rescind the actions of the Management Council (see Constitution 4.7);
- (i) Assure that there is gender and ethnic diversity among its membership, the membership of the Management Council (see Constitution 4.7) and the membership of each of the other bodies in the Division III administrative structure;
- (j) Make budgetary recommendations to the Association's Executive Committee related to Division III matters, including championships and approve the use of funds allocated to Division III;
- (k) Approve regulations providing for the administration of Division III championships; and
- (1) Advise the Executive Committee concerning the employment of the Association's chief executive officer (e.g., president) and concerning the oversight of his or her employment.
- 4.4.3 Election/Term of Office
  - **4.4.3.1 Selection.** Members of the Presidents Council shall be selected by Division III CEOs. The Council annually shall appoint a nominating committee, which shall present to the Council (before the Convention) a slate of nominees to serve as members of the Council. Additional candidates shall be placed on the appropriate slate of nominees if such candidates have the endorsement of at least 10 CEOs of Division III institutions. In all cases, an effort shall be made to develop a balanced slate that provides fair representation among the division's regions, conferences and institutions. (*Adopted:* 1/9/96 effective 8/1/97)
    - **4.4.3.1.1 Mail Vote.** Members of the Presidents Council shall be elected by mail vote of the chief executive officers of Division III institutions. Voting by proxy shall not be allowed. The election shall not be subject to the requirement in Robert's Rules of Order, Newly Revised, that all candidates in an election remain in contention until one receives a majority. (Adopted: 1/9/96 effective 8/1/97)
    - **4.4.3.1.2 Vacancies.** The Presidents Council, by a majority vote, may fill a vacancy that occurs among the members for the unexpired term. Members appointed to fill vacancies shall be appointed only for the unexpired portion of that term. (*Adopted: 1/9/96 effective 8/1/97*)

# Presidents Council/4.4.3.2—Management Council/4.7.3

- **4.4.3.2 Term of Office.** Members of the Presidents Council shall serve four-year terms. Members shall not be eligible for another term on the Council until two years have elapsed. An individual may not serve on the Council for more than two terms. (Adopted: 1/9/96 effective 8/1/97)
- **4.4.3.3 Staggered Terms.** The terms of service of Presidents Council members shall expire on a staggered basis to provide for continuity. Members may be appointed for less than full terms in order to accomplish this purpose. (Adopted: 1/9/96 effective 8/1/97)
- **4.4.3.4 Determination of Full Term.** Presidents Council members who serve more than one-half of a term shall be considered to have served a full term. (*Adopted: 1/9/96 effective 8/1/97*)

#### 4.7 MANAGEMENT COUNCIL

- 4.7.1 Composition. The Management Council shall include 19 members and shall be comprised of Division III chief executive officers (CEOs), faculty athletics representatives, directors of athletics, senior women administrators, conference representatives and student-athletes. (Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)
  - **4.7.1.1 Members Who Are Not Student-Athletes.** At least two members who are not student-athletes shall be included from each Division III geographical region (see Constitution 4.12.2.1). One of these members shall serve as chair and one shall serve as vice-chair. These members also shall include: (Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)
  - (a) At least nine directors of athletics or senior women administrators;
  - (b) At least two institutional CEOs;
  - (c) At least two faculty athletics representatives; (Revised: 1/14/97 effective 8/1/97)
  - (d) At least three members of an ethnic minority;
  - (e) At least eight men; and (Revised: 1/14/97 effective 8/1/97)
  - (f) At least eight women. (Revised: 1/14/97 effective 8/1/97)
  - **4.7.1.2 Student-Athletes.** Two members of the Management Council shall be members of the Student-Athlete Advisory Committee per Bylaw 21.8.6.8.3. (Adopted: 1/14/97 effective 8/1/97)
- 4.7.2 Vacancies. A representative of a playing conference or member institution whose term of service has expired shall not be replaced on the Management Council by a representative of the same conference or institution for a period of at least one year. (Adopted: 1/14/97 effective 8/1/97)
  - **4.7.2.1 Exception—Student-Athlete Advisory Committee.** The Presidents Council or Management Council may waive the application of Constitution 4.7.2 for Student-Athlete Advisory Committee members selected to serve on the Management Council. (Adopted: 10/26/00)
- 4.7.3 Duties and Responsibilities. The Management Council shall: (Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)
- (a) Implement policies adopted by the Association's Executive Committee and the Presidents Council;
- (b) Resolve Division III issues;
- (c) Make recommendations to the Presidents Council related to Division III matters as it deems appropriate, including budgetary recommendations and recommendations related to championships;
- (d) Adopt noncontroversial and intent-based amendments, administrative bylaws and regulations to govern Division III, subject to ratification by the Presidents Council;
- (e) Recommend legislative proposals for sponsorship by the Presidents Council;
- (f) Make interpretations of the bylaws of Division III;
- (g) Delegate the resolution of Division III issues to the Division III substructure and consider relevant reports and recommendations from the Division III substructure;
- (h) Review appeals by member institutions of decisions made by a Division III committee or the NCAA staff regarding the application of NCAA legislation to a particular situation when no other committee, subcommittee or conference has the authority to act. The Management Council shall review the complete record in order to determine whether there is sufficient basis to grant relief from the application of the legislation. All waiver decisions shall be reported to the Presidents Council for ratification; and (Adopted: 1/14/97 effective 8/1/97, Revised: 1/8/01)

# Management Council/4.7.3—Geographical Districts and Regions/4.12.1.1

- (i) Administer duties related to the business session of the annual Convention, including arrangements, programs, rules, voting and the examination and approval of the voting credentials of delegates. (Adopted: 1/14/97 effective 8/1/97)
- 4.7.4 Election/Term of Office
  - **4.7.4.1 Selection.** Members of the Management Council shall be selected by the Division III membership. Annually, a slate of candidates shall be solicited from the Division III membership. The nominees will be identified by the Management Council (or a subcommittee of the Management Council), and then forwarded to the Presidents Council for approval before consideration by the full Division III membership at the Convention. (Adopted: 1/9/96 effective 8/1/97)
    - **4.7.4.1.1 Vacancies.** When a vacancy occurs on the Council, the Council, by a majority vote, may fill the unexpired term. Members appointed to fill vacancies shall be appointed only for the unexpired portion of that term. (*Adopted: 1/9/96 effective 8/1/97*)
  - **4.7.4.2 Election.** The Management Council members shall be elected at the Division III Convention. Members shall be elected by the Division III membership present and voting. The election shall not be subject to the requirement in Robert's Rules of Order, Newly Revised, that all candidates in an election remain in contention until one receives a majority. (Adopted: 1/9/96 effective 8/1/97)
  - **4.7.4.3 Term of Office.** Members of the Management Council shall serve four-year terms. Members shall not be eligible for reelection to another term on the Council until four years have elapsed. A member may not serve on the Council more than two terms. (Adopted: 1/9/96 effective 8/1/97)
  - **4.7.4.4 Staggered Terms.** The terms of service of Management Council members shall expire on a staggered basis to provide for continuity. Members may be appointed for less than full terms in order to accomplish this purpose. (*Adopted:* 1/9/96 effective 8/1/97)
  - **4.7.4.5 Determination of Full Term.** Management Council members who serve more than one-half of a term shall be considered to have served a full term. (Adopted: 1/9/96 effective 8/1/97)

#### 4.8 COMMITTEES/CABINETS

The bylaws shall provide for the establishment of such a governance substructure (e.g., cabinets, committees) as each division considers necessary. The number of members and tenure of each division's governance substructure shall be stipulated in Bylaw 21. (Adopted: 1/9/96 effective 8/1/97)

#### 4.11 ADMINISTRATIVE COMMITTEE

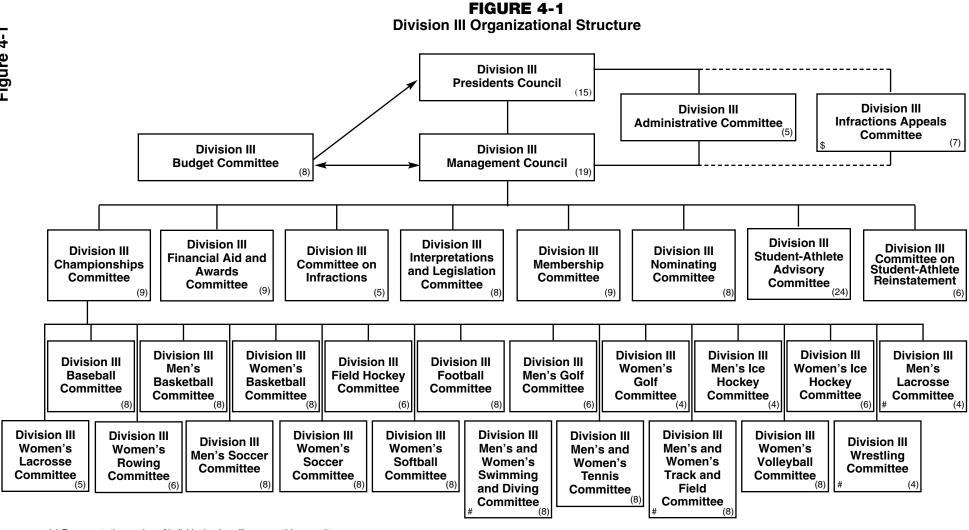
- 4.11.1 Composition. The Administrative Committee shall consist of five members, including the chair of the Presidents Council, the vice-chair of the Presidents Council and the chair of the Management Council. If the chair of the Management Council is a chief executive officer, the committee also shall include two athletics administrators who are senior members of the Management Council. If the chair of the Management Council is not a chief executive officer, the committee also shall include a chief executive officer serving on the Management Council and a senior member of the Management Council. (Adopted: 1/14/97 effective 8/1/97)
- 4.11.2 Duties. The committee shall be empowered in the interim between meetings of the Presidents Council and Management Council to transact items of business clearly necessary to promote the normal and orderly administration of Division III. (Adopted: 1/14/97 effective 8/1/97)
- 4.11.3 Ratification. All actions of the Administrative Committee shall be reported to and subject to ratification by the Management Council and Presidents Council at their next regularly scheduled meetings. (Adopted: 1/14/97 effective 8/1/97)

#### 4.12 GEOGRAPHICAL REGIONS

- 4.12.1 Geographical Regions. For the purpose of representation on the Presidents Council and the Management Council (see Constitution 4.3, 4.4 and 4.7), the Association shall be divided into geographical regions. (Revised: 1/9/96 effective 8/1/97)
  - **4.12.1.1 Division III.** The geographical regions are as follows: (Revised: 1/9/96 effective 8/1/97)
  - (a) Region 1—Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, Rhode Island, Vermont; (Revised: 1/12/99)
  - (b) Region 2—New York, Pennsylvania; (Revised: 1/12/99)
  - (c) Region 3-Alabama, Arkansas, Florida, Georgia, Indiana, Kentucky, Louisiana, Michigan,

# Geographical Regions/4.12.1.1

- Mississippi, North Carolina, Ohio, Puerto Rico, South Carolina, Tennessee, Virginia, West Virginia; and (Revised: 1/12/99)
- (d) Region 4—Alaska, Arizona, California, Colorado, Hawaii, Idaho, Illinois, Iowa, Kansas, Minnesota, Missouri, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wisconsin, Wyoming.



<sup>( )</sup> Represents the number of individuals who will serve on this committee.

Note: The following are common committees with playing rules and championships responsibilities for National Collegiate Championships—Men's Lacrosse, Men's and Women's Rifle, Men's and Women's Skiing, Men's and Women's Rifle, Men's Ri Women's Swimming and Diving, Men's and Women's Track and Field, Men's Water Polo and Wrestling.

<sup>#</sup>Division III members of these sports committees with both playing rules and championships administration responsibilities will function as the championships committee related to the Division III championship in their respective sports. The number in the parenthetical represents the number of Division III individuals responsible for administering the Division III championship in that sport.

<sup>+</sup>The National Collegiate Championship in this sport is open to both Divisions II and III institutions. Thus, this committee will report to both the Divisions II and III Championships Committees. The number in the parenthetical represents only the number of Division III individuals who will serve on this committee.

<sup>\$</sup>The Division III Infractions Appeals Committee shall hear and act on an institution's appeal of the findings of major violations by the Division III Committee on Infractions. It is comprised of two members of the Division III Presidents Council and five members of the Division III Management Council.

#### FIGURE 4-2

#### **Association Governance Structure**

#### **ASSOCIATION-WIDE COMMITTEES**

- A. Committee on Competitive Safeguards and Medical Aspects of Sports.
- B. Honors Committee.
- C. Memorial Resolutions Committee.
- D. Minority Opportunities and Interests Committee.
- E. Olympic Sports Liaison Committee.
- F. Postgraduate Scholarship Committee.
- G. Research Committee.
- H. Committee on Sportsmanship and Ethical Conduct.
- I. Walter Byers Scholarship Committee.
- J. Committee on Women's Athletics.
- K. Initial-Eligibility Clearinghouse Committee (Divisions I and II).
- L. Core-Course Review Committee (Divisions I and II).
- M. Committees that have playing rules responsibilities.

# Responsibilities

- A. Approval/oversight of budget.
- B. Appointment/evaluation of Association's CEO.
- C. Strategic planning for Association.
- D. Identification of Association's core issues.
- E. To resolve issues/litigation.
- F. To convene joint meeting of groups within boxes II, III and IV.
- G. To convene same-site meeting of groups within boxes V. VI and VII.
- H. Authority to call for Constitutional votes.
- I. Authority to call for vote of entire membership when division action is contrary to Association's basic principles.
- J. Authority to call Special/Annual Conventions.

#### Members

**EXECUTIVE COMMITTEE** 

- A. Eight I-A members from box II.
- B. Two I-AA members from box II.
- C. Two I-AAA members from box II.
- D. Two members from box III.
- E. Two members from box IV.
- F. Ex officio/nonvoting—President.<sup>1</sup>
- G. Ex officio/nonvoting—Chairs of boxes V, VI and VII.
- 1 May vote in case of tie.

# **DIVISION I BOARD OF DIRECTORS**

Members

A. Institutional CEOs.

#### Responsibilities

- A. Set policy and direction of division.
- B. Adopt bylaws for division.
- C. Delegate responsibilities to Management Council.

#### **DIVISION II** III. PRESIDENTS COUNCIL

#### Responsibilities

II.

- A. Set policy and direction of division.
- B. Delegate responsibilities to Management Council.

#### Members

- A. Institutional CEOs.

#### Responsibilities Members

**DIVISION III** 

# A. Set policy and

- direction of division. B. Delegate
- responsibilities to Management Council.

# PRESIDENTS COUNCIL

IV.

I.

# A. Institutional CEOs.

#### **DIVISION I** V. MANAGEMENT COUNCIL

# Responsibilities

- A. Recommendations to primary governing body.
- B. Handle responsibilities delegated by primary governing body.

#### Members

- A. Athletics administrators.
- B. Faculty athletics representatives.

#### **DIVISION II** VI. MANAGEMENT COUNCIL

#### Responsibilities

- A. Recommendations to primary governing body.
- B. Handle responsibilities delegated by primary governing body.

# Members

- A. Athletics administrators.
- B. Faculty athletics representatives.

#### **DIVISION III** VII. MANAGEMENT COUNCIL

# Responsibilities

- A. Recommendations to primary governing body.
- B. Handle responsibilities delegated by primary governing body.

# Members

- A. Institutional CEOs.
- B. Athletics administrators.
- C. Faculty athletics representatives.
- D. Student-athletes.

# CONSTITUTION, ARTICLE 5

# Legislative Authority and Process

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#### 5.01 GENERAL PRINCIPLES

5.01.1 Basis of Legislation. All legislation of the Association that governs the conduct of the intercollegiate athletics programs of its member institutions shall be adopted by the membership in Convention assembled, or by the presidential administrative groups and the division management councils as set forth in Constitution 4, as determined by the constitution and bylaws governing each division, and shall be consistent with the purposes and fundamental policy set forth in Constitution 1, and shall be designed to advance one or more principles such as those set forth in Constitution 2. (Revised: 1/9/96 effective 8/1/97)

5.01.2 Approaches to Legislative Process. The membership of the Association recognizes that certain fundamental polices, practices and principles have applicability to all members, while others are applicable to division groupings of members, based on a common philosophy shared among the individual members of the division and on special policies and concerns that are common to the nature and purposes of the institutions in the division. (*Revised:* 1/9/96 effective 8/1/97)

#### 5.02 DEFINITIONS AND APPLICATIONS

5.02.1 Legislative (Constitution and Bylaws) Provisions

**5.02.1.1 Dominant.** A dominant provision is a regulation that applies to all members of the Association and is of sufficient importance to the entire membership that it requires a two-thirds majority vote of all delegates present and voting in joint session at an annual or special Convention. Dominant provisions are identified by an asterisk (\*).

**5.02.1.1.1 Division Dominant.** A division dominant provision is a regulation that applies to all members of a division and is of sufficient importance to the division that it requires a two-thirds majority vote of all delegates present and voting at a division's annual or special Convention. Division dominant provisions are identified by the diamond symbol (•). (Revised: 1/9/96 effective 8/1/97)

**5.02.1.2 Common.** A common provision is a regulation that applies to more than one of the divisions of the Association. A common provision shall be adopted by each of the applicable divisions, acting separately pursuant to the divisional legislative process described in Constitution 5.3, and must be approved by all applicable divisions to be effective. Common provisions are identified by the pound sign (#). (Adopted: 1/14/97 effective 8/1/97)

**5.02.1.3 Federated.** A federated provision is a regulation adopted by a majority vote of the delegates present and voting of one or more of the divisions or subdivisions of the Association, acting separately pursuant to the divisional legislative process described in Constitution 5.3. Such a provision applies only to the division(s) or subdivision(s) that adopts it. Federated provisions are identified by the Roman numeral(s) of the division(s) or subdivision(s) to which the provision is applicable. (Revised: 1/9/96 effective 8/1/97)

#### 5.1 CONVENTIONS AND MEETINGS

#### 5.1.1 Authorization

**5.1.1.1 Annual Convention.** There shall be an annual Convention of this Association during the second week of January or at such other time as may be prescribed by the Executive Committee.

**5.1.1.2 Special Convention.** A special Convention of the Association may be called by the Executive Committee. (*Revised:* 1/9/96 effective 8/1/97)

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# Conventions and Meetings/5.1.2—5.1.3.4

- 5.1.2 Annual or Special Convention Programs
- **5.1.2.1 Establishment of Program—Annual or Special Convention.** The program of the business session of an annual or special Convention of the Association shall be established by the Executive Committee, acting as the Convention program committee. (*Revised: 1/9/96 effective 8/1/97*)
  - **5.1.2.1.1 Change in Program**. Once adopted by a majority vote of the Convention, the order of business established in the program may be changed or suspended only by a two-thirds vote of the members present and voting.
  - **5.1.2.2 Other Convention Arrangements.** All other arrangements for a Convention of the Association or for division legislative meetings shall be made by the president, subject to the direction and approval of the Executive Committee, which shall serve as the Convention arrangements committee.

#### 5.1.2.3 Business and Discussion Sessions

- **5.1.2.3.1 General Business Session**. When determined necessary by the Executive Committee, an annual or special Convention shall include a general business session to enable all three divisions, meeting in joint session, to act on the dominant legislation specified in Constitution 1 and 2 and elsewhere, and on the actions of any division determined to be contrary to the Association's basic purposes, fundamental policies and general principles. (Revised: 1/10/91, 1/9/96 effective 8/1/97)
- **5.1.2.3.2 Division Business Sessions.** An annual or special Convention shall include separate division business sessions to: (Revised: 1/9/96 effective 8/1/97)
- (a) Enable a single division to act, in accordance with the constitution and bylaws, on federated legislation pertaining to that division; (Revised: 1/10/91, 1/9/96 effective 8/1/97)
- (b) Discuss matters of interest to the members of each division; and
- (c) Act upon division membership criteria waiver requests under the provisions of the bylaws.
- **5.1.2.3.3 Round-Table Discussions.** In addition to the division business sessions, a general round-table discussion may be held to enable the membership to discuss matters of general interest.
- 5.1.3 Annual or Special Convention Delegates

#### 5.1.3.1 Institutional and Conference Delegates

- **5.1.3.1.1 With Voting Privileges.** Each active member and each member conference with voting privileges, as specified in Constitution 3.3.2.2, shall be entitled to one vote. Institutions and conferences designating both a male and a female as voting or alternate delegates on the Convention appointment form shall be allowed to appoint four official institutional delegates. In all other circumstances, institutions shall be limited to not more than three official delegates. (*Revised:* 1/10/92)
- **5.1.3.1.2 Without Voting Privileges.** Each member conference without voting privileges shall be entitled to one accredited delegate without voting privilege.
- \* **5.1.3.2 Corresponding, Affiliated and Provisional Delegates.** Each corresponding, affiliated and provisional member shall be entitled to one accredited delegate without voting privilege.
- **5.1.3.3 Visiting Delegates.** Member and nonmember institutions and organizations are authorized to send visiting delegates, who shall not have voting privileges.
- **5.1.3.4 Certification and Voting of Delegates.** The certification and voting of delegates shall be conducted as follows:
  - (a) Delegates shall be certified to the NCAA national office as entitled to represent the member in question by the proper executive officers of their institutions or organizations;
  - (b) An active member or member conference represented by more than one delegate shall designate (on the proper form signed by the chief executive officer) the delegate entitled to cast its vote. Once the member has so designated its primary voting and alternate voting delegates, transferring the voting rights between or among them is a matter of institutional judgment, inasmuch as the voter and alternate(s) have been approved as voters;
  - (c) The same delegate may represent both an active member and a member conference;
  - (d) A delegate shall not represent any active member or member conference unless the delegate actually is identified with such member, and an institution's student may not serve as its voting or alternate voting delegate;
  - (e) Whenever the Association votes on any question by roll call, either written or viva voce, on demand of any delegate, the names of delegates as they vote shall be checked by the Membership Committee in order to verify the authority of the voter; and

LEGISLATIVE PROCESS

(f) Voting by proxy shall not be allowed.

#### 5.1.3.5 Delegate Participation in Conventions and Meetings

- 5.1.3.5.1 Active Delegate. Privileges of the floor and the right to active participation in the business proceedings of any annual or special Convention of the Association is accorded to the following: (Revised: 1/9/96 effective 8/1/97)
- (a) Any of the accredited delegates authorized in Constitution 5.1.3.1.1 to represent an active member or member conference with voting privileges; (Revised: 1/10/92)
- (b) The single accredited delegate authorized in Constitution 5.1.3.1.2 to represent a member conference without voting privileges or in Constitution 5.1.3.2 to represent an affiliated, corresponding or provisional member;
- (c) Any member of the Executive Committee, the divisional presidential administrative groups per Constitution 4.2 through 4.4, the divisional management councils per Constitution 4.5 through 4.7 and the chair (or a committee member designated to speak for the chair) of an NCAA committee listed in Bylaw 21; and (Revised: 1/9/96 effective 8/1/97)
- (d) Any member of a division's Student-Athlete Advisory Committee. (Adopted: 1/10/91)
- **5.1.3.5.2 Visiting Delegate.** Visiting delegates authorized in Constitution 5.1.3.3 shall not actively participate in the business proceedings.

#### 5.1.4 Operational Procedures

- **5.1.4.1 Quorum.** One hundred (100) active members and member conferences represented as prescribed in this constitution shall constitute a quorum for the transaction of the Association's business. For purposes of voting by membership divisions, 40 members of each division shall constitute a quo-
- **5.1.4.2 Parliamentary Rules.** The rules contained in the current edition of Robert's Rules of Order, Newly Revised, shall be the parliamentary authority for the conduct of all meetings of the Association. Additionally, they shall be the deciding reference used in case of parliamentary challenge in all instances to which they apply and in which they are not superseded by this constitution, the bylaws or any special rule of order adopted by the Association in accordance with Constitution 5.4.3.
- **5.1.4.3 Consideration of Legislation.** Legislation shall be acted on only at the Convention business sessions in accordance with the constitution and bylaws.
  - **5.1.4.3.1 Order and Grouping of Legislation.** In the consideration of groupings of related amendments or amendments-to-amendments, the Convention shall consider first the proposal that contemplates the greatest modification of the present circumstance, followed by the other proposals in the order of decreasing modification. Once a proposal in such a grouping is adopted, those that follow ordinarily will become moot.
  - **5.1.4.3.2 Legislation at General Session.** Dominant legislation per Constitution 1 and 2 and elsewhere, and federated legislation determined by the Executive Committee to be contrary to the Association's basic purposes, fundamental policies and general principles shall be acted on by the divisions meeting in joint session. (Revised: 1/10/91, 1/9/96 effective 8/1/97)
  - **5.1.4.3.3 Legislation at Division Sessions.** Legislation pertaining only to a single division of the Association may be acted on in a separate legislative session of that division. Federated legislation pertaining to more than a single division of the Association may be acted on by the appropriate divisions during the separate division business sessions. (Revised: 1/10/91, 1/9/96 effective 8/1/97)
  - 5.1.4.3.4 Single-Gender Athletics Program. An active member or member conference with no men's athletics program shall not be permitted to vote on issues affecting only men's athletics, and an active member or member conference with no women's athletics program shall not be permitted to vote on issues affecting only women's athletics.
- **5.1.4.4 Voting Methods.** The methods of voting at an NCAA Convention shall be by voice, paddle, roll call and/or secret ballot, in accordance with the following procedures:
- (a) Voice Vote. The presiding officer shall determine whether to use voice voting. In the taking of a voice vote, if the presiding officer is in doubt, or on request for a division of the assembly by any member eligible to vote on the particular issue, the presiding officer shall retake the vote by a show of paddles.
- (b) Paddle Vote. The presiding officer shall determine whether to use paddle voting. In the taking of a vote by show of paddles, if the presiding officer is in doubt, or on the request of any member eligible to vote on the particular issue, the presiding officer shall order the vote to be counted.

# Conventions and Meetings/5.1.4.4—Elements of Legislation/5.2.4

- (c) Secret Ballot. Voting by secret ballot shall be conducted only when so ordered by a majority of the eligible delegates present and voting, after the making of an undebatable motion to vote in that manner.
- (d) **Roll Call.** Voting by roll call, on issues other than those so designated by the presidential administrative group in accordance with Constitution 4.3.2-(g) and 4.4.2-(f), shall be conducted only when so ordered by a majority of the eligible delegates present and voting, after the making of an undebatable motion to vote in that manner. If both a roll-call vote and a secret ballot are moved on a particular issue, the vote shall be taken first on whether to vote by roll call. Any interim or subsequent vote to amend, postpone, reconsider, refer or table a proposal that has been designated by the presidential administrative group for a roll-call vote during the Convention also must be conducted by roll-call vote. (Revised: 1/10/92, 1/9/96 effective 8/1/97)

#### 5.2 ELEMENTS OF LEGISLATION

- \* 5.2.1 Constitution. The membership may adopt legislation to be included in the constitution of the Association, which sets forth basic purposes, fundamental policies and general principles that generally serve as the basis on which the legislation of the Association shall be derived and which includes information relevant to the purposes of the Association. (Revised: 1/9/96 effective 8/1/97)
- \* 5.2.2 Operating Bylaws. Each division may adopt legislation to be included in the operating bylaws of the Association, which provide rules and regulations not inconsistent with the provisions of the constitution and which shall include, but not be limited to, the following particulars: (Revised: 1/9/96 effective 8/1/97)
  - (a) The administration of intercollegiate athletics by members of the Association;
  - (b) The establishment and control of NCAA championships (games, matches, meets and tournaments) and other athletics events sponsored or sanctioned by the Association;
  - (c) The procedures for administering and enforcing the provisions of the constitution and bylaws; and
  - (d) The adoption of rules of play and competition in the various sports, and the delegation of authority in connection with such subjects to individuals, officers or committees.
- \* 5.2.3 Administrative Bylaws. The administrative bylaws of the Association (i.e., administrative regulations, executive regulations, enforcement policies and procedures, and athletics certification policies and procedures) provide rules and regulations for the implementation of policy adopted by the membership as set forth in the constitution and operating bylaws. They are distinct from the operating bylaws in that, to provide greater flexibility and efficiency in the conduct of the affairs of the Association, they may be adopted or amended by the applicable division presidential administrative group per Constitution 4.2, 4.3 and 4.4 and the Management Council per Constitution 4.7. (Revised: 1/9/96 effective 8/1/97)
- **5.2.3.1 Administrative Regulations.** Each division is empowered to adopt or revise administrative regulations consistent with the provisions of the constitution and bylaws, subject to amendment by the membership, for the implementation of policy established by legislation governing the general activities of each division. The administrative bylaws may be adopted or amended by the applicable division presidential administrative group per Constitution 4.2, 4.3 and 4.4, the Management Council per Constitution 4.7 or at any annual or special Convention by a majority vote of the delegates present and voting in accordance with the relevant sections of Constitution 5.3.5. (Revised: 1/9/96 effective 8/1/97)
  - **5.2.3.2 Executive Regulations.** Each division is empowered to adopt or revise executive regulations consistent with the provisions of the constitution and bylaws, subject to amendment by the membership. Executive regulations relate to the administration of the division's championships, the expenditure of the division's funds, the distribution of the income of the division and the general administration of the affairs of the division. The executive regulations may be adopted or amended by the applicable division presidential administrative group per Constitution 4.2, 4.3 and 4.4, the Management Council per Constitution 4.7 or at any annual or special Convention by a majority vote of the delegates present and voting in accordance with the relevant sections of Constitution 5.3.5. (Revised: 1/9/96 effective 8/1/97)
- \* **5.2.3.3 Enforcement Policies and Procedures.** The Committee on Infractions is empowered to adopt or revise policies and procedures for the conduct of the Association's enforcement program, subject to approval by the Management Council. These policies and procedures shall be developed by the Committee on Infractions, shall not be inconsistent with the provisions of the constitution and bylaws, and shall be subject to amendment by the membership.
- \* 5.2.4 Resolutions. Legislation of a temporary character effective only for a specified time period may be enacted through resolutions not inconsistent with the constitution, bylaws (including administrative bylaws) and special rules of order (see Constitution 5.4.2).

# Elements of Legislation/5.2.5—Amendment Process/5.3.3.3

- 5.2.5 Interpretations of Constitution and Bylaws. The divisional presidential administrative groups and Management Council per Constitution 4.2 through 4.7, in the interim between Conventions, and the Committee on Interpretations and Legislation, in the interim between meetings of the Management Council, are empowered to make interpretations of the constitution and bylaws (see Constitution 5.4.1). (Revised: 1/9/96 effective 8/1/97)
- 5.2.6 Special Rules of Order. The Association may adopt special rules of order not inconsistent with the constitution and bylaws. These special rules, with Robert's Rules of Order, Newly Revised, this constitution, and the bylaws, shall be the parliamentary authority for the conduct of all meetings of the Association and, together, shall be the deciding reference used in case of parliamentary challenge in all instances to which they apply (see Constitution 5.4.3).
- 5.2.7 Statements of Division Philosophy. The membership of a division or subdivision, through appropriate deliberative processes, may prepare a statement of division philosophy relating to the development and operation of an intercollegiate athletics program in the division. Such a statement is not binding on member institutions but shall serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences (see Constitution 5.4.4).

### 5.3 AMENDMENT PROCESS

See Figure 5-1, page 43, for the current amendment process and legislative calendar.

#### 5.3.1 Authorizing Legislation

- **5.3.1.1 Amendment.** The dominant provisions of Constitution 1 and 2 and elsewhere and the division dominant provisions may be amended only at an annual or special Convention. Federated provisions may be amended at any annual or special Convention. (Revised: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)
  - **5.3.1.1.1 Noncontroversial Amendment.** The Management Council, in the interim between annual Conventions, by a three-fourths majority of its members present and voting, may adopt noncontroversial legislative amendments clearly necessary to promote the normal and orderly administration of the division's legislation. The Management Council shall submit such amendments to the Presidents Council for ratification and shall sponsor legislation at the next annual Convention to confirm the adoption of such amendments. (Adopted: 1/10/90, Revised: 1/9/96 effective 8/1/97, Revised: 1/11/00 effective 8/1/00)
- **5.3.1.2** Amendment-to-Amendment—Dominant Provisions. A proposed amendment to the dominant provisions of Constitution 1 and 2 and elsewhere may be amended at any annual or special convention. From July 15 through September 15, sponsors of proposed legislation may refine and change proposals in any manner that is germane to the original proposal. After September 15, proposed amendments may be amended only if the amendment to the proposed amendment does not increase the modification of the provision to be amended. (Adopted: 1/9/96 effective 8/1/97)
- **5.3.1.3** Amendment-to-Amendment—Division Dominant Provisions. A proposed amendment to a division dominant provision may be amended at any annual or special convention. From July 15 through September 15, sponsors of proposed legislation may refine and change proposals in any manner that is germane to the original proposal. After September 15, proposed amendments may be amended only if the amendment to the proposed amendment does not increase the modification of the provision to be amended. (Adopted: 1/9/96 effective 8/1/97)
- **5.3.1.4** Amendment-to-Amendment—Federated Provisions. A proposed amendment to a federated provision of the bylaws may be amended at any annual or special Convention. From July 15 through September 15, sponsors of proposed legislation may refine and change proposals in any manner that is germane to the original proposal. After September 15, proposed amendments may be amended only if the amendment to the proposed amendment does not increase the modification of the provision to be amended. (Revised: 1/10/90, 1/11/94, 1/9/96 effective 8/1/97)
- 5.3.3 Sponsorship—Amendments to Dominant Provisions
  - **5.3.3.1 Amendment**. An amendment to a dominant provision of Constitution 1 and 2 and elsewhere may be sponsored by the Executive Committee. (*Adopted: 1/9/96 effective 8/1/97*)
  - **5.3.3.2** Amendment-to-Amendment. An amendment-to-amendment to a dominant provision of Constitution 1 and 2 and elsewhere may be sponsored by the Executive Committee. (Adopted: 1/9/96 effective 8/1/97)
  - **5.3.3.3 Editorial Changes.** The presiding officer may permit changes in the wording of proposed amendments of a purely editorial nature or to correct typographical errors.

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#### Amendment Process/5.3.4—5.3.6.1.1.1

- 5.3.4 Sponsorship—Amendments to Division Dominant Provisions
  - **5.3.4.1 Amendment.** An amendment to a division dominant provision may be sponsored by the appropriate divisional presidential administrative group. (Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97)
  - **5.3.4.2** Amendment-to-Amendment. An amendment-to-amendment to a division dominant provision may be sponsored by the appropriate divisional presidential administrative group. (Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97)
  - **5.3.4.3 Editorial Changes.** The presiding officer may permit changes in the wording of a proposed amendment of a purely editorial nature or to correct typographical errors. (Adopted: 1/9/96 effective 8/1/97)
- 5.3.5 Sponsorship—Amendments to Divisions II and III Legislation
  - **5.3.5.1 Amendment.** An amendment to a provision of the bylaws may be sponsored by: (Adopted: 1/9/96 effective 1/14/97)
  - (a) The Presidents Council;
  - (b) Eight or more active member institutions with voting privileges, on written verification of sponsorship signed by each sponsoring member's chief executive officer or the chief executive officer's designated representative; or
  - (c) A member conference with voting privileges, when submitted by the chief elected or executive officer of the conference on behalf of eight or more of its active member institutions and signed by the chair of the conference's official presidential administrative group or at least two chief executive officers of the conference's member institutions if the conference has no presidential administrative group.
    - **5.3.5.1.1 Amendment, Federated Provision.** When legislation is applicable only to a particular division, only members of that division may submit amendments to that legislation. (*Revised:* 1/10/92, 1/9/96 effective 1/14/97)
    - **5.3.5.1.2 Primary Contact Person.** All amendments to the constitution and bylaws proposed by member institutions shall designate a primary contact person. (Adopted: 1/10/90, Revised: 1/16/93, 1/9/96 effective 1/14/97)
  - **5.3.5.2 Amendment-to-Amendment.** An amendment to an amendment of a provision of the bylaws may be sponsored by: (*Adopted:* 1/9/96 *effective* 1/14/97)
  - (a) The Presidents Council;
  - (b) Eight or more active member institutions with voting privileges, when submitted in writing by each institution's chief executive officer or the chief executive officer's designated representative; or
  - (c) A member conference with voting privileges, when submitted in writing by the chief elected or executive officer of the conference on behalf of eight or more of its active member institutions and signed by the chair of the conference's official presidential administrative group or at least two chief executive officers of the conference's member institutions if the conference has no presidential administrative group.
    - **5.3.5.2.1 Primary Contact Person.** All amendments-to-amendments to the constitution and bylaws proposed by member institutions shall designate a primary contact person. (Adopted: 1/10/92, Revised: 1/16/93, 1/9/96 effective 1/14/97)
  - **5.3.5.3 Editorial Changes.** The presiding officer may permit changes in the wording of proposed amendments of a purely editorial nature or to correct typographical errors. (*Revised: 1/9/96 effective 1/14/97*)
- 5.3.6 Submission Deadline

#### 5.3.6.1 Dominant Provisions

- **5.3.6.1.1 Amendments.** Amendments to dominant provisions of Constitution 1 and 2 and elsewhere shall be sponsored by the Executive Committee in accordance with the following deadlines:  $(Adopted: 1/9/96 \ effective \ 8/1/97)$
- (a) Annual Convention—September 1.
- (b) Special Convention—Ninety days before a special Convention.
  - **5.3.6.1.1.1 Exception.** The Executive Committee, by a two-thirds majority of its members present and voting, may establish a later date for the submission of amendments for an annual Convention when a special Convention is held after September 1. (Adopted: 1/9/96 effective 8/1/97)

**5.3.6.1.2** Amendments-to-Amendments. The Executive Committee must submit amendments to their original proposals in writing and such amendments must be submitted not later than 5 p.m. Central time September 15, unless the amendment-to-amendment does not increase the modification specified in the original proposal. Any amendment to a proposed amendment submitted after September 15 shall not increase the modification of the original proposal and must be submitted in writing not later than 5 p.m. Central time November 1 before an annual Convention or 60 days before a special Convention. The Executive Committee may propose amendments-to-amendments at the time of the Convention without meeting these procedural requirements, provided the proposed amendment-to-amendment has been approved by two-thirds of the Executive Committee and copies are distributed before or during the business session. (Adopted: 1/9/96 effective 8/1/97)

#### 5.3.6.2 Division Dominant Provisions

- **5.3.6.2.1 Amendments.** Amendments to division dominant provisions shall be sponsored by the appropriate divisional presidential administrative group in accordance with the following deadlines: (Adopted: 1/9/96 effective 8/1/97)
- (a) Annual Convention—September 1.
- (b) Special Convention—Ninety days before a special Convention.
  - **5.3.6.2.1.1 Exception.** A divisional presidential administrative group, by a two-thirds majority of its members present and voting, may establish a later date for the submission of amendments for an annual Convention when a special Convention is held after September 1. ( $Adopted: 1/9/96 \ effective \ 8/1/97$ )
- **5.3.6.2.2** Amendments-to-Amendments. The appropriate divisional presidential administrative group must submit amendments to its original proposals in writing and such amendments must be submitted not later than 5 p.m. Central time September 15, unless the amendment-to-amendment does not increase the modification specified in the original proposal. Any amendment to a proposed amendment submitted after September 15 shall not increase the modification of the original proposal and must be submitted in writing not later than 5 p.m. Central time November 1 before an annual Convention or 60 days before a special Convention. A divisional presidential administrative group may propose amendments-to-amendments at the time of the Convention without meeting these procedural requirements, provided the proposed amendment-to-amendment has been approved by two-thirds of the divisional presidential administrative group and copies are distributed before or during the appropriate business session. (*Adopted: 1/9/96 effective 8/1/97*)

#### 5.3.6.3 Legislation

**5.3.6.3.1 Amendment.** Deadline dates for receipt at the national office of proposed amendments are as follows: (Revised: 1/11/89 effective 2/1/90, Revised: 1/9/96 effective 8/1/97)

#### 5.3.6.3.1.1 Amendment Proposed by Membership

- (a) Annual Convention—5 p.m. Central time July 15 (conference-sponsored amendments must meet the July 15 deadline, and the required chief executive officer signatures for these proposals must be received by 5 p.m. Central time August 1). (Revised: 1/11/89 effective 2/1/90, Revised: 1/10/92, 1/11/94)
- (b) Special Convention—Ninety days before a special Convention. (Revised: 1/11/89 effective 2/1/90)
  - **5.3.6.3.1.11 Exception.** The Presidents Council, by a two-thirds majority of its members present and voting, may establish a later date for the submission of amendments for an annual Convention when a special Convention is held after July 15. (*Revised: 1/11/89 effective 2/1/90, Revised: 1/11/94, 1/9/96 effective 8/1/97*)

#### 5.3.6.3.1.2 Amendments Sponsored by Presidents Council

- (a) Annual Convention—September 1. (Adopted: 1/11/89 effective 2/1/90, Revised: 1/11/94)
- (b) Special Convention—Ninety days before a special Convention. (Adopted: 1/11/89 effective 2/1/90)
  - **5.3.6.3.1.2.1 Exception.** The Presidents Council, by a two-thirds majority of its members present and voting, may establish a later date for the submission of amendments for an annual Convention when a special Convention is held after September 1. (Adopted: 1/11/89 effective 2/1/90, Revised: 1/11/94, 1/9/96 effective 8/1/97)
- **5.3.6.3.2** Amendment-to-Amendment. Sponsors of proposed amendments must submit amendments to their original proposals in writing and such amendments must be received at the Association's national office not later than 5 p.m. Central time September 15, unless the amend-

# Amendment Process/5.3.6.3.2—5.3.7.3.1

ment-to-amendment does not increase the modification specified in the original proposal. Any amendment to a proposed amendment submitted after September 15 shall not increase the modification of the original proposal and must be submitted in writing and received at the Association's national office not later than 5 p.m. Central time November 1 before an annual Convention or 60 days before a special Convention. (Revised: 1/10/92, 1/11/94, 1/9/96 effective 8/1/97)

- **5.3.6.3.2.1 Exception, Presidents Council**. The Presidents Council may propose amendments-to-amendments at the time of the Convention without meeting these procedural requirements, provided that in each instance the proposed amendment to an amendment has been approved by two-thirds of the Council and copies are distributed before or during the business sessions. (*Revised: 1/9/96 effective 8/1/97*)
- **5.3.6.3.3 Cost Considerations.** The Presidents Council may require the sponsors of each proposed amendment or amendment-to-amendment that, if adopted, would result in significant expenditures from the division's budget and/or by member institutions to provide written documentation of the estimated costs to the division and/or the members. (Revised: 1/10/92, 1/9/96 effective 8/1/97)
- 5.3.7 Notification to Membership

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#### 5.3.7.1 Amendments to Dominant Provisions

- **5.3.7.1.1 Initial Publication.** Amendments to dominant provisions of Constitution 1 and 2 and elsewhere sponsored by the Executive Committee shall be published for the information of the membership as follows: (*Adopted: 1/9/96 effective 8/1/97*)
- (a) Not later than September 23 for an annual Convention.
- (b) Not later than 75 days before a special Convention.
- **5.3.7.1.2 Official Notice.** A copy of the proposed amendments shall be mailed to all members of the Association not later than November 15 before an annual Convention or 45 days before a special Convention. ( $Adopted: 1/9/96 \ effective \ 8/1/97$ )
- **5.3.7.1.3 Delayed Date.** If the Executive Committee establishes a date later than July 15 or September 1 for the submission of amendments to dominant provisions for an annual Convention, it, by a two-thirds majority of its members present and voting, may establish a later date for publishing copies of the proposed amendments for information of the membership. (Adopted 1/9/96 effective 8/1/97)
- **5.3.7.1.4 Amendments-to-Amendments.** Copies of all amendments-to-amendments to dominant provisions submitted by the Executive Committee in accordance with the September 15 deadline shall be published by September 23. Copies of all other amendments-to-amendments submitted by the November 1 deadline shall be published in the Official Notice of the Convention. (Adopted: 1/9/96 effective 8/1/97)

#### 5.3.7.2 Amendments to Division Dominant Provisions

- **5.3.7.2.1 Initial Publication.** Amendments to division dominant provisions sponsored by a divisional presidential administrative group shall be published for the information of the membership as follows: (*Adopted:* 1/19/96 effective 8/1/97)
- (a) Not later than September 23 for an annual Convention.
- (b) Not later than 75 days before a special Convention.
- **5.3.7.2.2 Official Notice.** A copy of the proposed amendments shall be mailed to members of the appropriate division not later than November 15 before an annual Convention or 45 days before a special Convention. (Adopted: 1/9/96 effective 8/1/97)
- **5.3.7.2.3 Delayed Date.** If a divisional presidential administrative group establishes a date later than July 15 or September 1 for the submission of amendments to division dominant provisions for an annual Convention, it, by a two-thirds majority of its members present and voting, may establish a later date for publishing copies of the proposed amendments for information of the membership. (*Adopted:* 1/9/96 effective 8/1/97)
- **5.3.7.2.4 Amendments-to-Amendments.** Copies of all amendments-to-amendments to division dominant provisions submitted by a divisional presidential administrative group in accordance with the September 15 deadline shall be published by September 23. Copies of all other amendments-to-amendments submitted by the November 1 deadline shall be published in the Official Notice of the Convention. (*Adopted: 1/9/96 effective 8/1/97*)

#### 5.3.7.3 Amendments to Legislation

5.3.7.3.1 Initial Publication. Proposed amendments shall be published for the information of the

- appropriate division as follows: (Adopted: 1/11/89 effective 2/1/90, Revised: 1/10/90, 1/9/96 effective 8/1/97)
- (a) Not later than August 15—Those proposed by the membership, including the primary contact person's name, title and member institution or member conference, as well as the sponsors' statements of intent and rationale and any proposals designated for inclusion by the Presidents Council; and (Adopted: 1/11/89 effective 2/1/90, Revised: 1/10/90, 1/10/92, 1/11/94, 1/9/96 effective 8/1/97)
- (b) Not later than September 23—Those submitted by the Presidents Council and those modified by the sponsors; or (Adopted: 1/11/89 effective 2/1/90, Revised: 1/10/92, 1/11/94, 1/9/96 effective 8/1/97)
- (c) Not later than 75 days before a special Convention—All proposed amendments. (Adopted: 1/11/89 effective 2/1/90, Revised: 1/9/96 effective 8/1/97)
- **5.3.7.3.2 Official Notice.** A copy of the proposed amendments shall be mailed to members of the appropriate division not later than November 15 before an annual Convention or 45 days before a special Convention. (*Revised:* 1/11/89 effective 2/1/90, *Revised:* 1/9/96 effective 8/1/97)
- **5.3.7.3.3 Delayed Date.** If the Presidents Council establishes a date later than July 15 or September 1 for the submission of amendments for an annual Convention, it, by a two-thirds majority of its members present and voting, may establish a later date for publishing copies of the proposed amendments for information of the membership. (Revised: 1/11/89 effective 2/1/90, Revised: 1/11/94, 1/9/96 effective 8/1/97)
- **5.3.7.3.4 Amendments-to-Amendments.** Copies of all amendments to proposed amendments submitted by the proposal's original sponsors in accordance with the September 15 deadline shall be published by September 23. Copies of all other amendments to proposed amendments submitted by the November 1 deadline shall be published in the Official Notice of the Convention. (Revised: 1/11/89 effective 2/1/90, 1/10/90, Revised: 1/11/94, 1/9/96 effective 8/1/97)
- 5.3.8 Committee Review—Legislation. All legislative proposals submitted by the membership per Constitution 5.3.5 shall be evaluated by an appropriate NCAA committee before they can be included on the agenda for an NCAA Convention. Such evaluation may involve a position of support or opposition and/or a suggestion of an alternative proposal by the committee. The committee shall complete its evaluation not later than October 7. The committee position, if any, shall not prevent the membership from voting on the proposal at the next Convention, provided all appropriate submission deadlines have been met. (Adopted: 1/16/93, Revised: 1/11/94, 1/9/96 effective 8/1/97)
  - **5.3.8.1 Committee Designation.** The Presidents Council shall assign each proposal to the appropriate committee(s) for evaluation and shall designate a subcommittee of itself or the Management Council or a special committee when no appropriate committee exists. (Adopted: 1/16/93, Revised: 1/9/96 effective 8/1/97)
  - **5.3.8.2 Publication of Committee Position.** The position of the appropriate committee shall be printed with the relevant proposal in the Official Notice of the NCAA Convention. (Adopted: 1/16/93, Revised: 1/9/96 effective 8/1/97)
- 5.3.9 Adoption of Amendment, Voting Requirements
  - **5.3.9.1 Voting Requirement Determination.** The Executive Committee shall be authorized to determine the voting requirement for an amendment when the voting requirement is not obvious from the content or context of the legislation. (*Revised: 1/9/96 effective 8/1/97*)
  - **5.3.9.2 Dominant Provision.** Adoption of an amendment to a dominant provision of Constitution 1 and 2 and elsewhere shall require a two-thirds majority vote of all delegates present and voting in joint session at an annual or special Convention of the Association. (Revised: 1/9/96 effective 8/1/97)
  - **5.3.9.3 Division Dominant Provision.** Adoption of a division dominant provision shall require a two-thirds majority vote of all delegates of the affected division present and voting at a division's annual or special Convention. (Adopted: 1/9/96 effective 8/1/97)
  - **5.3.9.4 Federated Provision.** Adoption of an amendment to a federated provision shall require a majority vote of the delegates present and voting of one or more division(s) of the Association, acting separately, at an annual or special Convention or at a division legislative meeting of the Association. Such legislation shall apply only to the division(s) adopting it. (Revised: 1/9/96 effective 8/1/97)
- 5.3.10 Adoption of Amendment-to-Amendment, Voting Requirements
  - **5.3.10.1 Dominant Provision.** A proposed amendment to an amendment of a dominant provision of

#### Amendment Process/5.3.10.1—5.3.13.2

Constitution 1 and 2 and elsewhere shall be approved by a majority vote of all delegates present and voting. (Revised: 1/9/96 effective 8/1/97)

- **5.3.10.2 Division Dominant Provision.** A proposed amendment to an amendment of a division dominant provision shall be approved by a majority vote of the delegates of the affected division present and voting. (*Adopted:* 1/9/96 effective 8/1/97)
- **5.3.10.3 Federated Provision.** A proposed amendment to an amendment of a federated provision shall be approved by a majority vote of the delegates of the affected division present and voting. (*Adopted:* 1/9/96 effective 8/1/97)
- \* 5.3.11 Special Voting Requirements. The following topics are subject to special voting requirements.
- \* **5.3.11.1 National Collegiate Championship.** A National Collegiate Championship per Bylaw 18.02.1.1 may be established by action of all three divisions acting through each division's governance structure, subject to all requirements, standards and conditions prescribed in Bylaw 18.2. (Revised: 1/14/97 effective 8/1/97)
  - **5.3.11.2 Division Championship.** A division championship per Bylaw 18.02.1.2 may be established by a majority vote of all members of that division present and voting at an annual Convention, subject to all requirements, standards and conditions prescribed in Bylaw 18.2. (*Revised: 1/9/96 effective 8/1/97*)
  - **5.3.11.3 Football Television Plan.** Only members that sponsor varsity intercollegiate football shall be permitted to vote on adoption of NCAA football television plans and on amendments to Bylaw 22 governing those plans. (*Revised:* 1/9/96 effective 8/1/97)
  - **5.3.11.4 Voting on Football Issues**. An active member or voting member conference with no football program shall not be permitted to vote on issues affecting only football. (*Revised: 1/9/96 effective 8/1/97*)
  - **5.3.11.5 Challenged Action.** The action of any specific division challenged by the Executive Committee as being contrary to the basic purposes, fundamental policies and general principles set forth in the Association's constitution may be overridden by the Association's entire membership by a two-thirds majority vote of those institutions voting. (Adopted: 1/9/96 effective 8/1/97)
  - 5.3.12 Intent and Rationale
    - **5.3.12.1 Amendments to Dominant Provisions.** All amendments to dominant provisions of Constitution 1 and 2 and elsewhere shall include a statement of intent and a separate statement of rationale. The statement of rationale shall not exceed 200 words in length and shall include reasons why the proposal has an alternate effective date. All amendments-to-amendments shall include a statement of intent and, if applicable, a cost estimate. An amendment-to-amendment also may include a statement of rationale that shall not exceed 50 words in length. (Adopted: 1/9/96 effective 8/1/97, Revised: 1/11/00 effective 8/1/00)
    - **5.3.12.2** Amendments to Division Dominant Provisions. All amendments to division dominant provisions shall include a statement of intent and a separate statement of rationale. The statement of rationale shall not exceed 200 words in length. All amendments-to-amendments shall include a statement of intent and, if applicable, a cost estimate. An amendment-to-amendment also may include a statement of rationale that shall not exceed 50 words in length. (*Adopted: 1/9/96 effective 8/1/97*)
    - **5.3.12.3 Amendments to Federated Provisions.** All amendments to federated provisions shall include a statement of intent and a separate statement of rationale. The statement of rationale shall not exceed 200 words in length. All amendments-to-amendments shall include a statement of intent and, if applicable, a cost estimate. An amendment-to-amendment also may include a statement of rationale that shall not exceed 50 words in length. (*Adopted: 1/9/96 effective 8/1/97*)
  - 5.3.13 Effective Date
  - **5.3.13.1 Dominant Provisions.** All amendments to dominant provisions of Constitution 1 and 2 and elsewhere shall become effective not earlier than the first day of August after adoption by the Convention. (Revised: 1/10/95 effective 8/1/95, Revised: 1/9/96 effective 8/1/97)
    - **5.3.13.1.1** Alternative to August 1 Effective Date. If a voting delegate wishes to propose an immediate effective date, or to propose any other effective date prior to the first day of August. The rationale statement shall contain reasons why the proposal has an alternate effective date. Those amendments specified as being effective immediately shall become effective on adjournment of the Convention. (Revised: 1/10/95 effective 8/1/95, Revised: 1/9/96 effective 8/1/97, Revised: 1/11/00 effective 8/1/00)
    - **5.3.13.2 Division Dominant Provisions.** All amendments to division dominant provisions shall become effective not earlier than the first day of August after adoption by the Convention. (Adopted: 1/9/96 effective 8/1/97)

# Amendment Process/5.3.13.2.1—Other Legislative Procedures/5.4.1.2.2

- **5.3.13.2.1** Alternative to August 1 Effective Date. If a voting delegate wishes to propose an immediate effective date, or to propose any other effective date before the first day of August, a two-thirds majority of all delegates present and eligible to vote on the amendment is required to approve the immediate or alternative effective date. Those amendments specified as being effective immediately shall become effective on adjournment of the Convention. (Revised: 1/10/95 effective 8/1/95, Revised: 1/9/96 effective 8/1/97)
- **5.3.13.3 Federated Provisions.** All amendments to federated provisions shall become effective not earlier than the first day of August after adoption by the Convention. (Adopted: 1/9/96 effective 8/1/97)
  - **5.3.13.3.1 Alternative to August 1 Effective Date.** If a voting delegate wishes to propose an immediate effective date, or to propose any other effective date before the first day of August. The rationale statement shall contain reasons why the proposal has an alternative effective date. Those amendments specified as being effective immediately shall become effective on adjournment of the Convention. (Revised: 1/10/95 effective 8/1/95, Revised: 1/9/96 effective 8/1/97, Revised: 1/11/00 effective 8/1/00)

#### 5.3.14 Reconsideration

- **5.3.14.1 Vote on Dominant Provisions.** Before the adjournment of any Convention, an affirmative or negative vote on an amendment to a provision of Constitution 1 and 2 and elsewhere may be subjected to one motion for reconsideration of that action by any member that voted on the prevailing side in the original consideration. (*Revised:* 1/9/96 *effective* 8/1/97)
- **5.3.14.2 Vote on Division Dominant Provisions.** Before adjournment of any Convention, an affirmative or negative vote on an amendment to a division dominant provision may be subjected to one motion for reconsideration of that action by any member that voted on the prevailing side in the original consideration. Reconsideration of the vote must occur in the appropriate division business session. ( $Adopted: 1/9/96 \ effective \ 8/1/97$ )
- **5.3.14.3 Vote on Federated Provisions.** Before adjournment of any Convention, an affirmative or negative vote on an amendment to a federated provision may be subjected to one motion for reconsideration of that action by any member that voted on the prevailing side in the original consideration. Reconsideration of the vote must occur in the business session. (Adopted: 1/9/96 effective 8/1/97)

# 5.4 OTHER LEGISLATIVE AND AMENDMENT PROCEDURES

- 5.4.1 Interpretations of Constitution and Bylaws
  - **5.4.1.1 Authorization.** The Presidents Council and Management Council per Constitution 4.2 through 4.7, in the interim between Conventions, and the Committee on Interpretations and Legislation, in the interim between meetings of the Presidents Council and Management Council, are empowered to make interpretations of the constitution and bylaws (see Constitution 5.2.5). (Revised: 1/9/96 effective 8/1/97)
    - **5.4.1.1.1 Modification of Wording.** In addition to its general authority to make binding interpretations of NCAA legislation, the Management Council, by a two-thirds majority of its members present and voting, may interpret legislation consistent with the intent of the membership in adopting the legislation if sufficient documentation and testimony are available to establish clearly that the wording of the legislation is inconsistent with that intent. The Management Council shall sponsor legislation at the next annual Convention to confirm any such interpretations. (Revised: 1/9/96 effective 8/1/97)

#### 5.4.1.2 Interpretation Process

- **5.4.1.2.1 Response to Request.** The membership services staff shall respond to a request from a member institution for an interpretation of NCAA rules. Such an interpretation is binding on the institution unless it is modified by the Committee on Interpretations and Legislation. (*Revised:* 1/14/97 effective 8/1/97)
- **5.4.1.2.2 Review of Staff Interpretation.** A member institution may request a review by the Committee on Interpretations and Legislation of any interpretation provided by the membership services staff. Such a request must be submitted in writing by the institution's conference or by one of the five individuals who are authorized to request such interpretations on behalf of the institution [i.e., chief executive officer, faculty athletics representative, athletics director, senior woman administrator, compliance coordinator, or designated substitute(s) for the chief executive officer and/or athletics director, as specified in writing to the national office]. (Revised: 1/10/91, 1/11/94, 1/14/97 effective 8/1/97)

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# Other Legislative Procedures/5.4.1.2.3—5.4.2.3.3.1

- **5.4.1.2.3** Review of Committee on Interpretations and Legislation Decision. The Management Council shall review all interpretations issued by the Committee on Interpretations and Legislation and may approve, reverse or modify such interpretations. A member institution may appeal a decision of the Committee on Interpretations and Legislation to the Management Council meeting immediately after the decision of the committee. The appeal must be submitted in writing by the institution's chief executive officer, faculty athletics representative or director of athletics. The Management Council shall establish the procedures for such an appeal. (Adopted: 1/11/94, Revised: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)
- **5.4.1.2.4 Publication or Notification.** Interpretations issued by the Committee on Interpretations and Legislation shall be binding on notification to affected institutions and on all member institutions after publication and circulation to the membership. (Revised: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)
- **5.4.1.2.5 Revision.** Interpretations approved by the Management Council may not be revised by the Committee on Interpretations and Legislation. The Committee on Interpretations and Legislation may only recommend to the Management Council revisions of such interpretations. (Revised: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97)
- **5.4.1.3 Application.** An interpretation of the constitution or bylaws shall be applicable to the membership division(s) to which the provision applies.
- **5.4.1.4 Request for Convention Review.** Any member of the Association to which an interpretation applies may request a review of the interpretation at the next annual Convention by making such a request in writing to the Association's Convention office before 1 p.m. on the day before the business sessions of the Convention.
  - **5.4.1.4.1 Affirmation of Interpretation.** An interpretation of the constitution or bylaws submitted for review requires the same vote for affirmation that would be required for an amendment of the provisions to which the interpretation applies (e.g., majority vote of the delegates present and voting in the appropriate division for an interpretation of a federated provision). An interpretation that fails to receive the required vote for affirmation shall not be binding on the membership.
- **5.4.1.5 Amendment by Membership.** A proposed amendment to an existing interpretation must be submitted in accordance with the requirements of Constitution 5.3.5.
- **5.4.1.6 Convention-Approved Interpretations**. All interpretations approved by the Convention shall be incorporated into the constitution or bylaws.
- **5.4.1.7 Review Authority of Management Council.** An institution may appeal to the Management Council a decision of an NCAA committee (excluding actions of the Eligibility Subcommittee, excluding actions related to the restoration of a student-athlete's eligibility, and the Infractions Subcommittee) or the NCAA staff regarding the application of NCAA legislation to a particular situation. In reaching its decision, the Management Council shall review the complete record in order to determine whether there is sufficient basis to grant relief from the application of the legislation. The Management Council shall establish the process for such a review; shall monitor the actions taken under this authorization, and shall report annually to the membership the actions taken, in summary, aggregate form. (*Adopted: 1/16/93, Revised: 1/9/96 effective 8/1/97*)

#### 5.4.2 Resolutions

- **5.4.2.1 Authorization.** Legislation pertaining to one or more divisions may be enacted through resolutions not inconsistent with the constitution, bylaws (including administrative bylaws) and special rules of order.
- **5.4.2.2 Scope and Application.** Legislation enacted through resolutions shall be of a temporary nature, effective only for a limited time as specified in the resolution itself.

#### 5.4.2.3 Dominant Provisions

- **5.4.2.3.1 Sponsorship.** A resolution related to the dominant provisions of Constitution 1 and 2 and elsewhere may be sponsored by the Executive Committee. (*Revised: 1/9/96 effective 8/1/97*)
- **5.4.2.3.2 Submission Deadline.** A proposed resolution related to the dominant provisions of Constitution 1 and 2 and elsewhere must be submitted before November 1. The Executive Committee may sponsor resolutions at the time of the Convention without meeting this deadline provided the proposed resolution has been approved by a two-thirds vote of the Executive Committee and copies are distributed during the business session. (*Revised: 1/9/96 effective 8/1/97*)

#### 5.4.2.3.3 Voting Requirements

**5.4.2.3.3.1 Adoption—Annual/Special Convention.** Adoption of a resolution shall require a majority vote of the delegates present and voting at an annual or special Convention. (*Revised:* 1/9/96 effective 8/1/97)

# Other Legislative Procedures/5.4.2.3.3.2—5.4.2.5.4.2

**5.4.2.3.3.2 Mail Ballot.** If a majority of the delegates present and voting so direct, a resolution shall be referred to the entire membership for a mail vote conducted under conditions approved by the Executive Committee. A two-thirds majority of members voting in any such mail vote shall be required for the enactment of the legislation proposed in the resolution. (Revised: 1/9/96 effective 8/1/97)

#### 5.4.2.4 Division Dominant Provisions

- **5.4.2.4.1 Sponsorship.** A resolution related to a division dominant provision may be sponsored by the appropriate divisional presidential administrative group. (*Adopted: 1/9/96 effective 8/1/97*)
- **5.4.2.4.2 Submission Deadline.** A proposed resolution related to a division dominant provision must be submitted before November 1. A divisional presidential administrative group may sponsor resolutions at the time of the Convention without meeting this deadline provided the proposed resolution has been approved by a two-thirds majority vote of that presidential administrative group and copies are distributed during the appropriate division business sessions. (Adopted: 1/9/96 effective 8/1/97)

#### 5.4.2.4.3 Voting Requirements

- **5.4.2.4.3.1 Adoption—Annual/Special Convention.** Adoption of a resolution shall require a majority vote of the delegates of the applicable division present and voting at an annual or special Convention. (Adopted: 1/9/96 effective 8/1/97)
- **5.4.2.4.3.2 Mail Ballot.** If a majority of the delegates of the applicable division present and voting so direct, a resolution shall be referred to the entire membership of that division for a mail vote conducted under conditions approved by the appropriate presidential administrative group. A two-thirds majority of members of the applicable division voting in any such mail vote shall be required for the enactment of the legislation proposed in the resolution. (Adopted: 1/9/96 effective 8/1/97)

#### 5.4.2.5 Federated Provisions

- **5.4.2.5.1 Sponsorship.** A resolution related to a federated provision may be sponsored by: (*Adopted:* 1/9/96 *effective* 8/1/97)
- (a) The Presidents Council;
- (b) Eight or more active member institutions with voting privileges, on written verification of sponsorship signed by each sponsoring member's chief executive officer or the chief executive officer's designated representative; (Revised: 1/11/89 effective 2/1/90, Revised: 1/9/96 effective 8/1/97)
- (c) A member conference with voting privileges when submitted by the chief elected or executive officer of the conference on behalf of eight or more of its active member institutions and signed by the chair of the conference's official presidential administrative group or at least two chief executive officers of the conference's member institutions if the conference has no presidential administrative group. (Revised: 1/10/91, 1/11/94, 1/9/96 effective 8/1/97)
- **5.4.2.5.2 Submission Deadline.** A proposed resolution related to a federated provision must be submitted in writing to the national office before November 1. (*Adopted: 1/9/96 effective 8/1/97*)
  - **5.4.2.5.2.1 Exception.** The applicable Presidents Council may sponsor resolutions at the time of the Convention without meeting this deadline, provided the proposed resolution has been approved by a two-thirds vote of the Presidents Council and copies are distributed before or during the business sessions. (Adopted: 1/9/96 effective 8/1/97)
- **5.4.2.5.3 Cost Considerations.** The sponsors of each proposed resolution that, if adopted, would require significant expenditures from the division's budget and/or by member institutions shall provide, before the deadline set forth in this section, written documentation of the estimated costs to the Association and/or the members. The information shall be included with the copy of the proposed resolution distributed to the membership. (Adopted: 1/9/96 effective 8/1/97)

#### 5.4.2.5.4 Voting Requirements

- **5.4.2.5.4.1 Adoption—Annual/Special Convention.** Adoption of a resolution shall require a majority vote of the delegates of the applicable division present and voting at an annual or special Convention. (Adopted: 1/9/96 effective 8/1/97)
- **5.4.2.5.4.2 Mail Ballot.** If a majority of the delegates of the applicable division present and voting so direct, a resolution shall be referred to the members of the applicable division for a mail vote conducted by the officers under conditions approved by the Presidents Council. A two-thirds majority of members of the applicable division voting in any such mail vote shall be required for the enactment of the legislation proposed in the resolution. (*Adopted: 1/9/96 effective 8/1/97*)

# Other Legislative Procedures/5.4.3—5.4.4.3

- 5.4.3 Special Rules of Order
- \* **5.4.3.1 General Business Sessions.** The Association may adopt special rules of order not inconsistent with the constitution and bylaws by a two-thirds majority of the delegates present and voting at any annual or special Convention. (*Revised: 1/9/96 effective 8/1/97*)
  - **5.4.3.2 Division Business Sessions.** Each division may adopt special rules of order not inconsistent with the constitution and bylaws by a two-thirds majority of the delegates of the applicable division present and voting at any annual or special Convention. (*Revised:* 1/9/96 effective 8/1/97)
  - **5.4.3.3 Amendment Process.** Proposed special rules of order and amendments to existing special rules of order shall be subject to the same procedural requirements for previous notice and amendments as prescribed in Constitution 5.3.
  - 5.4.4 Statements of Division Philosophy
    - **5.4.4.1 Authorization.** Each division or subdivision, through appropriate deliberative processes, may prepare a statement of division philosophy relating to the development and operation of an intercollegiate athletics program in the division or subdivision.
    - **5.4.4.2 Scope and Application.** Such a statement is not binding on member institutions but shall serve as a guide for the preparation of legislation by the division or subdivision and for planning and implementation of programs by institutions and conferences.
    - **5.4.4.3 Adoption Process.** A statement of division philosophy may be adopted at any annual or special Convention by a majority vote of the delegates of the division present and voting. If a statement of division philosophy is adopted, it shall be published in the NCAA Manual. (*Revised:* 1/9/96 effective 8/1/97)

FIGURE 5-1
Legislative Calendar (Submission of Legislative Proposals)

Legislative Proposal	Sponsorship	Submission Deadline, Annual Convention	Submission Deadline, Special Convention	
Amendment	Presidents Council	September 1*	90 days preceding special Convention*	
	Eight or more active member institutions (or a voting member conference on behalf of at least eight of its members)	July 15*		
Amendment-to- Amendment	Presidents Council**	September 15—Through this date, sponsors may amend proposal to be more or less restrictive than original proposal.	60 days preceding special Convention*	
		November 1—Through this date, amendments- to-amendments shall not increase modifi- cation of original proposal.		
	Eight or more active member institutions (or a voting member con- ference on behalf of at least eight of its members)			
Resolution		Submitted to national office before November 1 or at time of Convention***	N/A	
	Presidents Council	Submitted to national office before November 1		
	Eight or more active member institutions (or a voting member con- ference on behalf of at least eight of its members)			

<sup>\*</sup> The Presidents Council (by two-thirds majority of its members present and voting) may establish later dates for the submission of amendments by the membership for an annual Convention when a special Convention is held after July 15 and later dates for submission of amendments by the Presidents Council when a special Convention is held after September 1.

<sup>\*\*</sup> The Presidents Council may propose amendments-to-amendments at the time of the Convention, provided each proposed amendment-to-amendment has been approved by a two-thirds vote of the Council and copies are distributed before or during the business sessions.

<sup>\*\*\*</sup> The Presidents Council may sponsor resolutions at the time of the Convention, provided each proposed resolution has been approved by a two-thirds vote of the Council and copies are distributed before or during the business sessions.

# **CONSTITUTION, ARTICLE 6**

# Institutional Control

6.1	General Principles Institutional Governance Budgetary Control	45		Self-Study and Evaluation Responsibility for Actions of Outside Entities	
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# 6.01 GENERAL PRINCIPLES

6.01.1 Institutional Control. The control and responsibility for the conduct of intercollegiate athletics shall be exercised by the institution itself and by the conference(s), if any, of which it is a member. Administrative control or faculty control, or a combination of the two, shall constitute institutional control.

#### 6.1 INSTITUTIONAL GOVERNANCE

- 6.1.1 Chief Executive Officer. A member institution's chief executive officer has ultimate responsibility and final authority for the conduct of the intercollegiate athletics program and the actions of any board in control of that program.
- 6.1.2 Athletics Board. A board in control of athletics or an athletics advisory board, which has responsibility for advising or establishing athletics policies and making policy decisions, is not required. However, if such a board exists, it must conform to the following provisions.
  - **6.1.2.1 Composition.** Administration and/or faculty staff members shall constitute at least a majority of the board in control of athletics or an athletics advisory board, irrespective of the chief executive officer's responsibility and authority or whether the athletics department is financed in whole or in part by student fees. If the board has a parliamentary requirement necessitating more than a simple majority in order to transact some or all of its business, then the administrative and faculty members shall be of sufficient number to constitute at least that majority.
    - **6.1.2.1.1 Administrator Defined.** An administrator (for purposes of this legislation) is an individual employed by the institution as a full-time administrative staff member who holds an academic appointment, is directly responsible to the institution's chief executive officer or serves as a chief administrative official (e.g., admissions director, finance officer, department head, or athletics department head). Other nonacademic staff members and individuals who are members of an institution's board of trustees or similar governing body would not be considered to be administrators for purposes of this regulation.
    - **6.1.2.1.2 Board Subcommittee.** If a board subcommittee is appointed, it is not necessary for the subcommittee to have majority control by administration and/or faculty members (see Constitution 6.1.2.1), provided all actions of the subcommittee are approved by the entire board before becoming effective. However, if the subcommittee's actions are effective permanently or become effective immediately and remain in effect until reviewed by the entire board at a later date, the subcommittee's membership must satisfy the majority-control requirement.
    - **6.1.2.1.3 Attendance**. A parliamentary majority of administrators and faculty members of a board in control of athletics is not required to be present at any single meeting in order to conduct business.
  - **6.1.2.2 Chair or Voting Delegate.** Only an administrator or faculty member (as opposed to a student, alumnus or governing board member) may serve as chair of a member institution's board in control of intercollegiate athletics or represent the board as the institution's voting delegate at Conventions. Institutional representatives in these positions have responsibility for advising or establishing athletics policies and making policy decisions that require administrative and/or faculty control.
- 6.1.3 Faculty Athletics Representative. A member institution shall designate an individual to serve as faculty athletics representative. An individual so designated after January 12, 1989, shall be a member of the institution's faculty or an administrator who holds faculty rank and shall not hold an administrative or coaching position in the athletics department. Duties of the faculty athletics representative shall be determined by the member institution. (Adopted: 1/11/89)
- 6.1.4 Student-Athlete Advisory Committee. Each institution shall establish a student-athlete adviso-

# Institutional Governance/6.1.4—Responsibility for Outside Entities/6.4.2.1

ry committee for its student-athletes. The composition and duties of the committee shall be determined by the institution. (Adopted: 1/10/95 effective 8/1/95)

#### 6.2 BUDGETARY CONTROL

- 6.2.1 Normal Budgeting Procedures. The institution's annual budget for its intercollegiate athletics programs shall be controlled by the institution and subject to its normal budgeting procedures.
- 6.2.2 Chief Executive Officer Approval. The institution's chief executive officer or an institutional administrator designated by the chief executive officer from outside the athletics department shall approve the annual budget in the event that the institution's normal budgeting procedures do not require such action.
- 6.2.3 Financial Audit. All expenditures and revenue for or in behalf of a Division III member institution's intercollegiate athletics programs shall be subject to the institution's regular financial audit. In particular, additional revenue and expenditures associated with outside groups or individuals shall be included in this audit. (Revised: 1/11/89)

# 6.3 SELF-STUDY AND EVALUATION

- 6.3.1 Self-Study Report. Institutions shall conduct a comprehensive self-study and evaluation of their intercolligiate athletics programs at least once every five years, using the Institutional Self-Study Guide (ISSG). The report of the self-study and supporting documentation shall be available for examination on request by an authorized representative of the Association. Subjects covered by the self-study shall include: (Revised: 1/14/97 effective 8/1/97 beginning with the institution's second athletics certification cycle)
- (a) Institutional purpose and athletics philosophy;
- (b) The authority of the chief executive officer in personnel and financial affairs;
- (c) Athletics organization and administration;
- (d) Finances;
- (e) Personnel;
- (f) Sports programs;
- (g) Recruiting policies;
- (h) Services for student-athletes; and
- (i) Student-athlete profiles.

#### 6.4 RESPONSIBILITY FOR ACTIONS OF OUTSIDE ENTITIES

- 6.4.1 Independent Agencies or Organizations. An institution's "responsibility" for the conduct of its intercollegiate athletics program shall include responsibility for the acts of an independent agency or organization when a member of the institution's executive or athletics administration, or an athletics department staff member, has knowledge that such agency or organization is promoting the institution's intercollegiate athletics program.
- 6.4.2 Representatives of Athletics Interests. An institution's "responsibility" for the conduct of its intercollegiate athletics program shall include responsibility for the acts of individuals when a member of the institution's executive or athletics administration has knowledge or should have knowledge that such an individual:
- (a) Has participated in or is a member of an agency or organization as described in Constitution 6.4.1;
- (b) Has made financial contributions to the athletics department or to an athletics booster organization of that institution;
- (c) Has been requested by the athletics department staff to assist in the recruitment of prospective student-athletes or is assisting in the recruitment of prospective student-athletes;
- (d) Has assisted or is assisting in providing benefits to enrolled student-athletes; or
- (e) Is otherwise involved in promoting the institution's athletics program.
  - **6.4.2.1 Agreement to Provide Benefit or Privilege.** Any agreement between an institution (or any organization that promotes, assists or augments in any way the athletics interests of the member institution, including those identified per Constitution 6.4.1) and an individual who, for any consideration, is or may be entitled under the terms of the agreement to any benefit or privilege relating to the institution's athletics program, shall contain a specific clause providing that any such benefit or

# Responsibility for Outside Entities/6.4.2.1—6.4.2.2

privilege may be withheld if the individual has engaged in conduct that is determined to be a violation of NCAA legislation. The clause shall provide for the withholding of the benefit or privilege from a party to the agreement and any other person who may be entitled to a benefit or privilege under the terms of the agreement. (Adopted: 1/10/95)

**6.4.2.2 Retention of Identity as "Representative."** Any individual participating in the activities set forth in Constitution 6.4.2 shall be considered a "representative of the institution's athletics interests," and once so identified as a representative, it is presumed the person retains that identity.

# BYLAW, ARTICLE 10

# **Ethical Conduct**

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#### 10.01 GENERAL PRINCIPLE

10.01.1 Standards of Honesty and Sportsmanship. Individuals employed by (or associated with) a member institution to administer, conduct or coach intercollegiate athletics and all participating student-athletes shall act with honesty and sportsmanship at all times so that intercollegiate athletics as a whole, their institutions and they, as individuals, shall represent the honor and dignity of fair play and the generally recognized high standards associated with wholesome competitive sports.

10.01.2 Responsibility for Violations of NCAA Regulations. Institutional staff members found in violation of NCAA regulations shall be subject to disciplinary or corrective action as set forth in the provisions of the NCAA enforcement procedures, whether such violations occurred at the certifying institution or during the individual's previous employment at another member institution. (*Adopted: 1/11/00*)

#### 10.1 UNETHICAL CONDUCT

Unethical conduct by a prospective or enrolled student-athlete or a current or former institutional staff member may include, but is not limited to, the following: (Revised: 1/10/90, 1/9/96)

- (a) Refusal to furnish information relevant to an investigation of a possible violation of an NCAA regulation when requested to do so by the NCAA or the individual's institution;
- (b) Knowing involvement in arranging for fraudulent academic credit or false transcripts for a prospective or an enrolled student-athlete:
- (c) Knowing involvement in offering or providing a prospective or an enrolled student-athlete an improper inducement or extra benefit or improper financial aid; (Revised: 1/9/96)
- (d) Knowingly furnishing the NCAA or the individual's institution false or misleading information concerning the individual's involvement in or knowledge of matters relevant to a possible violation of an NCAA regulation; or
- (e) Receipt of benefits by an institutional staff member for facilitating or arranging a meeting between a student-athlete and an agent, financial advisor or a representative of an agent or advisor (e.g., "runner"). (Adopted: 1/9/96)

#### 10.2 KNOWLEDGE OF USE OF BANNED DRUGS

A member institution's athletics department staff members or others employed by the intercollegiate athletics program who have knowledge of a student-athlete's use at any time of a substance on the list of banned drugs, as set forth in Bylaw 31.2.3.1, shall follow institutional procedures dealing with drug abuse or shall be subject to disciplinary or corrective action as set forth in Bylaw 19.6.2.2.

# 10.3 GAMBLING ACTIVITIES

Staff members of a member conference, staff members of the athletics department of a member institution and student-athletes shall not knowingly: (Revised: 1/13/98 effective 8/1/98)

- (a) Provide information to individuals involved in organized gambling activities concerning intercollegiate athletics competition;
- (b) Solicit a bet on any intercollegiate team;
- (c) Accept a bet on any team representing the institution;
- (d) Solicit or accept a bet on any intercollegiate competition for any item (e.g., cash, shirt, dinner) that has tangible value; or (Adopted: 4/15/98)

# Gambling Activities/10.3—Disciplinary Action/10.4

- (e) Participate in any gambling activity that involves intercollegiate athletics or professional athletics, through a bookmaker, a parlay card or any other method employed by organized gambling. (Revised: 1/9/96, 1/14/97 effective 8/1/97)
- 10.3.1 Sanctions. The following sanctions for violations of Bylaw 10.3 shall apply:
- (a) A student-athlete who engages in activities designed to influence the outcome of an intercollegiate contest or in an effort to affect win-loss margins (i.e., "point shaving") or who solicits or accepts a bet or participates in any gambling activity through a bookmaker, a parlay card or any other method employed by organized gambling that involves wagering on the student-athlete's institution shall permanently lose all remaining regular-season and postseason eligibility in all sports. (Adopted 1/8/01 effective 8/1/01)
- (b) A student-athlete who solicits or accepts a bet or participates in any gambling activity that involves intercollegiate athletics or professional athletics, through a bookmaker, a parlay card or any other method employed by organized gambling, shall be ineligible for all regular-season and postseason competition for a minimum of a period of one year from the date of the institution's determination that a violation has occurred and shall be charged with a loss of a minimum of one season of competition. A request for reinstatement may be submitted on behalf of a student-athlete who has participated in such activity only upon fulfillment of the minimum condition indicated above. If the student-athlete is determined to have been involved in a subsequent violation of any portion of Bylaw 10.3, the student-athlete shall permanently lose all remaining regular-season and postseason eligibility in all sports. (Adopted 1/8/01 effective 8/1/01)

#### 10.4 DISCIPLINARY ACTION

Prospective student-athletes found in violation of the provisions of this regulation shall be ineligible for further intercollegiate competition, subject to appeal to the Committee on Student-Athlete Reinstatement, for restoration of eligibility (See 10.3.1 for sanctions of student-athletes involved in violations of 10.3). Institutional staff members found in violation of the provisions of this regulation shall be subject to disciplinary or corrective action as set forth in Bylaw 19.6.2.2 of the NCAA enforcement procedures, whether such violations occurred at the certifying institution or during the individual's previous employment at another member institution. (Revised: 1/10/90, 1/11/00; Revised: 1/8/01 effective 8/1/01)

# **BYLAW, ARTICLE 11**

# Conduct and Employment of Athletics Personnel

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# 11.01 General Principle

11.01.1 Institutional Control. An institution determines who is to be employed and the amount of salary the employee receives in accordance with institutional policy. (Adopted: 1/8/01 effective 8/1/01)

#### 11.1 CONDUCT OF ATHLETICS PERSONNEL

- 11.1.1 Use of Association Name or Affiliation. Staff members of member institutions and others serving on the Association's committees or acting as consultants shall not use, directly or by implication, the Association's name or their affiliation with the Association in the endorsement of products or services.
- 11.1.2 Representing Individuals in Marketing Athletics Ability/Reputation. Staff members of the athletics department of a member institution shall not represent, directly or indirectly, any individual in the marketing of athletics ability or reputation to an agent, a professional sports team or a professional sports organization, including receiving compensation for arranging commercial endorsements or personal appearances for former student-athletes, except as specified in Bylaw 11.1.5.1, and shall not receive compensation or gratuities of any kind, directly or indirectly, for such services. (*Revised: 1/10/92, 1/11/94*)
  - **11.1.2.1 Exception—Head Coach.** An institution's head coach in a sport may contact agents, professional sports teams or professional sports organizations on behalf of a student-athlete, provided no compensation is received for such services. The head coach shall consult with and report his or her activities to the chief executive officer [or an individual or group (e.g., athletics advisory board) designated by the chief executive officer]. The head coach may: (Adopted: 1/11/94)
  - (a) Communicate directly (e.g., in-person, by mail or telephone) with representatives of a professional athletics team to assist in securing a tryout with that team for a student-athlete;
  - (b) Assist the student-athlete in the selection of an agent by participating with the student-athlete in interviews of agents, by reviewing written information player agents send to the student-athlete and by having direct communication with those individuals who can comment about the abilities of an agent (e.g., other agents, a professional league's players' association); and
  - (c) Visit with player agents or representatives of professional athletics teams to assist the student-athlete in determining his or her market value (e.g., potential salary, draft status).
- 11.1.3 Use of Tobacco Products. The use of tobacco products is prohibited by all game personnel (e.g., coaches, trainers, managers and game officials) in all sports during practice and competition. Uniform penalties (as determined by the applicable rules-making committees and sports committees with rules-making responsibilities) shall be established for such use. (Adopted: 1/11/94 effective 8/1/94, Revised: 1/10/95, 1/14/97 effective 8/1/97)

#### 11.2 CONTRACTUAL AGREEMENTS

- 11.2.1 Stipulation That NCAA Enforcement Provisions Apply. Contractual agreements or appointments between a coach and an institution shall include the stipulation that a coach who is found in violation of NCAA regulations shall be subject to disciplinary or corrective action as set forth in the provisions of the NCAA enforcement procedures.
  - **11.2.1.1 Termination of Employment.** Contractual agreements or appointments between a coach and an institution shall include the stipulation that the coach may be suspended for a period of time, without pay, or that the coach's employment may be terminated if the coach is found to be involved in deliberate and serious violations of NCAA regulations.

# Limitations on Compensation/11.3—Employment of Coaches/11.4.3

#### 11.3 LIMITATIONS ON COMPENSATION AND REMUNERATION

See Bylaw 11.01 for additional regulations regarding coaches' compensation and remuneration.

- 11.3.1 Control of Employment and Salaries. The institution, as opposed to any outside source, shall remain in control of determining who is to be its employee and the amount of salary the employee is to receive within the restrictions specified by NCAA legislation.
- 11.3.2 Employment with Professional Sports Organizations
  - **11.3.2.1 Nonpermissible Employment Arrangements.** Staff members of a member institution's athletics department shall not accept compensation or gratuities for representing a professional sports organization as a coach or scout, in the negotiating of a contract, or for the performance of other services that involve the observation of or contact with athletics talent. Except as provided in Bylaw 11.3.2.2, any compensational arrangement between a professional sports organization and a college staff member (e.g., for scouting other professional teams or assisting the professional employer in coaching its team) is evidence of an indirect arrangement to assure the staff member's assistance in evaluating or procuring college talent.
    - **11.3.2.1.1** Employment During Periods When Not under Contract with Member Institution. A staff member may not be compensated by a professional sports organization for services rendered during a period in which the staff member is not actually under contract to the institution or is absent from campus (e.g., temporary leave of absence, paid or unpaid). This provision applies to individuals employed by the member institution on a regular and continuing basis, even though the contract may be for a period of less than a full year.
    - **11.3.2.1.2 Semiprofessional Organization.** Employment arrangements with semiprofessional sports organizations or with professional or semiprofessional organizations involved in a sport in which the NCAA conducts a championship (or one in which the playing rules and skills are directly related to the NCAA sport; e.g., box lacrosse, indoor soccer or indoor football) are subject to the limitations in this section.

#### 11.3.2.2 Permissible Employment or Income Arrangements

- **11.3.2.2.1 Employment Unrelated to Restricted Activities.** A staff member may be employed by a professional sports organization if the institution documents that the individual is in no way directly or indirectly involved in the coaching, scouting or contacting of athletics talent or the negotiation of a contract for such talent (e.g., participation as a player on a professional team).
- **11.3.2.2.2 Established Professional Enhancement Program.** A staff member may participate in an established, formal program administered by a professional sports organization and designed to enhance the skills associated with the staff member's profession. A staff member participating in the program may receive direct compensation (including stipends) and expenses from the sponsoring organization, consistent with the compensation and expenses received by other program participants. The Management Council shall establish necessary policies and guidelines regarding participation by an institution's staff members in such a program. (*Adopted: 1/16/93*)

#### 11.4 EMPLOYMENT OF HIGH-SCHOOL OR TWO-YEAR COLLEGE COACHES

- 11.4.1 Graduate Teaching Assistant/High-School Coach. A member institution may provide legitimate compensation to a high-school coach who is also a graduate teaching assistant enrolled in a postgraduate program conducted by the institution.
- 11.4.2 High-School/Two-Year College Coach. Institution may employ a high-school or two-year college coach, provided the individual:
- (a) Performs actual, on-the-field coaching duties;
- (b) Is involved in recruiting only to the same extent as other institutional on-the-field coaches; and
- (c) Is under contract or other binding agreement for a period of not less than one academic year; however, the member institution is permitted to confine its compensation to such a coach to a shorter period of time, such as a sport season.
- 11.4.3 Employment in Same or Different Sport. A high-school, preparatory school or two-year college coach who remains associated with the high-school, preparatory school or a two-year college in the same or different sport may be employed by an institution. (*Adopted: 1/8/01 effective 8/1/01*)

# 11.6 LIMITATIONS ON SCOUTING OF OPPONENTS

Member institutions shall not scout any opponents other than those participating in official games (not scrimmages or exhibition contests) played during the traditional segment of the playing season. (Adopted: 1/10/92)

# BYLAW, ARTICLE 12

# **Amateurism**

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#### 12.01 GENERAL PRINCIPLES

- 12.01.1 Eligibility for Intercollegiate Athletics. Only an amateur student-athlete is eligible for intercollegiate athletics participation in a particular sport.
- 12.01.2 Clear Line of Demarcation. Member institutions' athletics programs are designed to be an integral part of the educational program. The student-athlete is considered an integral part of the student body, thus maintaining a clear line of demarcation between college athletics and professional sports.
- 12.01.3 "Individual" versus "Student-Athlete." NCAA amateur status may be lost as a result of activities prior to enrollment in college. If NCAA rules specify that an "individual" may or may not participate in certain activities, this term refers to a person before and after enrollment in a member institution. If NCAA rules specify a "student-athlete," the legislation applies only to that person's activities after enrollment.
- 12.01.4 Compliance with Legislation for Emerging Sports. Beginning with the 1995-96 academic year, a member institution sponsoring an emerging sport for women (see Bylaw 20.02.5) shall comply fully in that program with all applicable amateurism legislation set forth in Bylaw 12. (Adopted: 1/10/95)

# 12.02 DEFINITIONS AND APPLICATIONS

- 12.02.1 Individual. An individual, for purposes of this bylaw, is any person of any age without reference to enrollment in an educational institution or status as a student-athlete.
- 12.02.2 Pay is the receipt of funds, awards or benefits not permitted by the governing legislation of the Association for participation in athletics.
- 12.02.3 Professional Athlete. A professional athlete is one who receives any kind of payment, directly or indirectly, for athletics participation except as permitted by the governing legislation of the Association.
- 12.02.4 Professional Athletics Team. A professional team is any organized team that:
- (a) Is a member of a recognized professional sports organization;
- (b) Is directly supported or sponsored by a professional team or professional sports organization (see also Bylaw 12.6.1.1);
- (c) Is a member of a playing league that is directly supported or sponsored by a professional team or professional sports organization (see also Bylaw 12.6.1.1); or
- (d) Has an athlete receiving for his or her participation any kind of payment, directly or indirectly, from a professional team or professional sports organization (see also Bylaw 12.6.1.1).
- 12.02.5 Student-Athlete. A student-athlete is a student whose enrollment was solicited by a member of the athletics staff or other representative of athletics interests with a view toward the student's ultimate participation in the intercollegiate athletics program. Any other student becomes a student-athlete only when the student reports for an intercollegiate squad that is under the jurisdiction of the athletics department, as specified in Constitution 3.2.4.4. A student is not deemed a student-athlete solely on the basis of prior high-school athletics participation.

#### 12.1 GENERAL REGULATIONS

An individual must comply with the following to retain amateur status. (See Bylaw 14 regarding the eligibility restoration process.)

12.1.1 Amateur Status. An individual loses amateur status and thus shall not be eligible for intercolle-

#### General Regulations/12.1.1—12.1.1.1.4.5

giate competition in a particular sport if the individual:

- (a) Uses his or her athletics skill (directly or indirectly) for pay in any form in that sport;
- (b) Accepts a promise of pay even if such pay is to be received following completion of intercollegiate athletics participation;
- (c) Signs a contract or commitment of any kind to play professional athletics, regardless of its legal enforceability or any consideration received;
- (d) Receives, directly or indirectly, a salary, reimbursement of expenses or any other form of financial assistance from a professional sports organization based on athletics skill or participation, except as permitted by NCAA rules and regulations;
- (e) Competes on any professional athletics team and knows (or had reason to know) that the team is a professional athletics team (per Bylaw 12.02.4), even if no pay or remuneration for expenses was received; or
- (f) Enters into a professional draft or an agreement with an agent (see also Bylaw 12.2.4.2.1).
  - **12.1.1.1 Prohibited Forms of Pay.** "Pay" as used in Bylaw 12.1.1 above includes, but is not limited to, the following:
    - **12.1.1.11 Salary, Gratuity or Compensation.** Any direct or indirect salary, gratuity or comparable compensation.
    - 12.1.1.1.2 Division or Split of Surplus. Any division or split of surplus (bonuses, game receipts, etc.).
    - **12.1.1.13 Educational Expenses.** Educational expenses not permitted by the governing legislation of this Association (see Bylaw 15 regarding permissible financial aid to enrolled student-athletes).
      - **12.1.1.3.1** Educational Expenses from Outside Sports Team or Organization. Educational expenses provided to an individual by an outside sports team or organization that are based in any degree on the recipient's athletics ability [except as specified in Bylaws 15.2.5.4-(i) and 15.2.5.5], even if the funds are given to the institution to administer to the recipient. (*Revised:* 1/10/95)
        - **12.1.1.1.3.1.1** Educational Expenses—U.S. Olympic Committee. An individual (student-athlete or prospective student-athlete) may receive educational expenses awarded by the U.S. Olympic Committee, in accordance with the applicable conditions set forth in Bylaw 15.2.5.5. (*Adopted: 1/13/98*)
    - **12.1.1.1.4 Expenses, Awards and Benefits.** Excessive or improper expenses, awards and benefits (see Bylaw 16 regarding permissible awards, benefits and expenses to enrolled student-athletes).
      - **12.1.1.4.1 Cash or Equivalent Award.** Cash, or the equivalent thereof (e.g., trust fund), as an award for participation in competition at any time, even if such an award is permitted under the rules governing an amateur, noncollegiate event in which the individual is participating. An award or a cash prize that an individual could not receive under NCAA legislation may not be forwarded in the individual's name to a different individual or agency.
      - **12.1.1.1.4.2** Expenses/Awards Prohibited by Rules Governing Event. Expenses incurred or awards received by an individual that are prohibited by the rules governing an amateur, noncollegiate event in which the individual participates.
      - **12.1.1.1.4.3** Expenses from Outside Team or Organization. Expenses received from an outside amateur sports team or organization in excess of actual and necessary travel, room and board expenses, and apparel and equipment (for individual and team use only from teams or organizations not affiliated with member institutions, including local sports clubs as set forth in Bylaw 13.12.2.3) for competition and practice held in preparation for such competition. Practice must be conducted in a continuous time period preceding the competition except for practice sessions conducted by a national team, which occasionally may be interrupted for specific periods of time before the competition. (Revised: 1/10/90, 1/10/92)
      - **12.1.1.1.4.4 Unspecified or Unitemized Expenses.** Payment to individual team members or individual competitors for unspecified or unitemized expenses beyond actual and necessary travel, room and board expenses for practice and competition.
      - **12.1.1.1.4.5** Expenses from Sponsor Other Than Parents/Legal Guardians or Nonprofessional Sponsor of Event. Actual and necessary expenses or any other form of compensation to participate in athletics competition (while not representing an educational institution) from a sponsor other than an individual on whom the athlete is naturally or legally dependent or the nonprofessional organization that is sponsoring the competition.

- **12.1.1.1.4.6** Expenses for Parents/Legal Guardians of Participants in Athletics Competition. Expenses received by the parents or legal guardians of a participant in athletics competition from a nonprofessional organization sponsoring the competition in excess of actual and necessary travel, room and board expenses, or any entertainment expenses, provided such expenses are made available to the parents or legal guardians of all participants in the competition. (*Adopted: 1/16/93, Revised: 1/11/97*)
- **12.1.1.1.5 Payment Based on Performance.** Any payment, including actual and necessary expenses, conditioned on the individual's or team's place finish or performance or given on an incentive basis, or receipt of expenses in excess of the same reasonable amount for permissible expenses given to all individuals or team members involved in the competition.
- **12.1.1.1.6 Preferential Treatment, Benefits or Services.** Preferential treatment, benefits or services because of the individual's athletics reputation or skill or pay-back potential as a professional athlete, unless such treatment, benefits or services are specifically permitted under NCAA legislation. (Revised: 1/11/94)
- **12.1.1.17 Prize for Participation in Institution's Promotional Activity.** Receipt of a prize for participation (involving the use of athletics ability) in a member institution's promotional activity that is inconsistent with the provisions of Bylaw 12.5 or official interpretations approved by the Management Council.
- **12.1.1.2** Use of Overall Athletics Skill—Effect on Eligibility. Participation for pay in competition that involves the use of overall athletics skill (e.g., "superstars" competition) constitutes a violation of the Association's amateur-status regulations; therefore, an individual participating for pay in such competition is ineligible for intercollegiate competition in all sports. (See Bylaw 12.5.2.3.3 for exception related to promotional contests.)
- **12.1.1.3 Road Racing.** "Road racing" is essentially the same as cross country or track and field competition and cannot be separated effectively from those sports for purposes of Bylaw 12. Therefore, a student-athlete who accepts pay in any form for participation in such a race is ineligible for intercollegiate cross country or track and field competition.

#### 12.1.1.4 Exceptions to Amateurism Rule

- **12.1.1.4.1** Exception for Insurance against Disabling Injury or Illness. An individual may borrow against his or her future earnings potential from an established, accredited commercial lending institution exclusively for the purpose of purchasing insurance (with no cash surrender value) against a disabling injury or illness that would prevent the individual from pursuing a chosen career, provided a third party (including a member institution's athletics department staff members, its professional sports counseling panel or representatives of its athletics interests) is not involved in arrangements for securing the loan. The student-athlete shall report all such transactions and shall file copies of any loan documents associated with disability insurance with the member institution, regardless of the source of the collateral for the loan. The student-athlete also shall file copies of the insurance policy with the member institution, regardless of whether a loan is secured to purchase the insurance policy. (Revised: 1/16/93, 1/14/97 effective 8/1/97)
- **12.1.1.4.2** Exception for Institutional Fund-Raising Activities Involving the Athletics Ability of Student-Athletes. Institutional, charitable or educational promotions or fund-raising activities that involve the use of athletics ability by student-athletes to obtain funds (e.g., "swim-a-thons") are permitted only if:
- (a) The money is contributed directly to the institution by the donor;
- (b) The student-athletes receive no compensation or prizes for their participation; and
- (c) The provisions of Bylaw 12.5.1 are satisfied.
- **12.1.1.4.3** Exception for USOC Elite Athlete Health Insurance Program. An individual may receive the comprehensive benefits of the USOC Elite Athlete Health Insurance Program. (Adopted: 1/10/90)
- **12.1.1.4.4** Exception for Training before Collegiate Enrollment. Before initial, full-time collegiate enrollment, an individual may receive actual and necessary expenses to cover developmental training, coaching, facility usage, equipment, apparel, supplies, comprehensive health insurance, travel, room and board without jeopardizing the individual's eligibility for intercollegiate athletics, provided such expenses are approved and provided directly by the U.S. Olympic Committee (USOC) or the appropriate national governing body (NGB) in the sport (or, for foreign student-athletes, the equivalent organization of that nation). Subsequent to college enrollment, the receipt of such expenses must be consistent with the requirements set forth in Bylaws 12.1.2 and 16.11.1.4. (Adopted: 1/10/91)
- 12.1.1.4.5 Exception for Developmental Training Programs. An individual may receive actual

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- and necessary expenses for developmental training programs conducted and supervised at any time of the year by the U.S. Olympic Committee (USOC) or the appropriate national governing body (NGB) (or, for foreign student-athletes, the equivalent organization of that nation), even if the programs include no competition, provided the individual misses no class time and the program does not conflict with dates of institutional competition. (Adopted: 1/10/91, Revised: 1/16/93, 1/11/94)
- **12.1.1.4.6** Exception for Family Travel to Olympic Games. A commercial company (other than a professional sports organization) or members of the local community may provide actual and necessary expenses for an individual's spouse, parents, legal guardians or other relatives to attend the Olympic Games in which the individual will participate. (*Adopted: 1/11/94*)
- **12.1.1.4.7** Exception for Payment of Initial-Eligibility Clearinghouse Fee. A high-school booster club (as opposed to specific individuals) may pay the necessary fee for prospects at that high school to be certified by the Initial-Eligibility Clearinghouse, provided no particular prospect(s) is singled out because of his or her athletics ability or reputation. (*Adopted: 1/11/94*)
- 12.1.1.4.8 Exception for Camp Sponsored by a Charitable Foundation Funded by a Professional Sports Organization. An individual may receive actual and necessary expenses from a charitable foundation that is funded by a professional sports organization to attend a camp or clinic, provided: (Adopted: 1/10/95)
- (a) The charitable foundation is one that is defined as an exempt organization by the Internal Revenue Service;
- (b) No professional sports organization, NCAA institution or conference owns or operates the sponsoring charitable foundation;
- (c) No camp participant is a prospective student-athlete per Bylaw 13.02.4;
- (d) The charitable foundation provides to the participants nothing more than actual and necessary expenses to attend the camp or clinic and equipment/apparel necessary for participation; and
- (e) All camp participants fall within the sponsoring charitable foundation's guidelines for determining low-income, at-risk children.
- **12.1.1.4.9** Exception for Receipt of Free Equipment and Apparel Items by a Prospective Student-Athlete. It is permissible for prospects (as opposed to student-athletes) to receive free equipment and apparel items for personal use from apparel or equipment manufacturers or distributors under the following circumstances: (Adopted: 1/11/97)
- (a) The apparel or equipment items are related to the prospect's sport and are received directly from an apparel or equipment manufacturer or distributor;
- (b) The prospect does not enter into an arrangement (e.g., open account) with an apparel or equipment manufacturer or distributor that permits the prospect to select apparel and equipment items from a commercial establishment of the manufacturer or distributor; and
- (c) A member institution's coach is not involved in any manner in identifying or assisting an apparel or equipment manufacturer or distributor in determining whether a prospect is to receive any apparel or equipment items.
- **12.1.1.4.10** Expenses for Participation in Olympic Exhibitions. An individual may receive actual and necessary expenses received from the U.S. Olympic Committee (USOC), national governing body (NGB) or the nonprofessional organizations sponsoring the event to participate in Olympic tours or exhibitions involving Olympic team members and/or members of the national team, provided that if the individual is a student-athlete, he or she misses no class time, and the exhibition does not conflict with dates of institutional competition. (Adopted: 1/13/98 effective 8/1/98)
- 12.1.1.4.11 Commemorative Items for Student-Athletes Participating in Olympic Games, World University Games, Pan American Games, World Championships and World Cup Events. It is permissible for student-athletes with eligibility remaining to receive commemorative items incidental to participation in the Olympic Games, World University Games, Pan American Games, World Championships and World Cup events through the applicable national governing body (or, for foreign student-athletes, the equivalent organization of that nation). These benefits may include but are not limited to apparel, leisure wear, footwear and other items that are provided to all athletes participating in the applicable event. (Adopted: 1/8/01)
- 12.1.2 Amateur Status if Professional in Another Sport. A professional athlete in one sport may represent a member institution in a different sport.

# 12.2 INVOLVEMENT WITH PROFESSIONAL TEAMS

#### 12.2.1 Tryouts

- **12.2.1.1 Tryout Before Enrollment.** A student-athlete remains eligible in a sport even though, before enrollment in a collegiate institution, the student-athlete may have tried out with a professional athletics team in a sport or received not more than one expense-paid visit from each professional team (or a combine including that team), provided such a visit did not exceed 48 hours and any payment or compensation in connection with the visit was not in excess of actual and necessary expenses. A self-financed tryout may be for any length of time.
- **12.2.1.2 Tryout After Enrollment.** A student-athlete shall not try out with a professional athletics team in a sport or permit a professional athletics team to conduct medical examinations during any part of the academic year (i.e., from the beginning of the fall term through completion of the spring term, including any intervening-vacation period) while enrolled in a collegiate institution as a regular student in at least a minimum full-time academic load, unless the student-athlete has exhausted eligibility in that sport. The student-athlete may try out with a professional organization in a sport during the summer or during the academic year while not a full-time student, provided the student-athlete does not receive any form of expenses or other compensation from the professional organization. (*Revised:* 1/10/92)
  - **12.2.1.2.1 Medical-Examination Exception.** A single scouting bureau recognized by a professional league is permitted to conduct one medical examination per student-athlete during the academic year without jeopardizing the student-athlete's eligibility in that sport, provided the examination does not occur off campus. (Adopted: 1/16/93)
- **12.2.1.3 Outside Competition Prohibited.** During a tryout, an individual may not take part in any outside competition (games or scrimmages) as a representative of a professional team.
- **12.2.1.4 Professional Team Representative at College Practice.** A tryout with a professional team is not considered to have occurred when a representative of a professional team visits a member institution during the academic year and evaluates a student-athlete while the institution is conducting a regular practice session, physical education class or off-season conditioning program session that includes physical activities (e.g., speed trials, agility tests, strength tests), provided these activities are normally a part of and take place during regular practice, class or conditioning sessions
- 12.2.2 Practice without Competition. An individual may participate in practice sessions conducted by a professional team, provided such participation meets the requirements of NCAA legislation governing tryouts with professional athletics teams (see Bylaw 12.2.1) and the individual does not:
- (a) Receive any compensation for participation in the practice sessions;
- (b) Enter into any contract or agreement with a professional team or sports organization; or
- (c) Take part in any outside competition (games or scrimmages) as a representative of a professional team.
  - **12.2.2.1 Prohibited Involvement of Institution's Coach.** An institution's coaching staff member may not arrange for or direct student-athletes' participation in football or basketball practice sessions conducted by a professional team.

# 12.2.3 Competition

- **12.2.3.1 Competition against Professionals.** An individual may participate singly or as a member of an amateur team against professional athletes.
- **12.2.3.2 Competition with Professionals.** An individual shall not be eligible for intercollegiate athletics in a sport if the individual ever participated on a team and knew (or had reason to know) that the team was a professional team (per Bylaw 12.02.4) in that sport. However, an individual may compete on a tennis, golf, two-person sand volleyball or two-person synchronized diving team(s) with persons who are competing for cash or a comparable prize, provided the individual does not receive payment of any kind for such participation. (*Revised:* 1/9/96 effective 8/1/96, *Revised:* 1/14/97)
  - **12.2.3.2.1 Professional Player as Team Member.** An individual may participate with a professional on a team, provided the professional is not being paid by a professional team or league to play as a member of that team (e.g., summer basketball leagues with teams composed of both professional and amateur athletes).
  - **12.2.3.2.2 Professional Coach or Referee.** Participation on a team that includes a professional coach or referee does not cause the team to be classified as a professional team.
  - **12.2.3.2.3 Amateur/Professional Leagues.** An individual may participate as a member of an amateur team in a league in which one or more teams are professional, provided the league is not

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- a member of a recognized professional sports organization or is not directly supported or sponsored by a professional sports team or organization.
- **12.2.3.2.4 Major Junior A Ice Hockey.** Ice hockey teams in the United States and Canada, classified by the Canadian Amateur Hockey Association as major junior A teams, are considered professional teams under NCAA legislation.
  - **12.2.3.2.4.1 Limitation on Restoration of Eligibility.** An appeal for restoration of eligibility may be submitted on behalf of an individual who has participated on a major junior A ice hockey team under the provisions of Bylaw 14.11; however, such individual shall be denied at least the first year of intercollegiate athletics competition in the sport of ice hockey at the certifying institution and shall be charged with the loss of at least one season of eligibility in the sport of ice hockey. (*Revised:* 1/11/89)
- **12.2.3.3 Competition in Professional All-Star Contest.** A student-athlete who agrees to participate in a professional (players to be paid) all-star game becomes ineligible to compete in any intercollegiate contest that occurs after that agreement. Thus, a senior entering into such an agreement immediately after the last regular-season intercollegiate contest would not be eligible to compete in a bowl game, an NCAA championship or any other certified postseason collegiate contest.

#### 12.2.4 Draft and Inquiry

- **12.2.4.1 Inquiry.** An individual may inquire of a professional sports organization about eligibility for a professional-league player draft or request information about the individual's market value without affecting his or her amateur status.
- **12.2.4.2 Draft List.** An individual loses amateur status in a particular sport when the individual asks to be placed on the draft list or supplemental draft list of a professional league in that sport, even though:
- (a) The individual asks that his or her name be withdrawn from the draft list before the actual draft;
- (b) The individual's name remains on the list but he or she is not drafted; or
- (c) The individual is drafted but does not sign an agreement with any professional athletics team.
  - **12.2.4.2.1** Exception—Professional Basketball Draft. A student-athlete in the sport of basketball may enter a professional league's draft one time during his or her collegiate career without jeopardizing eligibility in that sport, provided the student-athlete is not drafted by any team in that league and the student-athlete declares his or her intention to resume intercollegiate participation within 30 days after the draft. The student-athlete's declaration of intent shall be in writing to the institution's director of athletics. (Adopted: 1/11/94, Revised: 1/10/95, 1/14/97 effective 4/16/97)
- **12.2.4.3 Negotiations.** An individual may request information about professional market value without affecting his or her amateur status. Further, the individual, his or her legal guardians or the institution's professional sports counseling panel may enter into negotiations with a professional sports organization without the loss of the individual's amateur status. An individual who retains an agent shall lose amateur status. (Adopted: 1/10/92)

### 12.2.5 Contracts and Compensation

- **12.2.5.1 General Rule.** An individual shall be ineligible for participation in an intercollegiate sport if he or she has entered into any kind of agreement to compete in professional athletics, either orally or in writing, regardless of the legal enforceability of that agreement. (*Revised:* 1/10/92)
  - **12.2.5.1.1 Nonbinding Agreements.** An individual who signs a contract or commitment that does not become binding until the professional organization's representative or agent also signs the document is ineligible, even if the contract remains unsigned by the other parties until after the student-athlete's eligibility is exhausted.

# 12.3 USE OF AGENTS

- 12.3.1 General Rule. An individual shall be ineligible for participation in an intercollegiate sport if he or she ever has agreed (orally or in writing) to be represented by an agent for the purpose of marketing his or her athletics ability or reputation in that sport. Further, an agency contract not specifically limited in writing to a sport or particular sports shall be deemed applicable to all sports, and the individual shall be ineligible to participate in any sport.
  - **12.3.1.1** Representation for Future Negotiations. An individual shall be ineligible per Bylaw 12.3.1 if he or she enters into a verbal or written agreement with an agent for representation in future professional sports negotiations that are to take place after the individual has completed his or her eligibility in that sport.

- **12.3.1.2 Benefits from Prospective Agents.** An individual shall be ineligible per Bylaw 12.3.1 if he or she (or his or her relatives or friends) accepts transportation or other benefits from: (Revised: 1/14/97)
- (a) Any person who represents any individual in the marketing of his or her athletics ability. The receipt of such expenses constitutes compensation based on athletics skill and is an extra benefit not available to the student body in general, or
- (b) An agent, even if the agent has indicated that he or she has no interest in representing the student-athlete in the marketing of his or her athletics ability or reputation and does not represent individuals in the student-athlete's sport. (*Adopted:* 1/14/97)
- 12.3.2 Legal Counsel. Securing advice from a lawyer concerning a proposed professional sports contract shall not be considered contracting for representation by an agent under this rule, unless the lawyer also represents the student-athlete in negotiations for such a contract.
  - **12.3.2.1 Presence of a Lawyer at Negotiations.** A lawyer may not be present during discussions of a contract offer with a professional organization or have any direct contact (i.e., in person, by telephone or by mail) with a professional sports organization on behalf of the student-athlete. A lawyer's presence during such discussions is considered representation by an agent.
- 12.3.3 Athletics Scholarship Agent. Any individual, agency or organization that represents a prospective student-athlete for compensation in placing the prospect in a collegiate institution as a recipient of institutional financial aid shall be considered an agent or organization marketing the individual's athletics ability or reputation.
  - **12.3.3.1 Talent Evaluation Services and Agents.** A prospect may allow a scouting service or agent to distribute personal information (e.g., high-school academic and athletics records, physical statistics) to member institutions without jeopardizing his or her eligibility, provided the fee paid to such an agent is not based on placing the prospect in a collegiate institution as a recipient of institutional financial aid.
- 12.3.4 Professional Sports Counseling Panel. It is permissible for an authorized institutional professional sports counseling panel to:
- (a) Advise a student-athlete about a future professional career;
- (b) Provide direction on securing a loan for the purpose of purchasing insurance against a disabling injury; (Adopted: 1/16/93)
- (c) Review a proposed professional sports contract;
- (d) Meet with the student-athlete and representatives of professional teams;
- (e) Communicate directly (e.g., in-person, by mail or telephone) with representatives of a professional athletics team to assist in securing a tryout with that team for a student-athlete; (Adopted: 1/11/94)
- (f) Assist the student-athlete in the selection of an agent by participating with the student-athlete in interviews of agents, by reviewing written information player agents send to the student-athlete and by having direct communication with those individuals who can comment about the abilities of an agent (e.g., other agents, a professional league's players' association); and (Adopted: 1/11/94)
- (g) Visit with player agents or representatives of professional athletics teams to assist the student-athlete in determining his or her market value (e.g., potential salary, draft status). (Adopted: 1/11/94)
  - **12.3.4.1 Appointment by Chief Executive Officer.** This panel shall consist of at least three persons appointed by the institution's chief executive officer (or his or her designated representative from outside the athletics department).
  - **12.3.4.2 Composition.** No more than one panel member may be an athletics department staff member. All other panel members must be selected by the institution from among its full-time employees employed outside the athletics department. No institutional staff member who is a sports agent may be a member of the panel. All panel members shall be identified to the NCAA national office. (*Revised:* 1/11/94)

# 12.4 EMPLOYMENT

- 12.4.1 Criteria Governing Compensation to Student-Athletes. All compensation received by a student-athlete must be consistent with the limitations on financial aid set forth in Bylaw 15. Compensation may be paid to a student-athlete:
- (a) Only for work actually performed; and
- (b) At a rate commensurate with the going rate in that locality for similar services.

# Employment/12.4.1.1—Promotional Activities/12.5.1.1

- **12.4.1.1 Athletics Reputation.** Such compensation may not include any remuneration for value or utility that the student-athlete may have for the employer because of the publicity, reputation, fame or personal following that he or she has obtained because of athletics ability.
- **12.4.1.2 Employment on a Commission Basis.** An employer, other than the student-athlete's institution, may employ a student-athlete on a commission basis only if:
- (a) The cost of any preliminary training program for such employees is borne by the student-athlete (i.e., such costs may not be paid by a member institution or a representative of its athletics interests);
- (b) The personnel so employed consist of both student-athletes and nonathletes;
- (c) The employment of student-athletes does not result in the company's use of athletics reputations of such individuals to promote the sale of the company's products; and
- (d) The company is able to document that employees who are nonathletes receive earnings from sales commissions at a rate generally equivalent to the commission rate realized by the student-athletes employed by the company.
- 12.4.2 Specific Athletically Related Employment Activities
  - **12.4.2.1 Broken-Time Payments.** An individual may not receive "broken-time" payments except as authorized and administered by the United States Olympic Committee during the period immediately before and including actual Olympic competition. A permitted broken-time payment may cover financial loss as a result of absence from employment to prepare for or participate in the Olympic Games. Such compensation during any other period and payments administered independently of the USOC by other sports governing bodies (e.g., the United States Ski Association) are prohibited.
    - **12.4.2.1.1** Exception When Individual Not Enrolled in Regular Term. An individual may receive broken-time payments administered by the United States Olympic Committee or the national governing body in the sport during a period when the individual is not enrolled (full or part time) in a regular term to cover financial loss as a result of absence from employment as a direct result of practicing and competing on a national team (defined in Bylaw 14.02.7), provided the amounts are consistent with the principles set forth in Bylaw 12.4.1 and do not exceed \$300 per week, and the payment period covers no more than the period from the date the individual begins practice with the national team after selection to that team to one week after the conclusion of the competition. (Adopted: 1/10/90, Revised: 1/9/96 effective 8/1/96)
  - **12.4.2.2 Athletics Equipment Sales.** A student-athlete may not be employed to sell equipment related to the student-athlete's sport if his or her name, picture or athletics reputation is used to advertise or promote the product, the job or the employer. If the student-athlete's name, picture or athletics reputation is not used for advertising or promotion, the student-athlete may be employed in a legitimate sales position, provided he or she is reimbursed at an hourly rate or set salary in the same manner as any nonathlete salesperson.
  - **12.4.2.3 Goodwill Tour Commissions.** A student-athlete representing the institution in a goodwill tour during summer months, in conjunction with the tour, may sell such items as jackets, blazers or similar institutional promotional items to booster groups or other friends of the institution on a salary, but not a commission, basis.
- 12.4.3 Camp/Clinic Employment, General Rule. A student-athlete may be employed by his or her institution, by another institution, or by a private organization to work in a camp or clinic as a counselor, unless otherwise restricted by NCAA legislation (see Bylaw 13.13 for regulations relating to camps and clinics).

# 12.5 PROMOTIONAL ACTIVITIES

#### 12.5.1 Permissible

- **12.5.1.1 Institutional, Charitable, Educational or Nonprofit Promotions.** A member institution or recognized entity thereof (e.g., fraternity, sorority or student government organization), a member conference or a noninstitutional charitable, educational or nonprofit agency may use a student-athlete's name, picture or appearance to support its charitable or educational activities or to support activities considered incidental to the student-athlete's participation in intercollegiate athletics, provided the following conditions are met: (*Revised:* 1/11/89, 1/10/91, 1/10/92)
- (a) The student-athlete receives written approval to participate from the director of athletics, subject to the limitations on participants in such activities as set forth in Bylaw 17; (Revised: 1/11/89)
- (b) The specific activity or project in which the student-athlete participates does not involve cosponsorship, advertisement or promotion by a commercial agency other than through the reproduction

- of the sponsoring company's officially registered regular trademark or logo on printed materials such as pictures, posters or calendars. The company's emblem, name, address and telephone number may be included with the trademark or logo. Personal names, messages and slogans (other than an officially registered trademark) are prohibited; (Revised: 1/11/89, 1/10/91)
- (c) The name or picture of a student-athlete with remaining eligibility may not appear on an institution's printed promotional item, (e.g., poster, calender) that includes a reproduction of a product with which a commercial entity is associated if the commercial entity's officially registered regular trademark or logo also appears on the item; (Adopted: 4/15/98)
- (d) The student-athlete does not miss class; (Revised: 1/11/89)
- (e) All moneys derived from the activity or project go directly to the member institution, member conference or the charitable, educational or nonprofit agency; (Revised: 1/11/89, 1/10/92)
- (f) The student-athlete may accept legitimate and normal expenses from the member institution, member conference or the charitable, educational or nonprofit agency related to participation in such activity, provided it occurs within the state or, if outside the state, within a 100-mile radius of the member institution's campus; (Revised: 1/11/89, 1/10/92)
- (g) The student-athlete's name, picture or appearance is not used to promote the commercial ventures of any nonprofit agency; (Adopted: 1/10/92)
- (h) Any commercial items with names or pictures of student-athletes (other than highlight films or media guides per Bylaw 12.5.1.8) may be sold only at the member institution at which the student-athlete is enrolled, institutionally controlled (owned and operated) outlets or outlets controlled by the charitable or educational organization (e.g., location of the charitable or educational organization, site of charitable event during the event); and (Adopted: 1/16/93, Revised: 1/9/96)
- (i) The student-athlete and an authorized representative of the charitable, educational or nonprofit agency sign a release statement ensuring that the student-athlete's name, image or appearance is used in a manner consistent with the requirements of this section. (Revised: 1/11/89, 1/10/92)
  - **12.5.1.1.1 Exception—Expenses beyond 100 Miles.** A student-athlete may receive legitimate and normal expenses to participate in promotional activities related to a competitive event regardless of the distance from the member institution's campus, provided the following conditions are satisfied: (*Adopted:* 1/14/97 effective 8/1/97)
  - (a) The student-athlete has been selected to participate in the competition and such competition is included in Bylaw 14.7.5.1 (e.g., Olympic Games, Pan American Games, World Championships, World Cup and national team tryouts and competition);
  - (b) The promotional activity occurs no more than one calendar year before the start of the competition; and
  - (c) All conditions set forth in Bylaw 12.5.1.1 [other than Bylaw 12.5.1.1-(e)] are satisfied.
  - **12.5.1.1.2 Promotions Involving Commercial Locations/Sponsors.** A member institution or a charitable, educational or nonprofit organization may use the appearance, name or picture of an enrolled student-athlete to promote generally its fund-raising activities at the location of a commercial establishment, provided the commercial establishment is not a cosponsor of the event and the student-athlete does not promote the sale of a commercial product in conjunction with the fund-raising activity. A commercial establishment would become a cosponsor if the commercial establishment either advertises the presence of the student-athlete at the commercial location or is involved directly or indirectly in promoting the activity. (*Adopted:* 1/10/92)
  - **12.5.1.1.3 Player/Trading Cards.** A member institution or recognized entity thereof (e.g., fraternity, sorority or student government organization), a member conference or a noninstitutional charitable, educational or nonprofit agency may distribute but may not sell player/trading cards that bear a student-athlete's name or picture. (Adopted: 1/11/94 effective 8/1/94)
    - 12.5.1.1.3.1 Exception—Olympic/National Team. A national governing body may sell player/trading cards that bear the name or picture of a student-athlete who is a member of the Olympic/national team in that sport, provided all of the funds generated through the sale of such cards are deposited directly with the applicable Olympic/national team. (Adopted: 1/6/96)
  - 12.5.1.1.4 Improper Use of Student-Athlete's Name or Picture. If an institution, without the student-athlete's knowledge or consent, uses or permits the use of the student-athlete's name or picture in a manner contrary to Bylaw 12.5.1.1, the violation shall be considered an institutional violation; however, the student-athlete's eligibility shall not be affected. (Adopted: 1/14/97)
- 12.5.1.2 United States Olympic Committee/National Governing Body Advertisement before Collegiate Enrollment. Before initial, full-time collegiate enrollment, an individual may receive pay-

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ment for the display of athletics skill in a commercial advertisement, provided: (Adopted: 1/11/94)

- (a) The individual receives prior approval to appear in the advertisement from the U.S. Olympic Committee or the applicable national governing body;
- (b) The U.S. Olympic Committee or national governing body approves of the content and the production of the advertisement;
- (c) The individual forwards the payment to the U.S. Olympic Committee or national governing body for the general use of the organization(s); and
- (d) The funds are not earmarked for the individual.
- **12.5.1.3** Continuation of Modeling and Other Nonathletically Related Promotional Activities after Enrollment. If an individual accepts remuneration for or permits the use of his or her name or picture to advertise or promote the sale or use of a commercial product or service before enrollment in a member institution, continued remuneration for the use of the individual's name or picture (under the same or similar circumstances) after enrollment is permitted without jeopardizing his or her eligibility to participate in intercollegiate athletics only if all of the following conditions apply: (Revised: 1/14/97)
- (a) The individual's involvement in this type of activity was initiated before his or her enrollment in a member institution;
- (b) The individual became involved in such activities for reasons independent of athletics ability;
- (c) No reference is made in these activities to the individual's name or involvement in intercollegiate athletics;
- (d) The individual does not endorse the commercial product; and
- (e) The individual's remuneration under such circumstances is at a rate commensurate with the individual's skills and experience as a model or performer and is not based in any way upon the individual's athletics ability or reputation.
- **12.5.1.4 Commercial Advertisement.** It is permissible for a student-athlete's name or picture, or the group picture of an institution's athletics squad, to appear in an advertisement of a particular business, commercial product or service, provided:
- (a) The primary purpose of the advertisement is to publicize the sponsor's congratulations to the student-athlete or team;
- (b) The advertisement does not include a reproduction of the product with which the business is associated or any other item or description identifying the business or service other than its name or trademark;
- (c) There is no indication in the makeup or wording of the advertisement that the squad members, individually or collectively, or the institution endorses the product or service of the advertiser;
- (d) The student-athlete has not signed a consent or release granting permission to use the student-athlete's name or picture in a manner inconsistent with the requirements of this section; and
- (e) If the student-athlete has received a prize from a commercial sponsor in conjunction with participation in a member institution's promotional activities and the advertisement involves the announcement of receipt of the prize, the receipt of the prize is consistent with the provisions of Bylaw 12.5.2.3.3 and official interpretations approved by the Management Council.
  - **12.5.1.4.1 Schedule Cards.** An advertisement on an institution's wallet-size playing schedule that includes the name or picture of a student-athlete may include language other than the commercial product's name, trademark or logo, provided the commercial language does not appear on the same page as the picture of the student-athlete. (*Adopted: 1/10/92*)
- **12.5.1.5 Distribution of Institutional Items through Commercial Outlets.** A member institution may distribute noncommercial items (i.e., items not for sale) at commercial establishments, provided the institution generally distributes such items to other commercial establishments in the community and the distribution of the items does not require the recipient to make a purchase at the commercial establishment. (Adopted: 1/16/93)
- **12.5.1.6** Educational Products Related to Sport-Skill Instruction. It is permissible for a student-athlete's name or picture to appear in books, articles and other publications, films, videotapes, and other types of electronic reproduction related to sport-skill demonstration, analysis or instruction, provided:
- (a) Such print and electronic media productions are for educational purposes;
- (b) There is no indication that the student-athlete expressly or implicitly endorses a commercial product or service;

- (c) The student-athlete does not receive, under any circumstances, any remuneration or expenses for such participation; and
- (d) The student-athlete has signed a release statement ensuring that the student-athlete's name or image is used in a manner consistent with the requirements of this section and has filed a copy of the statement with the student-athlete's member institution.
- **12.5.1.7 Institutional and Privately Owned Summer Camps.** A member institution's or a privately owned summer camp may use the name or picture of any student-athlete employed as a counselor to publicize or promote the camp, including the use of the student-athlete's name or picture in camp brochures or other advertising. (*Revised:* 1/8/01 effective 8/1/01)
- **12.5.1.8 Promotion by Third Party of Highlight Film, Videotape or Media Guide.** Any party other than the institution or a student-athlete (e.g., a distribution company) may sell and distribute an institutional highlight film or videotape or an institutional or conference media guide that contains the names and pictures of enrolled student-athletes only if: (*Revised:* 1/16/93)
- (a) The institution specifically designates any agency that is authorized to receive orders for the film, videotape or media guide; (Revised: 1/16/93)
- (b) Sales and distribution activities have the written approval of the institution's athletics director;
- (c) The distribution company or a retail store is precluded from using the name or picture of an enrolled student-athlete in any poster or other advertisement to promote the sale or distribution of the film or media guide; and (Revised: 1/16/93)
- (d) There is no indication in the makeup or wording of the advertisement that the squad members, individually or collectively, or the institution endorses the product or services of the advertiser.
- **12.5.1.9 Promotion of NCAA and Conference Championships.** A student-athlete's name or picture may appear in a poster that promotes an NCAA championship or a conference championship, provided the poster is produced by a member institution that hosts a portion of the championship or by the NCAA or the conference. (*Adopted: 1/11/89*)
- **12.5.1.10** Olympic, Pan American, World Championships, World Cup and World University Games. A student-athlete's name or picture may be used to promote Olympic, Pan American, World Championships, World Cup or World University Games as specified in this section. (Adopted: 1/10/92, Revised: 1/9/96 effective 8/1/96)
  - **12.5.1.10.1 Sale and Distribution of Promotional Items.** Promotional items (e.g., posters, postcards, film, videotapes) bearing the name or picture of a student-athlete and related to these events may be sold or distributed by the national or international sports governing body sponsoring these events or its designated third-party distributors. It is not permissible for such organizations to sell player/trading cards that bear a student-athlete's name or picture. Promotional items may include a corporate sponsor's trademark or logo but not a reproduction of the product with which the business is associated. The name or picture of the student-athlete may not be used by the distribution company or retail store on any advertisement to promote the sale or distribution of the commercial item. (*Adopted: 1/10/92, Revised: 1/16/93, Revised: 1/11/94 effective 8/1/94*)
    - **12.5.1.10.1.1 Corporate Sponsors.** A corporate sponsor may sell a promotional item related to these events that uses the name or picture of a team but not an individual student-athlete. (Adopted: 1/10/92)
- 12.5.2 Nonpermissible
  - **12.5.2.1 Advertisements and Promotions after Enrollment.** After becoming a student-athlete, an individual shall not be eligible for participation in intercollegiate athletics if the individual:
  - (a) Accepts any remuneration for or permits the use of his or her name or picture to advertise, recommend or promote directly the sale or use of a commercial product or service of any kind; or
  - (b) Receives remuneration for endorsing a commercial product or service through the individual's use of such product or service.
    - **12.5.2.1.1 Exceptions.** The individual's eligibility will not be affected, provided the individual participated in such activities before enrollment and the individual:
    - (a) Meets the conditions set forth in Bylaw 12.5.1.3 that would permit continuation of such activities, or
    - (b) Takes appropriate steps upon becoming a student-athlete to retract permission for the use of his or her name or picture and ceases receipt of any remuneration for such an arrangement.
    - **12.5.2.1.2 Improper Use of Student-Athlete's Name or Picture.** If an institution, without the student-athlete's knowledge or consent, uses or permits the use of the student-athlete's name or picture

# Promotional Activities/12.5.2.1.2—12.5.4.3

- in a manner contrary to Bylaw 12.5.2.1, the violation shall be considered an institutional violation; however, the student-athlete's eligibility shall not be affected. (Adopted: 1/14/97)
- **12.5.2.2** Use of a Student-Athlete's Name or Picture without Knowledge or Permission. If a student-athlete's name or picture appears on commercial items (e.g., T-shirts, sweatshirts, serving trays, playing cards, posters, photographs) or is used to promote a commercial product sold by an individual or agency without the student-athlete's knowledge or permission, the student-athlete (or the institution acting on behalf of the student-athlete) is required to take steps to stop such an activity in order to retain his or her eligibility for intercollegiate athletics. (Revised: 1/11/97)
- **12.5.2.3 Specifically Restricted Activities.** A student-athlete's involvement in promotional activities specified in this section is prohibited.
  - **12.5.2.3.1 Name-the-Player Contest.** A student-athlete may not permit use of his or her name or picture in a "name-the-player" contest conducted by a commercial business for the purpose of promoting that business.
  - **12.5.2.3.2 Athletics Equipment Advertisement.** A student-athlete's name or picture may not be used by an athletics equipment company or manufacturer to publicize the fact that the institution's team uses its equipment.
  - **12.5.2.3.3 Promotional Contests.** Receipt of a prize for winning a promotional activity (e.g., making a half-court basketball shot, being involved in a money scramble) held in conjunction with a member institution's intercollegiate competition by a prospective or enrolled student-athlete (or a member of his or her family) does not affect a student-athlete's eligibility, provided the prize is won through a random drawing in which all members of the general public or the student body are eligible to participate. (Revised: 1/9/96 effective 8/1/96)
  - **12.5.2.3.4 Appearance in Commercial Films.** Footage of an institution's intercollegiate game or event or of the individual performance of a student-athlete may not be used in a commercial movie unless all individuals appearing in the footage have exhausted their seasons of eligibility.
- 12.5.3 Media Activities. A student-athlete may participate in media activities (e.g., appearance on radio, television, in films or stage productions, or participate in writing projects) and may receive legitimate and normal expenses when the student-athlete's appearance or participation is related in any way to athletics ability or prestige. The student-athlete may engage in such activities at any time and may receive compensation at a rate commensurate with the going rate in that locale for similar services. Further, the student-athlete's name may be used to advertise his or her participation in such activity, provided the student-athlete's status as a student-athlete is not used for promotional purposes. (Adopted: 1/14/97, Revised: 1/8/01 effective 8/1/01)
- 12.5.4 Use of Logos on Equipment, Uniforms and Apparel. A student-athlete may use athletics equipment or wear athletics apparel that bears the trademark or logo of an athletics equipment or apparel manufacturer or distributor in athletics competition and pre- and postgame activities (e.g., celebrations on the court, pre- or postgame press conferences), provided the following criteria are met. Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, they shall not affect the student-athlete's eligibility: (Revised: 1/11/94, 1/10/95, 1/9/96 effective 8/1/96)
- (a) Athletics equipment (e.g., shoes, helmets, baseball bats and gloves, batting or golf gloves, hockey and lacrosse sticks, goggles and skis) shall bear only the manufacturer's normal label or trademark, as it is used on all such items for sale to the general public; and (Revised: 1/10/95)
- (b) The student-athlete's institution's official uniform (including numbered racing bibs and warm-ups) and all other items of apparel (e.g., socks, head bands, T-shirts, wrist bands, visors or hats, swim caps and towels) shall bear only a single manufacturer's or distributor's normal label or trademark (regardless of the visibility of the label or trademark), not to exceed 2¼ square inches in area (i.e., rectangle, square, parallelogram) including any additional material (e.g., patch) surrounding the normal trademark or logo. (Revised: 1/11/94, 1/10/95)
  - **12.5.4.1 Laundry Label.** If an institution's uniform or any item of apparel worn by a student-athlete in competition contains washing instructions on the outside of the apparel on a patch that also includes the manufacturer's or distributor's logo or trademark, the entire patch must be contained within a four-sided geometrical figure (i.e., rectangle, square, parallelogram) that does not exceed  $2\frac{1}{4}$  square inches. (*Adopted:* 1/10/95)
  - **12.5.4.2 Pre- or Postgame Activities.** The restriction on the size of a manufacturer's or distributor's logo is applicable to all apparel worn by student-athletes during the conduct of the institution's competition, which includes any pre- or postgame activities (e.g., postgame celebrations on the court, pre- or postgame press conferences) involving student-athletes. (*Adopted:* 1/10/95)
  - **12.5.4.3 Outside Team Uniforms and Apparel.** The provisions of Bylaw 12.5.4-(b) do not apply to the official uniforms and apparel worn by outside teams.

**12.5.4.4 Title-Sponsor Recognition.** Racing bibs and similar competition identification materials (e.g., bowl-game patches) worn by participants may include the name of the corporate sponsor of the competition, provided the involved commercial company is the sole title sponsor of the competition.

#### 12.6 FINANCIAL DONATIONS FROM OUTSIDE ORGANIZATIONS

- 12.6.1 Professional Sports Organizations
  - **12.6.1.1 To Noncollegiate Amateur Team.** A noncollegiate amateur team or playing league shall not be considered a professional team or league, even if it receives financial support from a national amateur sports administrative organization or its equivalent that in turn receives developmental funds from a professional team or professional sports organization.
  - **12.6.1.2 To Collegiate Event.** A professional sports organization may not serve as a financial sponsor of collegiate competition without jeopardizing the eligibility of student-athletes (see Bylaw 12.1.1) competing in that event. (*Adopted: 1/10/92*)
  - **12.6.1.3 Developmental Funds to NCAA.** A professional sports organization may provide funds for intercollegiate athletics developmental purposes in a particular sport (e.g., officiating expenses, research and educational projects, the conduct of summer leagues, purchase of equipment). However, such funds shall be provided in an unrestricted manner and administered through the Association's national office.
  - **12.6.1.4 To Institution, Permissible.** A member institution may receive funds from a professional sports organization, provided:
  - (a) The money is placed in the institution's general fund and used for purposes other than athletics; or
  - (b) The money is placed in the institution's general scholarship fund and commingled with funds for the assistance of all students generally.
  - **12.6.1.5** Revenues Derived from Pro-Am Events. The distribution of revenues from an event involving an intercollegiate athletics team and a professional sports team (e.g., a baseball game in which a member institution's team plays against a professional baseball team) or pro-am event (e.g., golf, tennis) that results in a member institution's receiving a share of receipts from such a contest is permitted, provided the institution has a formal agreement with the professional sports team regarding the institution's guarantee or share of receipts and the contractual terms are consistent with agreements made by the professional team or individuals for similar collegiate or nonprofessional competition.
  - **12.6.1.6 Promotion of Professional Athletics Contests.** A member institution may host and promote an athletics contest between two professional teams from recognized professional sports leagues as a fund-raising activity for the institution. (Revised: 1/9/96 effective 8/1/96)
  - **12.6.1.7 Youth Teams.** An individual may participate on a youth team, including a team consisting of prospects, sponsored by a professional sports team or organization without jeopardizing intercollegiate eligibility in that sport, provided the following conditions are met: (*Adopted:* 1/14/97 effective 8/1/97)
  - (a) The youth team must otherwise be an amateur team; and
  - (b) Sponsorship fees provided to the team are not earmarked for a particular individual.
- 12.6.2 Nonprofessional Sports Organizations
  - **12.6.2.1 Individual Athletics Performance.** A member institution shall not accept funds donated from a nonprofessional sports organization based on the place finish of a student-athlete or the number of student-athletes representing the institution in an event. However, the organization may donate an equal amount of funds to every institution with an athlete or team participating in a particular event.
  - **12.6.2.2 Team Rankings.** A member institution may accept funds donated to its athletics program from a nonprofessional sports organization based on the team's national or regional ranking.
  - **12.6.2.3 Academic Performance.** A member institution may accept funds donated to its athletics program from a nonprofessional sports organization based on an individual's or a team's academic performance (e.g., the number of academic all-American award recipients).

# **BYLAW, ARTICLE 13**

# Recruiting

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# 13.01 GENERAL PRINCIPLES

13.01.1 Eligibility Effects of Recruiting Violation. The recruitment of a prospect by a member institution or any representative of its athletics interests in violation of the Association's legislation, as acknowledged by the institution or established through the Association's enforcement procedures, shall result in the student-athlete's becoming ineligible to represent that institution in intercollegiate athletics. The Committee on Student-Athlete Reinstatement may restore the eligibility of a student involved in such a violation only when circumstances clearly warrant restoration. A student is responsible for his or her involvement in a violation of NCAA regulations during the student's recruitment and involvement in a major violation (see Bylaw 19.02.2.2) may cause the student to become permanently ineligible for intercollegiate athletics competition at that institution.

- 13.01.2 Entertainment. A member institution may provide entertainment (per Bylaw 13.5), at a scale comparable to that of normal student life and not excessive in nature, to a prospect and his or her parents (or legal guardians) or spouse only at the institution's campus (or, on an official visit, within 30 miles of the institution's campus). Entertainment of other relatives or friends of a prospect at any site is prohibited.
- 13.01.3 Institutional Responsibility in Recruitment. A member of an institution's athletics staff or a representative of its athletics interests shall not recruit a prospect except as permitted by this Association, the institution and the member conference, if any.
- 13.01.4 Recruiting by Representatives of Athletics Interests Representatives of an institution's athletics interests (as defined in Bylaw 13.02.6) may make in-person, on- or off-campus recruiting contacts with a prospect; however, such off-campus contacts may not occur until the conclusion of the prospect's junior year in high school.
- 13.01.5 Time Periods for Contacts. In-person, off-campus contacts with a prospect or a prospect's relatives or legal guardian(s) may not be made until the prospect has completed the junior year in high school. (Revised: 1/10/91 effective 7/1/91, Revised: 1/16/93, 1/11/94 effective 3/15/94, Revised: 1/10/95, 1/14/97 effective 5/1/97)
- 13.01.6 U.S. Service Academy Exceptions. Exceptions to recruiting regulations for the United States service academies are set forth in Bylaw 13.17.
- 13.01.7 Compliance with Legislation for Emerging Sports. An institution sponsoring an emerging sport for women (see Bylaw 20.02.5) shall comply fully in that program with all applicable recruiting legislation set forth in Bylaw 13, effective for student-athletes first entering the collegiate institution on or after August 1, 1996. (Adopted: 1/10/95)

# 13.02 DEFINITIONS AND APPLICATIONS

13.02.1 Competition Site. The "competition site" is the facility in which athletics competition is actually conducted, including any dressing room or meeting facility used in conjunction with the competition.

# Definitions and Applications/13.02.2—13.02.5.1

- 13.02.2 Student-Athlete. An individual becomes a student-athlete when either of the following conditions are satisfied:
- (a) The individual's enrollment was enlisted by a member of the athletics staff or other representative of the institution's athletics interests that are viewed towards the student-athlete's ultimate participation in the intercollegiate athletics program and the individual attends the first day of class while enrolled in at least a minimum full-time program of studies; or
- (b) The individual participates in an intercollegiate squad practice or contest that is under the jurisdiction of the athletics department.

# 13.02.2.1 Permissible Recruitment Activities for Student-Athletes:

- (a) **Telephone contact.** It is permissible for an enrolled student-athlete to receive telephone calls made at the expense of a prospect after July 1 after the completion of the prospect's junior year in high school. Telephone calls made by enrolled students in accordance with an institution's regular admissions program directed at all prospective students shall be permissible. An institution may permit an enrolled student-athlete to telephone a prospect at the institution's expense, provided the call is only for the purpose of recruitment and is with the full knowledge of the athletics department.
- (b) **Official visits.** An enrolled student-athlete may participate as a student host during a prospect's official visit to the institution's campus. As a student host, a student-athlete may receive the following:
  - (1) A maximum of \$20 for each day of the visit to cover all actual costs of entertaining the prospect (and the prospect's parents, legal guardians or spouse), excluding the cost of meals and admission to campus athletics events. These funds may not be used for the purchase of souvenirs such as T-shirts or other institutional mementos. It is permissible to provide the student host with an additional \$10 per day for each additional prospect the host entertains. (Revised: 1/9/96 effective 8/1/96)
    - In the event that several students host a prospect, the \$20 per day entertainment money may be used to cover the actual and necessary expenses incurred by the prospect and all hosts. Only one student host per prospect may be provided a free meal if restaurant facilities are used;
  - (2) A complimentary meal, provided the student host is accompanying the prospect during the prospect's official visit; and
  - (3) A complimentary admission to a campus athletics event, provided the admission is used to accompany a prospect to that event during the prospect's official visit.
- (c) **Unofficial visits.** A prospect on an unofficial visit may stay in an enrolled student-athlete's dormitory room, provided the housing is available to all visiting prospective students.
- 13.02.3 Home. In general, a prospect's "home" is the prospect's legal residence, or the community of the educational institution in which the prospect is enrolled while residing there.
- 13.02.4 Prospective Student-Athlete. A prospective student-athlete ("prospect") is a student who has started classes for the ninth grade. In addition, a student who has not started classes for the ninth grade becomes a prospective student-athlete if the institution provides such an individual (or the individual's relatives or friends) any financial assistance or other benefits that the institution does not provide to prospective students generally. An individual remains a prospective student-athlete until one of the following occurs (whichever occurs earlier):
- (a) The individual officially registers and enrolls in a minimum full-time program of studies and attends classes in any term of a four-year collegiate institution's regular academic year (excluding summer); or
- (b) The individual participates in a regular squad practice or competition at a four-year collegiate institution. (Revised: 1/11/89, 1/10/90)
- 13.02.5 Recruiting. Recruiting is any solicitation of a prospect or a prospect's relatives [or legal guardian(s)] by an institutional staff member or by a representative of the institution's athletics interests for the purpose of securing the prospect's enrollment and ultimate participation in the institution's intercollegiate athletics program.
  - **13.02.5.1 Recruited Prospect.** Actions by staff members or athletics representatives that cause a prospect to become a recruited prospect at that institution are: (Revised: 1/10/90, 1/11/94 effective 8/1/94 for those students entering a collegiate institution on or after 8/1/94)
  - (a) Providing the prospect with an official visit; (Adopted: 1/11/94 effective 8/1/94 for those students entering a collegiate institution on or after 8/1/94)

# Definitions and Applications/13.02.5.1—Contacts and Evaluations/13.1.1.2

- (b) Having an arranged, in-person, off-campus encounter with the prospect or the prospect's parent(s), relatives or legal guardian(s); or (Adopted: 1/11/94 effective 8/1/94 for those students entering a collegiate institution on or after 8/1/94)
- (c) Initiating or arranging a telephone contact with the prospect, the prospect's relatives or legal guardian(s) on more than one occasion for the purpose of recruitment. (Revised: 1/11/94 effective 8/1/94 for those students entering a collegiate institution on or after 8/1/94)
- 13.02.6 Representative of Athletics Interests. A "representative of the institution's athletics interests" is an individual who is known (or who should have been known) by a member of the institution's executive or athletics administration to:
- (a) Have participated in or to be a member of an agency or organization promoting the institution's intercollegiate athletics program;
- (b) Have made financial contributions to the athletics department or to an athletics booster organization of that institution:
- (c) Be assisting or to have been requested (by the athletics department staff) to assist in the recruitment of prospects;
- (d) Be assisting or to have assisted in providing benefits to enrolled student-athletes or their families; or
- (e) Have been involved otherwise in promoting the institution's athletics program.
  - **13.02.6.1** Once an individual is identified as such a representative, the person retains that identity indefinitely.
- 13.02.7 Telephone Calls. The definition of a telephone call includes a facsimile or other electronically transmitted correspondence. (Adopted: 1/10/95, Revised: 1/9/96 effective 8/1/96, Revised: 1/14/97)
- 13.02.8 Visits by Prospects
  - **13.02.8.1 Official Visit.** An official visit to a member institution by a prospective student-athlete is a visit financed in whole or in part by the member institution, or by a representative of the institution's athletics interests (see Bylaw 13.7).
  - **13.02.8.2 Unofficial Visit.** An unofficial visit to a member institution by a prospective student-athlete is a visit made at the prospect's own expense (see Bylaw 13.8). The provision of any expenses or entertainment by the institution or representatives of its athletics interests shall require the visit to become an official visit, except for the following:
  - (a) The institution may provide complimentary admissions to an on-campus athletics event in which the institution's intercollegiate athletics team competes, in accordance with Bylaw 13.8.2.1;
  - (b) The institution may provide transportation to the prospect, when accompanied by an institutional staff member, only to view off-campus practice and competition sites and other institutional facilities located within a 30-mile radius of the institution's campus, but the institution may not provide transportation to attend one of the institution's home athletics events (on or off campus) during the unofficial visit; (Revised: 1/11/89)
  - (c) An institution may provide a meal to the prospect in the institution's on-campus student dining facilities during an unofficial visit. In addition, an institution may provide a meal in an off-campus dining facility when all on-campus dining facilities are closed, provided the institution can certify that it is the institution's normal policy to provide such a meal under similar circumstances to all prospective students (including nonathletes) visiting the campus; and
  - (d) A member institution may provide housing to the prospect, provided such housing is generally available to all visiting prospective students.

#### 13.1 CONTACTS AND EVALUATIONS

Recruiting contacts with a prospect (or the prospect's relatives or legal guardians) by institutional staff members and/or representatives of the institution's athletics interests are subject to the provisions set forth in this bylaw. (Revised: 1/10/91 effective 7/1/91)

- 13.1.1 Contactable Prospects
  - **13.1.1.1 High-School Prospects.** In-person, off-campus recruiting contacts shall not be made with a prospect or the prospect's relatives or legal guardian(s) until the prospect has completed the junior year in high school. U.S. service academy exceptions to this provision are set forth in Bylaw 13.17.1. (Revised: 1/10/91 effective 7/1/91, Revised: 1/11/94 effective 3/15/94, Revised: 1/10/95, 1/14/97)
  - **13.1.1.2 Four-Year College Prospects.** An athletics staff member or other representative of the insti-

# Contacts and Evaluations/13.1.1.2—13.1.4.2

tution's athletics interests shall not make contact with the student-athlete of another four-year collegiate institution, directly or indirectly, without first obtaining the written permission of the first institution's athletics director (or an athletics administrator designated by the athletics director) to do so, regardless of who makes the initial contact. If permission is not granted, the second institution shall not encourage the transfer. If permission is granted to contact the student-athlete, all applicable NCAA recruiting rules apply. (Revised: 1/10/91, 1/16/93, 1/11/94)

- **13.1.1.2.1 Hearing Opportunity.** If the institution decides to deny a student-athlete's request to permit any other institution to contact the student-athlete about transferring, the institution shall inform the student-athlete in writing that he or she, on request, shall be provided a hearing conducted by an institutional entity or committee outside of the athletics department (e.g., the office of student affairs; office of the dean of students; or a committee composed of the faculty athletics representative, student-athletes and nonathletics faculty/staff members). The institution shall have established reasonable procedures for promptly hearing such a request. (*Adopted: 1/11/94*)
- **13.1.1.2.2** Student-Athlete Withdrawn from Four-Year College. A member institution may contact a student-athlete who has withdrawn officially from a four-year collegiate institution without obtaining permission from the first institution only if at least one academic year has elapsed since the withdrawal.
- **13.1.1.2.3 Transfer from Institution on Probation.** It is not necessary for an institution to obtain permission in writing to recruit a student-athlete at an institution that has been placed on probation with sanctions that preclude it from competing in postseason competition during the remaining seasons of the student-athlete's eligibility. However, the student-athlete's institution must be notified of the recruitment and may establish reasonable restrictions related to the contact (e.g., no visits during class time), provided such restrictions do not preclude the opportunity for the student-athlete to discuss transfer possibilities with the other institution [see also Bylaw 14.8.1.2-(c)]. (Adopted: 1/10/92)
- **13.1.1.2.4** Transfer While Ineligible Due to Positive-Drug Test. If a student-athlete who is declared ineligible due to a positive-drug test administered by the NCAA transfers to another NCAA institution, the institution from which the student-athlete transferred must notify the new institution of the student-athlete's ineligibility (see Bylaw 18.4.1.5.1). (Adopted: 1/14/97 effective 8/1/97)

#### 13.1.2 Permissible Recruiters

- **13.1.2.1 Talent Scout.** An institution may not pay any costs incurred by an athletics talent scout or a representative of its athletics interests in studying or recruiting prospects. An institution may not provide any such person a fee or honorarium and thereby claim the person as a staff member entitled to expense money.
  - **13.1.2.1.1 Employment Prohibition.** An institution may not employ an individual for the primary purpose of recruiting or evaluating prospects and designate the individual as a coach if he or she does not reside in the institution's general locale. Such an individual would be considered an athletics talent scout rather than a regular institutional staff member.
  - **13.1.2.1.2** Expense Prohibition. An institution may not pay expenses (other than meals provided in the institution's home community) for representatives of its athletics interests to visit its campus for the purpose of becoming familiar with the institution's academic and athletics programs and campus facilities in order to represent the institution better when recruiting prospects. The provision of such expenses would be considered payment of costs incurred by athletics talent scouts.
- 13.1.3 Telephone Calls to Prospects. An institution may permit a student-athlete to telephone a prospect at the institution's expense, provided the call is only for the purpose of recruitment and is with full knowledge of the athletics department. (Revised: 1/10/91 effective 7/1/91)
- 13.1.4 Contact Restrictions at Specified Sites
  - **13.1.4.1 Prospect's Educational Institution.** Any staff member and any representative of athletics interests desiring to contact a prospect at the prospect's high school, college preparatory school or two-year college first shall obtain permission for such contact from that institution's executive officer (or designee). Contact may be made only when such permission is granted. Member institutions also are bound by this provision when recruiting foreign athletes.
  - **13.1.4.2 Practice or Competition Site.** Recruiting contact may not be made with a prospect before any athletics competition in which the prospect is a participant during the day or days of competition, even if the prospect is on an official or unofficial visit. Such contact shall be governed by the following: (Revised: 1/11/89, 1/10/91, 1/11/94, 1/9/96 effective 7/1/96)
  - (a) Contact shall not be made with the prospect at any site before the contest on the day or days of competition; (Revised: 1/11/89, 1/11/94)

# Contacts and Evaluations/13.1.4.2—Offers and Inducements/13.2.3

- (b) Contact shall not be made with the prospect from the time the prospect reports on call (at the direction of the prospect's coach or comparable authority) and becomes involved in competition-related activity (e.g., traveling to an away-from-home game) to the end of the competition even if such competition-related activities are initiated before the day or days of competition; (Revised: 1/11/94)
- (c) Contact shall not be made after the competition until the prospect is released by the appropriate institutional authority and departs the dressing and meeting facility; and
- (d) Contact shall not be made with the prospect involved in competition that requires more than one day of participation (e.g., a basketball tournament) until after the prospect's final contest is completed and he or she is released by the appropriate institutional authority and leaves the dressing and meeting facility. (*Revised:* 1/11/94)

# 13.2 OFFERS AND INDUCEMENTS

- 13.2.1 General Regulation. An institution's staff member or any representative of its athletics interests shall not be involved, directly or indirectly, in making arrangements for or giving or offering to give any financial aid or other benefits to the prospect or the prospect's relatives or friends, other than expressly permitted by NCAA regulations. This prohibition shall apply regardless of whether similar financial aid, benefits or arrangements are available to prospective students in general, their relatives or friends. For violations of this bylaw in which the value of the offer or inducement is \$25 or less, the eligibility of the individual (i.e., prospective or enrolled student-athlete) shall not be affected conditioned on the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement staff. (Revised: 1/13/98)
- 13.2.2 Specific Prohibitions. Specifically prohibited financial aid, benefits and arrangements include, but are not limited to, the following:
- (a) An employment arrangement for a prospect's relatives;
- (b) Gift of clothing or equipment;
- (c) Cosigning of loans;
- (d) Providing loans to a prospect's relatives or friends;
- (e) Cash or like items;
- (f) Any tangible items, including merchandise;
- (g) Free or reduced-cost services, rentals or purchases of any type;
- (h) Free or reduced-cost housing;
- (i) Use of an institution's athletics equipment (e.g., for a high-school all-star game); or
- (j) Sponsorship of or arrangement for an awards banquet for high-school, preparatory school or two-year college athletes by an institution, representatives of its athletics interests, or its alumni groups or booster clubs.
  - **13.2.2.1 Eligibility Ramifications—Restitution for Receipt of Improper Benefits.** For violations of Bylaw 13.2.2 in which the value of the offer or inducement is \$50 or less, the eligibility of the individual (i.e., prospect or student-athlete) shall not be affected conditioned on the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement staff. (Revised: 1/13/98, Revised: 1/8/01)
- 13.2.3 Awards to Prospects. A member institution is limited to providing the following awards to prospects: (Adopted: 1/10/91)
- (a) Awards to prospects for outstanding athletics accomplishments are prohibited, except as provided in (c) below;
- (b) Awards to high-school, preparatory school or two-year college athletics teams in the name of an NCAA member institution are prohibited, regardless of the institution's involvement (or lack thereof) in the administration of the award; and
- (c) Any award presented at regularly scheduled high-school, preparatory school and two-year college athletics contests or matches under the provisions of Bylaw 13.12.3.6 must be limited in value to \$50 but may bear the institution's name and logo.

# Offers and Inducements/13.2.4—Entertainment/13.5.1

#### 13.2.4 Employment of Prospects

- **13.2.4.1 After Senior Year.** The arrangement of employment by an institution for a prospect shall be permitted, provided the employment does not begin before the completion of the prospect's senior year in high school.
- **13.2.4.2 Transportation to Summer Job.** An institution or its representatives shall not provide a prospect free transportation to and from a summer job unless it is the employer's established policy to transport all employees to and from the job site.
- 13.2.5 Loans to Prospects. Arrangement of educational loans by an institution for a prospect shall be permitted, provided the loan is not made before the completion of the prospect's senior year in high school. When permitted, such loans must be from a regular lending agency and based on a regular repayment schedule.
- 13.2.6 Summer Housing for Prospects. An institution may rent dormitory space to a prospect during the summer months at the regular institutional rate, provided it is the institution's policy to make such dormitory space available on the same basis to all prospective students.

# 13.3 ADMISSIONS AND GRADUATION DATA

13.3.1 Multidivision Classification. An institution that is classified in Division I or II in a sport and offers athletics aid in that sport shall provide to prospective student-athletes and to prospects' parents the admissions and graduation-rates data in that sport (see Bylaw 20.6.1.2).

#### 13.4 RECRUITING MATERIALS

- 13.4.1 General Regulations. Institutions are permitted to provide to prospects and to high-school and two-year college coaches any official academic, admissions, athletics and student-services publications or videotapes published or produced by the institution and other information of a general nature that is available to all students. (*Revised:* 1/11/89)
- 13.4.2 Advertisements and Promotions
  - **13.4.2.1 Recruiting Advertisements.** The publication of advertising or promotional material, by or on behalf of a member institution, designed to solicit the enrollment of a prospective student-athlete is not permitted. Accordingly, a member institution may not buy or arrange to have space in game programs or other printed materials published to provide information concerning the athletics participation or evaluation of prospects (e.g., recruiting publications) for any purpose whatsoever, including advertisements, a listing of prospective or enrolled student-athletes who will attend the institution and informative materials related to the institution. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement staff. (*Revised:* 1/13/98)
    - **13.4.2.1.1 Interview to Recruiting Publication.** An institutional coaching staff member may not provide an interview for an article that will appear in a recruiting publication or newsletter. (Adopted: 4/15/98)
    - **13.4.2.1.2 Summer-Camp Advertisements.** Advertisements of an institution's summer camp or clinic in such recruiting publications are permissible if placed in a periodical (other than a high-school or two-year college game program) that includes a camp directory that meets the following requirements: (*Revised:* 1/11/94)
    - (a) The size (not to exceed one-half page) and format of such advertisements must be identical;and
    - (b) The camp directory must include multiple listings of summer camps on each page (i.e., at least two summer-camp advertisements of the same size must appear on each page).
  - **13.4.2.2 Prospect Publicity.** Member institutions and their representatives of athletics interests are prohibited from financing, arranging or utilizing recruiting aids (e.g., newspaper advertisements, bumper stickers, message buttons) designed to publicize the institution's interest in a particular prospect. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement staff. (*Revised:* 1/13/98)

#### 13.5 ENTERTAINMENT

13.5.1 General Regulations. An institution may entertain a prospect and his or her parents [or legal guardian(s)] or spouse, at a scale comparable to that of normal student life, only on the institution's campus (or, on an official visit, within 30 miles of the institution's campus). It is not permissible to entertain other relatives or friends of a prospect at any site.

- 13.5.2 Purchase of Game Tickets. An institution may not reserve tickets for purchase by a prospect (or individuals accompanying the prospect) to attend one of its athletics contests except on an official visit (see Bylaw 13.7.5.2). Tickets may be purchased only in the same manner as any other member of the general public. (Adopted: 1/10/92)
- 13.5.3 Complimentary Admissions—Conference Tournaments. Conference approved to host an NCAA YES clinic in conjunction with their conference championship may provide complimentary admissions to YES clinic participants to attend the conference championship. (Adopted: 1/14/97)
- 13.5.4 Professional Sports Tickets. Tickets to professional sports contests made available to a member institution on a complimentary basis may not be provided to prospects.
- 13.5.5 Alumni and Friends. An institution's staff member or a representative of its athletics interests may entertain alumni or other friends of the institution in the hometown of a prospect, provided those entertained are not friends of any particular prospect being recruited by the institution.

# 13.6 TRANSPORTATION

- 13.6.1 General Regulations. An institution may not provide transportation to a prospect other than on the official paid visit or, on an unofficial visit, to view a practice or competition site and other institutional facilities (located within a 30-mile radius of the institution's campus) when accompanied by an institutional staff member. However, an institution may not provide transportation to attend one of the institution's home contests (on or off campus) during the unofficial visit. For violations of this bylaw in which the value of the offer or inducement is \$25 or less, the eligibility of the individual (i.e., prospect or student-athlete) shall not be affected conditioned on the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement staff. (*Revised: 1/11/89, Revised: 1/13/98*)
- 13.6.2 Transportation on Official Paid Visit
  - **13.6.2.1 General Regulations**. A member institution may pay the prospect's actual round-trip transportation costs for his or her official visit to its campus, provided a direct route between the prospect's home and the institution's campus is used. (*Revised: 1/11/00 effective 8/1/00*)
  - 13.6.2.2 Automobile Transportation. When a prospect travels by automobile on an official visit, the institution may pay round-trip expenses to the individual incurring the expense (except the prospect's coach, see Bylaw 13.9.1.1) at the same mileage rate it allows its own personnel. Any automobile may be used by the prospect, provided the automobile is not owned or operated or its use arranged by the institution or any representative of its athletics interests. (Revised: 1/11/94)
    - **13.6.2.2.1 Prospect's Friends and Relatives.** A prospect's friends, relatives or legal guardian(s) may receive cost-free transportation to visit a member institution's campus only by accompanying the prospect at the time the prospect travels in an automobile to visit the campus.
    - **13.6.2.2.2 Use of Automobile.** The institution or representatives of its athletics interests shall not provide an automobile for use during the official visit by the prospect or by a student host.
    - **13.6.2.2.3 Coach Accompanying Prospect.** Coaching staff members (except as permitted in Bylaw 13.6.2.4) shall not accompany a prospect in the coach's sport to or from an official visit unless the prospect travels only by automobile. All transportation must occur within the state in which the institution is located, or, if outside the state, within 100 miles of the institution's main campus. On completion of the 48-hour period, the coach shall terminate contact with the prospect and his or her parent(s) immediately. (*Adopted: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97*)
  - **13.6.2.3 Air Transportation.** The air fare for commercial transportation for the official visit may not exceed coach (or comparable) class. Coaching staff members shall not accompany a prospect to or from an official visit when air travel is used, except as permitted in Bylaw 13.6.2.4. (*Revised: 1/10/95 effective 8/1/95*)
    - **13.6.2.3.1 Ticket Discounts.** An institution may not arrange payment of the airline ticket to allow a prospect [or the prospect's relatives, friends or legal guardian(s)] to take advantage of ticket bonuses, rebates, refunds or other benefits connected with the purchase of the ticket.
    - **13.6.2.3.2 Institution's Airplane.** An institution may use its own airplane to transport a prospect to the campus for an official visit, provided relatives, other friends or legal guardian(s) do not accompany the prospect.
    - **13.6.2.3.3 Noncommercial Airplane.** Whenever an aircraft (other than a commercial airplane) is used to transport a prospect, payment for its use shall be at the established charter rates at the airport where the craft is based. The institution shall be prepared to demonstrate satisfactorily that such payment has been made. (*Revised:* 1/10/90)

# Transportation/13.6.2.4—Official Visit/13.7.1.1.1

- **13.6.2.4 From Airport.** During the official visit, any member of an institution's athletics department staff may provide transportation for a prospect between the campus and the bus or train station or major airport nearest the campus.
- **13.6.2.5 To/From Site of Competition.** A prospect may be transported to campus for an official visit from the site of his or her athletics competition or the reverse arrangement, provided only actual transportation expenses are paid and the cost of the transportation does not exceed the cost of transportation between the prospect's home or educational institution and the institution's campus.
- **13.6.2.6 From Educational Institution.** An institution may pay actual transportation costs for the prospect to return to his or her home after an official visit that originated at the prospect's educational institution, provided the cost of the transportation to the legal residence does not exceed the cost of transportation to the educational institution.
- **13.6.2.7 Visiting Two or More Institutions.** Two or more institutions to which a prospect is making official visits on the same trip may provide travel expenses, provided there is no duplication of expenses, only actual and necessary expenses are provided, and the 48-hour visit limitation is observed at each institution.
- **13.6.2.8** Transportation of Prospect's Relatives, Friends, Legal Guardian(s) or Spouse. An institution shall not permit its athletics department staff members or representatives of its athletics interests to pay, provide or arrange for the payment of transportation costs incurred by relatives, friends, legal guardian(s) or spouse of a prospect to visit the campus or elsewhere; however, an institution may:
- (a) Provide automobile-mileage reimbursement to a prospect on an official visit, even if relatives or friends accompany the prospect; however, in that event the trip shall count as an official paid visit only for each recruited prospect in the automobile, and (Revised: 1/11/94)
- (b) Provide local transportation between its campus and the nearest airport for the parents, relatives or legal guardian(s) of a prospect making an official visit.
- **13.6.2.9 Eligibility Ramifications—Restitution for Receipt of Improper Benefits.** For violations of Bylaw 13.6.2 in which the value of the transportation is \$50 or less, the eligibility of the individual (i.e., prospect or student-athlete) shall not be affected conditioned on the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement staff. (Adopted: 1/13/98, Revised: 1/8/01)
- 13.6.3 Transportation on Unofficial Visit. During any unofficial recruiting visit, the institution may provide the prospect with transportation only to view off-campus practice and competition sites in the prospect's sport and other institutional facilities (located within a 30-mile radius of the institution's campus). An institutional staff member must accompany the prospect during such a trip. Payment of any other transportation expenses, including providing transportation to attend one of the institution's home contests (on or off campus) is not permissible. For violations of Bylaw 13.6.3 in which the value of the transportation is \$25 or less, the eligibility of the individual (i.e., prospect or student-athlete) shall not be affected conditioned on the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement staff with the institution's self-report of the violation. (Revised: 1/11/89, 1/11/90, 1/11/00)
- 13.6.4 Transportation to Enroll. An institution or its representatives shall not furnish a prospect, directly or indirectly, with transportation to the campus for enrollment. However, it is permissible for any member of the institution's staff to provide transportation from the nearest bus or train station or major airport to the campus on the occasion of the student-athlete's initial arrival at the institution to attend classes.

#### 13.7 OFFICIAL VISIT

#### 13.7.1 Limitations on Official Visit

- **13.7.1.1 One-Visit Limitation.** A member institution may finance only one visit to its campus for a prospect. Any person, at his or her own expense, may transport or pay the transportation costs of a prospect to visit the institution's campus one time, provided such person, at his or her own expense, accompanies the prospect on the visit. The same recruiting restrictions are applied as if the institution were financing the visit, and the prospect receives no additional expense-paid visit from the institution.
  - **13.7.1.1.1 First Opportunity to Visit.** A prospect may not be provided an official visit earlier than the opening day of classes of the prospect's senior year in high school.

- **13.7.1.2 Post-High-School Visits.** The one-visit limitation applies separately to the period in which the prospect is in high school and to the period beginning October 15 after the prospect's completion of high school.
  - **13.7.1.2.1 Transfer Student.** If a student-athlete attending a four-year institution desires to transfer and that institution provides the permission required (per Bylaw 13.1.1.2), it is permissible for a second institution to provide the student-athlete one official visit to that institution's campus.
- **13.7.1.3 Visit to Off-Campus Contest.** The official visit shall be made to the campus and not, for example, to an off-campus site where the institution may be appearing in an athletics contest. However, if an institution is required to play all of its home games in a given sport at a site located in a community other than its own because of conditions beyond its own control (e.g., fire, windstorm, earthquake or other disaster), the Management Council, by a two-thirds majority of its members present and voting, may grant permission to the institution to consider games played at the substitute site as on-campus competition.
- **13.7.1.4** Visit While Competing in Open Event. A host institution may pay the expenses of a recruited prospect to participate in an established "open" event, provided the expenses of all other competitors in that event are paid; the expenses are not paid from athletics department (nonmeet) funds; and the expenses of the prospect are limited to actual transportation, room and board. This trip shall be considered the one paid visit to the institution's campus with the prospect remaining no more than 48 hours.
- 13.7.2 Length of Official Visit. An official visit to an institution shall not exceed 48 hours. A prospect may remain in the locale in which the institution is located after the permissible 48-hour period for reasons unrelated to the official visit, provided that at the completion of the 48-hour visit, the individual departs the institution's campus, and the institution does not pay any expenses thereafter, including the cost of return transportation to the prospect's home. Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, violations due to inclement weather shall not affect the prospective student-athlete's eligibility. Additionally, if the prospect does not return home before attending the institution, the one-way transportation to the campus would be considered a violation of Bylaw 13.6.4, which prohibits transportation to enroll. (Revised: 1/9/96 effective 8/1/96)
  - **13.7.2.1 48-Hour Period Defined.** The 48-hour period of the official visit begins at the time the prospect arrives on the institution's campus, rather than with the initiation of the prospect's transportation by a coach or the time of the prospect's arrival at the airport or elsewhere in the community. The prospect's transportation to and from the campus must be without delay for personal reasons or entertainment purposes. The institution may not pay any expenses for entertainment (other than the actual and reasonable cost of meals) in conjunction with the prospect's transportation. At the completion of the 48-hour visit, the prospect must depart the institution's campus immediately; otherwise, the institution may not pay any expenses incurred by the prospect upon departure from the institution's campus, including the cost of the prospect's transportation home.
- 13.7.3 Transportation on Official Visit. For regulations relating to transportation on the official visit, see Bylaw 13.6.2.
- 13.7.4 Accommodations on Official Visit. A prospect on an official visit shall be provided lodging and take meals as regular students normally do. Local commercial facilities may be used but at a scale comparable to that of normal student life and only within a 30-mile radius of the institution's campus. (See Bylaw 13.7.5.7 for restrictions on meals provided to prospects on official visits.)
- 13.7.5 Entertainment/Tickets on Official Visit
  - **13.7.5.1 General Restrictions.** An institution may provide entertainment, which may not be excessive, on the official visit only for a prospect and the prospect's parents [or legal guardian(s)] or spouse and only within a 30-mile radius of the institution's main campus. It is not permissible to entertain other relatives or friends (including dates) of a prospect at any time at any site. For violations of this bylaw in which the value of the entertainment is \$50 or less, the eligibility of the individual (i.e., prospect or student-athlete) shall not be affected conditioned on the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement staff. (*Revised: 1/13/98, Revised: 1/8/01*)
    - **13.7.5.1.1 Meals and Lodging While in Transit.** It is permissible for an institution to pay a prospect's actual costs for reasonable expenses (e.g., meals, lodging) incurred while traveling to and from campus on the official visit.
  - **13.7.5.2 Complimentary Admissions.** During the official visit, complimentary admissions to a campus athletics event may be provided only to a prospect and the prospect's parents [or legal guardian(s)]

or spouse. Providing seating during the conduct of the event (including intermission) for the prospect or the prospect's parents [or legal guardian(s)] or spouse in the facility's press box, special seating box(es) or bench area is specifically prohibited. For violations of this bylaw in which the individual receives an excessive number of complimentary admissions, and the value of the excessive admissions is \$25 or less, the eligibility of the individual (i.e., prospect or student-athlete) shall not be affected conditioned on the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement staff. (Revised: 1/10/90 effective 8/1/90, Revised: 1/11/94, Revised: 1/13/98)

- 13.7.5.2.1 Conference Tournaments. An institution may provide complimentary admissions to a prospect for a postseason conference tournament only if the institution participates in the tournament and it is held on the institution's campus. (Revised: 1/10/91 effective 8/1/91)
- **13.7.5.2.2 NCAA Championships or Other Postseason Contests.** The provision of complimentary or reduced-cost admissions to prospects for an NCAA championship (all rounds) or other postseason contests (i.e., NAIA championship) constitutes excessive entertainment and is prohibited. (*Revised:* 1/10/92)
- **13.7.5.2.3 Purchase of Game Tickets in Same Locale.** An institution may reserve tickets, only for the use of immediate family members accompanying a prospect during an official visit and for seat locations adjacent to the complimentary seats being provided to the prospect. These tickets must be purchased at face value. (*Adopted:* 1/10/92)
- **13.7.5.3 Parking.** An institution may arrange special on-campus parking for prospects during an official visit. (*Adopted:* 1/10/92)
- **13.7.5.4 Cash to Prospect.** The institution or representatives of its athletics interests shall not provide cash to a prospect for entertainment purposes.
- **13.7.5.5 Student Host.** The institution may provide the following to a student host entertaining a prospect:
- (a) A maximum of \$20 for each day of the visit to cover all actual costs of entertaining the prospect (and the prospect's parents, legal guardians or spouse), excluding the cost of meals and admission to campus athletics events. These funds may not be used for the purchase of souvenirs such as T-shirts or other institutional mementos. It is permissible to provide the student host with an additional \$10 per day for each additional prospect the host entertains; (Revised: 1/10/90 effective 8/1/90, Revised: 1/9/96 effective 8/1/96)
- (b) A complimentary meal, provided the student host is accompanying the prospect during the prospect's official visit; and (Adopted: 1/10/92)
- (c) A complimentary admission to a campus athletics event, provided the ticket is used to accompany a prospect to that event during the prospect's official visit.
  - **13.7.5.5.1 Eligibility Ramifications**—**Restitution for Receipt of Improper Benefits.** For violations of Bylaw 13.7.5.5 in which the value of the benefit to the individual (i.e., prospect or student-athlete) is \$50 or less, the eligibility of the individual shall not be affected conditioned on the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement staff. (*Adopted: 1/13/98, Revised: 1/8/01*)
  - **13.7.5.5.2 Multiple Hosts.** If several students host a prospect, the \$20-per-day entertainment money may be used to cover the actual and necessary expenses incurred by the prospect and all hosts. Only one student host per prospect may be provided a free meal if restaurant facilities are used. (*Revised:* 1/10/92, 1/16/93, 1/9/96 effective 8/1/96)
- **13.7.5.6 Student Support Group Assisting in Recruiting.** An institution may not provide a free meal or entertainment to a member of an institutional student support group that assists in the recruitment of a prospect during an official visit unless the student is designated as the one student host for that prospect. Any additional arrangement between the institution and members of such a support group (e.g., compensation, providing a uniform) is left to the discretion of the institution. (*Adopted: 1/16/93*)
- **13.7.5.7 Meals on Official Visit.** The cost of actual meals, not to exceed three per day, on the official visit for a prospect and the prospect's parents, legal guardian(s) or spouse need not be included in the \$20-per-day entertainment expense. A dessert or after-dinner snack at the coach's residence also may be excluded. (Adopted: 1/10/92, Revised: 1/11/94 effective 8/1/94, Revised: 1/10/95 effective 8/1/95)

- **13.7.5.7.1** Entertainment at Staff Member's Home. A luncheon, dinner or brunch at the home of an institutional staff member (e.g., the athletics director, a coach, a faculty member or the institution's president) may be held for a prospect on an official visit, provided the entertainment is on a scale comparable to that of normal student life, is not excessive in nature and occurs on only one occasion. (Revised: 1/9/96)
- **13.7.5.7.2 Eligibility Ramifications—Restitution for Receipt of Improper Benefits.** For violations of Bylaw 13.7.5.7 in which the value of the excessive meals is \$50 or less, the eligibility of the individual (i.e., prospect or student-athlete) shall not be affected conditioned on the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement staff. (*Adopted: 1/13/98, Revised 1/8/01*)
- **13.7.5.7.3 Meal Location.** Meals provided for a prospect and the prospect's parents, legal guardian(s) and spouse on an official visit must occur in any dining facility located on campus, provided the facility is open to students in general, and the meal is comparable to the type of meal that the prospect would receive if he or she were to enroll as a student at that institution. If all such dining facilities are closed, the institution may provide meals off-campus. If an institution normally participates in home competition at a site that is not located on the institution's campus, the meal may occur at that site. Violations of this bylaw do not affect a prospect's eligibility; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (Adopted: 1/10/92, Revised: 1/11/94 effective 8/1/94, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97)
  - **13.7.5.7.3.1 Awards Banquet.** An institution may provide a meal to a prospect and the prospect's parents, legal guardian(s) and spouse at an institutional awards banquet, provided the banquet occurs on the institution's campus. (*Revised:* 1/10/95)
  - **13.7.5.7.3.2 Meal Served at Other On-Campus Facility.** An institution may provide a meal provided the meal is prepared at any on-campus location in an on-campus dining facility. (*Revised:* 1/10/95)
  - **13.7.5.7.3.3 Pre- or Postgame Meal or Snack.** An institution that provides a pre- or postgame meal or snack to its student-athletes as a benefit incidental to participation may provide that meal or snack to a prospect and the prospect's parents, legal guardian(s) and spouse as one of the three permissible official-visit meals. (*Revised: 1/10/95*)
  - **13.7.5.7.3.4 Training Table.** An institution may provide a meal to a prospect at the institution's training table, provided the training table meal is on campus. (*Revised:* 1/10/95)
- 13.7.6 Lodging for Additional Persons. Additional persons (e.g., prospect's brother, sister, friend) may stay in the same room as the prospect or parents, spouse or legal guardian(s) of the prospect, but the institution shall not pay the costs resulting from the additional occupants. The additional occupants shall not be prospects being recruited by the institution. For violations of this bylaw, the eligibility of the individual (i.e., prospect or student-athlete) shall not be affected, conditioned on the individual repaying the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time that the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement staff. (Adopted: 1/10/92, Revised: 1/8/01)

#### 13.8 UNOFFICIAL VISIT

- 13.8.1 Number Permitted. A prospect may visit a member institution's campus at his or her own expense an unlimited number of times. A prospect may make unofficial visits before his or her senior year in high school.
- 13.8.2 Entertainment/Tickets
  - **13.8.2.1 General Regulations.** During an unofficial visit, the institution may not pay any expenses or provide any entertainment except a maximum of three complimentary admissions to a campus athletics event in which the institution's intercollegiate team practices or competes. Such complimentary admissions are for the exclusive use of the prospect and those persons accompanying the prospect on the visit and must be issued on an individual-game basis. (Revised: 1/10/90 effective 8/1/90, Revised: 1/11/94)
    - **13.8.2.1.1 Meals.** An institution may provide a prospect with a meal in the institution's on-campus dining facility during an unofficial visit. An institution may provide a meal in an off-campus dining facility when all on-campus dining facilities are closed, provided the institution can certify

# Unofficial Visit/13.8.2.1.1—Entertainment/13.9.1

- that it is the institution's normal policy to provide such a meal under similar circumstances to all prospective students (including nonathletes) visiting the campus.
- **13.8.2.1.2 Housing.** An institution may provide a prospect with housing during an unofficial visit, provided such housing is generally available to all visiting prospective students.
  - **13.8.2.1.2.1 Lodging in Dormitories.** A prospect on an unofficial visit may stay in an enrolled student-athlete's dormitory room only if the prospect pays the regular institutional rate for such lodging.
- **13.8.2.1.3 Transportation during Unofficial Visit.** For regulations relating to transportation on an unofficial visit, see Bylaw 13.6.3.
- **13.8.2.1.4 Reserving Game Tickets.** An institution may not reserve tickets (in addition to the permissible complimentary admissions) to be purchased by a prospect (or individuals accompanying the prospect) on an unofficial visit. Tickets may be purchased only in the same manner as any other member of the general public. (Adopted: 1/10/92)
- **13.8.2.1.5 Parking.** An institution may not arrange special parking for prospects to use while attending a member institution's campus athletics event during an unofficial visit. (Adopted: 1/10/92)
- **13.8.2.1.6 Academic Interviews.** An athletics department staff member may arrange academic interviews for a prospect on an unofficial visit.
- **13.8.2.2 Home Games outside the Community.** If an institution schedules any regular-season home games at a site located in a community other than its own, the host institution may provide a maximum of three complimentary admissions to only one such game for the exclusive use of a prospect and those persons accompanying the prospect. Tournament and postseason games are excluded. The institution shall not arrange or permit any other entertainment or payment of expenses, including transportation.
- **13.8.2.3 Conference Tournaments.** An institution may provide complimentary admissions to a prospect for a postseason conference tournament only if the institution participates in the tournament and it is held on the institution's campus. (*Revised:* 1/10/91 effective 8/1/91)
- **13.8.2.4 NCAA Championships or Other Postseason Contests.** The provision of complimentary or reduced-cost admissions to prospects for an NCAA championship (all rounds) or other postseason contests (e.g., bowl game, NAIA or NIT championship) constitutes excessive entertainment and is prohibited. The prospect may purchase tickets to such events only in the same manner as any other member of the general public. (*Revised: 1/10/92*)
- **13.8.2.5 Visit Unrelated to Recruitment.** The limitations on providing entertainment to a prospect shall not extend to a visit to the institution's campus for a purpose having nothing whatsoever to do with the prospect's athletics recruitment by the institution (e.g., band trip, fraternity weekend, athletics team's attendance at a sporting event with the high-school coach). The institution's athletics department or representatives of its athletics interests may not be involved in any way with the arrangements for the visit, other than providing (in accordance with established policy) free admissions to an athletics event on a group basis, rather than personally to the prospect.
- **13.8.2.6** Visit Related to National Student-Athlete Day or National Girls and Women in Sports Day. The limitations on providing entertainment to a prospect shall not extend to a visit to the institution's campus for activities related to National Student-Athlete Day. (Adopted: 1/14/97, Revised: 4/22/98)
- **13.8.2.7 Eligibility Ramifications—Restitution for Receipt of Improper Benefits.** For violations of Bylaw 13.8.2 in which the value of the entertainment/tickets/meal is \$50 or less, the eligibility of the individual (i.e., prospect or student-athlete) shall not be affected conditioned on the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of this bylaw remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement staff. (*Adopted:* 1/13/98, *Revised* 1/8/01)
- 13.9 ENTERTAINMENT, REIMBURSEMENT AND EMPLOYMENT OF HIGH-SCHOOL/COLLEGE-PREPARATORY SCHOOL/TWO-YEAR COLLEGE COACHES
- 13.9.1 Entertainment Restrictions. Entertainment of a high-school, college-preparatory school or twoyear college coach or any other individual responsible for teaching or directing an activity in which a prospect is involved shall be confined to a member institution's campus and shall be limited to providing a

maximum of two complimentary admissions not to exceed full-season passes or tickets. Such entertainment shall not include food and refreshments, room expenses, or the cost of transportation to and from the campus. (Revised: 1/11/00 effective 8/1/00)

- **13.9.1.1 Transportation Reimbursement.** An institution shall not reimburse a high-school, preparatory school or two-year college coach for expenses incurred in transporting a prospect to visit the campus.
- **13.9.1.2 Transportation to Off-Campus Contest.** If a high-school, preparatory school or two-year college coach transports members of his or her athletics squad to an off-campus site to watch NCAA member institutions compete, an institution may not reimburse the coach for the transportation costs or provide complimentary tickets for the coach or any of the team members.
- 13.9.1.3 Purchase of Game Tickets. An institution may not reserve tickets (in addition to the permissible complimentary admissions) to be purchased by high-school, college preparatory or two-year college coaches (or individuals accompanying them) to attend an institution's athletics contest. Tickets may be purchased only in the same manner as any other member of the general public. (Adopted: 1/10/92)
- **13.9.1.4 Noncoaching-Related Organization.** If a high-school, preparatory school or two-year college coach is a member of a noncoaching-related organization (e.g., state high-school principals association, college fraternity alumni organization, institution's alumni association), an institution may entertain the group, provided there is no direct involvement by the institution's athletics department.
- 13.9.2 Material Benefits. Arrangements by an institution that involve a material benefit for a high-school, preparatory school or two-year college coach, or for any other individual responsible for teaching or directing an activity in which a prospective student-athlete is involved, (e.g., the provision of a gift such as a tangible item bearing the institution's insignia, the offer to pay a portion of the coach's or other individual's personal expenses, compensation based on the number of campers sent to an institution's camp, or an arrangement to provide transportation for the coach or other individual) are prohibited.
  - **13.9.2.1 Gifts at Coaches' Clinic.** An institution may not provide gifts to high-school, reparatory school or two-year college coaches in conjunction with its coaches' clinic or other events. This specifically prohibits the provision of a door prize to the coach, even if the cost of the prize is included in the cumulative admission fee (i.e., the admission fee charged to each person, when combined, would cover the cost of the prize). Materials (e.g., clipboards, file folders) may be provided to each person attending the clinic, provided the items are included in the registration or admission fee. (*Revised: 1/16/93, 1/11/94*)

# 13.10 LETTER-OF-INTENT PROGRAMS

13.10.1 Letter-of-Intent Prohibition. An institution shall not utilize any form of a letter of intent or similar form of commitment in the recruitment of a prospect. However, it shall be permissible for the institution to utilize in the recruitment of a prospect its preenrollment forms executed by prospective students in general at that institution.

# 13.11 PUBLICITY

- 13.11.1 Presence of Media during Recruiting Contact. A member institution shall not permit a media entity to be present during any recruiting contact made by an institution's coaching staff member. Violations of this bylaw do not affect a prospect's eligibility; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (*Adopted: 1/9/96, Revised: 1/14/97*)
- 13.11.2 Comments before Acceptance. An institution may comment publicly only to the extent of confirming its recruitment of the prospect. Violations of this bylaw do not affect a prospect's eligibility; however, the violation shall be considered an institutional violation per Constitution 2.8.1 (see Bylaws 13.11.7 and 13.11.8). (Revised: 1/14/97, 1/11/00 effective 8/1/00)
  - **13.11.2.1 Evaluations for Media, Recruiting Services.** Athletics department staff members shall not evaluate or rate a prospect for news media, scouting services or recruiting services prior to the prospect's signed acceptance of the institution's written offer of admission as a student and/or written tender of financial assistance to be provided on the prospect's enrollment.
- 13.11.3 Radio/TV Show. A member institution shall not permit a prospect or a high-school, college preparatory school or two-year college coach to appear, be interviewed or otherwise be involved (in person or via film, audio tape or videotape) on:
- (a) A radio or television program conducted by the institution's coach;
- (b) A program in which the institution's coach is participating; or
- (c) A program for which a member of the institution's athletics staff has been instrumental in arranging

for the appearance of the prospect or coach or related program material.

- **13.11.3.1 Game Broadcast/Telecast.** A prospect may not be interviewed during the broadcast or telecast of an institution's intercollegiate contest. A member institution may not permit a station telecasting a game to show a videotape of competition involving high-school, preparatory school or two-year college players.
- 13.11.4 Prospect's Visit. A member institution shall not publicize (or arrange for publicity of) a prospect's visit to the institution's campus. Violations of this bylaw do not affect a prospect's eligibility; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (*Revised:* 1/14/97)
- 13.11.5 Introduction of Prospect. An institution may not introduce a visiting prospect at a function (e.g., the institution's sports award banquet or an intercollegiate athletics contest) that is attended by media representatives or open to the general public. Violations of this bylaw do not affect a prospect's eligibility; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (*Revised: 1/14/97*)
- 13.11.6 Photograph of Prospect. It is permissible for an institution to photograph a prospect during a campus visit to be used in the institution's permissible publicity and promotional activities (e.g., press release, media guide), but the photograph may not be given to the prospect. (Adopted: 1/16/93, Revised: 1/11/94)
- 13.11.7 Announcement of Acceptance. Publicity released by an institution concerning a prospect's commitment to attend the institution shall occur only after the paid acceptance of the institution's written offer of admission and/or financial aid. Such communications shall be limited to those media forms normally used by the institution and by the educational institutions currently and formerly attended by the prospect. Violations of this bylaw do not affect a prospect's eligibility; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (Revised: 1/14/97, 1/11/00 effective 8/1/00)
  - **13.11.7.1 Staff Member Presence.** Institutional staff members are expressly prohibited from personal contact with media representatives at the site of the signing or at any other prearranged site when a prospect accepts an offer made by the institution. This does not preclude the presence of bona fide media representatives who are employed on a part-time basis by the institution.

# 13.12 TRYOUTS

- 13.12.1 Prohibited Activities. A member institution, on its campus or elsewhere, shall not conduct (or have conducted on its behalf) any physical activity (e.g., practice session or test/tryout) at which one or more prospects (as defined in Bylaw 13.12.1.1) reveal, demonstrate or display their athletics abilities in any sport except as provided in Bylaws 13.12.2 and 13.12.3.
  - **13.12.1.1 Definition of "Prospect" for Tryout-Rule Purposes.** For purposes of the tryout rule, the phrase "prospective student-athlete" or "prospect" shall include any individual who has started classes for the ninth grade and is not enrolled in the member institution at the time of the practice or test therein described. (*Revised:* 1/11/89)
  - **13.12.1.2 Competition against Prospects.** An institution's varsity intercollegiate team may compete against a two-year college team but may not compete against a high-school or preparatory school team. Subvarsity teams are not bound by this prohibition. The varsity team may participate in a contest against an established outside team that includes prospects, provided the outside team is ongoing and was not established for the specific purpose of competing against the collegiate team.
  - 13.12.1.3 Competition in Conjunction with a High-School, Preparatory School or Two-Year College. A member institution may host competition between or among high schools, preparatory schools or two-year colleges to be conducted in conjunction with a collegiate athletics event, provided the schools involved are located within a 50-mile radius of the member institution hosting the event and all such competition must occur on the member institution's campus. Further, the collegiate athletics event may be conducted during a continuous session on the same day as the high-school, preparatory school or two-year college contest under a single admission. (Revised: 1/10/90, 1/10/95, Revised: 1/8/01 effective 8/1/01)
  - 13.12.1.4 Nonscholastic-Based Basketball. A member of an institution's coaching staff may not participate in coaching activities involving AAU basketball teams, regardless of the age or gender of the participants involved in such teams. (Revised: 1/10/95, 1/9/96 effective 8/1/96)
  - **13.12.1.5 Tryout Camps.** A member institution or conference may not conduct a tryout camp devoted to agility, flexibility, speed and strength tests for prospects. A member institution's staff members may not attend such a tryout camp sponsored by an outside organization if the camp invites only representatives from selected institutions or conferences to observe the camp. (*Adopted: 1/10/92*)

#### 13.12.2 Permissible Activities

**13.12.2.1 Preseason Practice and Competition.** A student-athlete who is not enrolled, but who has been accepted for admission to the institution in a regular full-time program of studies, shall be permitted to engage in preseason practice and competition in fall sports or practice

occurring in midyear between terms on the academic calendar, provided such practice is not used to determine whether aid is to be awarded.

- **13.12.2.2 Recreational Activities.** A prospect visiting a member institution may participate in physical workouts or other recreational activities during a visit to an institution's campus, provided such activities:
- (a) Are not organized or observed by members of the athletics department coaching staff, and
- (b) Are not designed to test the athletics abilities of the prospect. (Revised: 1/11/94)
- **13.12.2.3 Local Sports Clubs.** An institution's coach may be involved as a participant or in instructional or coaching activities in the same sport for a local sports club or organization located in the institution's home community, provided all prospects participating in said activities are legal residents of the area (within a 50-mile radius of the institution). Further, in club teams involving multiple teams or multiple sports, the 50-mile radius is applicable only to the team with which the institution's coach is involved; however, it is not permissible for the coach to assign a prospect who lives outside the 50-mile area to another coach of the club. A coach also may be involved in activities with individuals who are not of prospect age (i.e., before the ninth grade), regardless of where such individuals reside. (*Revised: 1/10/90, 1/16/93*)
  - **13.12.2.3.1 Institutional Sponsorship of Local Sports Club.** Neither an institution's athletics department nor an institution's athletics booster group may sponsor a local sports club that includes prospects. It is permissible for a department of the institution that operates independent of the athletics department (e.g., physical education department, recreation department) to sponsor a local sports club that includes prospects, provided no athletics department staff member is involved with the club team. (Adopted: 1/16/93, Revised: 1/11/94)
- **13.12.2.4 Sports Camps and Clinics.** An institution's coach may be employed in sports camps, coaching schools and clinics per Bylaw 13.13 without violating the tryout rule.

# 13.12.2.5 Medical Examinations

- **13.12.2.5.1 During Campus Visit.** During a prospect's official or unofficial visit to campus, a member institution, through its regular team or other designated physician, may conduct a medical examination to determine the prospect's medical qualifications to participate in intercollegiate athletics, provided no athletics department staff member other than the trainer is present and the examination does not include any test or procedure designed to measure the athletics agility or skill of the prospect.
- **13.12.2.5.2 After Signing or Acceptance for Enrollment.** It shall be permissible to administer medical examinations at any time to prospects who either have signed the National Letter of Intent with the involved institution or have been accepted for enrollment in a regular full-time program of studies at that institution, provided the examinations occur during an official paid visit or the prospects visit the institution at their own expense for this purpose. (*Revised:* 1/14/97)
- 13.12.3 Tryout Exceptions (Revised: 1/11/89)
  - **13.12.3.1 Developmental Clinics.** Developmental clinics (including competition conducted in conjunction with the clinic) involving prospects shall not be considered tryouts, provided:
  - (a) They are designed to develop fundamental skills in a sport (rather than to refine the abilities of skilled participants in the sport);
  - (b) They are open to the general public (except for restrictions in age or number of participants);
  - (c) They are conducted by and subject to the control of the host member institution;
  - (d) They are conducted primarily for educational purposes and do not include material benefits for the participants (e.g., awards, prizes, merchandise gifts);
  - (e) Clinic participants do not receive a recruiting presentation; and (Adopted: 1/11/89)
  - (f) Clinic participants reside in the state in which the host institution is located or within 100 miles of the host institution. (Adopted: 1/11/89)
  - **13.12.3.2** "Open" Events. Participation by a prospect in "open" events conducted by or held on the campus of a member institution shall not be considered tryouts. Competition shall be considered "open" if the competitive event itself is not classified by age group or level of educational institution represented, and the selection of participants is not limited except by number, by geographical area or on the basis of some objective standard of performance.
  - **13.12.3.3 Activities Not Involving Institution's Staff.** The use of a member institution's facilities for physical activities by a group that includes prospects shall not be considered a tryout, provided the institution's athletics department staff members or representatives of its athletics interests are not

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involved in the conduct, promotion or administration of the activity (other than activities incidental to supervising the use of the facilities) and are subject to all applicable NCAA recruiting legislation.

- 13.12.3.4 State, Regional, National or International Training Programs. Participation by an institution's athletics department staff member in recognized state, regional, national or international training programs or competition organized and administered by the applicable governing body or athletics authority shall not be considered tryouts. (Revised: 1/9/96)
  - **13.12.3.4.1 Coach/Prospect Competition.** It is permissible for an institution's coach to participate with or against prospects in recognized regional, national or international training programs or competition, provided the competition is regularly scheduled under the authority of an outside sports organization and both the coach and the prospect are eligible to enter the competition.
  - **13.12.3.4.2** Administration of "State Games." A member institution serving as the site of "state games" is permitted to involve its staff members in the administration of the event but may not be involved in the selection or assignment of participants and coaches participating in the event. (Adopted: 1/10/91)
- **13.12.3.5 High-School, Preparatory School and Two-Year College Contests.** Regularly scheduled high-school, preparatory school and two-year college athletics contests or matches, conducted by a member institution or sponsored jointly with an outside organization and held on the campus of a member institution, shall not be considered tryouts, provided the following conditions are met [see Bylaw 13.2.3-(c) for restrictions related to the provision of awards at such contests]:
- (a) The opportunity to participate in the event is not limited to specific prospects or educational institutions and all individual prospects or educational institutions in a specific geographical area are eligible to compete (limited only by number, by institutional classification or on the basis of some objective standard of performance);
- (b) The event appears on the schedules of the prospects' educational institutions; and
- (c) The event is conducted by a national sports federation of which the NCAA is a member or it has been approved before the season by the appropriate state or national authority.
- **13.12.3.6 High-School, Preparatory School and Two-Year College Practice and Competition.** The regular or emergency use of a member institution's facilities by a high-school, preparatory school or two-year college athletics team for its normal practice activities (conducted solely by its coaches) or for regularly scheduled high-school, preparatory school or two-year college contests (conducted solely by those participating institutions) shall not be considered tryouts, provided the following conditions are met:
- (a) The competition is approved by the appropriate state or national authority; and
- (b) The member institution and representatives of its athletics interests are not involved in any way in the invitation or selection of participating schools or individuals.
- **13.12.3.7 Officiating.** An institution's coach may officiate competition that involves prospects, provided the competition is regularly scheduled under the authority of an outside sports organization.

#### 13.13 SPORTS CAMPS AND CLINICS

- 13.13.1 Institution's Sports Camps and Clinics
  - 13.13.1.1 **Definition.** An institution's sports camp or instructional clinic shall be any camp or clinic that is owned or operated by a member institution or an employee of the member institution's athletics department, either on or off its campus, and, for Division I only, in which prospects participate. (Adopted: 1/11/89, Revised: 1/10/90)
    - **13.13.1.1.1 Purposes of Camps or Clinics.** An institution's sports camp or clinic shall be one that:
    - (a) Places special emphasis on a particular sport or sports and provides specialized instruction, practice or competition;
    - (b) Involves activities designed to improve overall skills and general knowledge in the sport; or
    - (c) Offers a diversified experience without emphasis on instruction, practice or competition in any particular sport. (Adopted: 1/11/89, Revised: 1/10/90)
    - **13.13.1.1.2 Football and Basketball.** An institution's football or basketball camp or clinic may be conducted only during an institution's summer-vacation period, unless such activities meet the provisions regarding developmental clinics set forth in Bylaw 13.12.3.1. (Adopted: 1/11/89, Revised: 1/10/90)
  - **13.13.1.2** Attendance Restriction. A member institution's sports camp or clinic shall be open to any and

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all entrants (limited only by number and age). (Revised: 1/11/89, 1/10/91, 1/11/94)

- **13.13.1.3 Advertisements.** Restrictions relating to advertisements of an institution's sports camps and clinics in recruiting publications are set forth in Bylaw 13.4. Such restrictions do not apply to sports camp and clinic advertisements in nonrecruiting publications (e.g., a member institution's game program). (See Bylaw 12.5.1.7.)
- **13.13.1.4** Employment of Prospects/No Free or Reduced Admission Privileges. In operating a sports camp or clinic, an institution, members of its staff or a representative of its athletics interests shall not employ or give free or reduced admission privileges to any individual who has started classes for the ninth grade. (Revised 1/11/89)
  - **13.13.1.4.1 Payment of Expenses.** A representative of an institution's athletics interests may not pay a prospect's expenses to attend a member institution's sports camp or clinic.

# 13.13.1.4.2 Concession Arrangement

- **13.13.1.4.2.1 Prospective Student-Athlete.** An institution may not permit or arrange for a prospect, at the prospect's own expense, to operate a concession to sell items related to or associated with the institution's camp.
- **13.13.1.4.2.2** Enrolled Student-Athlete. A student-athlete, at the student-athlete's own expense, may not operate a concession to sell items related to or associated with his or her institution's camp to campers or others in attendance because such an arrangement would be considered an extra benefit. However, the institution may employ the student-athlete at a reasonable rate to perform such services for the camp.
- **13.13.1.4.3** Awards and Mementos. Prospects may receive awards and mementos from a member institution's sports camp or clinic. The cost of such awards are included in the admissions fees charged for participants in the camp or clinic. (Adopted: 1/10/92)
- 13.13.2 Employment at Camp or Clinic

#### 13.13.2.1 Student-Athletes

- **13.13.2.1.1 General Rule.** A student-athlete who is employed in any sports camp or clinic must meet the following requirements:
- (a) The student-athlete must perform duties that are of a general supervisory character and any coaching or officiating assignments shall represent not more than one-half of the student-athlete's work time.
- (b) Compensation provided to the student-athlete shall be commensurate with the going rate for camp or clinic counselors of like teaching ability and camp or clinic experience and may not be paid on the basis of the value that the student-athlete may have for the employer because of the athletics reputation or fame the student-athlete has achieved. It is not permissible to establish varying levels of compensation for a student-athlete employed in a sports camp or clinic based on the level of athletics skills of the student-athlete. (Revised: 1/11/94)
- (c) A student-athlete who only lectures or demonstrates at a camp/clinic may not receive compensation for his or her appearance at the camp/clinic. (*Adopted:* 1/11/94)
  - **13.13.2.1.1.1 Self-Employment.** A student-athlete with remaining eligibility is not permitted to conduct his or her own camp or clinic.
  - 13.13.2.1.1.2 Travel Expenses. A student-athlete may receive actual travel expenses (including lodging and meals in transit and prepaid plane tickets or cash advances) provided such expenses are paid for all employees of the camp/clinic. (Adopted: 1/10/92)
  - **13.13.2.1.1.3 Cash Advances Based on Anticipated Earnings.** In the case where all employees do not receive travel expenses, a cash advance based on anticipated earnings may be provided to a student-athlete only if such advance does not exceed the estimated actual and necessary cost of travel or the student-athlete's estimated employment earnings, whichever is less, and such a benefit is available to all camp employees. (*Adopted:* 1/10/92)
- 13.13.2.1.2 Employment in Own Institution's Camp or Clinic. A member institution (or employees of its athletics department) may employ its student-athletes as counselors in camps or clinics, subject to the following conditions: (Revised: 1/11/94, 1/10/95)
- (a) The student-athlete shall not participate in organized practice activities other than during the institution's playing season in the sport (see Bylaw 17.1.1); and
- (b) The member institution's director of athletics must give prior approval to the student-athlete's employment arrangement. (Revised: 1/11/89)

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**13.13.2.1.2.1 Effect of Violations.** Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, the violation shall not affect the student-athlete's eligibility. (*Adopted:* 1/8/01)

#### 13.13.2.2 High-School, Preparatory School or Two-Year College Coaches

- **13.13.2.2.1 General Rule.** A member institution (or employees of its athletics department) may employ a high-school, preparatory school or two-year college coach at its camp or clinic. (*Revised:* 1/11/94, 1/8/01 effective 8/1/01)
- **13.13.2.3 Athletics Staff Members.** A member institution's athletics staff member may be involved in sports camps or clinics unless otherwise prohibited in this section.
  - **13.13.2.3.1 Camp/Clinic Providing Recruiting or Scouting Service.** No athletics department staff member may be employed (either on a salaried or a volunteer basis) in any capacity by a camp or clinic established, sponsored or conducted by an individual or organization that provides recruiting or scouting services concerning prospects. This provision does not prohibit an athletics department staff member from participating in an officiating camp where participants officiate for, but are not otherwise involved in, a scouting services camp. (Adopted: 1/11/89, Revised: 1/10/90, 1/10/92)
- 13.13.3 FCA Camps and Conferences, NYSP and YES Clinics. Camps, clinics and conferences conducted by the Fellowship of Christian Athletes or as part of the National Youth Sports Program (NYSP) and Youth Education through Sports (YES) program are exempt from NCAA restrictions on camps and clinics. (Revised: 1/14/97 effective 8/1/97)
- 13.13.4 Privately Owned Camp. An institution's athletics department personnel may not serve in any capacity (e.g., guest lecturer, consultant) in a privately owned camp, clinic or coaching school in which a high-school, preparatory school or two-year college athletics award winner is employed. (*Revised:* 1/10/91, 1/11/94, 1/10/95)
  - **13.13.4.1 Payment of Expenses.** It is not permissible for a representative of an institution's athletics interests to pay a prospect's expenses to attend a privately owned sports camp, clinic or coaching school.
  - **13.13.4.2** Advertisements. Restrictions related to the advertising of private camps or clinics involving student-athletes are set forth in Bylaw 12.5.1.7.

# 13.14 HIGH-SCHOOL ALL-STAR GAMES

- 13.14.1 Coach Involvement. A member institution shall not permit any coach or athletics department staff member directly involved in the recruiting of student-athletes to participate (directly or indirectly) in the management, coaching, officiating, supervision, promotion or player selection of any all-star team or contest involving interscholastic players or those who, during the previous school year, were members of high-school athletics teams.
  - **13.14.1.1 Exception—Previous Contractual Agreement.** If a coach has made a contractual commitment to coach in a high-school all-star game before being employed by a member institution and then becomes a member of the institution's staff before the game is held, the coach may honor the contractual commitment. (*Revised:* 1/8/01 effective 8/1/01)
- 13.14.2 Use of Institutional Facilities. A member institution's facilities shall not be made available for a high-school all-star game unless the provisions of Bylaw 13.12.3.3 are met (also see Bylaw 30.2.1.4).
- 13.14.3 Use of Institutional Equipment. It is not permissible for an institution to provide its athletics equipment to a prospect (e.g., for use in a high-school all-star game).

# 13.15 USE OF RECRUITING FUNDS

- 13.15.1 Institutional Control. All funds for the recruiting of prospects shall be deposited with the member institution, which shall be exclusively and entirely responsible for the manner in which such funds are expended.
- 13.15.2 Visiting a Prospect. A member institution's athletics department staff member, (a representative of its athletics interests) may visit a prospect or the prospect's relatives or legal guardian(s) at any location for recruiting purposes. However, on any such visit, the staff member or athletics representative may not expend any funds other than the amount necessary for his or her own personal expenses.
- 13.15.3 Recruiting Services
  - **13.15.3.1 Video Services.** Member institutions are permitted to use video services so long as only regularly scheduled (regular season) high-school or two-year college contests are involved. The institution

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may not contract with the service in advance to have a particular contest videotaped, and the service must be available to all institutions at the same cost.

- 13.15.4 Slush Funds. An institution shall not permit any individual, agency or group of individuals to use, administer or expend funds for recruiting prospects, including the transportation and entertainment of, and the giving of gifts or services to, prospects or their relatives, legal guardian(s), spouse or friends.
- 13.15.5 Alumni Organizations. Alumni organizations of an institution may sponsor luncheons, teas or dinners at which prospective students (athletes and nonathletes) of that immediate locale are guests. A member institution's area alumni organization may be considered a bona fide part of that institution, provided such an organization is accredited by the chief executive officer of the institution and meets these additional terms and conditions:
- (a) A staff member of the institution periodically shall inspect the financial records of the alumni organization and certify that the expenditures comply with the rules and regulations of the NCAA and the conference(s), if any, of which the institution is a member, and
- (b) A club official shall be designated by the chief executive officer as the institution's official agent in the administration of the club's funds, and said club official shall file regular reports to the institution relating the manner in which the club funds have been spent in the recruiting of student-athletes.
  - **13.15.5.1 Subject to NCAA Rules.** When an alumni organization is certified by the chief executive officer as being a bona fide part of the institution, said organization becomes subject to all of the limitations placed upon the member institution by NCAA legislation. A violation of such legislation by any member of the alumni organization shall be a violation by the member institution.

# 13.16 PRECOLLEGE EXPENSES

- 13.16.1 Prohibited Expenses. An institution or a representative of its athletics interests shall not offer, provide or arrange financial assistance, directly or indirectly, to pay (in whole or in part) the costs of the prospect's educational or other expenses for any period before his or her enrollment or so the prospect may obtain a postgraduate education.
  - **13.16.1.1 Extent of Prohibition.** The provisions of Bylaw 13.16.1 apply to all prospects, including those who have signed a National Letter of Intent or an institutional offer of admission or written tender of financial assistance.
  - 13.16.1.2 Fund-Raising for High-School Athletics Program. An institution may not provide funding, directly or through paid advertisements, to benefit a high-school athletics program. Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, the violation shall not affect the student-athlete's eligibility (Adopted: 1/10/92, Revised: 1/8/01)
    - **13.16.1.2.1 Involvement by Local Representatives of Institution's Athletics Interests.** A representative of an institution's athletics interests may provide funding to benefit a high-school athletics program located in the community in which the athletics representative resides, provided: (*Adopted: 1/10/92*)
    - (a) The representative acts independently of the institution;
    - (b) The funds are distributed through channels established by the high school or the organization conducting the fund-raising activity; and
    - (c) The funds are not earmarked directly for a specific prospect.
  - **13.16.1.3 College Use of High-School Facility.** An institution may not contribute to a high school or its athletics booster club any funds realized from an athletics contest played or a practice held at a high-school facility, except actual and necessary expenses for rental of the facility.
  - **13.16.1.4 High-School Contest in Conjunction with College Competition.** An institution shall be permitted to host high-school contests in all sports held in conjunction with intercollegiate contests as specified in Bylaw 13.12.1.3. (*Revised: 1/10/90, 1/10/95*)

#### 13.16.1.5 Donation of Equipment

- **13.16.1.5.1 Athletics Equipment.** A member institution may not provide athletics equipment to a high school. However, a member institution is permitted to provide athletics equipment to bona fide youth organizations (e.g., the YMCA, a boy scout troop, a summer recreation league) that may consist of some prospects, provided the issuance of equipment is in accordance with the institution's regular policy regarding the discarding of equipment. Further, only those organizations within a 30-mile radius of the campus may be provided such equipment by the institution. Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, the violation shall not affect the student-athlete's eligibility. (*Adopted 1/10/92, Revised 1/8/01*)
  - **13.16.1.5.1.1 Exception—Women's Rowing.** An institution may loan rowing equipment to a high school's or junior club program's women's team on an issuance and retrieval basis and

# Precollege Expenses/13.16.1.5.1.1—U.S. Service Academy Exceptions/13.17.2

may permit high schools' and junior club programs' women's teams to use its rowing facilities for practice and/or competition. (Adopted: 1/9/96 effective 8/1/96)

- **13.16.1.5.1.2 Donation of Used Athletics Equipment to Foundation.** An institution may donate used athletics equipment to a nonprofit foundation established to distribute such equipment to high schools, provided the request for such donations is initiated by the foundation and the institutions have no part in selecting the high schools that are to receive the equipment. (Adopted: 1/11/94)
- **13.16.1.5.2 Nonathletics Equipment.** A member institution may provide nonathletics equipment (e.g., a computer) to a high school, provided there is no athletics department involvement and the equipment is not used to benefit only the high school's athletics program. (*Adopted: 1/10/91*)
- **13.16.1.6 Ticket Sales.** It is not permissible for a member institution to compensate a high school, preparatory school or two-year college for selling tickets to the institution's athletics contests. Specifically, the member institution may not provide such forms of compensation as a guarantee, a percentage of the income realized from the sale of the tickets or any other form of commission for providing such services.
- 13.16.2 Permissible Expenses. An institution may provide items to assist in the fund-raising efforts of athletics youth groups composed of individuals who have not started classes for the ninth grade without causing such individuals to become prospective student-athletes per Bylaw 13.02.4. (*Adopted: 1/10/95*)

# 13.17 U.S. SERVICE ACADEMY EXCEPTIONS

#### 13.17.1 Contacts

- **13.17.1.1** Freshmen at Summer Enrollment Programs. Freshmen entering the official summer enrollment program of one of the four national service academies (i.e., U.S. Air Force, Coast Guard, Military and Naval Academies) shall be considered student-athletes of a senior collegiate institution and may not be contacted by other member institutions without permission from the athletics director of the service academy.
- 13.17.2 Precollege Expenses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers of Bylaw 13.16, provided such waivers are limited to procedures involving preparation for entrance into one of the U.S. service academies (see Bylaw 30.17.1).

# Eligibility: Academic and General Requirements

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# 14.01 GENERAL PRINCIPLES

14.01.1 Institutional Responsibility. An institution shall not permit a student-athlete to represent it in intercollegiate athletics competition unless the student-athlete meets all applicable eligibility requirements.

14.01.2 Academic Status. To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall be enrolled in at least a minimum full-time program of studies, be in good academic standing and maintain satisfactory progress toward a baccalaureate or equivalent degree. A waiver of the minimum full-time enrollment requirement may be granted for a student enrolled in the final term of the baccalaureate program (see Bylaw 14.1.7.2.1.3). Also, a student may represent the institution while enrolled as a graduate or professional student or while enrolled and seeking a second baccalcurrente degree at the same institution (see Bylaw 14.1.8).

**14.01.2.1 Good Academic Standing.** To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall be in good academic standing as determined by the academic authorities who determine the meaning of such phrases for all students of the institution, subject to controlling legislation of the conference(s) or similar association of which the institution is a member. (Note: The restrictions, exceptions and waivers set forth in Bylaws 14.4.1.2.1, 14.4.1.2.2 and 14.4.1.3 also apply to the general requirement for good academic standing and satisfactory progress.)

14.01.2.2 Institutional Responsibility for Eligibility Certification. The chief executive officer is responsible for approving the procedures for certifying the eligibility of an institution's student-athletes under NCAA legislation. The chief executive officer may designate an individual on the institution's staff to administer proper certification of eligibility.

14.01.3 Compliance with Other NCAA and Conference Legislation. To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall be in compliance with all applicable provisions of the constitution and bylaws of the Association and all rules and regulations of the institution and the conference(s), if any, of which the institution is a member. Specific attention is called to legislation affecting eligibility in the following areas.

**14.01.3.1** Amateurism. A student-athlete shall not be eligible for participation in an intercollegiate sport if the individual takes or has taken pay, or has accepted the promise of pay in any form, for participation in that sport, or if the individual has violated any of the other regulations related to amateurism set forth in Bylaw 12.

**14.01.3.2** Awards, Benefits and Expenses. Receipt by a student-athlete of nonpermissible awards, extra benefits, or excessive or improper expenses not authorized by NCAA legislation violates the Association's amateurism principle and renders the student-athlete ineligible for athletics participation in the sport for which the improper award, benefit or expense was received (see Bylaw 16).

14.01.3.3 Ethical Conduct. A prospective or enrolled student-athlete who is found to have engaged in unethical conduct (see Bylaw 10.1) shall be ineligible for intercollegiate competition in all sports. Unethical conduct consists of, but is not limited to: (Revised: 1/10/90)

- (a) Fraudulence in connection with entrance or placement examinations;
- (b) Engaging in any athletics competition under an assumed name or with intent otherwise to deceive;

# General Principles/14.01.3.3—Definitions and Applications/14.02.6

- (c) Dishonesty in evading or violating NCAA regulations; or
- (d) Knowingly furnishing the NCAA or the individual's institution false or misleading information concerning the student's involvement in or knowledge of matters relevant to a possible violation of NCAA regulations [see Bylaw 10.1-(d)]. (Revised: 1/10/90)
- **14.01.3.4 Financial Aid.** A student-athlete who receives financial assistance other than that authorized by the Association shall not be eligible for intercollegiate athletics competition (see Bylaw 15).
- **14.01.3.5 Recruitment.** Solicitation of a student-athlete's enrollment by the certifying institution or any representative of its athletics interests in violation of the Association's legislation shall render the student-athlete ineligible to represent that institution in intercollegiate athletics. A student-athlete is responsible during his or her recruitment for involvement in a violation of NCAA regulations, and the Committee on Student-Athlete Reinstatement may restore the eligibility of a student involved in such violation only when circumstances clearly warrant restoration. The eligibility of a student-athlete involved in a major violation shall not be restored other than through an exception authorized by the Committee on Student-Athlete Reinstatement in a unique case on the basis of specifically stated reasons (see Bylaw 13).
- 14.01.4 Compliance with Legislation for Emerging Sports
  - **14.01.4.1 Seasons of Competition.** Beginning with the 1995-96 academic year, a member institution sponsoring an emerging sport for women (see Bylaw 20.02.5) shall comply fully in that program with all applicable seasons-of-competition legislation set forth in Bylaw 14.2. (Adopted: 1/10/95)
  - **14.01.4.2 Initial, Continuing and General Eligibility Requirements.** Beginning with the 1996-97 academic year, a member institution sponsoring an emerging sport for women shall comply fully in that program with all applicable initial, continuing and general eligibility legislation set forth in Bylaw 14, effective for student-athletes first entering the collegiate institution on or after August 1, 1996. (Adopted: 1/10/95)

#### 14.02 DEFINITIONS AND APPLICATIONS

- 14.02.1 Branch School. A branch school is an educational institution that usually offers two years of college work, does not award degrees independently, and is wholly controlled and operated by a four-year, degree-granting parent institution.
- 14.02.2 Church Mission, Official. An official church mission is one that is required by the church of which the individual is a member and that results in the individual's being unable to attend a collegiate institution during the period of the mission.
- 14.02.3 Collegiate Institution. A collegiate institution (for purposes of NCAA legislation) is an institution of higher education that:
- (a) Is listed as a college and coded with an H in the United States Department of Education's Directory of Postsecondary Institutions; or (Revised: 1/10/90)
- (b) Conducts an intercollegiate athletics program, even though not listed and/or coded with an H in the Directory of Postsecondary Institutions; or
- (c) Is located in a foreign country.
- 14.02.4 Exception. An exception is the granting of relief from the application of a specific regulation (e.g., the residence requirement for a transfer student to become eligible for competition). Formal approval by the Management Council or an NCAA committee is not required. The action granting the exception may be taken solely by the certifying institution, based on evidence that the conditions on which the exception is authorized have been met (see Bylaw 14.02.11).
- 14.02.5 Good Academic Standing and Satisfactory Progress. The phrases "good academic standing" and "satisfactory progress" are to be interpreted at each member institution by the academic officials who determine the meaning and application of such phrases for all students, subject to the controlling regulations of the institution; the conference(s) (or similar associations), if any, of which the institution is a member, and applicable NCAA legislation (see Bylaw 14.4).
- 14.02.6 Intercollegiate Competition. Intercollegiate competition occurs when a student-athlete in either a two-year or a four-year collegiate institution: (*Revised:* 1/10/95)
- (a) Represents the institution in any contest against outside competition, regardless of how the competition is classified (e.g., scrimmage, exhibition or joint practice session with another institution's team) or whether the student is enrolled in a minimum full-time program of studies; (Revised: 1/10/91)
- (b) Competes in the uniform of the institution or, during the academic year, uses any apparel (excluding apparel no longer used by the institution) or equipment received from the institution that includes

# Definitions and Applications/14.02.6—General Eligibility Requirements/14.1.3.3

- institutional identification (see Bylaw 16.12.1.9 for regulations governing the use of equipment during the summer); or (Revised: 1/16/93, 1/11/94)
- (c) Competes and receives expenses (e.g., transportation, meals, room or entry fees) from the institution for the competition.
  - **14.02.6.1 Exempted Events.** Participation in events listed in Bylaws 16.8.1.3-(a) and (b) is exempted from the application of this legislation. (Revised: 1/10/92)
- 14.02.7 National Team. A national team is one selected, organized and sponsored by the appropriate national governing bodies of the United States Olympic Committee (or, for student-athletes representing another nation, the equivalent organization of that nation, or, for student-athletes competing in a non-Olympic sport, the equivalent organization of that sport). The selection for such a team shall be made on a national qualification basis, either through a defined selective process or by actual tryouts, publicly announced in advance. In addition, the international competition in question shall require that the entrants officially represent their respective nations, although it is not necessary to require team scoring by nation.
- 14.02.8 Participation in Intercollegiate Athletics. Participation in intercollegiate athletics occurs when a student-athlete either practices in a sport (see Bylaw 17.02.1.1) or competes in a sport, as defined in Bylaw 14.02.6. Eligibility rules for competition may differ from those for practice.
- 14.02.9 Residence. Residence is enrollment in a full-time academic program (as defined by the institution) at a collegiate institution during a regular term of an academic year. To satisfy an academic year of residence, a student shall meet the requirements of Bylaw 14.5.1.2. A summer term may not be used to satisfy a term of residence.
- 14.02.10 Transfer Student-Athlete. A transfer student-athlete is an individual who meets any one of the conditions set forth in Bylaw 14.5.2.
- 14.02.11 Waiver. A waiver is an action exempting an individual or institution from the application of a specific regulation. A waiver requires formal approval (e.g., by the Management Council, an NCAA committee or a conference, as specified in the legislation) based on evidence of compliance with the specified conditions or criteria under which the waiver is authorized (see Bylaw 14.02.4).

#### 14.1 GENERAL ELIGIBILITY REQUIREMENTS

- 14.1.1 Postseason and Regular-Season Competition. To be eligible for regular-season competition and NCAA championships, the student-athlete shall meet all applicable NCAA and Division III eligibility requirements.
  - **14.1.1.1 Ineligibility for Use of Banned Drugs.** A student-athlete who is found to have used a substance on the list of banned drugs, as set forth in Bylaw 31.2.3.1, shall be declared ineligible for further participation in postseason and regular-season competition in accordance with the ineligibility provisions in Bylaw 18.4.1.5.1. (Adopted: 1/10/90 effective 8/1/90)
- 14.1.2 Validity of Academic Credentials. As a condition and obligation of membership, it is the responsibility of a member institution to determine the validity of the information on which the eligibility of a student-athlete is based. Therefore, it is the responsibility of a member institution to determine whether a transcript is valid for purposes of applying appropriate NCAA legislation to the eligibility of a student-athlete when the institution receives notification, or otherwise has cause to believe, that a student-athlete's high-school, preparatory school or two-year college transcript is not valid.
- 14.1.3 Student-Athlete Statement
  - **14.1.3.1 Content and Purpose.** Before participation in intercollegiate competition each academic year, a student-athlete shall sign a statement in a form prescribed by the Management Council in which the student athlete submits information related to eligibility, recruitment, financial aid, amateur status, previous positive drug tests administered by any other athletics organization and involvement in organized gambling activities related to intercollegiate and professional athletics competition under the Association's governing legislation. Failure to complete and sign the statement shall result in the student-athlete's ineligibility for participation in all intercollegiate competition. Violations of this bylaw do not affect a student-athlete's eligibility if the violation occurred due to an institutional administrative error or oversight, and the student-athlete subsequently signs the form; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (*Revised: 1/10/92 effective 8/1/92, Revised: 1/14/97, 2/19/97*)
  - **14.1.3.2 Administration.** The institution shall administer this form individually to each student-athlete before the individual's participation in intercollegiate competition each year. Details about the content, administration and disposition of the statement are set forth in Bylaw 30.12.
  - 14.1.3.3 Institutional Responsibility—Notification of Positive Test. The institution shall promptly noti-

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# General Eligibility Requirements/14.1.3.3—14.1.7.1.5

fy in writing the NCAA's director of sports sciences regarding a student-athlete's disclosure of a previous positive test for banned substances administered by any other athletics organization. (Adopted: 1/14/97 effective 8/1/97)

#### 14.1.4 Drug-Testing Consent Form

- **14.1.4.1 Content and Purpose.** Each academic year a student-athlete shall sign a form prescribed by the Management Council in which the student consents to be tested for the use of drugs prohibited by NCAA legislation. Failure to complete and sign the consent form before competition shall result in the student-athlete's ineligibility for participation (i.e., practice and competition) in all intercollegiate athletics (see also Constitution 3.2.4.6). Violations of this bylaw do not affect a student-athlete's eligibility if the violation occurred due to an institutional administrative error or oversight, and the student-athlete subsequently signs the form; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (Adopted: 1/10/92 effective 8/1/92, Revised: 1/16/93, 1/10/95 effective 8/1/95, Revised: 1/14/97)
- **14.1.4.2 Administration.** The institution shall administer the consent form individually to each student-athlete each academic year. Details about the content, administration and disposition of the consent form are set forth in Bylaw 30.5. (Adopted: 1/10/92 effective 8/1/92)

#### 14.1.5 International Student-Athlete Form

**14.1.5.1 Eligibility Form.** The eligibility of an international student-athlete shall be certified on a form approved by the Management Council and must be completed prior to practice or competition. The completed form shall be maintained on file at the institution with other eligibility documents and shall be available for examination upon request by the NCAA staff, and, if the institution is a member of a conference, an authorized conference representative. (Adopted: 1/10/95 effective 8/1/95 for those student-athletes first entering an NCAA institution on or after 8/1/95)

#### 14.1.6 Admission and Enrollment

- **14.1.6.1 Admission.** A student-athlete shall not represent an institution in intercollegiate athletics competition unless the student has been admitted as a regularly enrolled, degree-seeking student in accordance with the regular, published entrance requirements of that institution.
  - **14.1.6.1.1 Special Admission.** A student-athlete may be admitted under a special exception to the institution's normal entrance requirements if the discretionary authority of the chief executive officer (or designated admissions officer or committee) to grant such exceptions is set forth in an official document published by the university (e.g., official catalog) that describes the institution's admissions requirements.

#### 14.1.7 Full-Time Enrollment

- **14.1.7.1 Requirement for Practice.** To be eligible to participate in organized practice sessions, a student-athlete shall be enrolled in a minimum full-time program of studies leading to a baccalaureate or equivalent degree as defined by the regulations of the certifying institution. Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, the violation shall not affect the student-athlete's eligibility. (*Revised:* 1/10/92, 1/11/00)
  - **14.1.7.1.1 Practice before Initial Enrollment.** A student-athlete may practice during the official vacation period immediately before initial enrollment, provided the student has been accepted by the institution for enrollment in a regular, full-time program of studies at the time of the individual's initial participation; is no longer enrolled in the previous educational institution; and is eligible under all institutional and NCAA requirements.
  - **14.1.7.1.2 Drop/Add Course.** A student-athlete no longer shall be considered enrolled in a minimum full-time program of studies (after dropping a course that places the student below full-time status) when the dropped course becomes official in accordance with procedures determined by the institution for all students. A student who is adding a course to reach full-time status shall become eligible for practice and competition once the course has been approved by the appropriate department head (or designated representative) and submitted to the registrar. (*Adopted: 1/10/92*)
  - **14.1.7.1.3** Exception, Final Semester/Quarter. A student-athlete with athletics eligibility remaining may participate in organized practice sessions while enrolled in less than a minimum full-time program of studies, provided the student is enrolled in the final semester or quarter of the baccalaureate program and the institution certifies that the student is carrying (for credit) the courses necessary to complete the degree requirements, as determined by the faculty of the institution.
  - **14.1.7.1.4 Withdrawal or Dismissal.** A student-athlete who withdraws or is dismissed from an institution is considered to be a prospective student-athlete eligible for recruitment by other NCAA member institutions and may not continue to practice with the original institution's team.
  - **14.1.7.1.5** Exception—Practice during First Week of Class. A student-athlete may practice, but may not compete, during the institution's first five days of classes if the student-athlete is enrolled

# General Eligibility Requirements/14.1.7.1.5—14.1.7.2.2

in less than a minimum full-time program of studies, provided the student is otherwise eligible under all institutional, conference and NCAA requirements. (Adopted: 1/10/95 effective 8/1/95)

- **14.1.7.1.6 Waiver—United States Olympic Committee/National Governing Body.** A student with eligibility remaining who is not enrolled or who is enrolled in less than a minimum full-time program of studies or a former student-athlete may participate on a regular basis in organized practice sessions, provided the following conditions are met: (Adopted: 1/9/96, Revised: 1/14/97 effective 8/1/97)
- (a) The practice sessions take place only at the institution(s) the individual previously attended as an undergraduate or currently attends or previously attended as a graduate student; (Revised: 1/14/97 effective 8/1/97)
- (b) The practice sessions involve an individual sport, rowing or synchronized swimming;
- (c) The United States Olympic Committee or national governing body (NGB) in the sport has recommended the individual's participation;
- (d) The individual does not participate in any coaching activities unless the institution designates the individual in the appropriate coaching limits; and
- (e) In the case of a student-athlete with NCAA eligibility remaining in the sport, such participation occurs only during the academic year immediately before the Olympic Games.
  - **14.1.7.1.6.1 Administration.** This waiver shall be approved by the conference members of the Association or, in the case of independent institutions, by the Management Council. A member institution shall submit a waiver request that includes documentation that demonstrates that the conditions of Bylaw 14.1.7.1.6 have been met for each individual who wishes to participate in the institution's practice sessions. (*Adopted: 1/9/96*)
- **14.1.7.2 Requirement for Competition.** To be eligible for competition, a student-athlete shall be enrolled in at least a minimum full-time program of studies leading to a baccalaureate or equivalent degree as defined by the institution, which shall not be less than 12 semester or quarter hours.
  - **14.1.7.2.1 Exceptions.** The following exceptions to the minimum 12-semester- or 12-quarter-hour enrollment are permitted:
    - **14.1.7.2.1.1 Competition before Initial Enrollment.** A student-athlete may compete during the official vacation period immediately before initial enrollment, provided the student has been accepted by the institution for enrollment in a regular, full-time program of studies at the time of the individual's initial participation; is no longer enrolled in the previous educational institution; and is eligible under all institutional and NCAA requirements.
    - **14.1.7.2.1.2 Eligibility between Terms.** To be eligible for competition that takes place between terms, the student-athlete shall:
    - (a) Have been registered for the required minimum full-time load (see Bylaw 14.1.7.2.2) at the conclusion of the term immediately before the date of competition, if the student is continuing enrollment; or
    - (b) Be accepted for enrollment as a regular full-time student for the regular term immediately after the date of competition if the student is either continuing enrollment or beginning enrollment (also see Bylaw 14.10). (Revised: 1/11/89)
    - 14.1.7.2.1.3 Final Semester/Quarter. A student-athlete may compete while enrolled in less than a minimum full-time program of studies, provided the student is enrolled in the final semester or quarter of the baccalaureate program and the institution certifies that the student is carrying (for credit) the courses necessary to complete degree requirements. The student granted eligibility under this provision shall be eligible for any NCAA championship, provided the student has not exhausted the 10 semesters/15 quarters for completion of the individual's four seasons of eligibility (see Bylaw 14.2). Thereafter, the student shall forfeit eligibility in all sports, unless the student completes all degree requirements during that semester or quarter and is eligible to receive the baccalaureate diploma on the institution's next degree-granting date. The Management Council, or a committee designated by the Management Council to act for it, may waive the 60-day requirement when an NCAA championship is conducted at the conclusion of the traditional playing season but begins more than 60 days after the end of said term. (Revised: 1/10/92, 1/16/93, 1/10/95)
    - **14.1.7.2.1.4 Graduate Program.** A student may compete while enrolled in a full-time graduate program as defined by the institution, but in any event not fewer than eight hours (see Bylaw 14.1.8).
  - **14.1.7.2.2 Full-Time Program—12-Hour Requirement.** At the time of competition, a student-athlete shall be enrolled in not less than 12-semester or -quarter hours, regardless of the institution's definition of a minimum full-time program of studies.

# General Eligibility Requirements/14.1.7.2.2.1—14.1.9

- **14.1.7.2.2.1 Waivers**. Waivers may be granted to the minimum 12-semester- or 12-quarter-hour enrollment as follows:
  - **14.1.7.2.2.1.1 Nontraditional Academic Calendars or Cooperative Education Programs.** A student-athlete in an institution, that determines enrollment hours on a basis other than traditional semester or quarter hours or that conducts a cooperative educational program, may compete, if at the time of competition the student is enrolled for a comparable minimum academic load as determined by the Management Council.
  - 14.1.7.2.2.1.2 Olympic, Pan American, World Championships, World Cup or World University Games. The Management Council may waive the minimum full-time enrollment requirement for any participant in the Olympic, Pan American, World Championships, World Cup or World University Games who, because of such participation, may lose eligibility for practice and competition in any sport. (Revised: 1/10/91, 1/9/96)
  - **14.1.7.2.2.1.3 Learning-Disabled and Handicapped Student-Athletes.** The Management Council may waive the 12-hour requirement for a learning-disabled or handicapped student-athlete when objective evidence demonstrates that an institution defines full-time enrollment for that student-athlete to be less than 12 hours to accommodate for the student's learning disability or handicap. (*Adopted: 1/10/95*)
- **14.1.7.2.3 Concurrent Courses at Two Institutions.** Courses taken concurrently at a second institution may be counted toward meeting the minimum 12-hour enrollment requirement, provided:
- (a) The certifying institution officially recognizes the student's combined hours as full-time enrollment for a minimum of 12 hours; and
- (b) Courses taken at the second institution will be included on the student's transcript at the institution where the student is seeking the degree.
- **14.1.7.2.4 Cooperative Educational Exchange Program.** A student-athlete may represent the certifying institution in intercollegiate athletics even though at the time of competition the student is enrolled in another institution in a cooperative educational exchange program, provided:
- (a) The certifying institution considers the student to be regularly enrolled in a minimum full-time program of studies; and
- (b) All work is placed on the student's transcript and accepted toward his or her undergraduate degree at the certifying institution.
- **14.1.7.2.5 Extension Courses.** A student-athlete may use a combination of hours taken in residence during a regular term and extension courses taken from the certifying institution during that term to meet the minimum 12-hour enrollment requirement, provided the institution considers enrollment in such extension courses as regular course enrollment for all students during term time. (Revised: 1/11/94 effective 8/1/94)
- 14.1.8 Graduate Student/Postbaccalaureate Participation. A student-athlete who is enrolled in a graduate or professional school of the institution he or she previously attended as an undergraduate (regardless of whether the individual has received a United States baccalaureate degree or its equivalent), a student-athlete who is enrolled and seeking a second baccalaureate or equivalent degree at the same institution, or a student-athlete who has graduated and is continuing as a full-time student at the same institution while taking course work that would lead to the equivalent of another major or degree as defined and documented by the institution, may participate in intercollegiate athletics, provided the student has eligibility remaining and such participation occurs within the applicable 10-semester/15-quarter period set forth in Bylaw 14.2 (see also Bylaw 14.1.7.2.1.4). (Revised: 1/10/90, 1/16/93 effective 8/1/93)
  - **14.1.8.1 Foreign Student Exception.** The remaining eligibility of a student who has received a foreign postsecondary degree that is identified as a "baccalaureate" but is not equivalent to a United States baccalaureate and who is entering an undergraduate program must be reviewed on a case-by-case basis by the Management Council and its Foreign Student Records Consultants. (*Adopted: 1/16/93*)
  - **14.1.8.2** NCAA Championship after Last Term of Eligibility. A student-athlete who is eligible during the term in which degree work is completed (or is eligible as a graduate, per Bylaw 14.1.8) remains eligible for any NCAA championship that begins within 60 days after the end of the term in which the student completes the requirements for the degree (or graduate eligibility). The Management Council, or a committee designated by the Management Council to act for it, may waive the 60-day requirement when an NCAA championship is conducted at the conclusion of the traditional playing season but begins more than 60 days after the end of said term. (*Revised:* 1/16/93, 1/10/95)
- 14.1.9 Change in Eligibility Status. If a student-athlete's academic eligibility changes at the end of a quarter or semester, the student-athlete shall become eligible or ineligible to compete on the date his or her eligibility officially is certified by the appropriate institutional authority. In a case in which the student becomes eligible at the end of the term, the earliest date on which the student can become eligible to compete

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is the day after the date of the last scheduled examination listed in the institution's official calendar for the term that is ending. In a case in which the student becomes ineligible, the ineligibility shall become effective not later than the first day of classes of the following semester or quarter. In any case, if the student-athlete is academically eligible to compete at the time of the student-athlete's or the institution's first participation in an NCAA championship, he or she shall remain eligible for the remainder of the championship.

# 14.2 SEASONS OF COMPETITION: 10-SEMESTER/15-QUARTER RULE

A student-athlete shall not engage in more than four seasons of intercollegiate competition in any one sport (see Bylaw 14.02.6).

- 14.2.1 Collegiate Enrollment Concurrent with Service Assignment. Any time in which a student athlete is enrolled for a minimum full-time program of studies as a regular student in a collegiate institution while simultaneously on active duty in the United States military, on an official church mission or with a recognized foreign aid service of the United States government shall count against the 10 semesters/15 quarters in which the four seasons of eligibility must be completed.
- 14.2.2 Ten-Semester/Fifteen-Quarter Rule. A student-athlete shall complete his or her seasons of competition during the first 10 semesters or 15 quarters in which the student is enrolled in a collegiate institution in at least a minimum full-time program of studies, as determined by the regulations of that institution. For an institution that conducts registration other than on a traditional semester or quarter basis, the Management Council shall determine an equivalent enrollment period.
  - **14.2.2.1 Use of Semester or Quarter.** A student-athlete is considered to have used a semester or quarter under this rule when the student is officially registered in a collegiate institution (domestic or foreign) in a regular term of an academic year for a minimum full-time program of studies, as determined by the institution, and attends the first day of classes for that term (see Bylaw 14.2.3).
  - **14.2.2.2 Pregnancy Exception.** A member institution may approve a two-semester or three-quarter extension of this 10-semester period of eligibility for a female student-athlete for reasons of pregnancy.
  - **14.2.2.3 Waivers.** The Committee on Student-Athlete Reinstatement, by a two-thirds majority of its members present and voting, may approve waivers to the 10-semester rule as it deems appropriate. (See Bylaw 30.6.1 for criteria.)
- 14.2.3 Additional Applications of the 10-Semester/15-Quarter Rule
  - **14.2.3.1 Athletics Competition.** Even though a student is enrolled for less than a minimum full-time program of studies at a collegiate institution, the student's 10-semester/15-quarter period of eligibility begins if the individual represents the institution in intercollegiate athletics.
  - **14.2.3.2 Nonrecognized College.** Enrollment in a postsecondary, noncollegiate institution (e.g., technical school, seminary or business college) in the United States that is not listed as a college and coded with an H in the United States Department of Education's Directory of Postsecondary Institutions constitutes enrollment in the application of the 10-semester/15-quarter rule only if: (*Revised:* 1/10/90)
  - (a) The student is enrolled in a minimum full-time program of studies at such an institution that conducts an intercollegiate athletics program; or
  - (b) The student, whether enrolled for a minimum full-time program of studies or not, represents the institution in intercollegiate athletics.
  - **14.2.3.3 Joint College/High-School Program.** A student-athlete's eligibility under the 10-semester/15-quarter rule does not begin while a student is enrolled in a collegiate institution in a joint high-school/college academic program for outstanding high-school students, in which the courses count as both high-school graduation credit and college credit, provided the student is classified as a special student, is not considered by the college to be regularly matriculated and is not eligible for the college's extracurricular activities, including athletics.
  - **14.2.3.4 Vocational Program.** A student-athlete's eligibility under the 10-semester/15-quarter rule does not begin while the student is enrolled in a minimum full-time program of studies as a part of a special vocational program that combines enrollment in regular college courses and participation in vocational training courses, provided the student is not considered to be regularly matriculated by the institution, does not go through the customary registration and testing procedures required of all regular entering students and is not eligible for the institution's extracurricular activities, including athletics.
  - 14.2.3.5 10-Semester/15-Quarter Extension Request. A student-athlete, who has exhausted his or her 10-semester/15-quarter period of eligibility, may practice but not compete for 30 consecutive-calendar days, provided the institution has filed a 10-semester/15-quarter extension waiver request per Bylaw 30.6.1 with the NCAA national office. (Adopted 1/8/01 effective 8/1/01)

# Seasons of Competition/14.2.4—14.2.5.1

- 14.2.4 Criteria for Determining Season of Eligibility
  - **14.2.4.1 Minimum Amount of Competition.** A season of competition shall be counted when a student-athlete participates in any regular-season competition (including scrimmages occurring after the first contest or date of competition and competition in the nontraditional segment) or postseason intercollegiate competition. A season of competition shall not be counted when a student-athlete participates in a preseason scrimmage or preseason exhibition. This provision is applicable to intercollegiate athletics competition conducted by a two-year or four-year collegiate institution at the varsity, junior varsity or freshman team level. (*Revised: 1/11/94, 1/11/00 effective 8/1/00, Revised 1/8/01*)
  - **14.2.4.2 Track and Field and Cross Country.** Cross country, indoor track and field, and outdoor track and field shall be considered separate sports. (*Revised:* 1/10/90)
  - **14.2.4.3 Intercollegiate Competition.** A student-athlete is considered to have engaged in a season of intercollegiate competition when he or she competes in an athletics event involving any one of the conditions characterizing intercollegiate competition (per Bylaw 14.02.6).
    - **14.2.4.3.1 Organized Competition.** Athletics competition shall be considered organized if any one of the following conditions exists:
    - (a) Competition is scheduled and publicized in advance;
    - (b) Official score is kept;
    - (c) Individual or team standings are maintained;
    - (d) Official timer or game officials are used;
    - (e) Admission is charged;
    - (f) Teams are regularly formed or team rosters are predetermined;
    - (g) Team uniforms are used;
    - (h) A team is privately or commercially sponsored; or
    - (i) The competition is either directly or indirectly sponsored, promoted or administered by an individual, an organization or any other agency.
  - **14.2.4.4 International Competition/Summers.** Competition by a student-athlete representing an institution in international competition during the summer-vacation period shall not affect the student-athlete's seasons of eligibility, provided the competition has been certified by the institution per Bylaw 30.7.1 or sanctioned by the Management Council, by a two-thirds majority of its members present and voting, and the request for Management Council sanction has been made by the institution at least 30 days before the competition. (*Revised:* 1/11/00 effective 8/1/00)
  - **14.2.4.5 Foreign-Tour Competition.** A student-athlete who did not compete during the institution's season just completed and who represents the institution in a certified foreign tour after that intercollegiate season and before the start of the next academic year shall be charged with a season of eligibility for the preceding season.
- 14.2.5 Hardship Waiver. A student-athlete may be granted an additional year of competition by the conference or the Committee on Student-Athlete Reinstatement for reasons of "hardship." Hardship is defined as an incapacity resulting from an injury or illness that has occurred under all of the following conditions:
- (a) The incapacitating injury or illness occurs in one of the four seasons of intercollegiate competition at any two-year or four-year collegiate institution; (Revised: 1/10/92 effective 8/1/92)
- (b) The injury or illness occurs before the completion of the first half of the traditional playing season in that sport (measured by the number of completed contests or dates of competition rather than scheduled contests or dates of competition or calendar days) and results in incapacity to compete for the remainder of the traditional playing season; and
- (c) The injury or illness occurs when the student-athlete has not participated in more than three contests or dates of competition (whichever is applicable to that sport) or one-third (whichever number is greater) of the institution's completed contests or dates of competition in his or her sport. Only competition (excluding preseason scrimmages and exhibition contests but including scrimmages and exhibitions after the first regularly scheduled contest) against outside participants during the traditional playing season, or, if so designated, during the official NCAA championship playing season in that sport (e.g., spring baseball, fall soccer), shall be countable under this limitation in calculating both the number of contests or dates of competition in which the student-athlete has participated and the number of completed contests or dates of competition during that season in the sport. (Revised: 1/10/92, 1/14/97 effective 8/1/97)
  - **14.2.5.1 Administration of Hardship Waiver.** The hardship waiver shall be administered by the member conferences of the Association or, in the case of an independent member institution, by the

Committee on Student-Athlete Reinstatement.

- **14.2.5.2 Criteria for Administration of Hardship Waiver.** The following criteria are to be employed in the administration of the hardship waiver:
  - **14.2.5.2.1 Nature of Injury/Illness.** It is not necessary for the incapacitating injury or illness to be the direct result of the student's participation in the institution's organized practice or game competition. The student-athlete may qualify for the hardship waiver as a result of any incapacitating injury or illness occurring after the individual becomes a student-athlete by reporting on call for regular squad practice or after attending the first day of classes as a full-time student at a member institution.
  - **14.2.5.2.2 Medical Documentation.** Contemporaneous medical documentation shall be submitted with any hardship-waiver request. (Adopted: 1/12/99)
  - **14.2.5.2.3 First-Half-of-Season Calculation.** In determining if an injury or illness occurs in the first half of the traditional season in a sport with an odd number of contests or dates of competition, the injury or illness must have occurred before the beginning of the varsity contest or date of competition that starts the second half of the traditional season (e.g., an injury or illness occurring at any time after the beginning of the fifth game of a nine-game football schedule would be considered to be after the first half of the institution's season and would not qualify the student-athlete for a hardship waiver). (*Revised:* 1/14/97 effective 8/1/97)
  - **14.2.5.2.4 Reinjury in Second Half of Season.** A student-athlete who suffers an injury in the first half of the traditional season, attempts to return to competition during the second half of the traditional season and then is unable to participate further as a result of aggravating the original injury does not qualify for the hardship waiver.
  - **14.2.5.2.5 Percent Calculation.** The following requirements are to be met in determining the percent calculation under this waiver provision: (Note: The percent calculation requirements set forth in Bylaws 14.2.5 and 14.2.5.2.3 apply only to the waiver provisions of this section and do not apply to the maximum- and minimum-contests requirements in Bylaw 20.)
    - **14.2.5.2.5.1 Denominator in Percent Computation.** The denominator in the institution's percent calculation shall be based on the institution's number of completed varsity contests or dates of competition [see Bylaw 14.2.5-(c)] as computed for playing and practice season purposes in Bylaw 17 for the applicable sport. (Note: Exempted events in Bylaw 17 are included in the percent calculation.) (*Revised: 1/14/97 effective 8/1/97, Revised: 4/15/98*)
    - **14.2.5.2.5.2 Fraction in Percent Computation.** Any computation of the percent limitation that results in a fractional portion of a contest or date of competition shall be rounded to the next whole number (e.g., 33 percent of a 25-game basketball schedule—8.3 games—shall be considered nine games). (Revised: 1/14/97 effective 8/1/97)
    - **14.2.5.2.5.3 Conference Championships.** A conference championship shall be counted as one contest or date of competition in determining the institution's completed contests or dates of competition in that sport, regardless of the number of days or games involved in the championship. However, for purposes of this regulation, the calculation of completed contests or dates of competition in a particular season does not include postseason competition conducted after the completion of the institution's regular-season schedule and conference tournament. (*Revised: 1/14/97 effective 8/1/97*)
  - **14.2.5.2.6 Foreign-Tour Competition.** A student-athlete who qualifies for a hardship for the previous academic year would use a season of competition if the student-athlete represents the institution on a certified foreign tour during the summer-vacation period at the conclusion of that academic year. (Adopted: 1/10/92)
- 14.2.6 Season-of-Competition Waiver. In conjunction with a request for restoration of eligibility and any conditions imposed thereon per Bylaw 14.11, a student-athlete may be granted an additional season of competition by the Committee on Student-Athlete Reinstatement when he or she participated in a limited amount of competition as a result of a good-faith, erroneous formal declaration of eligibility by the institution's appropriate certifying authority or a student-athlete's good-faith, erroneous reliance on a coaching staff member's decision to put the student-athlete into competition prior to the coaching staff member receiving a formal declaration of the student-athlete's eligibility from the institution's appropriate certifying authority. The competition must have occurred under all of the following conditions: (Adopted: 1/16/93, Revised: 1/12/99)
- (a) The competition occurred while the student-athlete was representing an NCAA member institution:
- (b) The competition occurred within 60 days of the date the student-athlete first reported for athletics participation;
- (c) The student-athlete did not participate in more than two events or 10 percent (whichever number is

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- greater) of the institution's completed events in his or her sport. All competition (including a scrimmage) against outside participants shall be countable under this limitation in calculating both the number of events in which the student-athlete participated and the number of completed events during that season (traditional and nontraditional) in the sport;
- (d) The student-athlete was involved innocently and inadvertently in the erroneous determination or declaration of eligibility, which permitted the student-athlete to compete while ineligible; and (Revised: 1/12/99)
- (e) In the case of a coaching staff member's erroneous decision, the student-athlete had reason to believe he or she would be eligible to participate, and the student-athlete did not contribute to the coaching staff member's erroneous decision to allow the student-athlete to participate. (Adopted: 1/12/99)
  - **14.2.6.1 Ten-Percent Calculation.** The requirements specified in Bylaw 14.2.5.2.5 shall apply to the 10-percent calculation specified in this waiver. (*Adopted:* 1/16/93)

# 14.4 SATISFACTORY-PROGRESS REQUIREMENTS

- 14.4.1 Satisfactory-Progress Requirements. To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall maintain satisfactory progress toward a baccalaureate or equivalent degree at that institution as determined by the regulations of that institution. As a general requirement, "satisfactory progress" is to be interpreted at each member institution by the academic authorities who determine the meaning of such phrases for all students, subject to controlling legislation of the conference(s) or similar association of which the institution is a member. (See Constitution 3.2.4.10 regarding the obligations of members to publish their satisfactory-progress requirements for student-athletes.) (Note: The restrictions, exceptions and waivers set forth in Bylaws 14.4.1.2.1, 14.4.1.2.2 and 14.4.1.3 also apply to the general requirements for good academic standing and satisfactory progress.)
  - **14.4.1.1 Exchange Student.** A bona fide exchange student as defined in Bylaw 14.5.1.7.1 shall maintain satisfactory progress toward a baccalaureate or equivalent degree at the student's preceding educational institution.
  - **14.4.1.2 Temporary Student.** A student-athlete having the status of temporary, transient or exchange student shall not represent an institution in intercollegiate athletics competition unless such status is specifically allowed and governed by provisions adopted by the membership.
    - **14.4.1.2.1 Prior Approval—Summer Courses at Other Institutions.** Prior approval by appropriate academic officials of the certifying institution is required if courses taken during another institution's summer term are to be utilized in determining the student's academic status (i.e., good academic standing and satisfactory progress). Under limited circumstances, the Management Council may grant waivers for student-athletes who did not request or receive prior approval.
    - **14.4.1.2.2** Correspondence and Extension Courses from Another Institution. Correspondence, extension and credit-by-examination courses taken from an institution other than the one in which a student-athlete is enrolled as a full-time student shall not be used in determining a student's academic standing or satisfactory progress.
      - **14.4.1.2.2.1 Waivers.** Waivers of this restriction may be granted by the Management Council in response to written requests from member institutions. (*Revised: 1/9/96 effective 8/1/96*)
      - **14.4.1.2.2.2 Centralized Correspondence-Course Exception.** In a state that centralizes the offering of correspondence courses through one state institution, a student enrolled in another of the state's institutions may use a correspondence course from the institution authorized to offer such courses in determining the student's academic standing or satisfactory progress.
  - **14.4.1.3 Waiver—Olympic Games.** The Management Council may waive this general satisfactory-progress requirement for any participant in the Olympic Games who, because of such participation, may lose eligibility for practice and competition in any sport.

#### 14.5 TRANSFER REGULATIONS

- 14.5.1 Residence Requirement—General Principle. A student who transfers (see Bylaw 14.5.2) to a member institution from any collegiate institution is required to complete one full academic year of residence at the certifying institution before being eligible to compete for or to receive travel expenses from the member institution (see Bylaw 16.8.1.2), unless the student satisfies the applicable transfer requirements or receives an exception or waiver as set forth in this section. (Revised: 1/10/91 effective 8/1/91)
  - **14.5.1.1 Exception.** A student who has not previously participated in intercollegiate athletics and who transfers from a two-year or four-year collegiate institution to a Division III institution shall be immediately eligible, under the Association's transfer regulations, to compete for the institution, including NCAA champi-

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onship competition. If the student has ever participated in intercollegiate athletics, the student must have been academically and athletically eligible had he or she remained at that institution. Further, a student who has ever participated in intercollegiate athletics and transfers from a four-year institution to a two-year college, and then to the certifying institution, also must have been athletically and academically eligible had he or she remained at the previous four-year institution or must have successfully completed at least 24-semester or 36-quarter hours of transferable degree credit and spent at least two semesters or three quarters of attendance at the two-year college. (Revised: 1/10/91 effective 8/1/91, Revised: 1/13/98 effective 8/1/98)

**14.5.1.2 Determination of Year of Residence.** To satisfy an academic year of residence, a student shall:

- (a) Be enrolled in and complete a minimum full-time program of studies for two full semesters or three full quarters; or
- (b) Be enrolled in a minimum full-time program of studies for two full semesters or three full quarters and pass a number of hours that is at least equal to the sum total of the minimum load of each of the required terms.
  - **14.5.1.2.1 Summer Term.** A summer term shall not be used to satisfy a term of residence, but hours earned at the certifying institution during the summer may be used to satisfy the requirements of (b) above.
- **14.5.1.3** Fulfillment of Residence Requirement in Night School. When a student transfers to a member institution and is required to fulfill a residence requirement before being eligible to participate in competition, it is permissible for the transfer student to meet the requirement by attending an institution's night school, provided the following conditions are met:
- (a) The night school has regular terms (semesters or quarters) that are the same as the institution's day school;
- (b) The student is enrolled in a minimum full-time program of studies during each night term counted; and
- (c) The student is considered by the institution to be a regularly matriculated student in each term.
- **14.5.1.4 Disciplinary Suspension.** A student who transfers to any NCAA institution from a collegiate institution while the student is disqualified or suspended from the previous institution for disciplinary reasons (as opposed to academic reasons) must complete one calendar year of residence at the certifying institution. (Revised: 1/14/97 effective 8/1/97)
- **14.5.1.5** Eligibility for Championship in Progress. A transfer student shall be eligible for any NCAA championship that is in progress after a full calendar year has elapsed and at the time he or she has completed two full semesters or three full quarters of academic work.
- **14.5.1.6 Foreign Institution Transfers.** A transfer student from a foreign collegiate institution (college, university or two-year college), except one entering as a bona fide exchange student, shall comply with the one-year residence requirement set forth in Bylaw 14.5.5.1.
  - **14.5.1.6.1** Bona Fide Foreign Exchange Student Exception. A bona fide foreign exchange student is an individual who is sponsored by his or her nation's government, or is sponsored by the U.S. Department of State, Rotary International, the Ford Foundation, the Institute of International Education or a similar organization. For a student to be considered a bona fide exchange student, the sponsoring organization shall identify the student before the student's departure from his or her home country and make the necessary arrangements to finance the student's education under the foreign exchange program. If these arrangements have not been completed before the student's enrollment at the member institution, the student is considered to be a transfer student and may not represent the certifying institution in competition until the individual has met the required residence requirement.
- 14.5.2 Conditions Affecting Transfer Status. A transfer student is an individual who transfers from a collegiate institution after having met any one of the following conditions at that institution:
- (a) The student was officially registered and enrolled in a minimum, full-time program of studies in any quarter or semester of an academic year, as certified by the registrar or admissions office, provided the student was present at the institution on the opening day of classes;
- (b) The student attended a class or classes in any quarter or semester in which the student was enrolled in a minimum full-time program of studies, even if the enrollment was on a provisional basis and the student was later determined by the institution not to be admissible;
- (c) The student is or was enrolled in an institution in a minimum full-time program of studies in a night school that is considered to have regular terms (semesters or quarters) the same as the institution's day school, and the student is or was considered by the institution to be a regularly matriculated student;

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- (d) The student attended a branch school that does not conduct an intercollegiate athletics program, but the student had been enrolled in another collegiate institution before attendance at the branch school;
- (e) The student attended a branch school that conducted an intercollegiate athletics program and transfers to an institution other than the parent institution;
- (f) The student reported for a regular squad practice (including practice or conditioning activities that occur prior to certification per Bylaws 14.3.5.1 and 14.5.4.4.6), announced by the institution through any member of its athletics department staff, before the beginning of any quarter or semester, as certified by the athletics director. Participation only in picture-day activities would not constitute "regular practice;"
- (g) The student participated in practice or competed in a given sport even though the student was enrolled in less than a minimum full-time program of studies; or
- (h) The student received institutional financial aid while attending a summer term, summer school or summer-orientation program (see Bylaw 15.2.7). (Adopted: 1/10/90)
- 14.5.3 Conditions Not Constituting Transfer Status. Unless otherwise covered by conditions set forth in Bylaw 14.5.2, a student-athlete is not considered a transfer under the following enrollment conditions:
  - **14.5.3.1 Summer School, Extension Courses or Night School.** The student has been enrolled in or attended classes only in a summer school, extension course or night school, unless the night school is considered by the institution to be a regular term (semester or quarter) the same as its day school, the student is enrolled for a minimum full-time load in this regular night term, and the student is considered by the institution to be a regularly enrolled student.
  - **14.5.3.2 Second Campus of Institution.** The student is in residence at an institution's campus that is not in the same city as the institution's main campus, provided the campus at which the student is in residence does not conduct an intercollegiate athletics program, classes on the campus are taught by the same instructors who teach classes on the main campus, the credits received by all class enrollees are considered as regular credits by the institution's main campus, and the degrees awarded to all students come from the institution's main campus.
  - **14.5.3.3 Academic Exchange Program.** The student participates in a regular academic exchange program between two four-year institutions that requires a participant to complete a specified period of time at each institution, and the program provides for the student-athlete to receive at least two baccalaureate or equivalent degrees at the conclusion of this joint academic program.
- 14.5.4 Two-Year College Transfers. A student who transfers to a member institution from a two-year college or from a branch school that conducts an intercollegiate athletics program must complete an academic year of residence unless the student meets the following eligibility requirements applicable to the division of which the certifying institution is a member. Further, a transfer student-athlete admitted after the 12th class day may not use that semester or quarter for the purpose of establishing residency. (*Revised: 1/10/92*)
  - 14.5.4.1 To Division III Institutions. A student who has not previously participated in intercollegiate athletics and who transfers from a two-year college or from a branch school that conducts an intercollegiate athletics program to a Division III member institution shall be immediately eligible, under the Association's transfer regulations, to compete for the institution, including NCAA championship competition. If the student has ever participated in intercollegiate athletics, the student must have been academically and athletically eligible had he or she remained at that institution. Further, a student who has ever participated in intercollegiate athletics and transfers from a four-year institution to a two-year college, and then to the certifying institution, also must have been athletically and academically eligible had he or she remained at the previous four-year institution or must have successfully completed at least 24-semester or 36-quarter hours of transferable-degree credit and spent at least two semesters or three quarters of attendance at the two-year college. (Revised: 1/10/91 effective 8/1/91, Revised 1/13/98 effective 8/1/98, Revised: 1/12/99 effective 8/1/99)
    - **14.5.4.1.1 Multiple Two-Year Colleges.** It is permissible for a 4-2-4 transfer student-athlete who attended multiple two-year colleges to use credits earned at the two-year colleges to satisfy the credit-hour requirements set forth in Bylaw 14.5.4.1. The student-athlete may combine terms of part-time and full-time attendance at any of the two-year colleges to satisfy the minimum two semester or three quarter attendance requirement. (Adopted: 5/5/00)
    - **14.5.4.1.2 Two-Year Nonparticipation Exception.** The student transfers to the certifying institution from a two-year college or from a branch school that conducts an intercollegiate athletics program and, for a consecutive two-year period immediately prior to the date on which the student begins participation (practice and/or competition), the student has neither practiced nor competed in the involved sport in intercollegiate competition, or has neither practiced or competed in organized noncollegiate amateur competition while enrolled as a full-time student in a collegiate institution. This

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two-year period does not include any period of time prior to the student's initial collegiate enrollment. (Adopted: 1/11/00)

#### 14.5.5 Four-Year College Transfers

See Bylaw 13.1.1.2 for prohibition against contacting student-athletes of another four-year collegiate institution without permission of that institution's athletics director.

- **14.5.5.1 To Divisions I, II and III.** A transfer student from a four-year institution shall not be eligible for intercollegiate competition until the student has fulfilled a residence requirement of one full academic year (two full semesters or three full quarters) at the certifying institution. Further, a transfer student-athlete admitted after the 12th class day may not use that semester or quarter for the purpose of establishing residency. (*Revised:* 1/10/91 effective 8/1/91)
- **14.5.5.2** Exceptions or Waivers for Transfers from Four-Year Colleges. A transfer student (other than one under disciplinary suspension per Bylaw 14.5.1.4) from a four-year collegiate institution is not subject to the residence requirement for intercollegiate competition, provided the student does not have an unfulfilled residence requirement at the institution from which he or she is transferring and any of the exceptions (set forth in Bylaw 14.5.5.2) are satisfied.
  - **14.5.5.2.1 Exception.** The student has not previously participated in intercollegiate athletics and transfers from a four-year collegiate institution to a Division III institution. If the student has ever participated in intercollegiate athletics, the student must have been academically and athletically eligible for competition had he or she remained at that institution. (Revised: 1/14/97 effective 8/1/97)
  - **14.5.5.2.2 Exchange Student Exception.** The student is enrolled in the certifying institution for a specified period of time as a bona fide exchange student participating in a formal educational exchange program that is an established requirement of the student-athlete's curriculum. (*Revised:* 1/11/89, 1/10/92)
  - **14.5.5.2.3 Two-Year Nonparticipation Exception.** The student transfers to the certifying institution from another four-year college and, for a consecutive two-year period immediately before the date on which the student begins participation (practice and/or competition), the student has neither practiced nor competed in the involved sport in intercollegiate competition, and has neither practiced nor competed in organized noncollegiate amateur competition while enrolled as a full-time student in a collegiate institution. This two-year period does not include any period of time prior to the student's initial collegiate enrollment. (*Revised:* 1/10/95)

# 14.6 HIGH-SCHOOL ALL-STAR GAMES, EFFECTS ON ELIGIBILITY

A student-athlete shall be denied the first year of intercollegiate athletics competition if, after completion of high-school eligibility in the student-athlete's sport and before the student-athlete's high-school graduation, the student-athlete competes in more than two all-star football contests or two all-star basketball contests. (See Bylaw 30.2.1.1 for definition of high-school all-star contest.)

# 14.7 OUTSIDE COMPETITION, EFFECTS ON ELIGIBILITY

The eligibility of a student-athlete who engages in outside competition (see 17.02.9) is affected as set forth in the following regulations.

- 14.7.1 Outside Competition, Sports Other Than Basketball. A student-athlete becomes ineligible for intercollegiate competition for the remainder of the season in his or her sport (other than basketball) if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate squad or team, he or she competes or has competed as a member of any outside team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) during the institution's intercollegiate season in the sport (see Bylaw 14.7.5 for exceptions and waivers) unless restored to eligibility before that time by the Committee on Student-Athlete Reinstatement. (Revised: 1/16/93, 1/11/94)
- 14.7.2 Outside Competition, Basketball. A student-athlete who participates in any organized basketball competition except while representing the institution in intercollegiate competition in accordance with the permissible playing season specified in Bylaw 17.5 becomes ineligible for any further intercollegiate competition in the sport of basketball (see Bylaw 14.7.5 for exceptions and waivers).
- 14.7.3 Additional Applications of Outside-Competition Regulations, Sports Other Than Basketball
  - **14.7.3.1 Definition of Team Member.** A student-athlete is considered to be a member of the institution's team in a sport in any specified year when the student meets any of the conditions set forth in Bylaw 14.7.3.2 and/or reports for practice or competition in that sport in that year. Thereafter, for purposes of this rule, the student-athlete is considered to be a member of that team for the remainder of the season.

# Outside Competition/14.7.3.1.1—14.7.4.4

- **14.7.3.1.1 Exception.** A student-athlete who fails to make the institution's team after participation only in limited preseason tryouts shall not be considered a member of the team for purposes of this regulation. (*Adopted: 1/16/93*)
- **14.7.3.2 Eligibility Status.** A student-athlete is considered to be a member of the institution's team, and therefore bound by this regulation in that sport, if the student-athlete:
- (a) Is ineligible to compete but practiced with a squad or team in the sport; or
- (b) Is eligible to compete but was not permitted to do so, other than taking part in practice sessions with the squad or team in the sport.
  - **14.7.3.2.1 Exception.** A student-athlete who fails to make the institution's team after participation only in limited preseason tryouts shall not be considered a member of the team for purposes of this regulation. (*Adopted:* 1/16/93)
- **14.7.3.3 Competition Between Seasons.** If an institution conducts separate fall and spring practice or playing seasons in a sport, it is permissible for a student-athlete to participate in that sport on an outside team during the period between the two seasons without affecting his or her eligibility. (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
- **14.7.3.4 Postseason Competition.** An institution's intercollegiate season includes any scheduled participation in the conference championship in the sport in question but excludes the period between the last regularly scheduled competition and the NCAA championship in that sport.
- **14.7.3.5 Competition as Individual/Not Representing Institution.** It is permissible for a student-athlete to participate in outside competition as an individual during the academic year in the student-athlete's sport, as long as the student-athlete represents only himself or herself in the competition and does not engage in such competition as a member of or receive expenses from an outside team.
- **14.7.3.6 Exempt Teams.** In the individual sports (see Bylaw 17.02.12.2), such units as "pro-am" golf teams, doubles tennis teams, and relay teams in track and field are not considered to be outside teams for purposes of this legislation.
- 14.7.4 Additional Applications of Outside-Competition Regulations, Basketball
  - **14.7.4.1 Organized Basketball Competition.** Outside basketball competition, including competition involving teams with fewer than five players, shall be considered "organized" if any one of the following conditions exists:
  - (a) Teams are regularly formed, or team rosters are predetermined;
  - (b) Competition is scheduled and publicized in advance;
  - (c) Official score is kept;
  - (d) Individual or team standings are maintained;
  - (e) Official timer or game officials are used;
  - (f) Team uniforms are used;
  - (g) Admission is charged;
  - (h) A team is privately or commercially sponsored; or
  - Competition is either directly or indirectly sponsored, promoted or administered by an individual, an organization or any other agency.
  - **14.7.4.2** Individual Subject to Outside-Competition Regulations. Once a student-athlete becomes a candidate for the institution's basketball team (i.e., has reported for the squad), or if the student was recruited by a member institution in part for basketball ability and subsequently enrolls in the institution, this prohibition against outside organized basketball competition remains applicable until the student-athlete's intercollegiate basketball eligibility has been exhausted. This includes time while the student is officially withdrawn from college, fulfilling a residence requirement subsequent to transfer to another college, awaiting transfer or enrolled in a nonmember collegiate institution.
  - **14.7.4.3 Nonrecruited, Nonparticipant.** Participation by a student-athlete in organized basketball competition while enrolled in a member institution and having participated in a sport other than basketball would not jeopardize the student's eligibility in the sport of basketball if the individual had not been recruited in basketball and had not participated on or been a candidate for the institution's intercollegiate basketball team.

# 14.7.4.4 Additional Exceptions for Basketball Only

(a) **Summer Competition.** There are no restrictions on the participation of student-athletes in outside basketball competition during the summer. (*Revised: 1/11/89, 1/14/97*)

# Outside Competition/14.7.4.4—Waivers for Eligibility/14.8.1.2

- (b) **Puerto Rico Superior Basketball League.** A student-athlete who is a resident of Puerto Rico may participate in the Superior Basketball League of Puerto Rico.
- (c) United States versus U.S. National Teams. A student-athlete may participate in the United States against U.S. national teams. (Adopted: 1/14/97 effective 8/1/97)
- 14.7.5 Exceptions to Outside-Competition Regulations. The following exceptions to the outside-competition regulations are permitted.

#### 14.7.5.1 In All Sports

- (a) **High-School Alumni Game.** A student-athlete may compete in one game a year involving players from the student-athlete's former high school and its alumni team. In sports other than basketball, this game must take place during an official vacation period of the institution's academic year.
- (b) **Olympic Games.** A student-athlete may participate in the official Olympic Games, in final tryouts that directly qualify competitors for the Olympic Games and in officially recognized competition directly qualifying participants for final Olympic Games tryouts. (Revised: 1/14/9 effective 8/1/97)
- (c) Official Pan American Games Tryouts and Competition. A student-athlete may participate in official Pan American Games tryouts and competition. (Adopted: 1/14/97 effective 8/1/97)
- (d) **U.S. National Teams.** A student-athlete may participate in official tryouts and competition involving national teams sponsored by the appropriate national governing bodies of the U.S. Olympic Committee (or, for the student-athletes representing another nation, the equivalent organization of that nation or, for student-athletes competing in a non-Olympic sport, the equivalent organization of that sport). (Adopted: 1/14/97 effective 8/1/97)
- (e) Official World Championships and World Cup Tryouts and Competition. A student-athlete may participate in official World Championships and World Cup tryouts and competition. (Adopted: 1/14/97 effective 8/1/97)
- (f) **Multisport Events.** A student-athlete may participate in officially recognized state and national multisport events. (*Adopted:* 1/14/97 *effective* 8/1/97)
- **14.7.5.2 National-Team Criteria.** The criteria for determining a national team under this regulation are set forth in Bylaw 30.8.1. (*Adopted:* 1/14/97 effective 8/1/97)
- 14.7.6 Collegiate All-Star Contests. A student-athlete who competes as a member of a squad in any college all-star contest shall be denied further intercollegiate eligibility in that sport. (Revised: 1/11/00 effective 8/1/00)

# 14.8 ADDITIONAL WAIVERS FOR ELIGIBILITY REQUIREMENTS

Conditions under which exceptions are permitted or waivers may be granted to specific eligibility requirements in this bylaw are noted in other sections of this bylaw. Other than these, there shall be no waiver by the Association of any of the provisions of this bylaw except as follows.

- 14.8.1 Management Council Waivers. The Management Council, by a two-thirds majority of its members present and voting, may waive specific provisions of this bylaw as follows.
  - **14.8.1.1 Academic and General Requirements.** The academic and general eligibility requirements may be waived under the following conditions or circumstances:
  - (a) For student-athletes in times of national emergency;
  - (b) For member institutions that have instituted a trimester or other accelerated academic program, provided any member institution applying for a waiver shall demonstrate a reasonable need for such waiver. Further, the Management Council shall grant no waiver that permits a student-athlete to compete in more than four seasons of intercollegiate competition. Under the waiver allowed, if a student in an accelerated academic program completes the requirements for a degree before completing eligibility, the student may participate in competition that begins within 90 days after completion of the requirements for the degree. The Management Council shall include a report of each such exception in its annual report to the Convention; and
  - (c) For institutions that have suffered extraordinary personnel losses from one or more of their intercollegiate athletics teams due to accident or illness of a disastrous nature.
  - **14.8.1.2 Residence Requirement.** The one-year residence requirement for student-athletes may be waived under the following conditions or circumstances:
  - (a) For a student-athlete who transfers to a member institution for reasons of health. Such request for waiver shall be initiated by the member institution from which the student-athlete is transferring

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# Waivers for Eligibility/14.8.1.2—Ineligibility/14.10.4.2

- and shall be supported by medical recommendations of that institution's team physician and/or the student-athlete's personal physician;
- (b) For a student-athlete who transfers to a member institution after loss of eligibility due to a violation of the regulation prohibiting pay for participation in intercollegiate athletics (see Bylaw 12.1.1) or a violation of recruiting regulations (see Bylaw 13.01.1). The Management Council may waive these requirements only upon a determination of the innocence or inadvertent involvement of the student-athlete in the violation; and
- (c) On the recommendation of the Committee on Infractions, for a student-athlete who transfers to a member institution to continue the student-athlete's opportunity for full participation in a sport because the student-athlete's original institution was placed on probation by the NCAA with sanctions that would preclude the institution's team in that sport from participating in postseason competition during all of the remaining seasons of the student-athlete's eligibility (see also Bylaw 13.1.1.2.3). (Revised: 1/10/92)

# 14.9 U.S. SERVICE ACADEMIES, SPECIAL ELIGIBILITY PROVISIONS

- 14.9.1 Ten-Semester/15-Quarter Rule. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the 10-semester/15-quarter rule (see Bylaw 14.2) for student-athletes of the national service academies who have exhausted eligibility in one sport but wish to compete in another sport or sports in which they have eligibility remaining.
- 14.9.2 Transfer Status. A student who has attended as a freshman (plebe) only in the official summerenrollment program of one of the four national service academies is not considered a transfer in the application of the transfer regulations of Bylaw 14.5.

# 14.10 INELIGIBILITY

- 14.10.1 Obligation of Member Institution to Withhold Student-Athlete from Competition. If a student-athlete is ineligible under the provisions of the constitution, bylaws or other regulations of the Association, the institution shall be obligated to apply immediately the applicable rule and to withhold the student-athlete from all intercollegiate competition. The institution may appeal to the Committee on Student-Athlete Reinstatement for restoration of the student-athlete's eligibility as provided in Bylaw 14.11 if it concludes that the circumstances warrant restoration.
- 14.10.2 Ineligibility Resulting from Recruiting Violation. An institution shall not enter a student-athlete (as an individual or as a member of a team) in any intercollegiate competition if it is acknowledged by the institution or established through the Association's enforcement procedures that the institution or representative(s) of its athletics interests violated the Association's legislation in the recruiting of the student-athlete. The institution may appeal to the Committee on Student-Athlete Reinstatement for restoration of the student-athlete's eligibility as provided in Bylaw 14.11 if it concludes that circumstances warrant restoration.
  - **14.10.2.1 Payment of Legal Fees during Appeal.** A member institution may provide actual and necessary expenses for a prospect to attend proceedings conducted by the institution, its athletics conference or the NCAA that relate to the prospect's eligibility to participate in intercollegiate athletics, provided the prospect has been accepted for enrollment by the institution and has provided written confirmation of his or her intent to enroll at the institution. The cost of legal representation in such proceedings also may be provided by the institution (or a representative of its athletics interests).
- 14.10.3 Application of Ineligibility Ruling Pending Appeal. Once an official interpretation (per Constitution 5.4.1.2) applicable to a member institution has been issued and results in the ineligibility of a student-athlete, it is necessary for the institution to apply the rule to the eligibility of the student-athlete, even if review of the interpretation (per Constitution 5.4.1.2 or 5.4.1.4) at the request of the institution is pending. Failure to withhold such a student-athlete from competition is a violation of the conditions and obligations of membership.
- 14.10.4 Ineligible Participation
  - **14.10.4.1 Loss of Eligibility.** A student-athlete shall be denied eligibility for intercollegiate competition in a sport if he or she participates in intercollegiate competition in that sport while ineligible under this bylaw or other applicable NCAA legislation. The certifying institution may appeal to the Committee on Student-Athlete Reinstatement for restoration of the student-athlete's eligibility if it concludes that the circumstances warrant restoration (see Bylaw 14.11).
  - **14.10.4.2 Penalty for Ineligible Participation in NCAA Championship.** An ineligible student-athlete who participates in an NCAA championship forfeits eligibility for all NCAA championships for one season. The one season shall be the season immediately after disclosure of the ineligible participation.

# 14.11 RESTORATION OF ELIGIBILITY

- 14.11.1 Basis for Appeal. When a student-athlete is determined to be ineligible under any applicable provision of the constitution, bylaws or other regulations of the Association, the member institution, having applied the applicable rule and having withheld the student-athlete from all intercollegiate competition, may appeal to the Committee on Student-Athlete Reinstatement for restoration of the student's eligibility, provided the institution concludes that the circumstances warrant restoration of eligibility.
- 14.11.2 Participation in Appeal Hearing. Any appeal to restore a student-athlete's eligibility shall be submitted in the name of the institution by the chief executive officer (or an individual designated by the chief executive officer), faculty athletics representative, senior woman administrator or athletics director (for the men's or women's program). At least one of those individuals must participate in any hearing of the appeal that involves direct participation by the student-athlete or other individuals representing the institution or the student. (*Revised:1/11/94*)
- 14.11.3 Student Responsibility, Relationship to Restoration of Eligibility. A student-athlete is responsible for his or her involvement in a secondary or major violation of NCAA regulations (as defined in Bylaws 19.02.2.1 and 19.02.2.2), and the Committee on Student-Athlete Reinstatement may restore the eligibility of a student involved in any violation only when circumstances clearly warrant restoration. The eligibility of a student-athlete involved in a major violation shall not be restored other than through an exception authorized by the Committee on Student-Athlete Reinstatement in a unique case on the basis of specifically stated reasons.

# **BYLAW, ARTICLE 15**

# Financial Aid

15.01 General Principles	15.2 Elements of Financial Aid
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# 15.01 GENERAL PRINCIPLES

15.01.1 Institutional Financial Aid. A student-athlete may receive scholarships or educational grants-in-aid administered by (see Bylaw 15.02.1) an educational institution that do not conflict with the governing legislation of this Association.

**15.01.1.1 Financial Aid to Attend Another Institution.** An institution may not provide financial aid to a student-athlete to attend another institution, except as specifically authorized by NCAA legislation. (*Adopted:* 1/16/93)

15.01.2 Improper Financial Aid. Any student-athlete who receives financial aid other than that permitted by the Association shall not be eligible for intercollegiate athletics.

15.01.3 Financial Aid Not Administered by Institution. Any student who receives financial aid other than that administered by the student-athlete's institution shall not be eligible for intercollegiate athletics competition, unless it is specifically approved under the Association's rules of amateurism (see Bylaw 12) or the aid is:

- (a) Received from one on whom the student-athlete is naturally or legally dependent;
- (b) Awarded solely on bases having no relationship to athletics ability;
- (c) Awarded through an established and continuing program to aid students, of which athletics participation shall not be the major criterion (e.g., National Merit Scholarship), under the conditions listed in Bylaw 15.2.5.4; or
- (d) Awarded through an established and continuing program for recognition of outstanding high-school graduates, of which athletics participation may be a major criterion (e.g., honorary high-school award), under the conditions listed in Bylaw 15.2.5.6.
- 15.01.4 Contributions by Donor. It is not permissible for a donor to contribute funds to finance a scholarship or grant-in-aid for student-athletes. (*Revised: 1/12/99*)
- 15.01.5 Eligibility of Student-Athletes for Institutional Financial Aid. A student-athlete must meet institutional regulations applicable to the general student body to be eligible for institutional financial aid. (Revised: 1/12/99 effective 8/1/99)
- 15.01.6 Institutional Financial Aid to Professional Athlete. An institution may not award financial aid to a student-athlete who is under contract to or currently receiving compensation from a professional sports organization (see Bylaw 15.3.1.5).
- 15.01.7 Maximum Institutional Financial Aid to Individual. An institution shall not award financial aid to a student-athlete that exceeds the cost of attendance that normally is incurred by students enrolled in a comparable program at that institution or that exceeds the limitations established by the membership division of the institution the student-athlete attends, whichever is less. Any financial aid permitted by a division that would result in a student-athlete's total financial aid exceeding the value of tuition and fees, room and board and required course-related books shall be based on the demonstrated financial need of the individual student-athlete (see Bylaws 15.02.2, 15.2.3 and 15.4).
- 15.01.8 Nonrecruited Student-Athlete. If a student-athlete was not recruited and receives institutional financial aid unrelated to athletics ability and in excess of a full grant-in-aid, the student may retain the aid for the period of the award without any adjustment. Once the original period of the award expires, renewal of the same financial aid in excess of the limitation is permissible only if the aid is renewed on the same basis as originally awarded.
- 15.01.9 Institutional Financial Aid. Institutions shall award financial aid to student-athletes only on the basis of financial need shown by the recipient (see Bylaw 15.4).

# General Principles/15.01—Definitions and Applications/15.02.2.1

The following Bylaw 15.01 was revised by the membership at the 2001 Convention, effective August 1, 2002.

#### 15.01 GENERAL PRINCIPLES

- 15.01.1 Institutional Financial Aid. A student-athlete may receive scholarships or educational grants-in-aid administered by (see Bylaw 15.02.1) an educational institution that do not conflict with the governing legislation of this Association.
  - **15.01.1.1 Financial Aid to Attend Another Institution.** An institution may not provide financial aid to a student-athlete to attend another institution, except as specifically authorized by NCAA legislation. (*Adopted:* 1/16/93)
- 15.01.2 Improper Financial Aid. Any student-athlete who receives financial aid other than that permitted by the Association shall not be eligible for intercollegiate athletics.
- 15.01.3 Institutional Financial Aid. A member institution shall not award financial aid to student-athletes based on athletics participation or performance.
- 15.01.4 Contributions by Donor. It is not permissible for a donor to contribute funds to finance a scholarship or grant-in-aid for student-athletes. (Revised: 1/12/99)
- 15.01.5 Athletics Funds or Endowments. No part of an institution's financial aid budget shall be set aside either for particular sports or for athletics in general, nor may an institution establish athletically related quotas of financial aid recipients. However, income from endowment funds that were received by the institution prior to January 1, 1979, and specifically designated for student-athletes can be awarded to student-athletes, provided the institution complies with the requirements set forth in Bylaw 15.4.8.
- 15.01.6 Athletics Need Fund. A member may not establish an "athletics need fund" for student-athletes and advertise its availability to prospective student-athletes who may qualify for need-based aid.
- 15.01.7 Eligibility of Student-Athletes for Institutional Financial Aid. A student-athlete must meet institutional regulations applicable to the general student body to be eligible for institutional financial aid. (Revised: 1/12/99 effective 8/1/99)
- 15.01.8 Institutional Financial Aid to Professional Athlete. An institution may not award financial aid to a student-athlete who is under contract to or currently receiving compensation from a professional sports organization (see Bylaw 15.3.1.5).
- 15.01.9 Maximum Institutional Financial Aid to Individual. An institution shall not award financial aid to a student-athlete that exceeds the cost of attendance that normally is incurred by students enrolled in a comparable program at that institution or that exceeds the limitations established by the membership division of the institution the student-athlete attends, whichever is less. Any financial aid permitted by a division that would result in a student-athlete's total financial aid exceeding the value of tuition and fees, room and board and required course-related books shall be based on the demonstrated financial need of the individual student-athlete (see Bylaws 15.02.2, 15.2.3 and 15.4).

# 15.02 DEFINITIONS AND APPLICATIONS

- 15.02.1 "Administered By." Financial aid is administered by an institution if the institution, through its regular committee or other agency for the awarding of financial aid to students generally, makes the final determination of the student-athlete who is to receive the award and of its value.
- 15.02.2 Financial Aid. "Financial aid" is funds provided to student-athletes from various sources to pay or assist in paying their cost of education at the institution. As used in NCAA legislation, "financial aid" includes all institutional financial aid and other permissible financial aid as set forth below.
  - **15.02.2.1 Institutional Financial Aid.** The following sources of financial aid are considered to be institutional financial aid:
  - (a) All funds administered by the institution, which include but are not limited to the following: (Revised: 1/11/94 effective 8/1/94, Revised: 1/14/97 effective 8/1/97)
    - (1) Scholarships,
    - (2) Grants.
    - (3) Tuition waivers,
    - (4) Employee dependent tuition benefits,
    - (5) Loans, and
    - (6) Employment earnings from federal and state work-study program assistance; (Revised: 1/12/99 effective 8/1/99)

- (b) Aid from government or private sources for which the institution is responsible for selecting the recipient or determining the amount of aid, or providing matching or supplementary funds for a previously determined recipient; and
- (c) For the student-athlete recruited by the institution, financial aid awarded through an established and continuing outside program (e.g., National Football Foundation) for the recognition of outstanding high-school graduates in which athletics participation may be a major criterion, as outlined in Bylaw 15.2.5.6. This aid counts against the individual's full-grantin-aid limit.

#### **15.02.2.2 Other Permissible Financial Aid.** The following sources of financial aid are also permitted:

- (a) Financial aid received from anyone on whom the student-athlete is naturally or legally dependent;
- (b) Financial aid awarded solely on bases having no relationship to athletics ability;
- (c) Financial aid awarded through an established and continuing outside program (e.g., National Merit Scholar) in which athletics participation is not the major criterion, as outlined in Bylaw 15.2.5.4, but which counts against the recruited student-athlete's full-grant-in-aid limit;
- (d) For the student-athlete not recruited by the institution, financial aid awarded through an established and continuing outside program (e.g., National Football Foundation) for the recognition of outstanding high-school graduates, in which athletics participation may be a major criterion, as outlined in Bylaw 15.2.5.6. This aid counts against the individual's full-grant-in-aid limit; and
- (e) Educational expenses awarded by the U.S. Olympic Committee, which count against the individual's full-grant-in-aid limit. (Adopted: 1/10/95 effective 8/1/95)
- **15.02.2.3 Exempted Institutional Financial Aid.** The following institutional financial aid is exempt and is not counted in determining a student-athlete's full grant-in-aid: (*Revised: 1/12/99 effective 8/1/99*)
- (a) An honorary award for outstanding academic achievement or an established institutional research grant that meets the criteria set forth in Bylaw 15.02.6 (and must be included in determining if the student-athlete's cost of attendance has been met); (Revised: 1/10/91, 1/10/92)
- (b) Legitimate loans, based on a regular repayment schedule, available to all students and administered on the same basis for all students; and
- (c) Employment earnings (other than earnings from federal and state work-study program assistance). (Adopted: 1/10/91, Revised: 1/12/99 effective 8/1/99)
- **15.02.2.4 Exempted Government Grants.** Government grants listed in Bylaw 15.2.4.1 shall not be included when determining the permissible amount of a full grant-in-aid. (*Revised:* 1/11/89)
- 15.02.3 Full Grant-in-Aid. A full grant-in-aid is financial aid that consists of tuition and fees, room and board, required course-related books, transportation and other expenses incidental to attendance, provided the total value of all financial aid does not exceed the cost of attendance that normally is incurred by students enrolled in a comparable program at that institution. (Revised: 1/11/89 effective 8/1/89)
- 15.02.4 Honorary Academic Award/Research Grant. An honorary academic award for outstanding academic achievement or a research grant is an award that meets the following criteria:
- (a) The award or grant is published in the institution's catalog and is a standing scholarship award or an established research grant;
- (b) The basis for the award or grant shall be the candidate's academic record at the awarding institution;
- (c) The award or grant shall be determined by competition among the students of a particular class or college of the institution; and
- (d) The award or grant is included in determining if the student-athlete's full grant-in-aid limitation has been met. (*Revised*: 1/10/91, 1/10/92)

# The following Bylaw 15.02 was revised by the membership at the 2001 Convention, effective August 1, 2002.

# 15.02 DEFINITIONS AND APPLICATIONS

15.02.1 "Administered By." Financial aid is administered by an institution if the institution, through its regular committee or other agency for the awarding of financial aid to students generally, makes the final determination of the student-athlete who is to receive the award and of its value.

15.02.2 Financial Aid. "Financial aid" is funds provided to student-athletes from various sources to pay

#### Limits on Financial Aid/15.1—15.1.1

or assist in paying their cost of education at the institution. As used in NCAA legislation, "financial aid" includes all institutional financial aid and other permissible financial aid as set forth below.

**15.02.2.1 Institutional Financial Aid.** The following sources of financial aid are considered to be institutional financial aid:

- (a) All funds administered by the institution, which include but are not limited to the following: (Revised: 1/11/94 effective 8/1/94, Revised: 1/14/97 effective 8/1/97)
  - (1) Scholarships,
  - (2) Grants,
  - (3) Tuition waivers,
  - (4) Employee dependent tuition benefits,
  - (5) Loans,
  - (6) Employment earnings from federal and state work-study program assistance, and (Revised:1/12/99 effective 8/1/99)
- (b) Aid from government or private sources for which the institution is responsible for selecting the recipient or determining the amount of aid or providing matching or supplementary funds for a previously determined recipient.

15.02.2.2 Other Permissible Financial Aid. The following sources of financial aid are also permitted:

- (a) Financial aid received from anyone on whom the student-athlete is naturally or legally dependent;
- (b) Financial aid awarded solely on bases having no relationship to athletics ability;
- (c) Financial aid awarded through an established and continuing outside program (e.g., National Merit Scholar) in which athletics participation is not the major criterion, as outlined in Bylaw 15.2.5.4, but which counts against the recruited student-athlete's full-grant-in-aid limit;
- (d) For the student-athlete not recruited by the institution, financial aid awarded through an established and continuing outside program (e.g., National Football Foundation) for the recognition of outstanding high-school graduates, in which athletics participation may be a major criterion, as outlined in Bylaw 15.2.5.6. This aid counts against the individual's full-grant-in-aid limit; and
- (e) Educational expenses awarded by the U.S. Olympic Committee, which count against the individual's full-grant-in-aid limit. (Adopted: 1/10/95 effective 8/1/95)

15.02.3 Full Grant-in-Aid. A full grant-in-aid is financial aid that consists of tuition and fees, room and board, required course-related books, transportation and other expenses incidental to attendance, provided the total value of all financial aid does not exceed the cost of attendance that normally is incurred by students enrolled in a comparable program at that institution. (Revised: 1/11/89 effective 8/1/89)

#### 15.1 MAXIMUM I IMIT ON FINANCIAL AID—INDIVIDUAL

A student-athlete shall not be eligible to participate in intercollegiate athletics if he or she receives financial aid that exceeds the value of a full grant-in-aid as defined in Bylaw 15.02.5 (also see Bylaw 15.01.5).

- 15.1.1 Types of Aid Included in Limit. In determining whether a student-athlete's financial aid exceeds the value of a full grant-in-aid, all institutional financial aid (per Bylaw 15.02.2.2) and all funds received from the following and similar sources shall be included:
- (a) **Employment.** Employment earnings from federal and state work-study program assistance; (Revised: 1/16/93, 1/14/97 effective 8/1/97, Revised in Division I: 4/22/98 effective 8/1/98, Revised: 1/12/99 effective 8/1/99)
- (b) **Government Grants.** Government grants for educational purposes, except for those listed in Bylaw 15.2.4;
- (c) Other Scholarships and Grants. Other institutional or outside scholarships or grants-in-aid; (Revised: 1/10/95 effective 8/1/95)
- (d) **Gifts.** The value of gifts given to a student-athlete after completion of eligibility in appreciation for or recognition of the student-athlete's athletics accomplishments;
- (e) **Professional Sports Stipend.** Any bonus or salary (no matter when received or contracted for) from a professional sports organization;
- (f) **Athletics Participation Compensation.** Any other income (no matter when received or contracted for) from participation in an athletics event unless eligibility has been exhausted in that sport; and (Revised: 1/11/94 effective 8/1/94)

#### Limits on Financial Aid/15.1.1—Elements of Financial Aid/15.2.2.1.3

- (g) **Loans.** Loans, except legitimate loans that are based upon a regular repayment schedule, available to all students and administered on the same basis for all students.
- 15.1.2 Reduction When Excess Aid Is Awarded. In the event that a student-athlete's financial aid from the sources listed in Bylaw 15.1.1, which includes institutional financial aid, will exceed a full grant-in-aid for the balance of the academic year, the institution shall reduce institutional financial aid so as not to exceed a full grant. Payments credited to a student-athlete's account that are not refundable by the institution to the scholarship office or other appropriate institutional agency shall not become the student's obligation.

#### 15.2 ELEMENTS OF FINANCIAL AID

- 15.2.1 Tuition and Fees. An institution may provide a student-athlete financial aid that includes the actual cost of tuition and required institutional fees.
  - **15.2.1.1 Permissible Fees.** A student-athlete may be awarded financial aid that covers the payment of fees for a course in which the student-athlete is enrolled, if the course is part of the institution's regular curriculum (included in the institution's catalog of classes), and the institution pays these same fees for other students enrolled in the course who receive fees as a part of a grant-in-aid or scholarship.
  - **15.2.1.2 Optional Fees.** An institution may not pay fees for services offered on an optional basis to the student body in general (e.g., health insurance).
  - **15.2.1.3 Noninstitutional Fees and Expenses.** Fees paid by an institution are confined to required institutional fees and do not include noninstitutional fees or expenses (e.g., the cost of typing reports for student-athletes).
  - **15.2.1.4 Fees and Related Expenses for Prospects.** An institution shall not waive, pay in advance or guarantee payment of the following expenses for a prospective student-athlete, unless such benefits generally conform to institutional policy as it applies to other prospective student-grantees:
  - (a) The institution's processing fee required before the admissions office's evaluation of the prospect's application;
  - (b) The orientation-counseling tests fee required of all incoming freshmen;
  - (c) The preadmission academic testing fee;
  - (d) Advance tuition payment or room deposit;
  - (e) Damage deposits for dormitory rooms;
  - (f) ROTC deposits for military equipment; or
  - (g) Any other preenrollment fees required of prospective student-grantees.
    - **15.2.1.4.1 Fees Rebate.** If the prospect enrolls and is awarded financial aid covering institutional fees, the fees described in (a) through (d) above may be rebated as a part of the institution's regular fees.
- 15.2.2 Room and Board. An institution may provide a student-athlete financial aid that includes the cost of room and board, based on the official allowance for room and board as listed in the institution's official publication (e.g., catalog).
  - **15.2.2.1 Off-Campus Room and Board Stipend.** If a student-athlete lives and eats in noninstitutional facilities, the institution may pay the student-athlete an amount equal to the institution's official oncampus room and board allowance as listed in its catalog, or the average of the room and board costs of all of its students living on campus, excluding those eating at the training table. Meals provided on the training table shall be deducted at the regular cost figure from such a student-athlete's board allowance. (*Revised:* 1/10/92, *Revised:* 4/15/98)
    - **15.2.2.1.1 Determination of Off-Campus Room Costs.** An institution with several official oncampus room rates listed in its catalog must use the average of the room cost for all students living on-campus (based on a weighted average for all students who reside in on-campus facilities). (*Revised:* 4/15/98)
    - **15.2.2.1.2 Determination of Off-Campus Board Costs.** An institution with several on-campus board plans (e.g., 5-day, 7-day, 14-meal, 17-meal or 21-meal plans) may provide a student-athlete living in noninstitutional facilities with the equivalent of a full-board (e.g., 7-day or 21-meal) plan. (*Adopted:* 1/10/92, *Revised:* 4/15/98)
    - **15.2.2.1.3 Institution with No On-Campus Room and Board Facilities.** If an institution does not provide an official dollar amount for room and board in its catalog and does not have on-campus student room and board facilities, the figure provided to student-athletes for off-campus room and

# Elements of Financial Aid/15.2.2.1.3—15.2.4.1

- board shall be the amount determined by the institution's office of financial aid as being commensurate with the average cost a student at that institution normally would incur living and eating in off-campus facilities. (Adopted: 4/15/98)
- **15.2.2.1.4 Married-Student Housing.** Married student-athletes who live in noninstitutional housing are permitted to receive the same room and board allowance that is provided to married students with on-campus housing. If the institution does not provide on-campus room and board facilities for married students but has other on-campus dormitory facilities, it must utilize the provisions of Bylaw 15.2.2.1 in determining the amount of room-and-board expenses a married student-athlete who lives in noninstitutional facilities may receive. (*Adopted: 1/10/92*)
- **15.2.2.1.5 Cost-Free Apartment.** It is permissible for the institution to arrange for a cost-free, off-campus apartment rather than to give a student-athlete an amount equal to the institution's official room allowance (as listed in its catalog), provided the apartment is not rented by the institution at a reduced rate and such a benefit generally conforms to institutional policy as it applies to other student grantees. However, if the actual rental rate is more than the institutional room allowance, the student-athlete shall pay the additional amount from the student-athlete's own resources. (*Revised:* 1/12/99)
- **15.2.2.2 Material Housing Benefits.** An institution may not provide a benefit connected with on-campus or off-campus student-athletes' housing (e.g., individual television sets or stereo equipment, specialized recreational facilities, room furnishings or appointments of extra quality or quantity) that is not available on the same basis in the housing provided to at least one-half of the other members of the student body who use on-campus housing facilities. Further, these material benefits shall be available for such students in approximately the same ratio as such benefits are available for student-athletes. Otherwise, dormitories with exclusive material housing benefits may not be used by student-athletes.
- **15.2.2.3 Facility Designated by Institution.** It is permissible for the institution to require a grant-in-aid recipient to obtain room and board in a facility designated by the institution, provided the requirement is contained in the written statement outlining the amount, duration, conditions and terms of the financial aid agreement (see Bylaw 15.3.2.4).
- **15.2.2.4 Summer Dormitory Rentals.** An institution may rent dormitory space to a prospective or enrolled student-athlete during the summer months at the regular institutional rate, if it is the institution's policy to make such dormitory space available on the same basis to all prospective or enrolled students.
- **15.2.2.5 Sunday Evening Meals.** If the regular eating facility used by a student-athlete who is receiving an award that covers the cost of meals is not available for a given meal (e.g., Sunday evening meal), the institution may provide actual expenses to cover the cost of the meal, provided the meal is similar to those served at the university's regular dining facilities, is not excessive in nature and such a benefit is provided to the general student-body. (*Revised:* 1/11/00 effective 8/1/00)
- **15.2.2.6 Food Stamps.** A grant-in-aid recipient who lives and eats off campus may use the money provided for his or her board to purchase governmental food stamps, provided the stamps are available to the student body in general. Additionally, the student-athlete must be eligible for such stamps without any special arrangements on the part of athletics department personnel or representatives of the institution's athletics interests.
- 15.2.3 Books. An institution may provide a student-athlete financial aid that covers the actual cost of required course-related books, provided the institution pays the actual cost of required course-related books for other students who receive books as part of a grant-in-aid or scholarship. (*Revised:* 1/12/99)
- 15.2.4 Government Grants. Government grants for educational purposes shall be included when determining the permissible amount of a full grant-in-aid for a student-athlete, except for those listed in Bylaw 15.2.4.1. (Revised: 1/11/89)
  - **15.2.4.1 Exempted Government Grants.** The following government grants for educational purposes shall not be included when determining the permissible amount of a full grant-in-aid or cost of attendance of a student-athlete: (*Adopted: 1/11/89*)
  - (a) AmeriCorps Program. Benefits received by student-athletes under the AmeriCorps Program; (Adopted: 1/9/96 effective 8/1/96)
  - (b) **Disabled Veterans.** State government awards to disabled veterans, provided such awards are approved by the Management Council by a two-thirds majority of its members present and voting;
  - (c) **Military Reserve Training Programs.** Payments to student-athletes for participation in military reserve training programs (e.g., payments by the U.S. government for a student's participation in advanced ROTC or National Guard training shall not be construed under this principle to be "employment" during semester or term time);
  - (d) **Montgomery G.I. Bill.** Benefits received by student-athletes under the Montgomery Bill—Active Duty and the Montgomery G.I. Bill—Selected Reserve;

- (e) **Special U.S. Government Entitlement Programs.** Payments by the U.S. government under the terms of the Dependents Education Assistance Program (DEAP), Social Security Insurance Program [including the Reinstated Entitlement Program for Survivors (REPS)] or Non-Service-Connected Veteran's Death Pension Program;
- (f) **Veterans Educational Assistance Program (VEAP).** Benefits received by student-athletes under the VEAP;
- (g) Vocational Rehabilitation for Service-Disabled Veterans Program. Benefits received by studentathletes under the Vocational Rehabilitation for Service-Disabled Veterans Program; or
- (h) **Welfare Benefits.** Welfare benefits received from a state or federal government. (Adopted: 1/14/97 effective 8/1/97)
- 15.2.5 Financial Aid from Outside Sources
  - **15.2.5.1 Parents and Legal Guardians.** A student-athlete may receive financial aid from anyone on whom the student-athlete is naturally or legally dependent.
  - **15.2.5.2 No Relationship to Athletics Ability.** A student-athlete may receive financial aid awarded solely on bases having no relationship to athletics ability.
    - **15.2.5.2.1 Athletics Participation or Achievement as Part of Extracurricular Activities.** A scholarship administered outside the institution that permits an applicant to include athletics participation or achievements as part of the application process may not be awarded under the provisions of Bylaw 15.2.5.2. *(Adopted: 1/11/94)*
  - **15.2.5.3** Athletics Participation Not Major Criterion. A student-athlete may receive financial aid through an established and continuing program to aid students, provided the following conditions are met:
  - (a) The award shall be made on the basis of the recipient's past performance and overall record, as measured by established criteria of which athletics participation is not the major criterion;
  - (b) In the case of a recruited student-athlete, disbursement of the aid shall be through the member institution for the recipient's educational expenses while attending that institution. A violation of this bylaw shall be considered an institutional violation, per Constitution 2.8.1; however, the violation shall not affect the student-athlete's eligibility, provided the student-athlete deposits the aid with the institution, and the aid does not result in an overaward of financial aid to the student-athlete; or (Revised: 1/10/95 effective 8/1/95, Revised: 1/12/99)
  - (c) In the case of a nonrecruited student-athlete, disbursement of the aid may be through the awarding agency for the recipient's educational expenses, provided the aid is awarded prior to the recipient's initial enrollment at a member institution and the awarding agency provides written notification of the award to the institution; and (Adopted: 1/10/95 effective 8/1/95)
  - (d) The recipient's choice of institutions shall not be restricted to a single designated institution or conference by the donor of the aid. (Revised: 1/11/94)
  - **15.2.5.4 Athletics Participation as a Major Criterion.** A student-athlete may receive financial aid through an established and continuing program for the recognition of outstanding high-school graduates, provided the following conditions are met:
  - (a) The award shall be made on the basis of the recipient's past performance and overall record, as measured by established criteria of which athletics participation may be a major criterion;
  - (b) In the case of a recruited student-athlete, disbursement of the aid shall be through the member institution for the recipient's educational expenses while attending that institution. A violation of this bylaw shall be considered an institutional violation, per Constitution 2.8.1; however, the violation shall not affect the student-athlete's eligibility, provided the student-athlete deposits the aid with the institution, and the aid does not result in an overaward of financial aid to the student-athlete; or (Revised: 1/10/95 effective 8/1/95, Revised: 1/12/99)
  - (c) In the case of a nonrecruited student-athlete, disbursement of the aid may be through the awarding agency for the recipient's educational expenses, provided the aid is awarded before the recipient's initial enrollment at a member institution and the awarding agency provides written notification of the award to the institution; (Adopted: 1/10/95 effective 8/1/95)
  - (d) The recipient's choice of institutions shall not be restricted by the donor of the aid;
  - (e) The awarding individual or organization and the donor of the aid shall not be representatives of the athletics interests or an athletics booster group of a member institution;
  - (f) The value of the award alone or in combination with other aid per Bylaw 15.1.1 shall not exceed the value of a full grant-in-aid;

#### Financial Aid/15.2.5.4—15.2.7.2

- (g) The award may be provided to the recipient on only one occasion; and
- (h) The award is not received from an outside sports team or organization that conducts a competitive sports program by a member of that team.
  - **15.2.5.4.1 Award Limited to Athletes.** If an individual is considered for a financial aid award only if the individual is a participant in athletics, the provisions of Bylaw 15.2.5.4 shall be applicable.
  - **15.2.5.4.2** Eligibility Effects of Improper Aid from Outside Organization. It is not permissible for a student-athlete to receive financial aid, directly or indirectly, from a source outside the institution (e.g., a foreign government, a sports association, a high-school booster club) for expenses related to attendance at a member institution, if the award of such financial aid is based in any degree upon the recipient's athletics ability, except as permitted in Bylaws 15.2.5.3 and 15.2.5.4. Receipt of financial aid from such a source renders the student-athlete ineligible for all intercollegiate athletics participation.
- **15.2.5.5 Educational Expenses—U.S. Olympic Committee.** A student-athlete may receive educational expenses awarded by the U.S. Olympic Committee. The amount of the financial assistance shall be subject to the following limitations:  $(Adopted: 1/10/95 \ effective \ 8/1/95)$
- (a) Disbursement of the aid shall be through the member institution for the recipient's educational expenses while attending that institution;
- (b) The recipient's choice of institutions shall not be restricted by the U.S. Olympic Committee; and
- (c) The value of the award alone or in combination with other aid per Bylaw 15.1.1 shall not exceed the value of a full grant-in-aid.
- 15.2.6 Employment. The institution must include employment earnings from the student-athlete's federal and state work-study program assistance in determining whether his or her full grant-in-aid has been reached. (Revised: 1/12/99 effective 8/1/99)
- 15.2.7 Summer Financial Aid. Summer financial aid may be awarded to student-athletes in accordance with institutional policies applicable to the general student body. (*Adopted: 1/11/00 effective 8/1/00*)
  - **15.2.7.1 Employment during Summer.** A student-athlete may receive legitimate summer employment earnings without any restriction on the amount of compensation received even if the student-athlete is attending summer school as a recipient of institutional financial aid. Further, such employment earnings are not considered in determining the amount of athletically related financial aid the student-athlete may receive for the summer term.
  - **15.2.7.2 Olympic Waivers.** Waivers of the restriction that financial aid may be utilized only to attend the awarding institution's summer term or summer school may be approved by the Management Council, by a two-thirds majority of its members present and voting, for member institutions that have summer terms or summer schools curtailed because of the use of their facilities for the Olympic Games.

# The following Bylaw 15.2 was revised by the membership at the 2001 Convention, effective August 1, 2002.

# 15.2 ELEMENTS OF FINANCIAL AID

- 15.2.1 Tuition and Fees. An institution may provide a student-athlete financial aid that includes the actual cost of tuition and required institutional fees.
- 15.2.2 Government Grants. Government grants for educational purposes shall be included when determining the permissible amount of a full grant-in-aid for a student-athlete, except for those listed in Bylaw 15.2.4.1. (*Revised:* 1/11/89)
  - **15.2.2.1 Exempted Government Grants.** The following government grants for educational purposes shall not be included when determining the permissible amount of a full grant-in-aid or cost of attendance of a student-athlete: (*Adopted: 1/11/89*)
  - (a) AmeriCorps Program. Benefits received by student-athletes under the AmeriCorps Program; (Adopted: 1/9/96 effective 8/1/96)
  - (b) Disabled Veterans. State government awards to disabled veterans, provided such awards are approved by the Management Council by a two-thirds majority of its members present and voting:
  - (c) Military Reserve Training Programs. Payments to student-athletes for participation in military reserve training programs (e.g., payments by the U.S. government for a student's participation in advanced ROTC or National Guard training shall not be construed under this principle to be "employment" during semester or term time):
  - (d) Montgomery G.I. Bill. Benefits received by student-athletes under the Montgomery Bill—Active Duty and the Montgomery G.I. Bill—Selected Reserve;

- (e) Special U.S. Government Entitlement Programs. Payments by the U.S. government under the terms of the Dependents Education Assistance Program (DEAP), Social Security Insurance Program [including the Reinstated Entitlement Program for Survivors (REPS)] or Non-Service-Connected Veteran's Death Pension Program;
- (f) Veterans Educational Assistance Program (VEAP). Benefits received by student-athletes under the VEAP;
- (g) Vocational Rehabilitation for Service-Disabled Veterans Program. Benefits received by studentathletes under the Vocational Rehabilitation for Service-Disabled Veterans Program; or
- (h) Welfare Benefits. Welfare benefits received from a state or federal government. (Adopted: 1/14/97 effective 8/1/97)
- 15.2.3 Financial Aid from Outside Sources
  - **15.2.3.1 Report of Aid from Outside Sources.** All financial assistance received by the student-athlete from sources outside the institution shall be reported to the institution's director of financial aid. When outside aid is received after the financial aid program of the college has been offered to the student, the institution's director of financial aid shall be notified and an adjustment of the aid already offered shall take place in order that the total aid available from all sources does not exceed the cost of attendance.
  - **15.2.3.2** Aid from Outside Sources. Financial aid received from outside sources that meets the provisions of Bylaws 15.2.5.4 or 15.2.5.7 (or an award made through the NCAA postgraduate scholarship program) is permissible without consideration of the recipient's financial need. (Adopted: 1/11/89, Revised: 1/12/99)
  - **15.2.3.3 Parents and Legal Guardians.** A student-athlete may receive financial aid from anyone on whom the student-athlete is naturally or legally dependent.
  - **15.2.3.4 No Relationship to Athletics Ability.** A student-athlete may receive financial aid awarded solely on bases having no relationship to athletics ability.
  - **15.2.3.5 Athletics Participation as a Criterion.** A student-athlete may receive financial aid through an established and continuing program to aid students, provided the following conditions are met:
  - (a) The award shall be made on the basis of the recipient's past performance and overall record, as measured by established criteria of which athletics participation is not the major criterion;
  - (b) Disbursement of the aid shall be through the member institution for the recipient's educational expenses while attending that institution. A violation of this bylaw shall be considered an institutional violation, per Constitution 2.8.1; however, the violation shall not affect the student-athlete's eligibility, provided the student-athlete deposits the aid with the institution, and the aid does not result in an overaward of financial aid to the student-athlete; or (Revised: 1/10/95 effective 8/1/95, Revised: 1/12/99)
  - (c) Disbursement of the aid may be through the awarding agency for the recipient's educational expenses, provided the aid is awarded before the recipient's initial enrollment at a member institution and the awarding agency provides written notification of the award to the institution; and (Adopted: 1/10/95 effective 8/1/95)
  - (d) The recipient's choice of institutions shall not be restricted to a single designated institution or conference by the donor of the aid. (Revised: 1/11/94)
  - (e) The awarding individual or organization and the donor of the aid shall not be representatives of the athletics interests or an athletics booster group of a member institution;
  - (g) The award may be provided to the recipient on only one occasion; and
  - (h) The award is not received from an outside sports team or organization that conducts a competitive sports program by a member of that team.
    - **15.2.3.5.1** Eligibility Effects of Improper Aid from Outside Organization. It is not permissible for a student-athlete to receive financial aid, directly or indirectly, from a source outside the institution (e.g., a foreign government, a sports association, a high-school booster club) for expenses related to attendance at a member institution, if the award of such financial aid is based in any degree upon the recipient's athletics ability, except as permitted in Bylaws 15.2.5.3 and 15.2.5.4. Receipt of financial aid from such a source renders the student-athlete ineligible for all intercollegiate athletics participation.
  - **15.2.3.6 Educational Expenses—U.S. Olympic Committee.** A student-athlete may receive educational expenses awarded by the U.S. Olympic Committee. The amount of the financial assistance shall be subject to the following limitations: (*Adopted: 1/10/95 effective 8/1/95*)

# Financial Aid/15.2.3.6—Awarding Financial Aid/15.3.1

- (a) Disbursement of the aid shall be through the member institution for the recipient's educational expenses while attending that institution;
- (b) The recipient's choice of institutions shall not be restricted by the U.S. Olympic Committee; and
- (c) The value of the award alone or in combination with other aid per Bylaw 15.1.1 shall not exceed the value of a full grant-in-aid.
- 15.2.4 Summer Financial Aid. Summer financial aid may be awarded to student-athletes in accordance with institutional policies applicable to the general student body. (Adopted: 1/11/00 effective 8/1/00)
  - **15.2.4.1 Employment during Summer.** A student-athlete may receive legitimate summer employment earnings without any restriction on the amount of compensation received even if the student-athlete is attending summer school as a recipient of institutional financial aid. Further, such employment earnings are not considered in determining the amount of athletically related financial aid the student-athlete may receive for the summer term.
  - **15.2.4.2 Olympic Waivers.** Waivers of the restriction that financial aid may be utilized only to attend the awarding institution's summer term or summer school may be approved by the Management Council, by a two-thirds majority of its members present and voting, for member institutions that have summer terms or summer schools curtailed because of the use of their facilities for the Olympic Games.

#### 15.3 TERMS AND CONDITIONS OF AWARDING INSTITUTIONAL FINANCIAL AID

- 15.3.1 Eligibility of Student-Athletes for Financial Aid. A student-athlete must meet institutional regulations applicable to the general student body to be eligible for institutional financial aid (also see Bylaws 15.01.5, 15.01.6 and 15.01.7). (Revised: 1/12/99 effective 8/1/99)
  - **15.3.1.1 Withdrawal from Institution.** A student-athlete who withdraws from the institution may not receive financial aid during the remainder of the term.
  - **15.3.1.2 Institutional Financial Aid to Professional Athlete.** It is not permissible to award institutional financial aid to a student-athlete who is under contract to or currently receiving compensation from a professional sports organization. Payments credited to a student-athlete's account that are not refundable need not become the student's obligation. (*Revised:* 4/15/98)
    - **15.3.1.2.1 Exception for Former Professional Athlete.** A former professional athlete may receive institutional financial aid, provided the following conditions are met:
    - (a) The student-athlete no longer is involved in professional athletics;
    - (b) The student-athlete is not receiving any remuneration from a professional sports organization; and
    - (c) The student-athlete has no active contractual relationship with any professional athletics team, although the student-athlete may remain bound by an option clause (i.e., a clause in the contract that requires assignment to a particular team if the student-athlete's professional athletics career is resumed).
      - **15.3.1.2.1.1 Later Professional Involvement.** If the student-athlete later becomes involved in professional athletics while still a student-athlete with eligibility remaining, the individual would be considered to have violated the principles of ethical conduct per Bylaw 10, thus rendering the individual ineligible for intercollegiate competition.
- 15.3.2 Terms of Institutional Financial Aid Award
  - **15.3.2.1 Conformance to Institutional and Conference Regulations.** Financial aid awarded by an institution to a student-athlete shall conform to the rules and regulations of the awarding institution and of that institution's conference(s), if any.
  - **15.3.2.2 Written Statement Requirement.** In all cases, the institutional financial aid award shall contain a written statement of the amount, duration, conditions and terms of the award. (*Revised:* 1/12/99)
- 15.3.3 Increase Permitted. Institutional financial aid may be increased in accordance with policies applicable to the general student body. (*Adopted: 1/11/94, Revised: 1/12/99 effective 8/1/99*)

#### The following Bylaw 15.3 was revised by the membership at the 2001 Convention, effective August 1, 2002.

- 15.3 TERMS AND CONDITIONS OF AWARDING INSTITUTIONAL FINANCIAL AID
- 15.3.1 Eligibility of Student-Athletes for Financial Aid. A student-athlete must meet institutional regulations applicable to the general student body to be eligible for institutional financial aid (also see Bylaws 15.01.5, 15.01.6 and 15.01.7). (Revised: 1/12/99 effective 8/1/99)

# Awarding Financial Aid/15.3.1.1—Financial Aid/15.4.6.2

- **15.3.1.1 Withdrawal from Institution.** A student-athlete who withdraws from the institution may not receive financial aid during the remainder of the term.
- **15.3.1.2 Institutional Financial Aid to Professional Athlete.** It is not permissible to award institutional financial aid to a student-athlete who is under contract to or currently receiving compensation from a professional sports organization. Payments credited to a student-athlete's account that are not refundable need not become the student's obligation. (*Revised: 4/15/98*)
  - **15.3.1.2.1 Exception for Former Professional Athlete.** A former professional athlete may receive institutional financial aid, provided the following conditions are met:
  - (a) The student-athlete no longer is involved in professional athletics;
  - (b) The student-athlete is not receiving any remuneration from a professional sports organization; and
  - (c) The student-athlete has no active contractual relationship with any professional athletics team, although the student-athlete may remain bound by an option clause (i.e., a clause in the contract that requires assignment to a particular team if the student-athlete's professional athletics career is resumed).
    - **15.3.1.2.1.1 Later Professional Involvement.** If the student-athlete later becomes involved in professional athletics while still a student-athlete with eligibility remaining, the individual would be considered to have violated the principles of ethical conduct per Bylaw 10, thus rendering the individual ineligible for intercollegiate competition.
- 15.3.2 Terms of Institutional Financial Aid Award
  - **15.3.2.1 Written Statement Requirement.** In all cases, the institutional financial aid award shall contain a written statement of the amount, duration, conditions and terms of the award. (*Revised: 1/12/99*)

# 15.4 FINANCIAL AID

- 15.4.1 Financial Need Requirement. An institution shall not award financial aid to any student-athlete except upon a showing of financial need by the recipient, except as noted in Bylaw 15.4.6.
- 15.4.2 Administration by Regular College Agency. All forms of financial assistance for student-athletes shall be handled through the regular college agency or committee that administers financial aid for all students.
- 15.4.3 Written Offer of Aid. Financial assistance may be offered in writing only by the institution's director of financial aid or comparable campus official and not before the student has been admitted.
- 15.4.4 Report of Aid from Outside Sources. All financial assistance received by the student-athlete from sources outside the institution shall be reported to the institution's director of financial aid. When outside aid is received after the financial aid program of the college has been offered to the student, the institution's director of financial aid shall be notified and an adjustment of the aid already offered shall take place in order that the total aid available from all sources does not exceed financial need (also see Bylaw 15.4.6.6).
- 15.4.5 Financial Aid Limit. A student-athlete may not receive financial assistance based upon need in excess of the value of a full grant-in-aid (i.e., tuition and fees, room and board, required course-related books, transportation, and other expenses incidental to attendance, provided the total value of all financial aid does not exceed the cost of attendance that normally is incurred by students enrolled in a comparable program at that institution). (Revised: 1/11/89 effective 8/1/89)
  - **15.4.5.1 Need Analysis Method.** Any assistance provided within the limit defined in Bylaw 15.4.5 must be based on financial need as determined by need analysis methodologies that conform to federal, state and written institutional guidelines. The methodologies used to determine the need of a student-athlete shall be consistent with the methodologies used by the institution for all students. (*Revised:* 1/10/95)
- 15.4.6 Aid without Consideration of Need. Loans and awards specified in the following subsections may be offered to student-athletes without consideration of the recipient's need.
  - **15.4.6.1 Loans.** Legitimate loans, based upon a regular repayment schedule, available to and administered on the same basis for all students.
  - **15.4.6.2 Academic Honor Awards.** Academic honor awards that are part of the institution's normal arrangements for academic scholarships, awarded independently of athletics interests and in amounts consistent with the pattern of all such awards made by the institution, may be offered only to:
  - (a) A student who was in the upper 20 percent of the high-school graduating class or who earned a cumulative high-school grade-point average of at least 3.500 (based on a maximum of 4.000) or who

- achieved a minimum ACT sum score of 100 or a minimum SAT score of 1,050 (if taken prior to April 1, 1995) or 1140 (if taken on or subsequent to April 1, 1995); or (Revised: 1/10/91, 1/9/96)
- (b) A student who does not qualify under (a) and who has completed at least one academic year in college and has earned a cumulative grade-point average of 3.300 (on a 4.000 scale) for all academic work completed during the student's collegiate enrollment resulting in degree credits at the awarding institution.
  - **15.4.6.2.1 Additional Requirements.** The following additional academic honor requirements shall be met:
  - (a) The awards may include additional, nonacademic criteria (e.g., interviews, essays), provided the additional criteria are not based on athletics ability or participation and the awards are consistent with such awards provided to all students; (Adopted: 1/16/93)
  - (b) No quota of awards shall be designated for student-athletes;
  - (c) Athletics participation shall not be required before or after college enrollment;
  - (d) No athletics department staff member shall be involved in designating the recipients of such awards; and
  - (e) Any additional criteria shall not include athletics ability or participation. (Adopted: 1/16/93)
- **15.4.6.3 Awards of Circumstance.** Awards of circumstance may be given by the college or university, provided:
- (a) These awards automatically are available to any and all members of the student body in general who meet certain publicized, objective qualifications and are awarded solely on bases having no relationship to athletics ability;
- (b) The institution may establish no quota of such awards for student-athletes;
- (c) The awards shall be identified in the appropriate institutional publication listing financial aid awards available to all students, and each such award shall be reported to and specifically approved by the Management Council; and
- (d) Such an award shall be provided automatically to any student who qualifies under the circumstances in question. The award to a student who qualifies under a particular set of circumstances would not qualify as an award of circumstance if the selection of the student was at the institution's discretion.
- **15.4.6.4 Nonathletics Achievement Awards.** The Management Council shall have the authority to approve requests from institutions to grant nonathletics achievement awards to student-athletes under the following conditions: (*Adopted:* 1/10/90 effective 8/1/90, Revised: 1/16/93)
- (a) Recipients of such awards must be selected by a committee composed of institutional staff members (excluding athletics department staff members); (Revised: 1/12/99 effective 8/1/99)
- (b) Competition for such an award must be among all members of the student body in general who meet certain publicized qualifications, the award must have no relationship to athletics ability or participation, the institution may establish no quota for such awards for student-athletes, the form utilized by a student-athlete to apply for such an award must have no reference to athletics ability or participation, the award must be identified in the appropriate institutional publication listing financial aid awards available to all students, and the award must be consistent with Bylaw 15.4.8; and
- (c) The Management Council shall establish a process for granting requests for approval of nonathletics achievement awards; shall monitor the actions taken under this authorization; and shall report annually to the membership the actions taken in summary, aggregate form.
- **15.4.6.5 On-Campus Employment.** On-campus employment offered by the awarding institution is permissible under the following conditions:
- (a) Such employment is under the direction of the regular college agency or committee that administers such employment for all students; and
- (b) The policies and practices in regard to such employment are identical for athletes and nonathletes.
- **15.4.6.6** Aid from Outside Sources. Financial aid received from outside sources that meets the provisions of Bylaws 15.2.5.2, 15.2.5.3, 15.2.5.4 or 15.2.5.7 (or an award made through the NCAA postgraduate scholarship program) is permissible without consideration of the recipient's financial need. (*Adopted: 1/11/89, Revised: 1/12/99*)
- 15.4.7 Athletics Funds or Endowments. No part of an institution's financial aid budget shall be set

aside either for particular sports or for athletics in general, nor may an institution establish athletically related quotas of financial aid recipients. However, income from endowment funds that were received by the institution prior to January 1, 1979, and specifically designated for student-athletes can be awarded to student-athletes, provided the institution complies with the requirements set forth in Bylaw 15.4.8.

- **15.4.7.1 Athletics Need Fund.** A member may not establish an "athletics need fund" for student-athletes and advertise its availability to prospective student-athletes who may qualify for need-based aid.
- 15.4.8 Consistent Financial Aid Package. The composition of the financial aid package offered to a student-athlete shall be consistent with the established policy of the institution's financial aid office for all students and shall meet all of the following criteria:
- (a) A member institution shall not consider athletics ability as a criterion in the formulation of the financial aid package;
- (b) The financial aid procedures used for a student-athlete are the same as the existing official financial aid policies of the institution;
- (c) The financial aid package for a particular student-athlete cannot be clearly distinguishable from the general pattern of all financial aid for all recipients at the institution; and
- (d) The percentage of the total dollar value of institutionally administered grants awarded to student-athletes shall be closely equivalent to the percentage of student-athletes within the student body. A differential is defensible if it can be demonstrated that the average need of the student-athletes at the institution is equivalently greater than the average need of other students.
  - **15.4.8.1 Consideration of Athletics in Packaging Formula.** A financial aid package for a student-athlete may be considered consistent with the established financial aid packaging policy of the institution's financial aid office for all students if it is equivalent to individual packages for other students with similar need characteristics, the package does not exceed the recipient's financial need and athletics ability is not considered in formulating the package.
  - **15.4.8.2 Specialized Interests in Activities as a Packaging Variable.** A member institution may offer a student-athlete a financial aid package that takes into account variables in the packaging formula other than need (e.g., academic ability, minority status, specialized interests in activities other than athletics), provided the package does not exceed the recipient's financial need. A member institution shall not consider athletics ability as a criterion in the formulation of the financial aid package.
  - **15.4.8.3 Athletics Staff Involvement.** Members of the athletics staff of a member institution shall not be permitted to arrange or modify the financial aid package (as assembled by the financial aid officer or financial aid committee) and are prohibited from serving as members of member institutions' financial aid committees and from being involved in any manner in the review of the institutional financial assistance to be awarded to a student-athlete.
  - **15.4.8.4 Matrix-Rating System.** In instances in which admissions officers use a matrix-rating system where, as part of the admissions process, factors other than academic ability are considered, once a decision is reached concerning admission, all consideration of athletics ability or participation shall be eliminated from any rating system before the student-athlete's application is reviewed by the financial aid office.
  - **15.4.8.5 Adjustments to Financial Aid Package.** Adjustments to the composition of the financial aid package for a prospective student-athlete may be made after the initial packaging for the student has been completed, provided such adjustments fit within the packaging guidelines for all of the institution's prospective students and there is no athletics department involvement in the process. (*Adopted: 1/10/92*)
- 15.4.9 Faculty/Staff Benefits. Tuition remission, assistance or similar remuneration granted as a benefit of employment to a faculty or staff member shall not be calculated in the application of the financial need criteria in the case of that faculty or staff member's children.
- 15.4.10 Membership Reclassification. An institution petitioning to reclassify its membership to Division II in the next academic year may offer financial aid exceeding need to prospective student-athletes who will enroll in the institution after the review of its request for reclassification of division membership, provided:
- (a) The financial aid exceeding need is not actually awarded until the reclassification becomes effective;
- (b) The institution officially has applied for the reclassification at the time it offers the aid;
- (c) Any offer exceeding the financial need of the recipient states in writing that the awarding of such aid is contingent upon the reclassification being approved; and
- (d) The institution agrees to notify each potential recipient promptly if its application is denied.

The following Bylaw 15.4 was revised by the membership at the 2001 Convention, effective August 1, 2002.

#### 15.4 FINANCIAL AID

- 15.4.1 Consistent Financial Aid Package. The composition of the financial aid package offered to a student-athlete shall be consistent with the established policy of the institution's financial aid office for all students and shall meet all of the following criteria:
- (a) A member institution shall not consider athletics ability or participation as a criterion in the formulation of the financial aid package;
- (b) The financial aid procedures used for a student-athlete are the same as the existing official financial aid policies of the institution;
- (c) The financial aid package for a particular student-athlete cannot be clearly distinguishable from the general pattern of all financial aid for all recipients at the institution;
- (d) A financial aid package for a student-athlete must be equivalent to individual packages for other students with similar need characteristics; and
- (d) The percentage of the total dollar value of institutionally administered grants awarded to student-athletes shall be closely equivalent to the percentage of student-athletes within the student body. A differential is defensible if it can be demonstrated that the average need of the student-athletes at the institution is equivalently greater than the average need of other students.
- 15.4.2 Administration by Regular College Agency. All forms of financial assistance for student-athletes shall be handled through the regular college agency or committee that administers financial aid for all students.
- 15.4.3 Written Offer of Aid. Financial assistance may be offered in writing only by the institution's director of financial aid or comparable campus official and not before the student has been admitted.
- 15.4.4 Need Analysis Method. Any need-based assistance provided to a student-athlete must be based on financial need as determined by need analysis methodologies that conform to federal, state and written institutional guidelines. The methodologies used to determine the need of a student-athlete shall be consistent with the methodologies used by the institution for all students. (*Revised: 1/10/95*)
- 15.4.5 Specialized Interests in Activities as a Packaging Variable. A member institution may offer a student-athlete a financial aid package that takes into account variables in the packaging formula other than need (e.g., academic ability, minority status, specialized interests in activities other than athletics), provided the package does not exceed the cost of attendance.
- 15.4.6 Athletics Staff Involvement. Members of the athletics staff of a member institution shall not be permitted to arrange or modify the financial aid package (as assembled by the financial aid officer or financial aid committee) and are prohibited from serving as members of member institutions' financial aid committees and from being involved in any manner in the review of the institutional financial assistance to be awarded to a student-athlete.
- 15.4.7 Matrix-Rating System. In instances in which admissions officers use a matrix-rating system where, as part of the admissions process, factors other than academic ability are considered, once a decision is reached concerning admission, all consideration of athletics ability or participation shall be eliminated from any rating system before the student-athlete's application is reviewed by the financial aid office.
- 15.4.8 Adjustments to Financial Aid Package. Adjustments to the composition of the financial aid package for a prospective student-athlete may be made after the initial packaging for the student has been completed, provided such adjustments fit within the packaging guidelines for all of the institution's prospective students and there is no athletics department involvement in the process. (*Adopted: 1/10/92*)
- 15.4.9 Faculty/Staff Benefits. Tuition remission, assistance or similar remuneration granted as a benefit of employment to a faculty or staff member shall not be calculated in the application of the financial need criteria in the case of that faculty or staff member's children.
- 15.4.10 Membership Reclassification. An institution petitioning to reclassify its membership to Division II in the next academic year may offer financial aid based on athletics to prospective student-athletes who will enroll in the institution after the review of its request for reclassification of division membership, provided:
- (a) The financial aid based on athletics is not actually awarded until the reclassification becomes effective;
- (b) The institution officially has applied for the reclassification at the time it offers the aid;
- (c) Any offer of athletically related financial aid of the recipient states in writing that the awarding of such aid is contingent upon the reclassification being approved; and
- (d) The institution agrees to notify each potential recipient promptly if its application is denied.

# BYLAW, ARTICLE 16

# Awards, Benefits and Expenses for Enrolled Student-Athletes

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# 16.01 GENERAL PRINCIPLES

16.01.1 Eligibility Effect of Violation. Receipt by a student-athlete of an award, benefit or expense allowance not authorized by NCAA legislation renders the student-athlete ineligible to compete while representing the institution in the sport for which the improper award, benefit or expense was received. If the student-athlete receives an extra benefit not authorized by NCAA legislation or an improper award or expense allowance in conjunction with competition that involves the use of overall athletics skill (e.g., "superstars" competition), the individual is ineligible in all sports.

16.01.2 Eligibility Ramifications — Restitution for Receipt of Improper Benefits. For violations of all Bylaw 16 provisions in which the value of the benefit is \$50 or less, the eligibility of the student-athlete shall not be affected conditioned on the student-athlete repaying the value of the benefit to a charity of his or her choice. The student-athlete, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. Violations of these bylaws remain institutional violations per Constitution 2.8.1, and documentation of the individual's repayment shall be forwarded to the enforcement staff. (Adopted 1/8/01)

- 16.01.3 Exception for Benefits Available to Other Students. The receipt of a benefit by a student-athlete or his or her relatives or friends that is not authorized by NCAA legislation is not a violation if it is demonstrated that the same benefit generally is available to the institution's students, their relatives or friends or to a particular segment of the student body (e.g., foreign students, minority students) determined on a basis unrelated to athletics ability. (Revised: 1/10/91)
- 16.01.4 Pay for Unspecified Expenses. A payment to a student-athlete for unspecified, unitemized, excessive or improper expenses is not permitted.
- 16.01.5 Compliance with Legislation for Emerging Sports. Beginning with the 1995-96 academic year, a member institution sponsoring an emerging sport for women (see Bylaw 20.02.5) shall comply fully in that program with all applicable awards and benefits legislation set forth in Bylaw 16. (Adopted: 1/10/95)

# 16.02 DEFINITIONS AND APPLICATIONS

- 16.02.1 Award. An award is an item given in recognition of athletics participation or performance. Such awards are subject to the limitations set forth in Bylaw 16.1.
- 16.02.2 Excessive Expense. An excessive expense is one not specifically authorized under regulations of the Association concerning awards, benefits and expenses.
- 16.02.3 Extra Benefit. An extra benefit is any special arrangement by an institutional employee or a representative of the institution's athletics interests to provide a student-athlete or the student-athlete's relative or friend a benefit not expressly authorized by NCAA legislation. Receipt of a benefit by student-athletes or their relatives or friends is not a violation of NCAA legislation if it is demonstrated that the

# Definitions and Applications/16.02.3—Awards/16.1.4.1

same benefit is generally available to the institution's students or their relatives or friends or to a particular segment of the student body (e.g., foreign students, minority students) determined on a basis unrelated to athletics ability. (*Revised:* 1/10/91)

16.02.4 Pay. Pay is the receipt of funds, awards or benefits not permitted by governing legislation of the Association for participation in athletics. (See Bylaw 12.1.2 for explanation of forms of pay prohibited under the Association's amateur-status regulations.)

# 16.1 AWARDS

- 16.1.1 Application of Awards Legislation
  - **16.1.1.1 Before Enrollment.** Awards received by an individual before enrollment shall conform to the rules of the amateur sports organization that governs the competition and shall not include cash. Such awards may include gift certificates and items that are not personalized, provided the awards are permitted by the rules of the amateur sports organization.
  - **16.1.1.2 Enrolled Student-Athlete.** The awards limitations of Bylaw 16.1 apply to awards received by a student-athlete while enrolled during the academic year (i.e., from the beginning of the fall term through completion of the spring term, including any intervening-vacation period) as a regular student in a minimum full-time academic load, or awards received by a student-athlete that competes while representing the student-athlete's institution at any other time.
  - **16.1.1.3 Student-Athlete Not Enrolled.** Awards received by a student-athlete participating in an event while not enrolled as a regular student during the academic year, or received during the summer while not representing his or her institution, shall conform to the regulations of the recognized amateur organization that governs the competition and may not include cash. Such awards may include gift certificates and items that are not personalized, provided the awards are permitted by the rules of the amateur sports organization.
    - **16.1.1.3.1 Olympic Games.** It is permissible for a student-athlete to receive a nonmonetary award associated with participation in the Olympic Games at any time regardless of when the Games are held or whether the student-athlete is enrolled during the academic year. (*Adopted:* 1/8/01)
  - **16.1.1.4 After Completion of Athletics Eligibility.** Awards limitations apply to enrolled student-athletes who have exhausted their collegiate athletics eligibility. An institution shall be held responsible through the Association's enforcement procedures for the provision of improper awards to graduating seniors by the institution or its booster organizations.
- 16.1.2 Uniformity of Awards. Awards presented by a member institution, conference or other approved agency must be uniform for all team members receiving the award.
- 16.1.3 Nonpermissible Awards. The following awards are prohibited unless received per Bylaw 16.1.1.1 or 16.1.1.3, except that receipt of a cash award is not permitted under any circumstance.
  - **16.1.3.1 Cash or Equivalent.** An individual may not receive a cash award for athletics participation. An individual may not receive a cash-equivalent award (i.e., an item that is negotiable for cash or trade or other services, benefits or merchandise) for athletics participation.
  - **16.1.3.2 Gift Certificates.** Gift certificates shall be prohibited. (Revised: 1/10/90, 1/9/96 effective 8/1/96)
  - **16.1.3.3 Country Club or Sports-Club Memberships.** An award of a country club or sports-club membership is strictly prohibited, even if the cost of the membership is below the maximum award value permitted by NCAA legislation.
  - **16.1.3.4 Transfer of Nonpermissible Award.** Cash or any other award that an individual could not receive under NCAA legislation may not be forwarded in the individual's name to a different individual or agency (e.g., a collegiate institution).

#### See Figure 16-1, page 133, for a summary of awards legislation.

- 16.1.4 Types of Awards, Awarding Agencies, Maximum Value and Numbers of Awards. Athletics awards given to individual student-athletes shall be limited to those approved or administered by an institution, its conference or an approved agency as specified in the following subsections and shall be limited to normal retail value and number as specified in this section. Each of the following subsections is independent of the others so that it is permissible for an individual student-athlete to receive the awards described in all subsections. The value of an award may not exceed specified value limits, and a student-athlete may not contribute to its purchase in order to meet those limits. (Revised: 1/11/00 effective 8/1/00)
  - **16.1.4.1 Annual Participation.** Awards for recognition of intercollegiate athletics participation (e.g., "letter awards") may be presented each year by an institution. In addition, the institution may present senior

awards and awards in recognition of special attainments or contributions to a team's competitive season (e.g., scholar-athlete, most improved player, most minutes played).

- **16.1.4.1.1 Underclassman Awards.** The total value of a single participation award to a nonsenior shall not exceed \$150 in each sport in which the student-athlete participates. Multiple awards may be presented only if the total value of all participation awards received in a sport during a particular academic year by an individual student-athlete who is not a senior does not exceed \$150. (*Revised:* 1/9/96 effective 8/1/96)
- **16.1.4.1.2 Senior Awards.** Multiple awards may be presented only if the total value of all participation awards received during a particular academic year by an individual senior student-athlete does not exceed \$300 in each sport in which the student-athlete participates. (Revised: 1/9/96 effective 8/1/96)
- 16.1.4.1.3 Additional Awards. It is permissible for an institution to provide additional awards in recognition of special attainments or contributions to a team's competitive season (e.g., scholar-athlete, most improved player, most minutes played), with the value of each such additional award limited to \$150. (Revised: 1/9/96 effective 8/1/96)
- **16.1.4.1.4 Most-Valuable-Player Awards—Season.** A student-athlete may receive one or more awards for being selected as the most valuable player on a team for the season, provided such awards are approved by the institution and conference, if any. (See Bylaw 16.1.4.2.3 for special-event-related most-valuable-player awards.)
- **16.1.4.2** NCAA Championships, Regional or National Awards and Special Events. Awards for participation in special events (such as postseason football games, NCAA championships and other established meets and tournaments, all-star games, featured individual competition) and established regional or national recognition awards (e.g., Wade Trophy, Heisman Trophy) may be presented only to student-athletes who are eligible to participate in the event and may be presented only by the management of such an event or awards program or by an institution that has had or will have a team or individual participate in that event.
  - **16.1.4.2.1 Multiple Awards.** Multiple awards may be presented only by the institution and the management of the event if the total value of all awards presented for participation in the meet (e.g., a multievent winner in a gymnastics or track and field meet) or in honor of the student-athlete's achievement does not exceed \$300, except for all-star game awards per Bylaw 16.1.4.2.4. (Revised: 1/10/92, 1/16/93, 1/11/00 effective 8/1/00)
    - **16.1.4.2.1.1 Established National Award.** Trophies signifying an established national award may be received by a student-athlete, regardless of the value of the trophy. (*Adopted: 1/9/96 effective 8/1/96*)
  - **16.1.4.2.2 NCAA Championships Participation.** Awards presented by the Association to student-athletes for participation in any NCAA championship event are not subject to any limitation on the value of the award. Additional awards presented by an institution to its student-athletes for participation in an NCAA championship event are permitted, provided the total of any and all awards from the institution does not exceed \$300. (Adopted: 1/10/91)
  - **16.1.4.2.3 Most-Valuable-Player Awards—Special Event.** Most-valuable-player awards may be presented in special events if the recipient is selected by a recognized organization approved by a member institution or conference. Each award must be approved by the institution (or conference) and may not exceed \$300 in value. The awarding institution, conference or other organization may provide only one award for each event to each student-athlete. (*Revised:* 1/9/96 effective 8/1/96)
  - **16.1.4.2.4 All-Star Game Awards.** Awards presented to student-athletes from the sponsoring agency of an all-star game shall not exceed \$300 in value. The value of additional awards presented by an institution to its student-athletes for all-star-game participation shall be subject to a separate \$300 limitation. (*Adopted: 1/10/92, 1/16/93*)
- **16.1.4.3 National Championships.** Awards may be presented by an institution and conference (or an organization approved by either) in recognition of national championships to those student-athletes eligible to participate. The total value of any single award received for a national championship may not exceed \$300, and each permissible awarding agency is subject to a separate \$300 limit per award. The awarding institution and conference (or an organization approved by either) may provide only one award for each championship to each student-athlete. (*Revised:* 1/9/96 effective 8/1/96)
  - **16.1.4.3.1 National Championship Not Conducted by the NCAA.** In those sports or classification divisions in which the Association does not conduct championships, national-championship awards (the total value of each not to exceed \$300) may be presented to members of the team designated as "national champion" by a national wire-service poll or the national coaches association in that sport. Otherwise, only teams winning an NCAA or NAIA national championship may be presented such awards by the institution.

- **16.1.4.4 Conference Championship.** Awards may be presented by an institution and by a member conference (or an organization approved by either) in recognition of conference championships to student-athletes eligible to participate. The total value of any single award received for a conference championship may not exceed \$300, and each permissible awarding agency is subject to a separate \$300 limit per award. The awarding institution and conference (or other organization approved by either) may provide only one award for each championship to each student-athlete. (*Adopted: 1/9/96 effective 8/1/96*)
  - **16.1.4.4.1** Regular-Season Conference Champion Versus Postseason Conference Champion. Separate awards may be presented to both the regular-season conference champion and the postseason conference champion, with a separate \$300 limitation; however, if the same institution wins both the regular-season and postseason conference championship, the combined value of both awards shall not exceed \$300. (Adopted: 1/9/96)
- **16.1.4.5 Specialized Performance in Single Contest or During Limited Time Period.** Institutions may not present individual awards to student-athletes for specialized performances in particular contests or events or during a limited time period (e.g., "player of the game" or "player of the week"). However, a conference or an organization, such as a business firm or other outside agency, may recognize a student-athlete's outstanding performance in a particular contest or during a particular time period by presenting a certificate, plaque or medal valued at less than \$75. It is not permissible for such a conference or organization to provide any other tangible item or award. (*Revised: 1/10/95, 1/9/96 effective 8/1/96*)
- **16.1.4.6 Hometown Award.** It is permissible for a hometown group (other than the institution's athletics booster club) to provide an award (e.g., certificate, medal or plaque) to a student-athlete for outstanding accomplishments in intercollegiate athletics, provided the value of such an award does not exceed \$75. (*Revised:* 1/9/96 effective 8/1/96)
- **16.1.4.7 Local Civic Organization.** A local civic organization (e.g., Rotary Club, Touchdown Club) may provide awards to a member institution's team(s). The following regulations shall apply to such an event: (Adopted: 1/10/92)
- (a) All awards must be approved by the institution and must be counted in the institution's limit for institutional awards; and
- (b) The organization may not recognize or provide awards to prospective student-athletes at the banquet.
- 16.1.5 Purchase Restrictions
  - **16.1.5.1 Assignment of Normal Retail Value.** Normal retail value shall be assigned as the value of an award when determining whether an award meets specified value limits, even when a member institution receives institutional awards from an athletics representative or organization free of charge or at a special reduced rate. Normal retail value is the cost to the institution that is based solely on volume and is available to all purchasers of a similar volume and that does not involve an obligation to make additional purchases to enable the supplier to recover the costs for the original purchase. (*Adopted: 1/16/93*)
  - **16.1.5.2 Supplementary Purchase Arrangement.** An institution may not enter into a supplementary purchase arrangement with an awards supplier whereby the supplier agrees to sell an award (e.g., a watch or ring) at a price below the maximum amount specified by NCAA legislation with the understanding that the institution will make additional purchases of other unrelated items to enable the supplier to recover the costs for the original purchase. Such an arrangement would exceed the specific value limitations placed on permissible awards.
  - **16.1.5.3 Combining Values.** An institution may not combine the value limits of awards given in a sport during the same season, or given to athletes who participate in more than one sport, to provide an award more expensive than permissible under separate application to some or all of its participating student-athletes.
  - **16.1.5.4 Student Contribution to Purchase.** The value of an award may not exceed specified value limits, and a student-athlete may not contribute to its purchase in order to meet those limits.
- 16.1.6 Institutional Awards Banquets. An institution may conduct awards banquets to commemorate the athletics and/or academic accomplishments of its student-athletes. (Revised: 1/9/96 effective 8/1/96)
  - **16.1.6.1 Booster Club Recognition Banquet.** One time per year, an institution's athletics booster club may finance an intercollegiate team's transportation expenses to a recognition banquet, provided all expenses are paid through the institution's athletics department, the location of the event is not more than 100 miles from the campus, and no tangible award is provided to members of the team. (*Revised:* 1/9/96 effective 8/1/96)
- 16.1.7 Expenses to Receive Noninstitutional Awards
  - 16.1.7.1 Established Regional, National or International Awards. An outside organization (other

than a professional sports organization) may provide actual and necessary expenses for a student-athlete to travel to a banquet designed to recognize the individual's accomplishments as an athlete in order for the student-athlete to receive an established regional, national or international award (permitted by NCAA legislation). The outside organization also may provide actual and necessary expenses for the student-athlete's spouse, parents or other relatives to attend the recognition event.

- **16.1.7.2** Recognition by President, Governor or State Legislative Body. An institution may provide actual and necessary expenses when a team (or its senior student-athletes) is accorded special recognition by the President of the United States or by the governor or legislative body of the state in which the member institution is located.
- **16.1.7.3 Conference Awards.** A conference or an institution may provide actual and necessary expenses for a student-athlete to travel to the conference office or other site to receive a conference award. In addition, the conference may provide actual and necessary expenses for the student-athlete's parents (or legal guardians) and spouse to attend the presentation of the conference's male or female "athlete of the year" award to the student-athlete, provided not more than one male and one female student-athlete receive such an award per academic year. (*Adopted:* 1/10/92, *Revised:* 1/10/95)

#### 16.2 COMPLIMENTARY ADMISSIONS AND TICKET BENEFITS

#### 16.2.1 Permissible Procedures

- **16.2.1.1 Institutional Contests in the Student-Athlete's Sport.** An institution may provide four complimentary admissions per home or away contest to a student-athlete in the sport in which the individual participates (either practices or competes), regardless of whether the student-athlete competes in the contest. Complimentary tickets shall be distributed only to persons designated by the student-athlete. (Revised: 1/11/00 effective 8/1/00)
  - **16.2.1.1.1 Exception—NCAA Championships and Bowl Games.** An institution may provide each student-athlete who participates in or is a member of a team participating in an NCAA championship or bowl game with six complimentary admissions to all contests at the site at which the student (or team) participates. (*Adopted: 1/9/96 effective 8/1/96*)
  - **16.2.1.1.2 Tournaments.** Complimentary admissions may be provided to members of the institution's team for all contests in a tournament in which the team is participating, rather than only for the games in which the institution's team participates. However, the contests must be at the site at which the institution's team participates.
- **16.2.1.2 Institution's Home Contests in Other Sports.** An institution may provide admission for each student-athlete to all of the institution's regular-season home intercollegiate athletics contests in sports other than that in which the student-athlete is a participant, via a printed student-athlete pass or gate list. Proof of identity shall be required upon admission.
- 16.2.2 Nonpermissible Procedures
  - **16.2.2.1 Sale of Complimentary Admissions.** A student-athlete may not receive payment from any source for his or her complimentary admissions and may not exchange or assign them for any item of value.
  - **16.2.2.2 Payment to Third Party.** Individuals designated by the student-athlete to receive complimentary admissions are not permitted to receive any type of payment for these admissions or to exchange or assign them for any item of value. Receipt of payment for complimentary admissions by such designated individuals is prohibited and considered an extra benefit not available to the general student body, which would render the student-athlete ineligible for participation in intercollegiate athletics.
  - **16.2.2.3 Student-Athlete Ticket Purchases.** An institution may not provide a special arrangement to sell a student-athlete ticket(s) to an athletics event. Tickets shall be available for purchase by student-athletes according to the same purchasing procedures used for other students.
  - **16.2.2.4 Sale above Face Value.** A student-athlete may not purchase tickets for an athletics contest from the institution and then sell the tickets at a price greater than their face value.
  - **16.2.2.5 Professional Sports Tickets.** An institution or any representative of its athletics interests may not purchase or otherwise obtain tickets to a professional sports contest and make these tickets available to student-athletes enrolled in an NCAA member institution. Such a gift of tickets would represent an unacceptable extra benefit. (See Bylaw 16.7.1.1 for permissible provision of professional sports tickets as team entertainment related to an away-from-home contest.)
- 16.3 ACADEMIC AND OTHER SUPPORT SERVICES. An institution may finance academic and other support services for student-athletes provided such services are provided or are available to students in general. (*Adopted: 1/11/00 effective 8/1/00*)

# Medical Expenses/16.4—Expenses for Friends and Relatives/16.6.1.3

- 16.4 MEDICAL EXPENSES. An institution may finance medical-expense benefits incidental to a student-athlete's participation in intercollegiate athletics. (Adopted: 1/11/00 effective 8/1/00)
- 16.5 HOUSING AND MEALS. An institution may finance housing and meal benefits incidental to a student-athlete's participation in intercollegiate athletics provided such housing and benefits are available to students in general. (*Adopted: 1/11/00 effective 8/1/00*)

# 16.5.1 Exceptions

- (a) Preseason Practice Expenses. The institution may provide the cost of room and board to student-athletes who report for preseason practice before the start of the academic year, it being understood that the student-athlete has been accepted for admission to the institution at the time such benefits are received; and
- (b) **Vacation-Period Expenses.** The institution may provide the cost of room and board to student-athletes during the institution's official vacation periods in the following circumstances:
  - (1) Student-athletes who are required to remain on the institution's campus for organized practice sessions or competition during the institution's official vacation period during the regular academic year. If the student-athlete lives at home during the vacation period, the cost of room and board may not be provided by the institution, other than to permit the student-athlete to participate in team meals incidental to practice sessions. If an institution does not provide a meal to its student-athletes, a cash allowance may be provided, not to exceed the amount provided by the institution to institutional staff members on away-from-campus trips. (Revised: 1/14/97, 1/11/00)
  - (2) Student-athletes who return to campus during the institution's official vacation period occurring during a regular academic term (e.g., not including vacation periods between terms) from competition as outlined in Bylaws 16.8.1.2-(a) through 16.8.1.2-(e). Under such circumstances, room and board expenses may be provided beginning with the student-athlete's arrival on campus until the institution's regular dormitories and dining facilities reopen. If the student-athlete lives at home during the vacation period, the cost of room and board may not be provided by the institution. (Adopted: 1/11/00)
  - (3) Student-athletes who return to campus during the institution's official vacation period between regular academic terms (e.g., summer-vacation period) from competition as outlined in Bylaws 16.8.1.2-(a) through 16.8.1.2-(e). Under such circumstances, room and board expenses may be provided for no more than a 48-hour period, beginning with the student-athlete's return to campus. If the student-athlete lives at home during the vacation period, the cost of room and board may not be provided by the institution. (Adopted: 1/11/00)
- (c) **Meals Related to Institutional Committee Service.** A student-athlete who serves on an institutional committee may receive expenses to cover the cost of a meal missed as a result of a committee meeting that occurs when regular institutional dining facilities are open. (*Adopted: 1/11/00*)

# 16.6 EXPENSES FOR STUDENT-ATHLETE'S FRIENDS AND RELATIVES

#### 16.6.1 Permissible

- **16.6.1.1 Life-Threatening Injury or Illness.** The institution may pay transportation, housing and meal expenses for parents (or legal guardians) and the spouse of a student-athlete and for the student-athlete's teammates to be present in situations in which a student-athlete suffers a life-threatening injury or illness or, in the event of a student-athlete's death, to provide these expenses in conjunction with funeral arrangements. (*Revised: 1/11/89*)
  - **16.6.1.1.1 Immediate Family Member of Student-Athlete.** An institution may pay transportation, housing and meal expenses for a student-athlete and the student-athlete's teammates to be present, within a 100-mile radius of the institution's campus, in situations in which an immediate family member of the student-athlete (i.e., spouse, parent and legal guardian, sister, brother) suffers a life-threatening injury or illness or, in the event of an immediate family member's death, to provide the student-athlete and the student-athlete's teammates with expenses in conjunction with funeral arrangements. (Also see Bylaw 16.13.1.) (Adopted: 1/10/95 effective 8/1/95)
- **16.6.1.2 Family to Established Recognition Event.** An outside organization (other than a professional sports organization) may provide actual and necessary expenses for the student-athlete and the student-athlete's spouse, parents or other relatives to attend a recognition event where the student-athlete receives an established regional, national or international award (permitted by NCAA legislation) for his or her accomplishments as an athlete.
- **16.6.1.3 Family Travel to Olympic Games.** A commercial company (other than a professional sports organization) or members of the local community may provide actual and necessary expenses for a stu-

dent-athlete's spouse, parents, legal guardians or other relatives to attend the Olympic Games in which the student-athlete will participate. In addition, family members of student-athletes may receive nonmonetary benefits provided to the family members of all Olympic team members in conjunction with participation in the Olympic Games. (*Adopted: 1/11/94, Revised 8/1/01*)

- **16.6.1.4 Complimentary Admissions to Institutional Awards Banquets.** An institution may provide complimentary admissions to an institutional awards banquet for the spouse and children of any student-athlete being honored at the banquet. (*Adopted:* 1/8/01)
- 16.6.2 Nonpermissible. An institution may not provide any other expenses (except as permitted in Bylaws 16.6.1 and 16.13) to a student-athlete's friends or relatives. (Adopted: 1/11/00 effective 8/1/00)

# 16.7 TEAM ENTERTAINMENT

- 16.7.1 Away-From-Home Contests. The institution may pay the actual costs (but may not provide cash) for reasonable entertainment that takes place within a 100-mile radius of where a team plays or practices in connection with an away-from-home contest or en route to or from such a contest. It is not permissible for a team to depart more than 48 hours before or to remain more than 36 hours after an event or to transport the team to another area for entertainment purposes. (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93, 1/11/94, Revised: 1/8/01)
  - **16.7.1.1 Exceptions.** The time limitations related to the provisions of travel expenses do not apply in the following circumstances: (Revised: 1/10/91 effective 8/1/91)
  - (a) Travel before and after contests in Hawaii or Alaska; (Revised: 1/10/91 effective 8/1/91)
  - (b) Travel before and after contests in the 48 continguous states for member institutions located in Hawaii and Alaska; or (Adopted 1/16/93, Revised: 1/8/01)
  - (c) Travel before and after regular-season competition that takes place during the institution's official vacation period during the academic year. (Revised: 1/10/91 effective 8/1/91, Revised: 1/11/94, 1/10/95)
- 16.7.2 Professional Sports Tickets. Complimentary tickets to professional sports contests shall not be provided to student-athletes, unless the tickets are provided by the institution (or by a representative of athletics interests through the institution) for entertainment purposes to student-athletes involved in an away-from-home contest. Under the latter circumstances, the institution may pay actual costs for reasonable entertainment that takes place during a team trip.
- 16.7.3 Films/Movies/Videotapes. The institution may rent a film or movie, provide pay-per-view movies in the student-athletes' hotel rooms or take its intercollegiate team to the movies in conjunction with the student-athletes' home or away-from-home contest (immediately before or during the road trip), the night before a contest without the film or movie being considered an extra benefit not available to the student body. (*Adopted:* 1/10/92)
- 16.7.4 Practice Sites on Road Trips. Any practice on an extended road trip shall take place either at the competition site or on a direct route between two consecutive competition sites. It is not permissible for an institution to schedule practice sessions at other locations in order to provide entertainment opportunities for team members.

# 16.8 EXPENSES PROVIDED BY THE INSTITUTION FOR PRACTICE AND COMPETITION

- 16.8.1 Permissible. The permissible expenses for practice and competition that an institution may provide a student-athlete are defined in the following subsections. (See Bylaw 16.11 for expenses that may be provided by individuals or organizations other than the institution.)
  - **16.8.1.1 Practice.** Expenses may be paid for practice sessions only if they are associated with an away-from-home contest or conducted at a site located within the member institution's state or, if outside that state, no more than 100 miles from the institution's campus.
    - **16.8.1.1.1 Practice Sites on Road Trips.** Any practice on an extended road trip shall take place either at the competition site or on a direct route between two consecutive competition sites.
    - **16.8.1.1.2 Skiing—Weather Exception.** In the sports of men's and women's skiing, a member institution may transport a team to a practice site beyond the mileage limitations of Bylaw 16.8.1.1 if necessitated by weather conditions. (*Adopted: 1/11/89*)
    - **16.8.1.1.3 Women's Rowing—Weather Exception.** In the sport of women's rowing, a member institution may transport a team to a practice site beyond the mileage limitations of Bylaw 16.8.1.1 if necessitated by weather conditions. If practice activities are conducted during an institution's academic term, the practice activities shall occur only at a site located within the member

# Expenses/16.8.1.1.3—16.8.1.3

institution's state or, if outside the state, no more than 200 miles from the institution's campus. All practice activities must be within the institution's declared playing and practice season in the sport of women's rowing. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/11/00)

- **16.8.1.1.4 On- or Off-Campus Practice Sites.** An institution may transport student-athletes from a central on-campus site (e.g., the locker room) to an on- or off-campus practice site. (Adopted: 1/10/92)
- **16.8.1.2 Competition While Representing Institution.** An institution may provide actual and necessary travel expenses (e.g., transportation, lodging and meals) to a student-athlete who is eligible for collegiate competition, provided the student-athlete departs for the competition no earlier than 48 hours before the start of the actual competition and remains no more than 36 hours after the conclusion of the actual competition even if the student-athlete does not return with the team. Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, they shall not affect the student-athlete's eligibility. Such competition includes: (Revised: 1/10/92, 1/13/98, Revised: 1/8/01)
- (a) Regularly scheduled intercollegiate athletics events; or
- (b) NCAA championship events and NGB championship events in an emerging sport; (Revised: 1/14/97)
  - **16.8.1.2.1 Exceptions.** These travel expense restrictions do not apply in the following circumstances: (*Revised:* 1/10/91 effective 8/1/91)
  - (a) Travel before and after contests in Hawaii or Alaska; (Revised: 1/10/91 effective 8/1/91)
  - (b) Travel before and after contests in the 48 contiguous states for member institutions located in Hawaii or Alaska; (Adopted: 1/16/93)
  - (c) Travel before and after regular-season competition that takes place during the institution's official vacation period during the academic year; (Revised: 1/10/91 effective 8/1/91, Revised: 1/11/94, 1/10/95)
  - (d) Travel before contests in NCAA championship events, NGB championships in emerging sports or certified postseason football games; (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97)
  - (e) Travel before the National Football Foundation Hall of Fame benefit game or the American Football Coaches Retirement Trust benefit game; (Adopted: 1/10/92)
  - (f) Travel before and after regular-season competition that takes place during the institution's summer-vacation period; (Adopted: 1/10/92)
  - (g) Travel before and after regular-season competition that takes place in one or more foreign countries on one trip during the prescribed playing season and limited to not more than once every four years; or (Adopted: 1/10/92)
  - (h) Travel before the United States Gymnastics Federation (USGF) collegiate championships. (Adopted: 1/16/93)
  - **16.8.1.2.2 Transportation for Competition Occurring Between Terms.** It is permissible for any athletics department staff member to furnish transportation to eligible student-athletes to the campus from the nearest bus or train station or major airport in conjunction with practice and/or team travel relating to competition that occurs between the end of a final examination period of the fall semester (or fall or winter quarter) and the beginning of the next regular term. (*Adopted: 1/10/90 effective 8/1/90*)
  - **16.8.1.2.3 Meal-Allowance Limitation.** All student-athletes on the same team must receive identical meal allowances on intercollegiate trips and during vacation periods when student-athletes are required to remain on the institution's campus for organized practice sessions or competition. Such allowances may not exceed the amount provided by the institution to institutional staff members on away-from-campus trips and may not be provided for a particular meal if the student-athlete receives that meal (or its equivalent) from another source. (*Revised: 1/14/97 effective 8/1/97*)
- **16.8.1.3 Other Competition.** During an academic year in which a student-athlete is eligible to represent an institution in athletics competition (or in the next summer), an institution may provide actual and necessary expenses related to participation in the following activities: (*Revised: 1/10/92*, 1/14/97)
- (a) Established national championship events (including junior national championships);
- (b) Olympic, Pan American, World Championships, World Cup and World University Games qualifying competition; (Revised: 1/9/96 effective 8/1/96)
- (c) USOC Olympic Festival basketball and volleyball tryouts.

**16.8.1.4** Travel to NCAA Championships and NGB Championships in Emerging Sports During **Vacation Period.** The institution may provide team transportation for a student-athlete to travel from campus to the site of an NCAA championship or NGB championship in an emerging sport and back to campus. (*Revised:* 1/14/97, *Revised:* 1/8/01)

#### 16.8.1.4.1 Exceptions.

- (a) **Student-Athlete Does Not Use Team Transportation.** If the student-athlete goes home during the vacation period, the institution may provide (in lieu of team transportation) the greater of the transportation costs for the student-athlete to travel from:
  - (1) Campus to the event site and back to campus;
  - (2) Campus to the student-athlete's home and back to campus; or
  - (3) The student-athlete's home to the event site and back home (Revised: 1/11/89, 1/10/95)
- (b) **Student-Athlete Uses "Leg" of Team Transportation.** An institution that provides one "leg" of actual team transportation (i.e., campus to the event site or from the event site back to campus) must deduct the value of the actual transportation cost of that "leg" from the allowance provided the student-athlete in (a) above. (Adopted: 1/10/95)
- **16.8.1.5** Incidental Expenses at NCAA Championships, NGB Championships in Emerging Sports. An institution may provide \$20 per day to each member of the squad to cover unitemized incidental expenses during travel and practice for NCAA championship events or NGB championship events in emerging sports, during a period limited to the maximum number of days of per diem allowed for the involved championship or for a period not to exceed 10 days. The \$20 per day may be provided only after the institution's team departs for or reports to the site of the championship. (*Revised:* 1/16/93, 1/10/95, 1/14/97)
  - **16.8.1.5.1** Excessive Per Diem Subsidies. When a sponsoring agency provides a per diem in excess of a student-athlete's actual and necessary expenses, it is not permissible for the institution to provide the student-athlete with the cash difference between the amount allocated for per diem by the sponsoring agency and the actual cost of the individual's room and board expenses. (Adopted: 1/10/91)
- **16.8.1.6 Sports Organization Membership Fee.** An institution may provide a student-athlete membership in a sports organization (e.g., United States Volleyball Association, United States Gymnastics Federation) if the membership is a component of an entry fee required for competition in which the student-athlete competes while representing the institution. Further, the student-athlete would be permitted to retain resultant membership benefits under such circumstances.

#### 16.9 FORFIGN-TOUR EXPENSES

- 16.9.1 Incidental Expenses. An institution may provide a student-athlete \$20 cash per day to cover unitemized incidental expenses incurred in connection with a foreign tour in his or her particular sport. This expense allowance may be provided for each day of the tour, to a maximum of 21 days. (Revised: 1/9/96 effective 8/1/96)
- 16.9.2 Post-Tour Stay. An institution may not provide transportation expenses to return home for a student-athlete who remains in the foreign country after the foreign tour is completed.
- 16.9.3 Passports. An institution may purchase passports for its student-athletes that are required for travel in connection with a foreign tour, and student-athletes may retain ownership of such passports. The institution also may provide student-athletes with reasonable local transportation to obtain such passports. (*Revised:* 1/10/95)

#### 16.10 OTHER TRAVEL EXPENSES PROVIDED BY THE INSTITUTION

#### 16.10.1 Permissible

- **16.10.1.1 Local Media Appearances.** The institution may provide actual and necessary transportation expenses for local media appearances (e.g., radio, television, print media) within a 30-mile radius of the institution's campus if the student-athlete's appearance is related to athletics ability or prestige.
- **16.10.1.2 Medical Treatment.** The institution may pay transportation and related expenses for travel to the location of medical treatment as specified in Bylaw 16.4.
- **16.10.1.3 Life-Threatening Injury or Illness.** The institution may pay transportation and housing expenses for the spouse and the parents (or legal guardians) of a student-athlete and for the student-athlete's teammates to be present in situations in which a student-athlete suffers a life-threatening injury or illness, or, in the event of a student-athlete's death, to provide these expenses in conjunction with funeral arrangements. (*Revised:* 1/11/89)
  - 16.10.1.3.1 Immediate Family Member of Student-Athlete. An institution may pay transporta-

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tion, housing and meal expenses for a student-athlete and the student-athlete's teammates to be present, within a 100-mile radius of the institution's campus, in situations in which an immediate family member of the student-athlete (i.e., spouse, parent and legal guardian, sister, brother) suffers a life-threatening injury or illness or, in the event of an immediate family member's death, to provide the student-athlete and the student-athlete's teammates with expenses in conjunction with funeral arrangements. A preexisting relationship must exist between the student-athlete's teammates and the deceased or injured individual. (Adopted: 1/10/95 effective 8/1/95)

- **16.10.1.4 Media Days.** The institution may pay actual and necessary expenses for its student-athletes to attend conference-sponsored media days and regularly established local or regional media functions.
- **16.10.1.5 National Girls and Women in Sports Day.** An institution may pay actual and necessary expenses for a student-athlete to participate in activities and events associated with National Girls and Women in Sports Day, provided such activities and events are conducted either in the state in which the institution is located or in Washington, D.C., as part of a national celebration. (Adopted: 1/16/93)
- **16.10.1.6 National Student-Athlete Day.** An institution may pay actual and necessary expenses for a student-athlete to participate in activities and events associated with National Student-Athlete Day, provided such activities and events are conducted either in the state in which the institution is located or in Washington D.C., as part of a national celebration. (*Adopted:* 1/14/97 effective 8/1/97)
- **16.10.1.7 Student-Athlete Advisory Committee Meetings.** An institution or conference may pay actual and necessary expenses for a student-athlete to attend conference, regional or national student-athlete advisory committee meetings. (*Adopted:* 1/11/94)
- **16.10.1.8 Local Transportation.** Staff members may provide reasonable local transportation to student-athletes on an occasional basis. (*Adopted:* 1/10/95)

## 16.11 PROVISION OF EXPENSES BY INDIVIDUALS OR ORGANIZATIONS OTHER THAN THE INSTITUTION

#### 16.11.1 Permissible

- **16.11.1.1 Broken-Time Payments.** The student-athlete may receive compensation authorized by the United States Olympic Committee to cover financial loss as a result of absence from employment to prepare for or participate in the Olympic Games. Such compensation (i.e., "broken-time" payments) is limited to the period immediately before and including actual Olympic competition. The receipt of payments during any other period, or from other sports governing bodies (e.g., United States Ski Association) independent of the USOC, would jeopardize the student-athlete's eligibility.
  - **16.11.1.1.1 Exception When Student-Athlete Not Enrolled in Regular Term.** A student-athlete may receive broken-time payments administered by the United States Olympic Committee or the national governing body in the sport during a period when the student-athlete is not enrolled (full or part time) in a regular term to cover financial loss as a result of absence from employment as a direct result of practicing and competing on a national team (defined in Bylaw 14.02.7), provided the amounts are consistent with the principles set forth in Bylaw 12.4.1 and do not exceed \$300 per week, and the payment period covers no more than the period from the date the student-athlete begins practice with the national team after selection to that team to one week after the conclusion of the competition. (Adopted: 1/10/90, Revised: 1/9/96 effective 8/1/96)
- **16.11.1.2** Exception for USOC Elite Athlete Health Insurance Program. An individual may receive the comprehensive benefits of the USOC Elite Athlete Health Insurance Program. (Adopted: 1/10/90)
- **16.11.1.3 FCA Encampments.** Nationally recognized service organizations and church groups (including the Fellowship of Christian Athletes) may underwrite the actual and necessary expenses of student-athletes attending Fellowship of Christian Athletes encampments. Neither the institution nor an athletically related organization may underwrite such expenses.
- **16.11.1.4 Outside Sports Teams.** An amateur outside sports team or organization may provide actual and necessary expenses to team members only if the expenses are:
- (a) A reasonable amount for travel and meal expenses, and apparel and equipment (for individual and team use only from teams or organizations not affiliated with member institutions, including local sports clubs as set forth in Bylaw 13.12.2.3); (Revised: 1/10/90)
- (b) For practice and game competition;
- (c) Made on a regular basis; and
- (d) Not an incentive and not based on performance.
  - **16.11.1.4.1** Practice in Conjunction with Competition. Practice expenses may be accepted only

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when such practice is directly related to a competition and is conducted during a continuous time period before the competition. (*Adopted: 1/10/92*)

- **16.11.1.4.1.1 National Team Practice Exception.** If a student-athlete is involved in practice sessions conducted by a national team, the student-athlete may receive such practice expenses even if the practice is not continuous and occasionally is interrupted for specified periods of time before the competition. (Adopted: 1/10/92)
- **16.11.1.5 Student-Teaching.** A student-athlete may accept actual and necessary travel expenses from a high school where he or she is student-teaching (even if teaching or coaching a sport) if the high school is located in a city other than the one in which the collegiate institution is located. In order for the student-athlete to accept such expenses:
- (a) Receipt of the expenses must be permitted by the established guidelines of the institution for other student-teacher trainees;
- (b) The assigned coaching responsibilities must be a part of the supervised, evaluated teacher-training program in which the student-athlete is enrolled; and
- (c) The high school must provide such expenses for all of its student-teacher trainees.
- **16.11.1.6 Luncheon Meeting Expenses.** A student-athlete may accept transportation and meal expenses in conjunction with participation in a luncheon meeting of a booster club or civic organization, provided the meeting occurs within a 30-mile radius of the institution's main campus, and no tangible award is provided to the student-athlete.

#### 16.12 BENEFITS, GIFTS AND SERVICES

#### 16.12.1 Permissible

- **16.12.1.1 Deferred Pay-Back Loan.** A student-athlete may receive a loan on a deferred pay-back basis without jeopardizing his or her eligibility, provided: (*Adopted: 1/11/94*)
- (a) The loan arrangements are not contrary to the extra-benefit rule; and
- (b) The student-athlete's athletics reputation, skill or pay-back potential as a future professional athlete is not considered by the lending agency in its decision to provide the loan.
- **16.12.1.3 Loan from Established Family Friend.** A student-athlete may receive a loan from an established family friend without such arrangement constituting an extra benefit, provided: (Adopted: 1/11/94)
- (a) The loan is not offered to the student-athlete based in any degree on his or her athletics ability or reputation;
- (b) The individual providing the loan is not considered a representative of the institution's athletics interests; and
- (c) The relationship between the individual providing the loan and the student-athlete existed before the initiation of the student-athlete's recruitment by the member institution.
- **16.12.1.3 Disabling-Injury Insurance.** A student-athlete may borrow against his or her future earnings potential from an established, accredited commercial lending institution, exclusively for the purpose of purchasing insurance (with no cash surrender value) against a disabling injury that would prevent the individual from pursuing his or her chosen career, provided a third party (including a member institution's athletics department staff members or representatives of its athletics interests) is not involved in arrangements for securing the loan. The student-athlete shall report any such transactions to the member institution and shall file copies of any loan documents associated with disability insurance and insurance policy with the member institution, regardless of the source of the collateral for the loan. The student-athlete also shall file copies of the insurance policy with the member institution, regardless of whether a loan is secured to purchase the insurance policy. (*Revised: 1/14/97 effective 8/1/97*)
- **16.12.1.4 Promotional Activity Prize.** A student-athlete, selected through a random drawing open to the general public or the student body, may receive a prize as part of a promotional activity conducted by an institution or held on an institution's campus.
  - **16.12.1.4.1 Use of Athletics Ability.** Use of athletics ability (e.g., making a half-court basketball shot) to win such a prize in the promotional contest or activity does not affect a student-athlete's eligibility. (Revised: 1/10/95, 1/9/96 effective 8/1/96)
- **16.12.1.5 Occasional Meals.** A student-athlete or the entire team in a sport may receive an occasional family home meal from an institutional staff member or representative of athletics interests under the following conditions: (Revised: 1/10/92)

#### Benefits, Gifts and Services/16.12.1.5—Expense Waivers/16.13.1.1

- (a) The meal must be provided in an individual's home (as opposed to a restaurant) and may be catered; (Adopted: 1/10/92)
- (b) Meals must be restricted to infrequent and special occasions; and (Adopted: 1/10/92)
- (c) Institutional staff members may provide transportation to student-athletes to attend such meals. A representative of the institution's athletics interests may not provide transportation to student-athletes to attend the meal function unless the meal function is at the home of that representative. (Adopted: 1/10/92, Revised: 1/10/95)
- **16.12.1.6 Retention of Athletics Apparel and Equipment.** A student-athlete may retain athletics apparel items (not equipment) at the end of the individual's collegiate participation. Used equipment may be purchased by the student-athlete on the same cost basis as by any other individual interested in purchasing such equipment.
- **16.12.1.7 Summer Use of Athletics Equipment.** A student-athlete may retain and use institutional athletics equipment (per the institution's normal equipment policy) during a summer-vacation period.
- **16.12.1.8 NCAA Research Studies.** A student-athlete may receive compensation from the Association for participating in specified NCAA research studies. Such compensation shall be consistent with the going rate for compensation offered in studies involving nonathlete populations. (*Adopted: 1/11/00*)
- **16.12.1.9 Reasonable Refreshments.** An institution may provide student-athletes with reasonable refreshments (e.g., soft drinks, snacks) for student-athlete educational and business meetings and, on an occasional basis, for celebratory events (e.g., birthdays). (Adopted: 1/11/00)

#### 16.13 EXPENSE WAIVERS

- \* 16.13.1 Incidental to Participation. The Management Council, by a two-thirds majority of its members present and voting, or a committee designated by the Management Council, may approve a member institution's request to provide additional expenses, which may include reasonable local transportation incidental to a student-athlete's participation in intercollegiate athletics, when the information presented persuades the Management Council that such a waiver is warranted, because it may be applied on the basis of defined, objective standards; does not create an unfair competitive advantage for the involved institution; would not compromise the intent of the governing legislation; and the request for the waiver is made in a timely manner. (Adopted: 1/10/90, Revised: 1/10/95)
  - **16.13.1.1 Previously Approved Incidental Expenses.** Specific incidental expenses that have been previously approved on a routine basis by the Management Council, or a committee designated by the Management Council, may be processed by an institution or conference. Documentation of each approval shall be kept on file at the institution or the conference office. (*Adopted: 1/11/00*)

#### **FIGURE 16-1**

#### **Awards Summary**

TYPE OF AWARD	REFERENCE	MAXIMUM VALUE OF AWARD¹	NUMBER OF TIMES AWARD(S) MAY BE RECEIVED	PERMISSIBLE AWARDING AGENCIES	MAXIMUM NUMBER OF PERMISSIBLE AWARDING AGENCIES
ANNUAL PARTICIPATION (e.g., letter awards)	16.1.4.1	\$150, except \$300 for seniors	Once per year per sport	Institution	1
SPECIAL ATTAINMENTS OR CONTRIBU- TIONS TO TEAM'S SEASON (e.g., scholar- athlete, most improved player, most minutes played, most valuable player)	16.1.4.1 16.1.4.1.3 16.1.4.1.4	\$150	Once per category per sport	Institution	1
SPECIAL EVENTS (e.g., featured individual competition, national recognition awards, all-star selection)	16.1.4.2	\$300 <sup>2</sup>	Once per event	Institution, management of event	2
TROPHY FOR ESTABLISHED NATIONAL AWARD	16.1.4.2.1.1	No limit	Once per year	Management of awards program	1
BOWL GAMES/ALL-STAR GAMES	16.1.4.2.4	\$300	Once per event	Institution, management of event	2
NCAA CHAMPIONSHIPS PARTICIPATION	16.1.4.2.2	No limit	No limit	NCAA	1
PARTICIPATION		\$300	Once per championship	Institution	1
MOST VALUABLE PLAYER—Special Events, All-Star Games and Bowls <sup>3</sup>	16.1.4.2.3	\$300	Once per event	Institution, conference and orga- nizations recognized by institu- tion or conference	Unlimited
NATIONAL CHAMPIONSHIP	16.1.4.3	\$300	Once per championship	Institution and conference (or or- ganization recognized by institu- tion or conference to act in its place)	2
CONFERENCE CHAMPIONSHIP	16.1.4.4	\$300	Once per championship	Institution and conference (or or- ganization recognized by institu- tion or conference to act in its place)	2
SPECIALIZED PERFORMANCE IN SINGLE CONTEST OR LIMITED TIME PERIOD	16.1.4.5	\$75 (plaque, certificate or medal only)	Once per contest or time period	Conference, business firm or other outside agency (other than institution or management of event)	Unlimited
HOMETOWN AWARD	16.1.4.6	\$75	No limit	Hometown group other than booster club of institution	Unlimited
AWARD AT SUMMER EVENTS (athletes not representing institution)	16.1.1.3	AS REGULATED BY ANY TIME	AMATEUR SPORT ORGANIZ	ZATION ASSOCIATED WITH THE EV	ENT, EXCEPT NO CASH AT
AWARD RECEIVED BEFORE ENROLLMENT	16.1.1.1	AS REGULATED BY AMATEUR SPORT ORGANIZATION ASSOCIATED WITH THE EVENT, EXCEPT NO CASH AT ANY TIME			

<sup>&</sup>lt;sup>1</sup> This represents the value of all awards in a category that may be presented on any single occasion by each permissible awarding agency.

<sup>&</sup>lt;sup>3</sup> A most-valuable-player-award recipient must be selected by a recognized organization approved by a member institution or conference.

<sup>&</sup>lt;sup>2</sup> The combined value of any and all awards received for a special event from the institution and the management of the event may not exceed \$300.

# Playing and Practice Seasons

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#### 17.01 GENERAL PRINCIPLES

17.01.1 Institutional Limitations. A member institution shall limit its organized practice activities, the length of its playing seasons and the number of its regular-season contests and/or dates of competition in all sports, as well as the extent of its participation in noncollegiate-sponsored athletics activities, to minimize interference with the academic programs of its student-athletes (see Figures 17-1 and 17-2).

#### 17.02 DEFINITIONS AND APPLICATIONS

#### 17.02.1 Athletically Related Activities

**17.02.1.1 Athletically Related Activities.** The following are considered athletically related activities:  $(Adopted: 1/10/91 \, effective \, 8/1/92)$ 

- (a) Practice, which is defined as any meeting, activity or instruction involving sports-related information and having an athletics purpose, held for one or more student-athletes at the direction of, or supervised by, any member or members of an institution's coaching staff. Practice is considered to have occurred if one or more coaches and one or more student-athletes engage in any of the following activities:
  - (1) Field, floor or on-court activity;
  - (2) Setting up offensive or defensive alignment;
  - (3) Chalk talk;
  - (4) Lecture on or discussion of strategy related to the sport;
  - (5) Activities using equipment related to the sport; or
  - (6) Discussions or review of game films, motion pictures or videotapes related to the sport, except for the observation of an officiating clinic related to playing rules that is conducted by video conference and does not require student-athletes to miss any class time to observe the clinic.
- (b) Competition;
- (c) Required weight-training and conditioning activities held at the direction of or supervised by an institutional staff member;
- (d) Participation in a physical-fitness class (including a summer class) conducted by a member of the athletics staff, unless such a class is a regular physical education class listed in the institution's

#### Definitions and Applications/17.02.1.1—17.02.8

- catalog and open to all students. Such a class may not include practice activities conducted under the guise of physical education class work; (Adopted: 1/10/95)
- (e) Required participation in camps, clinics or workshops;
- (f) Individual workouts required or supervised by a member of the coaching staff, except for those sports subject to the safety exception. A coach may design a voluntary general individual-workout program for a student-athlete (as opposed to a specific workout program for specific days) but cannot conduct the individual's workout; (Adopted: 1/10/91 effective 8/1/91)
- (g) On-court or on-field activities called by any member(s) of a team and confined primarily to members of that team that are considered as a requisite for participation in that sport (e.g., captain's practices);
- (h) Visiting the competition site in the sports of cross country, golf and skiing; (Adopted: 1/16/93)
- Reservation or use of an institution's athletics facilities when such activities are supervised by or held at the direction of any member of an institution's coaching staff; and (Revised: 1/10/92, 1/16/93)
- (j) Involvement of an institution's strength and conditioning staff with enrolled student-athletes in required conditioning programs. Strength and conditioning personnel may monitor voluntary individual workouts for safety purposes. (Revised: 1/10/92)
- 17.02.2 Contest. A contest is any game, match, exhibition, scrimmage or joint practice session with another institution's team, regardless of its formality, in which competition in a sport occurs between an intercollegiate athletics team or individual representing a member institution and any other team or individual not representing the intercollegiate athletics program of the same member institution. (*Revised: 1/10/91*)
- 17.02.3 Contest, Countable, Institutional. A countable contest for a member institution, in those sports for which the limitations are based on the number of contests, is any contest by the member institution against an outside team in that sport, unless a specific exemption for a particular contest is set forth in this bylaw. Contests, including scrimmages, by separate squads of the same team against different outside teams shall each count as one contest.
- 17.02.4 Contest, Countable Student-Athlete. A countable contest for a student-athlete in a sport is any contest in which the student-athlete competes while representing the member institution (see Bylaw 17.02.8) individually or as a member of the varsity, subvarsity or freshman team of the institution in that sport, unless a specific exemption for a particular contest is set forth in this bylaw.
- 17.02.5 Date of Competition. A date of competition is a single date on which any contest(s), with another institution's team takes place. (See Bylaw 17.02.2.) (Revised: 1/10/91)
- 17.02.6 Date of Competition, Countable, Institutional. A countable date of competition for a member institution, in those sports for which the limitations are based on the number of dates of competition, is a single date on which the institution's team engages in competition against an outside team, unless a specific exemption for a particular date of competition is set forth in this bylaw.
  - **17.02.6.1 Required Minimum Number of Student-Athletes.** For individual sports, a member institution shall be considered to have participated in a date of competition that constitutes a date of competition if the minimum number of student-athletes participating on one or more teams, at one or more sites, on behalf of the institution on that date equals or exceeds the minimum number established for that sport, unless otherwise restricted in this bylaw for a particular sport (e.g., golf, tennis). (See Bylaw 20.11.3.2 for listings of minimum numbers of student-athletes per sport.)
- 17.02.7 Date of Competition, Countable Student-Athlete. A countable date of competition for a student-athlete is any date on which a student-athlete competes while representing the institution (see Bylaw 17.02.8) individually or as a member of the varsity, subvarsity or freshman team, unless a specific exemption for a particular date of competition is set forth in this bylaw.
- 17.02.8 Intercollegiate Competition. Intercollegiate competition is considered to have occurred when a student-athlete in either a two-year or a four-year collegiate institution does any of the following: (Revised: 1/10/95)
- (a) Competes while representing the institution in any contest against outside competition, regardless of how the competition is classified (e.g., scrimmage, exhibition or joint practice session with another institution's team) or whether the student is enrolled in a minimum full-time program of studies; (Revised: 1/10/91)
- (b) Competes in the uniform of the institution, or, during the academic year, uses any apparel (excluding apparel no longer used by the institution) or equipment received from the institution that includes institutional identification (see Bylaw 16.12.1.9 for regulations governing the use of equipment during the summer); or (*Revised:* 1/16/93, 1/11/94)

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#### Definitions and Applications/17.02.8—Playing-Season Regulations/17.1.1

- (c) Competes and receives expenses (e.g., transportation, meals, room or entry fees) from the institution for the competition.
  - **17.02.8.1 Exempted Events.** Participation in events listed in Bylaw 16.8.1.3 is exempted from the application of this legislation. (Revised: 1/10/92)
- 17.02.9 Outside Competition. Outside competition is athletics competition against any other athletics team (including an alumni team) that does not represent the intercollegiate athletics program of the same institution.
- 17.02.10 Outside Team. An outside team is any team that does not represent the intercollegiate athletics program of the institution or a team that includes individuals other than eligible student-athletes of the institution (e.g., members of the coaching staff, ineligible student-athletes, members of the faculty).
- 17.02.11 Practice Opportunities. In determining the number of practice opportunities to establish the starting date for preseason practice, there shall be counted one for each day beginning with the opening of classes, one for each day classes are not in session in the week of the first scheduled intercollegiate contest and two for each other day in the preseason practice period, except that the institution shall not count any days during the preseason when all institutional dormitories are closed, the institution's team must leave campus, and practice is not conducted. (*Adopted: 1/10/91 effective 8/1/91, Revised: 1/10/92*)
  - 17.02.11.1 Sunday. Sundays are excluded from the counting. (Adopted: 1/10/91 effective 8/1/91)
  - **17.02.11.2 Week.** The "week" of the first scheduled intercollegiate contest is defined as the six days, excluding Sunday, before the date of competition (even if one or more of the days fall into different traditional calendar weeks). (*Adopted: 1/10/91 effective 8/1/91*)
  - **17.02.11.3 Opening Day of Classes.** The "opening day of classes" is defined as the first day of classes as listed in the member institution's official catalog. Required freshman orientation is not considered to be the opening day of classes for the academic year. (*Adopted: 1/10/91 effective 8/1/91*)
  - **17.02.11.4 Calculating Starting Date for Preseason Practice.** When calculating the starting date for preseason practice in a particular sport that uses the practice opportunities formula (e.g., football, soccer), if only one practice opportunity remains, practice may begin on that day (e.g., when counting by twos if an institution is one beyond the maximum number of opportunities, practice may begin on that day). (Adopted: 1/13/98)
- 17.02.12 Varsity Intercollegiate Sport. A varsity intercollegiate sport is a sport that has been accorded that status by the institution's chief executive officer or committee responsible for intercollegiate athletics policy and that satisfies the following conditions:
- (a) It is a sport that is administered by the department of intercollegiate athletics;
- (b) It is a sport for which the eligibility of the student-athletes is reviewed and certified by a staff member designated by the institution's chief executive officer or committee responsible for intercollegiate athletics policy; and
- (c) It is a sport in which qualified participants receive the institution's official varsity awards.

**17.02.12.1 Team Sports.** The following are classified as team sports for purposes of this bylaw:

Baseball Soccer Basketball Softball

Field Hockey Synchronized Swimming, Women's

Football Team Handball, Women's

Ice Hockey, Men's and Women's Volleyball

Lacrosse Water Polo, Men's and Women's

Rowing, Women's

**17.02.12.2 Individual Sports.** The following are classified as individual sports for purposes of this bylaw:

Archery, Women's Rifle Badminton, Women's Skiing

Bowling, Women's Squash, Women's Cross Country Swimming Equestrian, Women's Tennis

Fencing Track and Field, Indoor and Outdoor

Golf Wrestling

**Gymnastics** 

#### 17.1 GENERAL PLAYING-SEASON REGULATIONS

17.1.1 Playing Season. The playing (i.e., practice and competition) season for a particular sport is the

#### General Playing-Season Regulations/17.1.1—17.1.7

period of time between the date of an institution's first officially recognized practice session and the date of the institution's last practice session or date of competition, whichever occurs later. An institution is permitted to conduct officially recognized practice and competition each academic year only during the playing season as regulated for each sport in accordance with the provisions of this bylaw. The institution must conduct the same playing season for varsity and subvarsity teams in the same sport. (Revised: 1/10/92)

- **17.1.1.1 Playing Season—Athletically Related Activities.** The playing (i.e., practice and competition) season for a particular sport is the only time within which a member institution is permitted to conduct athletically related activities (see Bylaw 17.02.1.1) except as set forth in Bylaw 17.1.6.2. (Adopted: 1/10/91 effective 8/1/92)
- 17.1.2 Segments of Playing Season. The segments of the playing season are defined as follows: (Revised: 1/10/91 effective 8/1/91, Revised: 1/11/00 effective 8/1/00)
- (a) **Traditional Segment.** The portion of the playing season that concludes with the NCAA championship in the sport shall be known as the "traditional segment," and
- (b) **Nontraditional Segment.** The remaining portion of the playing season shall be known as the "nontraditional segment."
- 17.1.3 Declaration of Playing Season. Each member institution shall determine the playing season for each of the sports referenced under Bylaw 17.02.12. Declaration of the institution's playing season in each such sport shall be on file in writing in the department of athletics prior to the beginning of the institution's playing season for that sport. Changes in the declaration for a particular sport are permissible and also shall be filed in writing in the office of the institution's athletics director. (*Revised: 1/11/89*)
- 17.1.4 Sports Subject to Segment Limitations. Segment limitations are applicable to all team sports that are listed in Bylaw 17.02.12.1 and all individual sports listed under Bylaw 17.02.12.2. In those sports for which the National Collegiate Championship is the only NCAA championships opportunity (see Bylaw 18.3), an institution's playing season shall be limited to the same length as Division I institutions, and this limitation shall be implied in all subsequent legislative references affecting the sport. (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93 effective 8/1/93)
- 17.1.5 Required Days Off
  - **17.1.5.1 Football and Basketball and Traditional and Nontraditional Segments in All Other Sports.** During the playing season (see Bylaw 17.1.1), all athletically related activities shall be prohibited during one calendar day per week in the sports of football and basketball and during the traditional and nontraditional segment in all other sports, except during participation in NCAA championships. (Adopted: 1/10/91 effective 8/1/92, Revised: 1/11/94, 1/10/95 effective 8/1/95)
  - 17.1.5.2 Athletically Related Activities Between Terms. Limitations on athletically related activities occurring during the academic year do not apply in periods between academic terms when classes are not in session or during the institution's preseason practice that occurs prior to the first day of classes. ( $Adopted: 1/10/91 \ effective \ 8/1/92$ )
- 17.1.6 Missed Class Time—Practice. No class time shall be missed for practice activities except when a team is traveling to an away-from-home contest and the practice is in conjunction with the contest. (Adopted: 1/11/00 effective 8/1/00)
  - 17.1.6.1. Missed Class Time for Competition in the Nontraditional Segment—Baseball, Field Hockey, Lacrosse, Soccer, Softball and Women's Volleyball. No class time shall be missed for competition during the nontraditional segment. (*Adopted 1/8/01 effective 8/1/01*)
- 17.1.7 General Regulations for Computing Playing Seasons Applicable to All Sports (Revised: 1/10/91 effective 8/1/92)
- (a) **Practice Only, Nontraditional Segment.** The nontraditional segment shall be counted as part of the institution's declared playing season, regardless of whether competition occurs during that segment;
- (b) **Makeup Contests.** An institution is not permitted to extend the playing season to make up suspended or canceled games (including contests that determine a conference champion or the automatic qualifier to the NCAA championship); (Adopted: 1/10/92)
- (c) NCAA, NCCAA or NAIA Championships Participation in Team Sports. Neither practice for nor participation in any NCAA, NCCAA or NAIA championship event (including play-in contests conducted before NCAA championships) is considered part of the institution's declared playing season. A member institution that has reason to believe it is under consideration for selection to participate in an NCAA championship event may continue to practice (but may not compete against outside competition) beyond its last regular-season contest, including the conference championship (if any), without counting such practice against the institution's declared playing-season limitation until it is determined by the appropriate committee whether the institution will be selected to participate in the NCAA championship may

#### General Playing-Season Regulations/17.1.7—17.1.9.4

- continue to practice or compete until the end of that championship only if it has time remaining in its declared playing season; (Revised: 1/12/99 effective 8/1/99)
- (d) **NCAA Championships Participation in Individual Sports.** Only appropriate squad members considered necessary for effective practice with the individual(s) preparing for the NCAA championships may continue to practice without counting such practice against the institution's declared playing-season limitation;
- (e) **Post-NCAA Championships Participation.** After the conclusion of an NCAA (or NAIA or NCCAA) championship in a sport, an institution may resume practice and/or competition in the sport, provided it is continuing its permissible playing season in the sport and provided the activity occurs during the academic year; (Revised: 1/12/99 effective 8/1/99)
- (f) **Conference Championships.** Conference championships must be included within the institution's playing season;
- (g) Non-NCAA Postseason Championships Participation. Practice and/or competition in non-NCAA (or non-NAIA or non-NCCAA) postseason championships (e.g., an invitational tournament scheduled after a conference championship) must be counted against the institution's declared playing-season limitation; except that practice and competition for one postseason championship in each non-NCAA championship sport used for sports sponsorship purposes in accordance with Bylaw 20.11.3.1.1. Competition in the American Women's College Hockey Alliance national championship tournament is exempt from the institution's declared playing-season limitation; and (Revised: 1/16/93, 1/11/94, 1/12/99)
- (h) **Foreign Tours.** Participation by a member institution on a certified foreign tour (see Bylaw 17.30) need not be included within the institution's declared playing-season limitation in the sport.
- 17.1.8 Computing Playing Seasons in Sports Using Weekly Segment Options. In determining the length of an institution's playing season, the following regulations shall apply: (Revised: 1/10/91 effective 8/1/92)
- (a) **Week.** Practice or competition during any part of a week shall be counted as a full week. A week shall be defined as any consecutive seven-day period, regardless of the day on which the seven-day period begins (e.g., nine full weeks and one day shall be counted as 10 weeks);
- (b) **Consecutive Weeks**. In all sports, the playing season, or its segments, shall consist of consecutive seven-day periods; (*Revised:* 1/10/91 effective 8/1/92)
- (c) **Total Combined Length.** The total number of weeks for both segments of a playing season combined shall not exceed the maximum permitted in a particular sport, for the purpose of computing the playing season, and, except as otherwise noted, shall apply during the entire calendar year; and (*Revised:* 1/10/91 effective 8/1/92)
- (d) Holiday, Vacation, Exam Periods. In traditional and nontraditional segments, any practice or competition during published vacation, holiday and final-examination periods during the academic year shall be counted as part of the playing season. If practice or competition is not scheduled during any full week (seven consecutive days) that includes a vacation, final-examination period or holiday period, it neither shall be counted as part of the playing season nor shall constitute a break in a segment.
- 17.1.9 Contest and Segment Limitations
  - **17.1.9.1 Combining Traditional and Nontraditional Segments.** In those sports that have different contest limitations in the traditional and nontraditional segments, a member institution that conducts a single continuous traditional segment in a sport (rather than dividing its playing season for that sport into two distinct segments as permitted in Bylaw 17.1.2) shall be limited to the number of contests or dates of competition permitted only for the traditional segment of that sport.
  - 17.1.9.2 Dividing Traditional and Nontraditional Segments. A member institution that divides its practice and playing season into two distinct segments per Bylaw 17.1.2 and conducts its nontraditional segment in the fall must complete all practice and competition by October 30 of the academic year involved; conversely, a member institution that conducts its traditional segment in the fall may not begin practice or competition during its nontraditional segment until February 1 of the academic year involved. (Adopted: 1/10/92 effective 8/1/92)
  - **17.1.9.3 Conducting More Than 50 Percent of Schedule in Golf and Tennis During Nontraditional Segment.** A member institution that conducts its nontraditional segment in the fall and that schedules more than 50 percent of its contests or dates of competition (including the conference championship) in the nontraditional segment may elect to utilize the preseason practice and first-date-of-competition regulations applicable to the traditional segment as set forth in Bylaws 17.11.2, 17.11.3, 17.24.2 and 17.24.3. (*Adopted: 1/9/96 effective 8/1/96*)
  - 17.1.9.4 Traditional and Nontraditional Segment Length—Baseball, Field Hockey, Lacrosse, Soccer, Softball and Women's Volleyball. All practice and competition during the nontraditional segment shall be limited to a maximum of five weeks. (Adopted 1/8/01 effective 8/1/01)

# FIGURE 17-1 2001-02 Academic Year Maximum Numbers of Contests and Dates of Competition for Each Sport

Sport	Contests	Dates of Competition
Archery, Women's		15
Badminton, Women's		15
Baseball Traditional Segment Nontraditional Segment	40 5	
Basketball	25	
Bowling, Women's		26
Cross Country		9
Fencing		11
Field Hockey Traditional Segment Nontraditional Segment	20	4
Football	10	
Golf		20
Gymnastics		13
Ice Hockey, Men's	25	
Ice Hockey, Women's	34	
Lacrosse, Men's	17	
Lacrosse, Women's	17	
Rifle		13
Rowing, Women's		20
Skiing		16
Soccer Traditional Segment Nontraditional Segment	20	4
Softball Traditional Segment Nontraditional Segment	40 5	
Squash, Women's		15
Swimming		16
Synchronized Swimming, Women's		15
Team Handball, Women's		20
Tennis		20
Track and Field (Indoor and Outdoor)		18
Volleyball, Men's Traditional Segment Nontraditional Segment		28 4
Volleyball, Women's Traditional Segment Nontraditional Segment		22 4
Water Polo, Men's		21
Water Polo, Women's		21
Wrestling		16

#### FIGURE 17-2 2001-02 Academic Year Playing and Practice Seasons\*

Sport	First Practice Date	First Contest Date or Date of Competition	End of Regular Playing Season
Archery, Women's Badminton, Women's Bowling, Women's Squash, Women's Synchronized Swimming, Women's Team Handball, Women's (Traditional)	September 7 or the first day of classes, whichever occurs first	September 7 or the first day of classes, whichever occurs first	Conclusion of the national governing body championship
(Nontraditional)	September 7 or the first day of classes, whichever occurs first	September 7 or the first day of classes, whichever occurs first	First day of final exams for academic year
Basketball	Preseason conditioning: October 15 On-Court: The fourth Saturday preceding the first permis- sible contest date	The Friday immediately preceding Thanksgiving. (Exceptions: see Bylaw 17.5.3.1)	NCAA Division III Men's Basketball Championship game
Cross Country (Traditional)	Date that permits 16 "practice opportunities" before first game or before September 1, whichever is later.	September 1, or preceding Friday if September 1 falls on Saturday, Sunday or Monday, except unlimited number of exhibition scrimmages may occur on one date during the preseason practice period	Conclusion of NCAA Division IIIchampionship in sport.
(Nontraditional)	September 1 or first day of fall classes, whichever occurs first.		
Field Hockey Soccer (Traditional)	Date that permits 16 "practice opportunities" before first game or before September 1, whichever is later.	September 1, or preceding Friday if September 1 falls on Saturday, Sunday or Monday, except unlimited number of exhibition scrimmages may occur on one date during the preseason practice period	NCAA Division III cham- pionship game in sport.
(Nontraditional)	September 1 or first day of fall classes, whichever occurs first.		
Football	Date that permits 27 "practice opportunities" before first game	Friday or Saturday 11 weeks before the first round of the NCAA Division IIIFootball Championship	Within 21 weeks of first practice date (must be completed within traditional segment)
Ice Hockey, Men's and Women's (Traditional) (Nontraditional)	September 7 or the first day of classes, whichever occurs first  September 7 or the first day of classes, whichever occurs first	September 7 or the first day of classes, whichever occurs first	NCAA Division III Men's Ice Hockey Championship game
Volleyball (Traditional, Women's) (Nontraditional,	Date that permits 16 "practice opportunities" before first game or before September 1, whichever is later  January 1	September 1, or preceding Friday if September 1 falls on Saturday or Sunday, except unlimited number of exhibition scrimmages may occur on one date during the preseason practice period  January 1	NCAA Division III Women's Volleyball Championship game
Women's) Other Team Sports	September 7 or the first day of	September 7 or the first day of	demic ýear NCAA Division III or national
(Traditional) Baseball, Lacrosse, Rowing, Softball (Nontraditional)	classes, whichever occurs first  September 7 or the first day of classes, whichever occurs first	classes, whichever occurs first	collegiate championship game in sport
Individual Sports (Traditional) Golf** Swimming Tennis*** Track and Field Wrestling	September 7 or first date of classes, whichever occurs first	September 7 or first day of classes, whichever occurs first	Conclusion of NCAA Division III championship in sport
(Nontraditional)	September 7 or first day of classes, whichever occurs first		

<sup>\*</sup> See Figure 17-1 for those Division III sports for which the National Collegiate Championship is the only NCAA championships opportunity (i.e., men's and women's fencing, men's and women's gymnastics, men's and women's rifle, women's rowing, men's and women's skiing, men's volleyball and water polo).

<sup>\*\*</sup> See Bylaws 17.11.2-(a)-(1) and 17.11.3-(a)-(1) for exception for Division Illinstitutions that conduct their traditional segments in golf during the fall.

<sup>\*\*\*</sup> See Bylaws 17.24.2-(a)-(1) and 17.24.3-(a)-(1) for exception for Division Illinstitutions that conduct their traditional segments in tennis during the fall.

#### General Playing-Season Regulations/17.1.10—Archery, Women's/17.2.5.3

17.1.10 Use of Tobacco Products. The use of tobacco products by a student-athlete is prohibited during practice and competition. A student-athlete who uses tobacco products during a practice or competition shall be disqualified for the remainder of that practice or competition (see Bylaw 11.1.7 for game personnel restrictions). (*Adopted: 1/11/94 effective 8/1/94*)

#### 17.2 ARCHERY, WOMEN'S

Regulations for computing the archery playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.) (Adopted: 1/9/96 effective 8/1/96)

- 17.2.1 Length of Playing Season. The length of an institution's playing season in archery shall be limited to a maximum of 21 weeks (traditional and nontraditional segments combined). (Adopted: 1/9/96 effective 8/1/96)
- 17.2.2 Preseason Practice. A member institution shall not commence practice sessions in archery before the following dates: (Adopted: 1/9/96 effective 8/1/96)
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Adopted:* 1/9/96 effective 8/1/96)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96)
- 17.2.3 First Date of Competition. A member institution shall not engage in its first date of competition (contest or scrimmage) with outside competition in archery before the following dates:  $(Adopted: 1/9/96 \ effective \ 8/1/96)$
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Adopted: 1/9/96 effective 8/1/96*)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96)
- 17.2.4 End of Regular Season. A member institution shall conclude all practice and competition (meets and practice meets) in each segment in archery by the following dates: (Adopted: 1/9/96 effective 8/1/96)
- (a) **Traditional Segment.** The conclusion of the national governing body championship in archery. (Adopted: 1/9/96 effective 8/1/96)
- (b) **Nontraditional Segment.** The first date of final examinations for the regular academic year at the institution. (Adopted: 1/9/96 effective 8/1/96)
- 17.2.5 Number of Dates of Competition
  - 17.2.5.1 Maximum Limitations—Institutional. A member institution shall limit its total playing schedule with outside competition in archery during the institution's archery playing season to 15 dates of competition (contests and scrimmages), except for those dates of competition excluded under Bylaws 17.2.5.3 and 17.2.5.4 (see Bylaw 20.11.3.2 for minimum contests and participants requirements). ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )
    - **17.2.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in archery in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years. (*Adopted: 1/9/96 effective 8/1/96*)
  - **17.2.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in a maximum of 15 dates of competition. This limitation includes those dates of competition in which the student-athlete represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (Adopted: 1/9/96 effective 8/1/96)
  - 17.2.5.3 Annual Exemptions. The maximum number of dates of competition in archery shall exclude the following (see Figure 17-3):  $(Adopted: 1/9/96 \ effective \ 8/1/96)$
  - (a) United States Intercollegiate Championships. Competition in the U.S. Intercollegiate Archery Championships;
  - (b) United States Indoor Championships. Competition in the U.S. Indoor Archery Championships;
  - (c) **Conference Championship.** Competition in one conference championship meet in archery;
  - (d) **National Governing Body Championship.** Competition in the archery national governing body championship;
  - (e) Alumni Meet. One date of competition each year with an alumni team of the institution;

## Exemptions: Archery Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	U.S. Intercollegiate Archery Championships [17.2.5.3-(a)] U.S. Indoor Archery Championships [17.2.5.3-(b)] Conference Championship [17.2.5.3-(c)] National Governing Body Championship [17.2.5.3-(d)] Alumni Meet [17.2.5.3-(e)] Foreign Team in U.S. [17.2.5.3-(f)] Date(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.2.5.3-(g)] Fund-Raising Activity [17.2.5.3-(h)] Celebrity Sports Activity [17.2.5.3-(i)]
Once-in-Four-Years Exemptions (All Divisions)	Foreign Tour (17.2.5.4)

- (f) Foreign Team in U.S. One date of competition each year with a foreign opponent in the United States:
- (g) **Hawaii, Alaska, Puerto Rico.** Any dates of competition in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question;
- (h) **Fund-Raising Activity.** Any activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (i) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's team who participate in local celebrity activities in archery conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- **17.2.5.4 Once-in-Four-Years Exemption—Foreign Tour.** The dates of competition on a foreign tour, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30).
- 17.2.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (*Adopted: 1/9/96 effective 8/1/96*)
  - 17.2.6.1 Summer Practice. Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Adopted: 1/9/96 effective 8/1/96)
- 17.2.7 Camps and Clinics. There are no limits on the number of student-athletes in archery who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Adopted: 1/9/96 effective 8/1/96)
- 17.2.8 Other Restrictions

#### 17.2.8.1 Noncollegiate, Amateur Competition

17.2.8.1.1 In Season. A student-athlete shall be denied eligibility for intercollegiate competition for the remainder of the season in archery if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate squad or team, she competes or has competed as a member of an outside team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) during the institution's intercollegiate season (see Bylaw 14.7.5 for exceptions and waivers). ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )

#### Archery, Women's/17.2.8.1.2—Badminton, Women's/17.3.5.1

- **17.2.8.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining who may practice or compete out of season on an outside, amateur team. (Adopted: 1/9/96 effective 8/1/96)
  - **17.2.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's team except as provided under Bylaws 14.7.5 and 17.30. (*Adopted: 1/9/96 effective 8/1/96*)
  - **17.2.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.2.8.2 Equipment Issue, Squad Pictures. It shall be permissible to designate a single date for issuing archery equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of the traditional or nontraditional segment as specified in Bylaw 17.2.2. ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )
  - **17.2.8.2.1 Exception for Sundays.** If the day before the beginning of a traditional or nontraditional segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (*Adopted:* 1/9/96 effective 8/1/96)
- 17.2.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (Bylaw 17.2.1) by modifying the start of preseason practice (Bylaw 17.2.2) for an institution that has suffered extraordinary personnel losses to its archery team due to accident or illness of a disastrous nature. ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )

#### 17.3 BADMINTON, WOMEN'S

Regulations for computing the badminton playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.) (Adopted: 1/9/96 effective 8/1/96)

- 17.3.1 Length of Playing Season. The length of an institution's playing season in badminton shall be limited to a maximum of 21 weeks (traditional and nontraditional segments combined). (Adopted: 1/9/96 effective 8/1/96)
- 17.3.2 Preseason Practice. A member institution shall not commence practice sessions in badminton before the following dates:  $(Adopted: 1/9/96 \ effective \ 8/1/96)$
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Adopted:* 1/9/96 effective 8/1/96)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96)
- 17.3.3 First Date of Competition. A member institution shall not engage in its first date of competition (contest or scrimmage) with outside competition in badminton before the following dates: (Adopted: 1/9/96 effective 8/1/96)
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Adopted: 1/9/96 effective 8/1/96*)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96)
- 17.3.4 End of Regular Season. A member institution shall conclude all practice and competition (meets and practice meets) in each segment in badminton by the following dates: (Adopted: 1/9/96 effective 8/1/96)
- (a) **Traditional Segment.** The conclusion of the national governing body championship in badminton. (Adopted: 1/9/96 effective 8/1/96)
- (b) **Nontraditional Segment.** The first date of final examinations for the regular academic year at the institution. (Adopted: 1/9/96 effective 8/1/96)
- 17.3.5 Number of Dates of Competition
  - **17.3.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in badminton during the institution's badminton playing season to 15 dates of competition (contests and scrimmages), including not more than three tournaments that are counted

as single dates of competition, except for those dates of competition excluded under Bylaws 17.3.5.3 and 17.3.5.4. (Adopted: 1/9/96 effective 8/1/96)

- **17.3.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in badminton in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years. (*Adopted: 1/9/96 effective 8/1/96*)
- **17.3.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in 15 dates of competition, including not more than three tournaments that are counted as single dates of competition. This limitation includes those dates of competition in which the student-athlete represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (Adopted: 1/9/96 effective 8/1/96)
- **17.3.5.3 Annual Exemptions.** The maximum number of dates of competition in badminton shall exclude the following (see Figure 17-4): (Adopted: 1/9/96 effective 8/1/96)
- (a) **Conference Championship.** Competition in one conference championship meet in badminton;
- (b) **National Governing Body Championship.** Competition in the badminton national governing body championship;
- (c) Alumni Match. One date of competition each year with an alumni team of the institution;
- (d) **Foreign Team in U.S.** One date of competition each year with a foreign opponent in the United States:
- (e) **Hawaii**, **Alaska**, **Puerto Rico**. Any dates of competition in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question;
- (f) **Fund-Raising Activity.** Any activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (g) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's team who participate in local celebrity activities in badminton conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- **17.3.5.4 Once-in-Four-Years Exemption—Foreign Tour.** The dates of competition on a foreign tour, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30).
- 17.3.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (*Adopted: 1/9/96 effective 8/1/96*)
  - **17.3.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Adopted: 1/9/96 effective 8/1/96)
- 17.3.7 Camps and Clinics. There are no limits on the number of student-athletes in badminton who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Adopted: 1/9/96 effective 8/1/96)
- 17.3.8 Other Restrictions

#### 17.3.8.1 Noncollegiate, Amateur Competition

**17.3.8.1.1 In Season.** A student-athlete shall be denied eligibility for intercollegiate competition for the remainder of the season in badminton if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate squad or team, she competes or has competed as a member of an outside team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) during the institution's intercollegiate season (see Bylaw 14.7.5 for exceptions and waivers). (*Adopted: 1/9/96 effective 8/1/96*)

# FIGURE 17-4 Exemptions: Badminton Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.3.5.3-(a)] National Governing Body Championship [17.3.5.3-(b)] Alumni Match [17.3.5.3-(c)] Foreign Team in U.S. [17.3.5.3-(d)] Date(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.3.5.3-(e)] Fund-Raising Activity [17.3.5.3-(f)] Celebrity Sports Activity [17.3.5.3-(g)]
Once-in-Four-Years Exemptions (All Divisions)	Foreign Tour (17.3.5.4)

**17.3.8.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining who may practice or compete out of season on an outside, amateur team. (Adopted: 1/9/96 effective 8/1/96)

**17.3.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's team except as provided under Bylaws 14.7.5 and 17.30. (*Adopted: 1/9/96 effective 8/1/96*)

**17.3.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.

**17.3.8.2 Equipment Issue, Squad Pictures.** It shall be permissible to designate a single date for issuing badminton equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of the traditional or nontraditional segment as specified in Bylaw 17.3.2. (Adopted: 1/9/96 effective 8/1/96)

**17.3.8.2.1 Exception for Sundays.** If the day before the beginning of a traditional or nontraditional segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (*Adopted:* 1/9/96 effective 8/1/96)

17.3.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (Bylaw 17.3.1) by modifying the start of preseason practice (Bylaw 17.3.2) for an institution that has suffered extraordinary personnel losses to its badminton team due to accident or illness of a disastrous nature. ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )

#### 17.4 BASEBALL

Regulations for computing the baseball-playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.)

- 17.4.1 Length of Playing Season. The length of an institution's playing season in baseball shall be limited to a maximum of 21 weeks (traditional and nontraditional segments combined).
- 17.4.2 Preseason Practice. A member institution shall not commence practice sessions in baseball before the following dates:
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised:* 1/10/92, 1/10/95 effective 8/1/95)
- 17.4.3 First Contest Date. A member institution shall not play its first contest (including a scrimmage) with outside competition in baseball before the following dates:

- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95)
- 17.4.4 End of Regular Playing Season. A member institution shall conclude all practice and competition (contests and scrimmages) in the sport of baseball by the following dates:
- (a) Traditional Segment. The conclusion of the NCAA baseball championship; and
- (b) Nontraditional Segment. The first date of final exams for the regular academic year at the institution.
- 17.4.5 Number of Contests
  - **17.4.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of baseball during the institution's baseball playing season to a maximum of 45 contests (games and scrimmages) with not more than 40 during the traditional segment and not more than five during the nontraditional segment, except for those contests excluded under Bylaw 17.4.5.3. (*Revised:* 1/8/01 effective 8/1/01)
    - **17.4.5.1.1 In-Season Foreign Competition.** A member institution may play one or more of its countable contests in baseball in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.4.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in a maximum of 45 baseball contests (games and scrimmages) with not more than 40 during the traditional segment and not more than five during the nontraditional segment. This limitation includes those contests in which the student-athlete represents the institution including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (*Revised: 1/8/01 effective 8/1/01*)
  - **17.4.5.3 Annual Exemptions.** The maximum number of baseball contests shall exclude the following (see Figure 17-5):
  - (a) **Conference Championship.** Competition in one conference championship tournament in baseball or the tournament used to determine the conference's automatic entry in the NCAA baseball championship;
  - (b) **Conference Playoff.** Competition involving member institutions that tie for a conference baseball championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in the NCAA baseball championship without the game(s) being counted as a postseason tournament;
  - (c) **Season-Ending Tournament.** Competition in one season-ending baseball tournament (e.g., NCAA championship, NAIA championship, NCCAA championship). A season-ending tournament involves competition after the end of the regular season between teams that are not identified until the close of that regular season; (Adopted: 1/9/96 effective 8/1/96, Revised: 1/12/99 effective 8/1/99)
  - (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted pursuant to NCAA championships;
  - (e) Alumni Game. One baseball contest each year with an alumni team of the institution;
  - (f) **Foreign Team in U.S.** One baseball contest each year with a foreign opponent in the United States;
  - (g) **Fund-Raising Activity.** Any baseball activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
  - (h) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's baseball team who participate in local celebrity baseball activities conducted for the purpose of raising funds for charitable organizations, provided:
    - (1) The student-athlete(s) do not miss classes as a result of the participation;
    - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
    - (3) The activity takes place within a 30-mile radius of the institution's main campus.
  - **17.4.5.4 Once-in-Three-Years Exemption.** The baseball contests played on a foreign tour, provided the tour occurs only once in a three-year period and is conducted by the member institution in accordance with

# FIGURE 17-5 Exemptions: Baseball Contests

#### Exemptions: Baseball Contes 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.4.5.3-(a)] Conference Playoff [17.4.5.3-(b)] Season-Ending Tournament [17.4.5.3-(c)] NCAA Championship Play-In Competition [17.4.5.3-(d)] Alumni Game [17.4.5.3-(e)] Foreign Team in U.S. [17.4.5.3-(f)] Fund-Raising Activity [17.4.5.3-(g)] Celebrity Sports Activity [17.4.5.3-(h)]
Additional Annual Exemptions (By Division)	NO ADDITIONAL EXEMPTIONS
Once-in-Four-Years Exemptions (By Division)	Game(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.4.5.5]
Once-in-Three-Years Exemptions (By Division)	Foreign Tour [17.4.5.4]

the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). (Revised: 1/11/89 effective 8/1/89)

- 17.4.5.5 Once-in-Four-Years Exemptions—Hawaii, Alaska, Puerto Rico. A maximum of four contests on a regular-season trip or a tournament, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, shall not be scheduled more than once every four years by a member institution located outside of Hawaii, Alaska or Puerto Rico. This limitation shall not apply to a regular-season conference contest between two members of the same NCAA member conference. (Revised: 1/14/97 effective 8/1/97)
- 17.4.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (*Revised: 1/10/91 effective 8/1/91, 8/1/92*)
  - **17.4.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Revised: 1/10/91 effective 8/1/91)
- 17.4.7 Camps and Clinics. There are no limits on the number of student-athletes in baseball who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised:* 1/10/92)
- 17.4.8 Other Restrictions

#### 17.4.8.1 Noncollegiate, Amateur Competition

- **17.4.8.1.1 In Season.** A student-athlete shall be denied eligibility for intercollegiate baseball competition for the remainder of the season if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate baseball team, he competes or has competed as a member of any outside baseball team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) during the institution's intercollegiate baseball season (see Bylaw 14.7.5 for exceptions and waivers). (*Revised:* 1/16/93)
- **17.4.8.1.2 Out of Season.** There are no limits on the number of student-athletes with eligibility remaining in intercollegiate baseball who may practice or compete out of season on an outside, amateur baseball team. (Revised: 1/10/91 effective 8/1/91)
  - **17.4.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with remaining eligibility from that institution's baseball team except as provided under Bylaws 14.7.5, 17.30 and 30.14.3.1. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/11/94*)

- **17.4.8.1.2.2** Olympic and National Team Development Program. There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.4.8.2 Equipment Issue, Team Pictures. It shall be permissible to designate a single date for issuing baseball equipment and for taking squad pictures after the beginning of classes in the fall term or the day before the beginning of a segment as specified in Bylaw 17.4.2. (Revised: 1/11/89)
  - **17.4.8.2.1 Exception for Sundays.** If the day before the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (*Adopted:* 1/11/89)
- 17.4.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (Bylaw 17.4.1) by modifying the start of preseason practice (Bylaw 17.4.2) for an institution that has suffered extraordinary personnel losses to its baseball team due to accident or illness of a disastrous nature.

#### 17.5 BASKETBALL

(See Figures 17-1 and 17-2.)

- 17.5.1 Length of Playing Season. The length of an institution's playing season in basketball shall be limited to a maximum of 21 weeks between the start of preseason practice (see Bylaw 17.5.2) and the end of the regular playing season (see Bylaw 17.5.4). This 21-week period includes permissible conditioning activities as set forth in Bylaw 17.5.2.1.1. Guidelines for computing the basketball playing season are set forth in Bylaw 17.1, General Playing-Season Regulations.
- 17.5.2 Preseason Practice
  - **17.5.2.1 On-Court Practice.** A member institution shall not commence on-court preseason basketball practice sessions before October 15. (Revised: 1/10/90 effective 8/1/92, Revised: 1/11/94, 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97, Revised: 1/8/01 effective 8/1/01)
    - **17.5.2.1.1 Permissible Conditioning Activities.** Team conditioning or physical-fitness activities supervised by coaching staff members may be conducted on or off court but shall not begin before October 15. (*Revised: 1/10/90 effective 8/1/92, Revised: 1/16/93, 1/11/94*)
    - **17.5.2.1.2 Prohibited Activities.** Before the start of on-court preseason basketball practice per Bylaw 17.5.2.1, members of the institution's coaching staff may not be involved in athletically related activities with one or more team members at any location (see Bylaw 17.02.1.1). (Revised: 1/10/90 effective 8/1/92, Revised: 1/11/94, 1/14/97 effective 8/1/97, Revised: 1/11/00 effective 8/1/00)
      - **17.5.2.1.2.1 Exception.** Team promotional activities (e.g., autograph sessions, fan picture sessions, meeting with fans) per Bylaw 12.5.1 are permissible before the start of on-court preseason basketball practice per Bylaw 17.5.2.1, provided these promotional arrangements do not involve any of the practice activities prohibited under the provisions of Bylaw 17.5.2.1.2. (*Revised:* 1/11/94, 1/14/97 effective 8/1/97)
      - **17.5.2.1.2.2 Exception—Officiating Clinic.** Before the start of on-court preseason basketball practice per Bylaw 17.5.2.1, student-athletes may observe an officiating clinic related to playing rules that is conducted by video conference, provided no student-athlete misses class time to observe the clinic. (*Adopted:* 1/9/96, *Revised:* 1/14/97 effective 8/1/97)
- 17.5.3 First Contest. A member institution shall not play its first contest (game or scrimmage) with outside competition in basketball before the Friday immediately before Thanksgiving, except as provided under Bylaw 17.5.3.1.
  - **17.5.3.1 Exceptions.** The following basketball contests (games or scrimmages) are permitted before the first contest dates specified under Bylaw 17.5.3:
  - (a) **Practice Scrimmages.** A maximum of two informal practice scrimmages with outside competition (conducted in privacy without publicity or official scoring) may be conducted between the first permissible date for on-court preseason practice (see Bylaw 17.5.2.1) and the conclusion of the basketball season. Such scrimmages need not be counted against the maximum permissible number of contests;
  - (b) **Basketball Hall of Fame Tip-Off Classic.** An institution may play in the Basketball Hall of Fame Tip-Off Classic on or after November 1; and (Revised: 1/10/90, 1/10/92 effective 8/1/92, Revised: 1/10/95 effective 8/1/95, Revised: 1/9/96, 1/14/97)
  - (c) Foreign-Team Games. The basketball contest exempted per Bylaw 17.5.5.3-(c) may be played on or after November 1 by an institution. (Adopted: 1/10/95, Revised 1/11/97)

#### Basketball/17.5.4—17.5.8.1

- 17.5.4 End of Playing Season. A member institution's last contest (game or scrimmage or postseason tournament contest) with outside competition in the sport of basketball shall not be played after the Division III Men's Basketball Championship game.
- 17.5.5 Number of Contests
  - **17.5.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total regular-season playing schedule with outside competition in the sport of basketball in any one year to a maximum of 25 contests (games or scrimmages), except for those contests excluded under Bylaw 17.5.5.3. (*Revised:* 1/10/90, 1/10/91 effective 8/1/92)
    - **17.5.5.1.1 In-Season Foreign Competition.** A member institution may play one or more of its countable contests in basketball in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.5.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate each academic year in a maximum of 25 contests. This limitation includes those contests in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution, in accordance with Bylaws 17.02.4 and 17.02.8. Further, a student may participate each year in only one postseason basketball tournament as a member of the institution's varsity, junior varsity or freshman team. (*Revised:* 1/10/90, 1/10/91 effective 8/1/92)
  - **17.5.5.3 Annual Exemptions.** The maximum number of basketball contests shall exclude the following (see Figure 17-6):
  - (a) **Postseason Tournament.** Contests in one postseason basketball tournament (e.g., NCAA championship, NAIA championship, NCCAA championship). A postseason tournament involves competition after the end of the regular season between teams that are not identified until the close of that regular season; (Revised: 1/9/96 effective 8/1/96, Revised: 1/12/99 effective 8/1/99)
  - (b) **Conference Playoff.** Competition involving member institutions that tie for a conference basket-ball championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in the NCAA basketball championship without the game(s) being counted as a postseason tournament;
  - (c) **Conference Championship.** Competition in one conference championship tournament or the tournament used to determine the conference's automatic entry in the NCAA basketball championship; (Adopted: 1/8/01 effective 8/1/01)
  - (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
  - (e) **Foreign Team in U.S.** An exhibition contest against a foreign team in the United States played in the arena in which the member institution regularly plays its home contests; (Revised: 1/16/93 effective 8/1/93, Revised: 1/11/94, 1/9/96 effective 8/1/96)
  - (f) **Scrimmages.** Two informal practice scrimmages with outside competition in basketball conducted between November 1 and the conclusion of the basketball season; and (Revised: 1/10/90, 1/10/91 effective 8/1/92)
  - (g) **Alumni Contest.** One basketball contest each year with an alumni team of the institution. (Adopted: 1/11/00 effective 8/1/00)
  - **17.5.5.4 Once-in-Three-Years Exemption—Foreign Tour.** The games played on a foreign basketball tour conducted in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). (See Figure 17-6.) (Revised: 1/11/89)
- 17.5.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's playing season per Bylaw 17.02.1.1. However, participation by members of an institution's basketball squad in a wheelchair basketball game against an established wheelchair team after the close of the institution's regular basketball season is permissible, provided the squad members do not wear regular basketball uniforms, and there is no regular practice before the game.
- 17.5.7 Camps and Clinics. There are no limits on the number of student-athletes in basketball who may be employed (e.g., as counselors) in camps or clinics. Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (See Bylaw 13.13 for restrictions on such employment). (Revised: 1/10/92, 1/11/94)
- 17.5.8 Other Restrictions
  - **17.5.8.1 Noncollegiate, Amateur Competition.** A student-athlete shall be ruled ineligible for intercollegiate basketball competition if the student participates in any organized basketball competition

# Exemptions: Basketball Contests 2001-02 Academic Year

Annual Exemptions (All Divisions)	Postseason Tournament [17.5.5.3-(a)] Conference Playoff [17.5.5.3-(b)] Conference Championship [17.5.5.3-(c)] NCAA Championship Play-In Competition [17.5.5.3-(d)] Alumni Contest [17.5.5.3-(g)]
Additional Annual Exemptions (By Division)	1) Two Informal Scrimmages [17.5.5.3-(f)] AND 2) Foreign Team in U.S. [17.5.5.3-(e)]
Once-in-Four-Years Exemptions (By Division)	NO EXEMPTIONS
Once-in-Three-Years Exemptions (By Division)	Foreign Tour (17.5.5.4)

except while representing the member institution or except as permitted in accordance with Bylaws 14.7.4.4 and 14.7.5.

**17.5.8.2 Maximum Number of Student-Athletes on Outside Team.** Following is the maximum number of student-athletes from the same member institution with eligibility remaining who may compete on an outside team:

- (a) State or national multisport events sanctioned by the NCAA—2 (during the academic year).
- (b) Foreign tour (outside team or all-star team)—2.
- (c) Olympic and national team development programs and competition—No limitations.
- (d) Summer basketball team—No limitations. (Revised: 1/14/97 effective 8/1/97)

**17.5.8.3 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with remaining eligibility from that institution's basketball team except as provided in Bylaws 14.7.5 and 17.30. *(Adopted: 1/16/93)* 

**17.5.8.4 Equipment Issue, Team Pictures.** It shall be permissible to designate a single date for issuing basketball equipment and for taking squad pictures after the beginning of classes in the fall term or the day before the beginning of preseason practice as specified in Bylaw 17.5.2.

17.5.8.4.1 Exception for Sundays. If the day before the beginning of preseason practice is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (Adopted: 1/11/89)

**17.5.8.5 Celebrity Sports Activity.** A maximum of two players from a member institution's basketball team may participate in local celebrity sports activities, other than in the sport of basketball, conducted for the purpose of raising funds for charitable organizations, provided:

- (a) The student-athlete(s) do not miss classes as a result of the participation;
- (b) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
- (c) The activity takes place within a 30-mile radius of the institution's main campus.

17.5.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the start of preseason practice (Bylaw 17.5.2) for an institution that has suffered extraordinary personnel losses from its intercollegiate basket-ball team due to accident or illness of a disastrous nature.

#### 17.6 BOWLING, WOMEN'S

Regulations for computing the bowling playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.) (Adopted: 1/9/96 effective 8/1/96)

17.6.1 Length of Playing Season. The length of an institution's playing season in bowling shall be

#### Bowling, Women's/17.6.1—17.6.5.3

- limited to a maximum of 21 weeks (traditional and nontraditional segments combined). (Adopted: 1/9/96 effective 8/1/96)
- 17.6.2 Preseason Practice. A member institution shall not commence practice sessions in bowling before the following dates: (Adopted: 1/9/96 effective 8/1/96)
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Adopted: 1/9/96 effective 8/1/96*)
- 17.6.3 First Date of Competition. A member institution shall not engage in its first date of competition (contest or scrimmage) with outside competition in bowling before the following dates: (Adopted: 1/9/96 effective 8/1/96)
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Adopted:* 1/9/96 effective 8/1/96)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96)
- 17.6.4 End of Regular Season. A member institution shall conclude all practice and competition (meets and practice meets) in each segment in bowling by the following dates: (Adopted: 1/9/96 effective 8/1/96)
- (a) **Traditional Segment.** The conclusion of the national governing body championship in bowling. (*Adopted: 1/9/96 effective 8/1/96*)
- (b) **Nontraditional Segment.** The first date of final examinations for the regular academic year at the institution. (*Adopted: 1/9/96 effective 8/1/96*)
- 17.6.5 Number of Dates of Competition
  - **17.6.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in bowling during the institution's bowling playing season to 26 dates of competition (games and scrimmages), including not more than 10 tournaments that are counted as single dates of competition, except for those dates of competition excluded under Bylaws 17.6.5.3 and 17.6.5.4 (see Bylaw 20.11.3.2 for minimum contests and participants requirements). (*Adopted: 1/9/96 effective 8/1/96*)
    - **17.6.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in bowling in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years. (Adopted: 1/9/96 effective 8/1/96)
  - **17.6.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in 26 dates of competition in bowling including not more than 10 tournaments that are counted as single dates of competition. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (Adopted: 1/9/96 effective 8/1/96)
  - **17.6.5.3 Annual Exemptions.** The maximum number of dates of competition in bowling shall exclude the following (see Figure 17-7): (*Adopted: 1/9/96 effective 8/1/96*)
  - (a) **Conference Championship.** Competition in one conference championship meet in bowling;
  - (b) **National Governing Body Championship.** Competition in the bowling national governing body championship;
  - (c) Alumni Meet. One date of competition each year with an alumni team of the institution;
  - (d) **Foreign Team in U.S.** One date of competition each year with a foreign opponent in the United States:
  - (e) **Hawaii**, **Alaska**, **Puerto Rico**. Any dates of competition in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question;
  - (f) **Fund-Raising Activity.** Any activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and

# Exemptions: Bowling Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.6.5.3-(a)] National Governing Body Championship [17.6.5.3-(b)] Alumni Meet [17.6.5.3-(c)] Foreign Team in U.S. [17.6.5.3-(d)] Date(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.6.5.3-(e)] Fund-Raising Activity [17.6.5.3-(f)] Celebrity Sports Activity [17.6.5.3-(g)]
Once-in-Four-Years Exemptions (All Divisions)	Foreign Tour (17.6.5.4)

- (g) Celebrity Sports Activity. Competition involving a maximum of two student-athletes from a member institution's team who participate in local celebrity activities in the applicable sport conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- **17.6.5.4 Foreign Tour.** The dates of competition on a foreign tour, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30).
- 17.6.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (Adopted: 1/9/96 effective 8/1/96)
  - **17.6.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Adopted: 1/9/96 effective 8/1/96)
- 17.6.7 Camps and Clinics. There are no limits on the number of student-athletes in bowling who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Adopted: 1/9/96 effective 8/1/96)
- 17.6.8 Other Restrictions

#### 17.6.8.1 Noncollegiate, Amateur Competition

- **17.6.8.1.1 In Season.** A student-athlete shall be denied eligibility for intercollegiate competition for the remainder of the season in bowling if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate squad or team, she competes or has competed as a member of an outside team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) during the institution's intercollegiate season (see Bylaw 14.7.5 for exceptions and waivers). (*Adopted: 1/9/96 effective 8/1/96*)
- **17.6.8.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining who may practice or compete out of season on an outside, amateur team. (Adopted: 1/9/96 effective 8/1/96)
  - **17.6.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's team except as provided under Bylaws 14.7.5 and 17.30. (*Adopted: 1/9/96 effective 8/1/96*)
  - **17.6.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and

#### Bowling, Women's/17.6.8.1.2.2—Cross Country/17.7.5.1.1

- national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.6.8.2 Equipment Issue, Team Pictures.** It shall be permissible to designate a single date for issuing bowling equipment and for taking squad pictures after the beginning of classes in the fall term or the day before the beginning of the traditional or nontraditional segment as specified in Bylaw 17.6.2. ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )
  - **17.6.8.2.1 Exception for Sundays.** If the day before the beginning of a traditional or nontraditional segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (Adopted: 1/9/96 effective 8/1/96)
- 17.6.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (Bylaw 17.6.1) by modifying the start of preseason practice (Bylaw 17.6.2) for an institution that has suffered extraordinary personnel losses to its bowling team due to accident or illness of a disastrous nature. ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )

#### 17.7 CROSS COUNTRY

Regulations for computing the cross country playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.)

- 17.7.1 Length of Playing Season. The length of an institution's playing season in cross country shall be limited to a maximum of 21 weeks (traditional and nontraditional segments combined).
  - 17.7.1.1 Track and Field Student-Athletes Participating in Cross Country. Track and field student-athletes listed as participants for cross country must participate fully in cross country practices. If student-athletes are practicing in track and field events unrelated to the sport of cross country, such practices must be counted in the institution's established segment in the sport of track and field. (Adopted: 1/10/92)
- 17.7.2 Preseason Practice. A member institution shall not commence practice sessions in cross country before the following dates:
- (a) **Traditional Segment.** The date that permits a maximum of 16 practice opportunities (see Bylaw 17.02.11) before the first scheduled intercollegiate game or before September 1, whichever is later. (Revised: 1/10/91 effective 8/1/92, Revised: 1/11/94)
- (b) **Nontraditional Segment.** September 1 or the first date on which classes are scheduled for the institution's fall term, whichever date occurs first.
- 17.7.3 First Date of Competition. A member institution shall not engage in its first date of competition (contest or scrimmage) with outside competition in cross country before the following dates:
- (a) **Traditional Segment.** September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday, except that an unlimited number of exhibition scrimmages may be conducted on one date during the preseason practice period (see Bylaw 17.7.2), which shall count as one date of competition in the institution's maximum limitation on contests or dates of competition. (Revised: 1/10/91 effective 8/1/92, Revised: 1/11/94 effective 8/1/94, Revised: 1/15/97 effective 8/1/97)
- (b) Nontraditional Segment. September 1. (Revised: 1/11/94, 1/14/97 effective 8/1/97)
- 17.7.4 End of Regular Playing Season. A member institution shall conclude all practice and competition in the sport of cross country by the following dates:
- (a) **Traditional Segment.** The conclusion of the NCAA cross country championships. (Revised: 1/10/91 effective 8/1/91)
- (b) **Nontraditional Segment.** The first date of final examinations for the regular academic year at the institution. (*Revised:* 1/10/91 *effective* 8/1/91)
- 17.7.5 Number of Dates of Competition
  - **17.7.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition to a maximum of nine dates of competition (games and scrimmages), except for those dates of competition excluded under Bylaws 17.7.5.3, 17.7.5.4 and 17.7.5.5 (see Bylaw 20.11.3.2 for minimum contests and participants requirements).
    - 17.7.5.1.1 Exception—Cross Country without Indoor or Outdoor Track and Field. An institution that sponsors men's or women's cross country but does not sponsor indoor or outdoor track and field shall limit its total playing schedule with outside competition during the cross country playing

season to nine dates of competition during the traditional segment and three dates of competition during the nontraditional segment. (Adopted: 1/16/93. Revised: 1/11/94 effective 8/1/94)

- **17.7.5.1.2** In-Season Foreign Competition. A member institution may engage in one or more of its countable dates of competition in cross country in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
- **17.7.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of nine cross country dates of competition. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8.
  - **17.7.5.2.1 Exception—Cross Country without Indoor or Outdoor Track and Field.** An individual student-athlete who attends an institution that sponsors men's or women's cross country but does not sponsor indoor or outdoor track and field may participate during each academic year in nine dates of competition during the traditional segment in cross country and three dates of competition during the nontraditional segment. (*Adopted: 1/16/93, Revised: 1/11/94 effective 8/1/94*)
- **17.7.5.3 Annual Exemptions.** The maximum number of dates of competition in the sport of cross country shall exclude the following (see Figure 17-8):
- (a) **Conference Championship.** Competition in one conference championship meet in cross country;
- (b) NCAA Championship. Competition in an NCAA cross country championships;
- (c) NAIA/NCCAA Championship. Competition in the National Association of Intercollegiate Athletics (NAIA) or National Christian College Athletic Association (NCCAA) cross country championships; (Revised: 1/12/99 effective 8/1/99)
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (e) Alumni Meet. One date of competition in cross country each year with an alumni team of the institution;
- (f) **Foreign Team in U.S.** One date of competition in cross country each year with a foreign opponent in the United States;
- (g) **Fund-Raising Activity.** Any cross country activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (h) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's cross country team who participate in local celebrity cross country activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- **17.7.5.4 Once-in-Three-Years Exemptions—Foreign Tour.** The dates of competition in cross country on a foreign tour, provided the tour occurs only once in a three-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). (Revised: 1/11/89 effective 8/1/89)
- 17.7.5.5 Once-in-Four-Years Exemptions—Hawaii, Alaska, Puerto Rico. A maximum of four dates of competition scheduled on a regular-season trip or a tournament (not to exceed four dates of competition) either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, scheduled not more than once every four years by a member institution located outside of Hawaii, Alaska or Puerto Rico. This limitation shall not apply to a regular-season conference contest between two members of the same NCAA member conference. (Revised: 1/14/97 effective 8/1/97)
- 17.7.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. ( $Revised: 1/10/91 \ effective \ 8/1/92$ )
  - **17.7.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign

### Exemptions: Cross Country Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.7.5.3-(a)] NCAA Championship [17.7.5.3-(b)] NAIA/NCCAA Championship [17.7.5.3-(c)] NCAA Championship Play-In Competition [17.7.5.3-(d)] Alumni Meet [17.7.5.3-(e)] Foreign Team in U.S. [17.7.5.3-(f)] Fund-Raising Activity [17.7.5.3-(g)] Celebrity Sports Activity [17.7.5.3-(h)]
Additional Annual Exemptions (By Division)	NO ADDITIONAL EXEMPTIONS
Once-in-Four-Years Exemptions (By Division)	Date(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.7.5.5]
Once-in-Three-Years Exemptions (By Division)	Foreign Tour [17.7.5.4]

tour) or through official interpretations approved by the Management Council. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/92)

17.7.7 Camps and Clinics. There are no limits on the number of student-athletes in cross country who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised: 1/10/92*)

#### 17.7.8 Other Restrictions

#### 17.7.8.1 Noncollegiate, Amateur Competition

- **17.7.8.1.1 In Season.** A student-athlete shall be denied eligibility for intercollegiate competition for the remainder of the season in the sport of cross country if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate cross country team, he or she competes or has competed as a member of any outside cross country team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) during the institution's intercollegiate cross country season (see Bylaw 14.7.5 for exceptions and waivers). (Revised: 1/16/93)
- **17.7.8.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate cross country who may practice or compete out of season on an outside, amateur cross country team. (*Revised: 1/10/91 effective 8/1/91*)
  - **17.7.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's cross country team except as provided under Bylaws 14.7.5 and 17.30.
  - **17.7.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.7.8.2 Equipment Issue, Team Pictures.** It shall be permissible to designate a single date for issuing cross country equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of the traditional or nontraditional segment as specified in Bylaw 17.7.2. (*Revised:* 1/11/89)
  - **17.7.8.2.1 Exception for Sundays.** If the day before the beginning of a traditional or nontraditional segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (*Adopted: 1/11/89*)
- 17.7.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season

(Bylaw 17.7.1) by modifying the start of preseason practice (Bylaw 17.7.2) for an institution that has suffered extraordinary personnel losses to its cross country team due to accident or illness of a disastrous nature.

#### 17.8 FENCING

Regulations for computing the fencing playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.)

- 17.8.1 Length of Playing Season. The length of an institution's playing season in fencing shall be limited to a 21-week season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.7 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97, Revised: 1/8/01 effective 8/1/01)
- 17.8.2 Preseason Practice. A member institution shall not commence practice sessions in fencing before September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
- 17.8.3 First Date of Competition. A member institution shall not engage in its first date of competition (contest or scrimmage) with outside competition in fencing before September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
- 17.8.4 End of Regular Playing Season. A member institution shall conclude all practice and competition (matches or practice matches) in the sport of fencing by the first date of final examinations for the regular academic year at the institution. (Revised 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97)
- 17.8.5 Number of Dates of Competition
  - **17.8.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of fencing during the permissible fencing playing season to a maximum of 11 dates of competition, except for those dates of competition excluded under Bylaws 17.8.5.3 and 17.8.5.4 (see Bylaw 20.11.3.2 for minimum contests and participants requirements). (*Revised:* 1/10/91 effective 8/1/91)
    - **17.8.5.1.1** In-Season Foreign Competition. A member institution may engage in one or more of its countable dates of competition in fencing in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.8.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of 11 dates of competition in fencing. This limitation includes those dates of competition in which the student-athlete represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (Revised: 1/10/91 effective 8/1/91)
  - **17.8.5.3 Annual Exemptions.** The maximum number of dates of competition in the sport of fencing shall exclude the following (see Figure 17-9):
  - (a) **NCAA Championship.** Competition in the National Collegiate Men's and Women's Fencing Championships;
  - (b) **Season-Ending Tournament.** Competition in one season-ending fencing tournament (e.g., conference championship, regional tournament) other than an NCAA fencing championship; (Revised: 1/10/90)
  - (c) NCAA Championship Play-In Competition. Competition in play-in contests conducted before NCAA championships;
  - (d) Alumni Meet. One date of competition in fencing each year with an alumni team of the institution:
  - (e) **Foreign Team in U.S.** One date of competition in fencing each year with a foreign opponent in the United States;
  - (f) **Hawaii or Alaska**. Any dates of competition in fencing in Hawaii or Alaska, respectively, against an active member institution located in Hawaii or Alaska, by a member located outside the area in question; (*Revised:* 1/9/96 effective 8/1/96)
  - (g) Fund-Raising Activity. Any fencing activities in which student-athletes from more than one

# FIGURE 17-9 Exemptions: Fencing Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	NCAA Championship [17.8.5.3-(a)] Season-Ending Tournament [17.8.5.3-(b)] NCAA Championship Play-In Competition [17.8.5.3-(c)] Alumni Meet [17.8.5.3-(d)] Foreign Team in U.S. [17.8.5.3-(e)] Date(s) against Active Members in Hawaii or Alaska [17.8.5.3-(f)] Fund-Raising Activity [17.8.5.3-(g)] Celebrity Sports Activity [17.8.5.3-(h)] U. S. National Team [17.8.5.3-(i)]
Once-in-Four-Years Exemptions (All Divisions)	Certified Once-in-Four-Years Exemptions (17.8.5.4) <u>OR</u> Foreign Tour [17.8.5.5]

of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation;

- (h) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's fencing team who participate in local celebrity fencing activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (i) **U.S. National Team.** One date of competition against the U.S. national team as selected by the appropriate national governing body in fencing. (*Adopted:* 1/9/96 effective 8/1/96)
- **17.8.5.4 Once-in-Four-Years Exemptions.** An institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. (Adopted: 1/9/96 effective 8/1/96)
- **17.8.5.5 Once-in-Four-Years Exemptions—Foreign Tour.** The contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.8.5.4. (*Adopted: 1/9/96 effective 8/1/96*)
- 17.8.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff at the student-athlete's institution shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (Revised: 1/10/91 effective 8/1/91)
  - **17.8.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (*Revised:* 1/10/91 effective 8/1/91, *Revised:* 1/10/92)
- 17.8.7 Camps and Clinics. There are no limits on the number of student-athletes in fencing who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised:* 1/10/92)
- 17.8.8 Other Restrictions

#### 17.8.8.1 Noncollegiate, Amateur Competition

**17.8.8.1.1 During Academic Year.** A student-athlete in the sport of fencing who participates during the academic year as a member of any outside fencing team in any noncollegiate, amateur competition (e.g., fencing tournament, exhibition games or other activity) except while represent-

ing the institution in intercollegiate fencing competition shall be ineligible for intercollegiate fencing competition for the remainder of the year and for the next academic year (see Bylaw 14.7.5 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)

- **17.8.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed four. ( $Adopted: 1/11/94\ effective\ 8/1/94$ )
- **17.8.8.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate fencing who may practice or compete out of season on an outside, amateur fencing team. (Revised: 1/10/91 effective 8/1/91)
  - **17.8.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining with the institution's fencing team except as provided under Bylaws 14.7.5 and 17.30.
  - **17.8.8.1.2.2** Olympic and National Team Development Program. There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.8.8.2 Equipment Issue, Team Pictures. No limitations.
- 17.8.9 Safety Exception. A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete uses fencing equipment. The coach may provide safety or skill instruction but cannot conduct the individual's workouts. (*Adopted: 1/10/91 effective 8/1/91*)

#### 17.9 FIELD HOCKEY

Regulations for computing the field hockey playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.)

- 17.9.1 Length of Playing Season. The length of an institution's playing season in field hockey shall be limited to a maximum of 21 weeks (traditional and nontraditional segments combined).
- 17.9.2 Preseason Practice. A member institution shall not commence practice sessions in field hockey before the following dates:
- (a) **Traditional Segment.** The date that permits a maximum of 16 practice opportunities (see Bylaw 17.02.11) before the first scheduled intercollegiate game or before September 1, whichever is later. (Revised: 1/10/91 effective 8/1/92, Revised: 1/11/94)
- (b) **Nontraditional Segment.** September 1 or the first date on which classes are scheduled for the institution's fall term, whichever date occurs first.
- 17.9.3 First Contest or Date of Competition. A member institution shall not play its first contest or engage in its first date of competition (contest or scrimmage) with outside competition in field hockey before the following dates:
- (a) **Traditional Segment.** September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday, except that an unlimited number of exhibition scrimmages may be conducted on one date during the preseason practice period (see Bylaw 17.9.2), which shall count as one date of competition in the institution's maximum limitation on contests or dates of competition. (Revised: 1/10/91 effective 8/1/92, Revised: 1/11/94, Revised: 1/11/94 effective 8/1/94, Revised: 1/15/97 effective 8/1/97)
- (b) Nontraditional Segment. September 1. (Revised: 1/11/94)
- 17.9.4 End of Regular Playing Season. A member institution shall conclude all practice and competition (contests and scrimmages) in the sport of field hockey by the following dates:
- (a) **Traditional Segment.** The conclusion of the NCAA field hockey championship in the institution's division, and
- (b) Nontraditional Segment. The first date of final exams for the regular academic year at the institution.
- 17.9.5 Number of Contests and Dates of Competition
  - **17.9.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of field hockey during the institution's field hockey playing season in any one year to 20 contests during the segment in which the NCAA championship is con-

#### Field Hockey/17.9.5.1—17.9.6

- ducted and four dates of competition during another segment, except for those contests or dates of competition excluded under Bylaws 17.9.5.3, 17.9.5.4 and 17.9.5.5 [see also Bylaw 17.9.3-(a)]. (Revised: 1/10/92 effective 8/1/92, Revised: 1/8/01 effective 8/1/01)
  - **17.9.5.1.1 In-Season Foreign Competition.** A member institution may play one or more of its countable field hockey contests or dates of competition in one or more foreign countries on one trip during the prescribed playing season. However, except for contests or dates of competition played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such inseason foreign competition more than once every four years.
- **17.9.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in a maximum of 20 field hockey contests during the segment in which the NCAA championship is conducted and four dates of competition in field hockey during another segment. This limitation includes those contests or dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (Revised: 1/10/92 effective 8/1/92, Revised: 1/8/01 effective 8/1/01)
- **17.9.5.3 Annual Exemptions.** The maximum number of contests or dates of competition in the sport of field hockey shall exclude the following (see Figure 17-10):
- (a) **Conference Championship.** Competition in one conference championship tournament in field hockey (or the tournament used to determine the conference's automatic entry in the NCAA field hockey championship);
- (b) **Conference Playoff.** Competition involving member institutions that tie for a conference field hockey championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in an NCAA field hockey championship without the game(s) being counted as a postseason tournament;
- (c) **Season-Ending Tournaments.** Competition in one season-ending field hockey tournament (e.g., NCAA championship, NAIA championship). A season-ending tournament involves competition after the end of the regular season between teams that are not identified until the close of the regular season; (Adopted: 1/9/96 effective 8/1/96)
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (e) **Alumni Game.** One contest or date of competition in field hockey each year with an alumni team of the institution:
- (f) **Foreign Team in U.S.** One contest or date of competition in field hockey each year with a foreign opponent in the United States;
- (g) **Fund-Raising Activity.** Any field hockey activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (h) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's field hockey team who participate in local celebrity field hockey activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- **17.9.5.4 Once-in-Three-Years Exemptions—Foreign Tour.** The contests or dates of competition in field hockey played on a foreign tour, provided the tour occurs only once in a three-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also Bylaw see 17.30). (Revised: 1/11/89 effective 8/1/89)
- 17.9.5.5 Once-in-Four-Years Exemption—Hawaii, Alaska, Puerto Rico. A maximum of four dates of competition on a regular-season trip or a tournament (not to exceed four dates of competition) either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, scheduled not more than once every four years by a member institution located outside of Hawaii, Alaska or Puerto Rico. This limitation shall not apply to a regular-season conference contest between two members of the same NCAA member conference. (Revised: 1/14/97 effective 8/1/97)
- 17.9.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (Revised: 1/10/91 effective 8/1/92)

### Exemptions: Field Hockey Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.9.5.3-(a)] Conference Playoff [17.9.5.3-(b)] Season-Ending Tournament [17.9.5.3-(c)] NCAA Championship Play-In Competition [17.9.5.3-(d)] Alumni Game [17.9.5.3-(e)] Foreign Team in U.S. [17.9.5.3-(f)] Fund-Raising Activity [17.9.5.3-(g)] Celebrity Sports Activity [17.9.5.3-(h)]
Additional Annual Exemptions (By Division)	NO ADDITIONAL EXEMPTIONS
Once-in-Four-Years Exemptions (By Division)	Date(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.9.5.5]
Once-in-Three-Years Exemptions (By Division)	Foreign Tour [17.9.5.4]

- **17.9.6.1 Summer Practice.** Field hockey practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council.
- 17.9.7 Camps and Clinics. There are no limits on the number of student-athletes in field hockey who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised:* 1/10/92)
- 17.9.8 Other Restrictions

#### 17.9.8.1 Noncollegiate, Amateur Competition

- **17.9.8.1.1 In Season.** A student-athlete shall be denied eligibility for intercollegiate field hockey competition for the remainder of the season if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate field hockey squad or team, he or she competes or has competed as a member of any outside field hockey team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) during the institution's intercollegiate field hockey season (see Bylaw 14.7.5 for exceptions and waivers). (Revised: 1/16/93)
- **17.9.8.1.2 Out of Season.** There are no limits on the number of student-athletes with eligibility remaining in intercollegiate field hockey who may practice or compete out of season on an outside, amateur field hockey team. (*Revised:* 1/10/91 effective 8/1/91, *Revised:* 1/16/93)
  - **17.9.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with remaining eligibility from the institution's field hockey team except as provided under Bylaws 14.7.5 and 17.30.
  - **17.9.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.9.8.2 Equipment Issue, Team Pictures.** It shall be permissible to designate a single date for issuing field hockey equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of the traditional or nontraditional segment as specified in Bylaw 17.9.2. (Revised: 1/11/89)
  - **17.9.8.2.1 Exception for Sundays.** If the day before the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (*Adopted:* 1/11/89)
- 17.9.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of playing season (Bylaw

#### Field Hockey/17.9.9—Football/17.10.5.3

17.9.1) by modifying the start of preseason practice (Bylaw 17.9.2) for an institution that has suffered extraordinary personnel losses to its field hockey team due to accident or illness of a disastrous nature.

#### 17.10 FOOTBALL

(See Figures 17-1 and 17-2.)

- 17.10.1 Length of Playing Season. The length of an institution's playing season in football shall be limited to a maximum of 21 weeks between the start of preseason practice (see Bylaw 17.10.2) and the end of the regular playing season (see Bylaw 17.10.4). This 21-week period includes preseason practice activities as set forth in Bylaw 17.10.2. Guidelines for computing the football playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (See Bylaw 17.10.3 for restrictions on first contest dates.)
- 17.10.2 Preseason Practice (See Figure 17-11.)
  - **17.10.2.1 First Practice Date.** A member institution shall not commence official preseason football practice sessions, for the varsity, junior varsity or freshman team, before the date that will permit a maximum of 27 practice opportunities before its first scheduled intercollegiate game (see Bylaw 17.02.11). (Revised: 1/10/89, 1/10/91 effective 8/1/92)
  - **17.10.2.2 Three-Day, Noncontact, Conditioning Practice Period.** Physical activity during the first three days of the preseason practice period shall be limited to noncontact, conditioning drills. No football gear or protective equipment other than headgear, shoes, pants and porous, lightweight jerseys shall be worn by players during practice sessions in this three-day period. (*Revised: 1/10/95*)
    - **17.10.2.2.1 Counting Sundays.** If one of the first three days of an institution's football practice schedule is a Sunday used for noncontact, conditioning drills, that Sunday may not be counted toward fulfillment of the mandatory three-day conditioning practice requirement.
  - **17.10.2.3 Activities before First Practice Date.** It shall be permissible to conduct a recognized medical test included as part of the medical examination permitted under Bylaw 17.10.8.2 that is administered or supervised by a physician or trainer to determine the health status of the systems of the body (i.e., cardiovascular field test).
- 17.10.3 First Contest. A member institution shall not play its first contest (game or scrimmage) with outside competition in football before the Thursday preceding Labor Day except when selected to participate in the National Football Foundation benefit game or the American Football Coaches Retirement Trust benefit game; both sponsored by the National Association of Collegiate Directors of Athletics and played in the week before the beginning of the regular playing season in football. A member institution shall not play its first contest (game) with outside competition in football before the Friday or Saturday 11 weeks before the first round of the Division III Football Championship. (Revised: 1/11/89, 1/10/91 effective 8/1/92, Revised: 1/9/96 effective 8/1/96, Revised: 1/14/97)
- 17.10.4 End of Playing Season. A member institution's last contest (game or scrimmage) with outside competition in football shall not be played outside the traditional segment except for those games played in the Division III Football Championship, football contests played on a foreign tour certified by the member institution or the National Association of Intercollegiate Athletics football championships. (Revised: 1/11/89, 1/10/90, 1/10/91, 1/10/92, 1/16/93, 1/14/97 effective 8/1/97)
- 17.10.5 Number of Contests
  - **17.10.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total regular-season playing schedule with outside competition in the sport of football during the permissible football playing season in any one year to a maximum of 10 contests (games and scrimmages), except as provided for all members under Bylaw 17.10.5.3.
    - **17.10.5.1.1 In-Season Foreign Competition.** A member institution may play one or more of its countable contests in football in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada, Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.10.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of 10 football games. This limitation includes those contests in which the student-athlete represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (Revised: 1/10/91 effective 8/1/92)
  - **17.10.5.3 Annual Exemptions.** The maximum number of football contests shall exclude the following (see Figure 17-12):
  - (a) Football Hall of Fame Game/Coaches Retirement Trust Game. The National Football Foundation

#### Examples of Activities Permitted before First Day of Football Practice if Practice Begins on a Monday

Wednesday	Thursday	Friday	Saturday	Sunday	Monday
		Team Reports for Evening Meal (No Foot- ball-Related Meetings)	Team Pictures and Equipment	No Practice Activity or Foot- ball-Related Meetings	First Day of Practice

Hall of Fame benefit game or the American Football Coaches Retirement Trust benefit game (see Bylaw 17.10.3); (Revised: 1/11/89 effective 8/1/90, Revised: 1/9/96 effective 8/1/96)

- (b) **Twelve-Member Conference Championship Game.** A conference championship game between division champions of a member conference of 12 or more institutions that is divided into two divisions (of six or more institutions each), each of which conducts round-robin, regular-season competition among the members of that division;
- (c) **Conference Tournament.** A conference-sponsored postseason tournament (i.e., one between teams that are not identified until the end of the preceding regular season), not to exceed one contest for any one member institution; (*Adopted: 1/11/89*)
- (d) **Preseason Scrimmage.** One informal preseason practice scrimmage which may be conducted during the preseason practice period against outside competition (conducted in privacy without publicity or official scoring and provided neither team misses class); (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- (e) **NCAA Championships.** Contests played in the NCAA football championships; (*Revised: 1/11/00 effective 8/1/00*)
- (f) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (g) **NAIA Championships.** Games played in the National Association of Intercollegiate Athletics (NAIA) football championship;
- (h) **Foreign Tour.** The football games played on a foreign tour, provided the tour occurs only once in a three-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30); (Revised: 1/11/89 effective 8/1/89)
- (i) Hawaii, Alaska, Puerto Rico. A maximum of four contests on a regular-season trip or a tournament (not to exceed four contests), either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, scheduled not more than once every four years by an institution located outside of Hawaii, Alaska or Puerto Rico. This limitation shall not apply to a regular-season conference contest between two members of the same NCAA member conference; and (Revised: 1/14/97 effective 8/1/97)
- (j) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's football team who participate in local celebrity football activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (k) **NCCAA Championships.** Games played in the National Christian College Athletic Association (NCCAA) football championship. (Adopted: 1/12/99 effective 8/1/99)
- 17.10.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the playing season per Bylaw 17.02.1.1.
- 17.10.7 Other Restrictions
  - **17.10.7.1 Noncollegiate, Amateur Competition.** A student-athlete shall be ineligible for intercollegiate competition in football for the duration of the football season if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate football squad or team, the student-athlete competes or has competed as a member of any outside football team in any noncollegiate,

## Exemptions: Football Contests 2001-02 Academic Year

Annual Exemptions (All Divisions)	Twelve-Member Conference Championship Game [17.10.5.3-(b)] NCAA Championships [17.10.5.3-(e)] NCAA Championship Play-In Competition [17.10.5.3-(f)] NAIA Championship [17.10.5.3-(g)] NCCAA Championships [17.10.5.3-(k)] Celebrity Sports Activity [17.10.5.3-(j)]		
Additional Annual Exemptions (By Division)	Football Hall of Fame Game or Coaches Retirement Trust Game [17.10.5.3-(a)] AND Conference Tournament [17.10.5.3-(c)] AND Preseason Scrimmage [17.10.5.3-(d)]		
Once-in-Four-Years Exemptions (By Division)	Game(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.10.5.3-(i)]		
Once-in-Three-Years Exemptions (By Division)	Foreign Tour [17.10.5.3-(h)]		

amateur competition (e.g., tournament play, exhibition games or other activity). (Adopted: 1/10/91 effective 8/1/91, Revised: 1/16/93)

**17.10.7.2 Equipment Issue, Team Pictures.** It shall be permissible to designate a single date for issuing football equipment and for taking team pictures after the beginning of classes in the fall term or the day before the opening of permissible practice as specified in Bylaw 17.10.2.1 (see Figure 17-12). (*Revised:* 1/10/95)

**17.10.7.2.1 Exception for Sundays.** If the day before the beginning of the opening of permissible practice is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (*Adopted: 1/11/89*)

17.10.8 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the start of preseason practice (Bylaw 17.10.2) for an institution that has suffered extraordinary personnel losses to its football team due to accident or illness of a disastrous nature.

#### 17.11 GOLF

Regulations for computing the golf playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.)

- 17.11.1 Length of Playing Season. The length of an institution's playing season in golf shall be limited to a maximum of 21 weeks (traditional and nontraditional segments combined). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93 effective 8/1/93)
- 17.11.2 Preseason Practice. A member institution shall not commence practice sessions in golf before the following dates:
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91 and 8/1/92, Revised: 1/16/93 effective 8/1/93, Revised: 1/10/95 effective 8/1/95)
  - (1) **Exception.** An institution that conducts its traditional segment during the fall per 17.1.11.3 may commence practice August 24 or the first date on which classes are scheduled for the institution's fall term, whichever date occurs first. (Adopted: 1/10/95 effective 8/1/95)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised: 1/10/91 effective 8/1/91 and 8/1/93, Revised: 1/11/94, 1/10/95 effective 8/1/95*)
- 17.11.3 First Date of Competition. A member institution shall not engage in its first date of competition with outside competition in golf before the following dates:

- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91 and 8/1/93, Revised: 1/11/94, 1/10/95 effective 8/1/95)
  - (s) **Exception.** An institution that conducts its traditional segment during the fall per Bylaw 17.1.11.3 may play its first contest with outside competition September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday, except that exhibition scrimmages may be conducted during the preseason practice period (see Bylaw 17.11.2.1). (Adopted: 1/10/95 effective 8/1/95, Revised: 1/15/97 effective 8/1/97)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93 effective 8/1/93, Revised: 1/11/94, 1/10/95 effective 8/1/95*)
- 17.11.4 End of Regular Playing Season. A member institution shall conclude all practice and competition in the sport of golf by the following dates:
- (a) **Traditional Segment.** The conclusion of the NCAA golf championships in the institution's division (see Bylaw 17.1.3). (*Revised: 1/10/91 effective 8/1/91*)
- (b) **Nontraditional Segment.** The first date of final examinations for the regular academic year at the institution. (*Revised: 1/10/91 effective 8/1/91*)
- 17.11.5 Number of Dates of Competition
  - **17.11.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition to a maximum of 20 dates of competition, except for those dates of competition excluded under Bylaw 17.11.5.3 (Bylaw 20.11.3.2 for minimum contests and participants requirements).
    - **17.11.5.1.1 Tournament Limitations.** No tournament including those involving "college-am" fundraisers shall exceed three days. (Adopted: 1/10/91 effective 8/1/91 in Divisions I and II and 8/1/92 in Division III, Revised: 1/11/94 effective 8/1/94)
    - **17.11.5.1.2 Determination of Date of Competition.** A member institution shall be considered to have used a date of competition in golf if one or more student-athletes participate on behalf of the institution on a particular date.
    - **17.11.5.1.3 In-Season Foreign Competition.** A member institution may play one or more of its countable dates of competition in golf in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.11.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of 20 dates of competition. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8.
  - **17.11.5.3 Annual Exemptions.** The maximum number of dates of competition in the sport of golf shall exclude the following (see Figure 17-13):
  - (a) **Conference Championship.** Competition in one conference championship tournament in golf;
  - (b) NAIA/NCCAA Championship. Competition in the National Association of Intercollegiate Athletics (NAIA) or National Christian College Athletic Association (NCCAA) golf championships; (Revised: 1/12/99 effective 8/1/99)
  - (c) NCAA Championship. Competition in the NCAA golf championships;
  - (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
  - (e) **Alumni Match.** One date of competition in golf each year with an alumni team of the institution;
  - (f) **Foreign Team in U.S.** One date of competition in golf each year with a foreign opponent in the United States;
  - (g) **East-West All-Star Match.** The participation of a member or members of an institution's golf team in the East-West all-star golf match at the site of the NCAA division golf championships on the day preceding the start of the competition (i.e., the practice-round day); (Revised: 1/9/96 effective 8/1/96)
  - (h) **Sun Bowl All-American Classic.** Participation by an institution in the Sun Bowl All-American Classic, provided not more than two student-athletes from the institution participate in that event; (Revised: 1/9/96 effective 8/1/96)

# Exemptions: Golf Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.11.5.3-(a)] NAIA/NCCAA Championship [17.11.5.3-(b)] NCAA Championship [17.11.5.3-(c)] NCAA Championship Play-In Competition [17.11.5.3-(d)] Alumni Match [17.11.5.3-(e)] Foreign Team in U.S. [17.11.5.3-(f)] East-West All-Star Match [17.11.5.3-(g)] Sun Bowl All-American Classic [17.11.5.3-(h)] Fund-Raising Activity [17.11.5.3-(i)] Celebrity Sports Activity [17.11.5.3-(j)] College-Am Event [17.11.5.3-(k)]
Additional Annual Exemptions (By Division)	NO ADDITIONAL EXEMPTIONS
Once-in- Four-Years Exemptions (By Division)	Date(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.11.5.5]
Once-in- Three-Years Exemptions (By Division)	Foreign Tour [17.11.5.4]

- (i) **Fund-Raising Activity.** Any golf activities in which student-athletes from more than one of the institution's athletics teams or in which team members of that sport participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (j) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's golf team who participate in local celebrity golf activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (k) **College-Am Event.** A "college-am" golf tournament, provided the event is held in conjunction with intercollegiate competition and student-athletes do not receive awards or prizes for such participation. (*Revised:* 1/10/91)
- **17.11.5.4 Once-in-Three-Years Exemptions—Foreign Tour.** The dates of competition in golf on a foreign tour, provided the tour occurs only once in a three-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). (Revised: 1/11/89 effective 8/1/89)
- 17.11.5.5 Once-in-Four-Years Exemptions—Hawaii, Alaska, Puerto Rico. A maximum of four dates of competition on a regular-season trip or a tournament (not to exceed four dates of competition), either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, scheduled not more than once every four years by a member institution located outside of Hawaii, Alaska or Puerto Rico. This limitation shall not apply to a regular-season conference contest between two members of the same NCAA member conference. (Revised: 1/14/97 effective 8/1/97)
- 17.11.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (Revised: 1/10/91 effective 8/1/92)
  - **17.11.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign

tour) or through official interpretations approved by the Management Council. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/92)

- 17.11.7 Camps and Clinics. There are no limits on the number of student-athletes in golf who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised: 1/10/92*)
- 17.11.8 Other Restrictions

#### 17.11.8.1 Noncollegiate, Amateur Competition

- **17.11.8.1.1 In Season.** A student-athlete shall be denied eligibility for intercollegiate golf competition for the remainder of the season in the sport of golf if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate golf team, he or she competes or has competed as a member of any outside golf team in any noncollegiate, amateur competition (e.g., tournament play, exhibition meets or other activity) during the institution's intercollegiate golf season (see Bylaw 14.7.5 for exceptions and waivers). (*Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93 effective 8/1/93*)
- 17.11.8.1.2 Out of Season. There are no limits on the number of student-athletes with eligibility remaining in intercollegiate golf who may practice or compete out of season on an outside, amateur golf team. (Revised: 1/10/91 effective 8/1/91)
  - **17.11.8.1.2.1 Involvement of Coaching Staff Member.** In the sport of golf, no member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from that institution's golf team except as provided under Bylaws 14.7.5 and 17.30.
  - **17.11.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.11.8.2 Equipment Issue, Team Pictures. It shall be permissible to designate a single date for issuing golf equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of the traditional or nontraditional segment as specified in Bylaw 17.11.2. (Revised: 1/16/93 effective 8/1/93)
  - **17.11.8.2.1 Exception for Sundays.** If the day before the beginning of a traditional or nontraditional segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (*Adopted:* 1/11/89)
- 17.11.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (Bylaw 17.11.1) by modifying the start of preseason practice (Bylaw 17.11.2) for an institution that has suffered extraordinary personnel losses to its men's golf team due to accident or illness of a disastrous nature.

#### 17.12 GYMNASTICS

Regulations for computing the gymnastics playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.)

- 17.12.1 Length of Playing Season. The length of an institution's playing season in gymnastics shall be limited to a 21-week season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.7 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97, Revised: 1/8/01 effective 8/1/01*)
- 17.12.2 Preseason Practice. A member institution shall not commence practice sessions in gymnastics before September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
- 17.12.3 First Date of Competition. A member institution shall not engage in its first date of competition (meet or practice meet) with outside competition in gymnastics before September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
- 17.12.4 End of Regular Playing Season. A member institution shall conclude all practice and competition (meets and practice meets) in the sport of gymnastics by the conclusion of the National Collegiate Gymnastics Championships. (Revised 1/10/91 effective 8/1/91, Revised: 1/14/97)

- 17.12.5 Number of Dates of Competition
  - **17.12.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of gymnastics during the permissible gymnastics playing season to a maximum of 13 dates of competition except for the dates of competition excluded under Bylaw 17.12.5.3 (see Bylaw 20.11.3.2 for minimum contests and participants requirements). (*Revised:* 1/10/91 effective 8/1/91, *Revised:* 1/16/93)
    - **17.12.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable gymnastics dates of competition in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.12.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 13 dates of competition in gymnastics. This limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised:* 1/10/91 effective 8/1/91, *Revised:* 1/16/93)
  - **17.12.5.3 Annual Exemptions.** The maximum number of dates of competition in the sport of gymnastics shall exclude the following (see Figure 17-14):
  - (a) NCAA Championship. Competition in the NCAA gymnastics championships;
  - (b) Conference Championship. Competition in one conference championship meet in gymnastics;
  - (c) **USA Gymnastics and NCGA Championships.** Competition in the USA Gymnastics and National College Gymnastics Association (NCGA) collegiate gymnastics championships; (*Revised:* 1/16/93)
  - (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
  - (e) Alumni Meet. One date of competition in gymnastics each year with an alumni team of the institution;
  - (f) **Foreign Team in U.S.** One date of competition in gymnastics each year with a foreign opponent in the United States;
  - (g) **Hawaii or Alaska.** Any dates of competition in gymnastics in Hawaii or Alaska, respectively, against an active member institution located in Hawaii or Alaska, by a member located outside the area in question; (Revised: 1/9/96 effective 8/1/96)
  - (h) **Fund-Raising Activity.** Any gymnastics activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
  - (i) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's gymnastics team who participate in local celebrity gymnastics activities conducted for the purpose of raising funds for charitable organizations, provided:
    - (1) The student-athlete(s) do not miss classes as a result of the participation;
    - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
    - (3) The activity takes place within a 30-mile radius of the institution's main campus.
  - (j) **U.S. National Team.** One date of competition played against the U.S. national team as selected by the appropriate national governing body for gymnastics. (*Adopted: 1/9/96 effective 8/1/96*)
  - **17.12.5.4 Once-in-Four-Years Exemptions.** An institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. (Adopted: 1/9/96 effective 8/1/96)
  - 17.12.5.5 Once-in-Four-Years Exemptions—Foreign Tour. The contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.12.5.3. (Adopted: 1/9/96 effective 8/1/96)
- 17.12.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff at the student-athlete's institution shall not engage in athletically related activities (outside the institution's declared playing season per Bylaw 17.02.1.1. (Revised: 1/10/91 effective 8/1/91)

# Exemptions: Gymnastics Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	NCAA Championship [17.12.5.3-(a)] Conference Championship [17.12.5.3-(b)] USA Gymnastics and NCGA Championships [17.12.5.3-(c)] NCAA Championship Play-In Competition [17.12.5.3-(d)] Alumni Meet [17.12.5.3-(e)] Foreign Team in U.S. [17.12.5.3-(f)] Date(s) against Active Members in Hawaii or Alaska [17.12.5.3-(g)] Fund-Raising Activity [17.12.5.3-(h)] Celebrity Sports Activity [17.12.5.3-(i)] U.S. National Team [17.12.5.3-(j)]
Once-in-Four-Years Exemptions (All Divisions)	Certified Once-in-Four-Years Exemptions (17.12.5.4)  OR  Foreign Tour [17.12.5.5]

- 17.12.6.1 Summer Practice. Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/92)
- 17.12.7 Camps and Clinics. There are no limits on the number of student-athletes in gymnastics who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Revised: 1/10/92)
- 17.12.8 Other Restrictions

#### 17.12.8.1 Noncollegiate, Amateur Competition

- 17.12.8.1.1 During Academic Year. A student-athlete in the sport of gymnastics who participates during the academic year as a member of any outside gymnastics team in any noncollegiate, amateur competition (e.g., tournament, exhibition meets or other activity) except while representing the institution in intercollegiate gymnastics competition shall be ineligible for intercollegiate gymnastics competition for the remainder of the year and for the next academic year (see Bylaw 14.7.5 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.12.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed two. (*Adopted: 1/11/94 effective 8/1/94*)
- 17.12.8.1.2 Out of Season. There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate gymnastics who may practice or compete out of season on an outside, amateur gymnastics team. (Revised: 1/10/91 effective 8/1/91)
  - **17.12.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's gymnastics team except as provided under Bylaws 14.7.5 and 17.30.
  - **17.12.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.12.8.2 Equipment Issue. Team Pictures. No limitations.

# Gymnastics/17.12.9—Ice Hockey/17.13.5.3

17.12.9 Safety Exception. A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete uses gymnastics equipment. The coach may provide safety instruction. (Adopted: 1/10/91 effective 8/1/92)

#### 17.13 ICE HOCKEY

Regulations for computing the ice hockey playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. Institutions that conduct women's ice hockey shall comply with the same playing and practice season legislation that currently exists for men's ice hockey. (Also see Figures 17-1 and 17-2.) (Revised: 1/9/96 effective 8/1/96)

- 17.13.1 Length of Playing Season. The length of an institution's playing season in ice hockey shall be limited to a maximum of 21 weeks (traditional and nontraditional combined).
- 17.13.2 Preseason Practice. A member institution shall not commence practice sessions in ice hockey before the following dates:
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91 and 8/1/92, Revised: 1/10/95 effective 8/1/95)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/92, 1/10/95 effective 8/1/95)
- 17.13.3 First Contest. A member institution shall not play its first contest (game or scrimmage) with outside competition in ice hockey before September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/95 effective 8/1/95)
- 17.13.4 End of Regular Playing Season. A member institution shall conclude all practice and competition (games and scrimmages) in each segment in the sport of ice hockey by the following dates:
- (a) Traditional Segment. The conclusion of the Division III Men's Ice Hockey Championship game, and
- (b) Nontraditional Segment. The first date of final exams for the regular academic year at the institution.
- 17.13.5 Number of Contests
  - **17.13.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition during the institution's ice hockey playing season in the sport of women's ice hockey to a maximum of 34 contests and in the sport of men's ice hockey to a maximum of 25 contests (games or scrimmages), except for those contests excluded under Bylaws 17.13.5.3, 17.13.5.5, 17.13.5.6 and 17.13.5.7. (*Revised: 1/10/91 effective 8/1/92, Revised: 1/9/96 effective 8/1/96*)
    - **17.13.5.1.1 In-Season Foreign Competition.** A member institution may play one or more of its countable contests in ice hockey in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.13.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of 34 contests in women's ice hockey and in men's ice hockey in a maximum of 25 contests. This limitation includes those contests in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (*Revised:* 1/10/91 effective 8/1/92)
  - **17.13.5.3 Annual Exemptions.** The maximum number of ice hockey contests/dates of competition shall exclude the following (see Figure 17-15):
  - (a) **Conference Championship.** Competition in one conference championship tournament in ice hockey (or the tournament used to determine the conference's automatic entry in an NCAA ice hockey championship);
  - (b) **Conference Playoff.** Competition involving member institutions that tie for a conference championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in the NCAA ice hockey championship without the game(s) being counted as a postseason tournament:
  - (c) **Season-Ending Tournaments.** Competition in one season-ending ice hockey tournament (e.g., NCAA championship, American Women's Hockey Alliance national championship, NAIA championship). A season-ending tournament involves competition after the end of the regular season between teams that are not identified until the close of that regular season; (Revised: 1/14/97 effective 8/1/97)
  - (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;

# FIGURE 17-15 Exemptions: Ice Hockey Contests 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.13.5.3-(a)] Conference Playoff [17.13.5.3-(b)] Season-Ending Tournaments [17.13.5.3-(c)] NCAA Championship Play-In Competition [17.13.5.3-(d)] Alumni Game [17.13.5.3-(e)] Foreign Team in U.S. [17.13.5.3-(f)] U.S. Women's National Team [17.13.5.3-(g)] Fund-Raising Activity [17.13.5.3-(h)] Celebrity Sports Activity [17.13.5.3-(i)]
Additional Annual Exemptions (By Division)	NO ADDITIONAL EXEMPTIONS
Once-in-Four-Years Exemptions (By Division)	Game(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico (17.13.5.5)
Once-in-Three-Years Exemptions (By Division)	Foreign Tour [17.13.5.4]

- (e) **Alumni Game.** One ice hockey contest each year with an alumni team of the institution;
- (f) Foreign Team in U.S. One ice hockey contest each year with a foreign opponent in the United States:
- (g) **U.S. Women's National Team.** Two women's ice hockey contests against the U.S. national women's ice hockey team during the season leading up to the 2002 Winter Olympics; (Adopted: 1/14/97 effective 8/1/97)
- (h) **Fund-Raising Activity.** Any ice hockey activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (i) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's ice hockey team who participate in local celebrity ice hockey activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- **17.13.5.4 Once-In-Three-Years Exemption—Foreign Tour.** The ice hockey games played on a foreign tour, provided the tour occurs only once in a three-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). (Revised: 1/11/89 effective 8/1/89)
- **17.13.5.5 Once-In-Four-Years Exemptions—Hawaii, Alaska, Puerto Rico.** A maximum of four contests on a regular-season trip or a tournament (not to exceed four contests), either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, scheduled not more than once every four years by a member institution located outside of Hawaii, Alaska or Puerto Rico. This limitation shall not apply to a regular-season conference contest between two members of the same NCAA member conference. (Revised: 1/14/97 effective 8/1/97)
- 17.13.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (Revised: 1/10/91 effective 8/1/92)
  - **17.13.6.1 Summer Practice.** Ice hockey practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council.
- 17.13.7 Camps and Clinics. There are no limits on the number of student-athletes with eligibility remaining in ice hockey who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw

# Ice Hockey/17.13.7—Lacrosse/17.14.4

- 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Revised: 1/10/92)
- 17.13.8 Other Restrictions

### 17.13.8.1 Noncollegiate, Amateur Competition

- **17.13.8.1.1 In Season.** A student-athlete shall be denied eligibility for intercollegiate ice hockey competition for the remainder of the season if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate ice hockey squad or team, he or she competes or has competed as a member of any outside ice hockey team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) during the institution's intercollegiate ice hockey season (see Bylaw 14.7.5 for exceptions and waivers). (*Revised:* 1/16/93)
- **17.13.8.1.2 Out of Season.** There are no limits on the number of student-athletes with eligibility remaining in intercollegiate ice hockey who may practice or compete out of season on an outside, amateur ice hockey team. (*Revised:* 1/10/91 effective 8/1/91)
  - **17.13.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's ice hockey team except as provided under Bylaws 14.7.5 and 17.30.
  - **17.13.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.13.8.2 Equipment Issue, Team Pictures.** It shall be permissible to designate a single date for issuing ice hockey equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of a segment as specified in Bylaw 17.13.2. (*Revised:* 1/11/89)
  - **17.13.8.2.1 Exception for Sundays.** If the day before the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (Adopted: 1/11/89)
- 17.13.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (Bylaw 17.13.1) by modifying the start of preseason practice (Bylaw 17.13.2) for an institution that has suffered extraordinary personnel losses to its ice hockey team due to accident or illness of a disastrous nature.

#### 17.14 LACROSSE

Regulations for computing the lacrosse playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.)

- 17.14.1 Length of Playing Season. The length of an institution's playing season in lacrosse shall be limited to a maximum of 21 weeks (traditional and nontraditional segments combined).
- 17.14.2 Preseason Practice. A member institution shall not commence practice sessions in lacrosse before the following dates:
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95*)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised:* 1/10/92, 1/10/95 effective 8/1/95)
- 17.14.3 First Date of Competition. A member institution shall not engage in its first contest (game or scrimmage) with outside competition in lacrosse before the following dates:
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95*)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95)
- 17.14.4 End of Regular Playing Season. A member institution shall conclude all practice and competition (games and scrimmages) in the sport of lacrosse by the following dates:
- (a) Traditional Segment. The conclusion of the NCAA lacrosse championship and
- (b) **Nontraditional Segment.** The first date of final exams for the regular academic year at the institution.

- 17.14.5 Number of Dates of Competition
  - **17.14.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of lacrosse during the institution's lacrosse playing season to a maximum of 17 contests in men's lacrosse and women's lacrosse, except for those contests excluded under Bylaws 17.14.5.3, 17.14.5.4 and 17.14.5.5. (*Revised: 1/10/91 effective 8/1/92, Revised: 1/14/97 effective 8/1/97*)
    - **17.14.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable contests in lacrosse in one or more foreign countries on one trip during the prescribed playing season. However, except for contests in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.14.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of 17 contests in men's lacrosse and women's lacrosse. This limitation includes those contests in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (Revised: 1/10/91 effective 8/1/92, Revised: 1/14/97 effective 8/1/97)
  - **17.14.5.3 Annual Exemptions.** The maximum number of contests in the sport of lacrosse shall exclude the following (see Figure 17-16):
  - (a) **Conference Championship.** Competition in one conference championship tournament in lacrosse:
  - (b) **NCAA Championship.** Competition in the NCAA lacrosse championship;
  - (c) **Season-Ending Tournament.** Competition in one season-ending lacrosse tournament (e.g., NCAA championship, NAIA championship). A season-ending tournament involves competition after the end of the regular season between teams that are not identified until the close of the regular season; (Adopted: 1/9/96 effective 8/1/96)
  - (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
  - (e) Alumni Game. One date of competition in lacrosse each year with an alumni team of the institution;
  - (f) **Foreign Team in U.S.** One date of competition in lacrosse each year with a foreign opponent in the United States:
  - (g) Fund-Raising Activity. Any lacrosse activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
  - (h) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's lacrosse team who participate in local celebrity lacrosse activities conducted for the purpose of raising funds for charitable organizations, provided:
    - (1) The student-athlete(s) do not miss classes as a result of the participation;
    - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
    - (3) The activity takes place within a 30-mile radius of the institution's main campus.
  - **17.14.5.4 Once-In-Three-Years Exemptions—Foreign Tour.** The contests in lacrosse on a foreign tour, provided the tour occurs only once in a three-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). (Revised: 1/11/89 effective 8/1/89)
  - 17.14.5.5 Once-In-Four-Years Exemptions—Hawaii, Alaska, Puerto Rico. A maximum of four contests on a regular-season trip or a tournament (not to exceed four dates of competition), either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, scheduled not more than once every four years by a member located outside of Hawaii, Alaska or Puerto Rico. This limitation shall not apply to a regular-season conference contest between two members of the same NCAA member conference. (Revised: 1/14/97 effective 8/1/97)
- 17.14.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (Revised: 1/10/91 effective 8/1/92)
  - 17.14.6.1 Summer Practice. Lacrosse practice that is organized or financially supported by a member

# Exemptions: Lacrosse Contests 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.14.5.3-(a)] NCAA Championship [17.14.5.3-(b)] Season-Ending Tournament [17.14.5.3-(c)] NCAA Championship Play-In Competition [17.14.5.3-(d)] Alumni Game [17.14.5.3-(e)] Foreign Team in U.S. [17.14.5.3-(f)] Fund-Raising Activity [17.14.5.3-(h)] Celebrity Sports Activity [17.14.5.3-(h)]
Additional Annual Exemptions (By Division)	NO ADDITIONAL EXEMPTIONS
Once-in-Four-Years Exemptions (By Division)	Date(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.14.5.5]
Once-in-Three-Years Exemptions (By Division)	Foreign Tour [17.14.5.4]

institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council.

17.14.7 Camps and Clinics. There are no limits on the number of student-athletes in lacrosse who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised:* 1/10/92)

17.14.8 Other Restrictions

## 17.14.8.1 Noncollegiate, Amateur Competition

**17.14.8.1.1 In Season.** A student-athlete shall be denied eligibility for intercollegiate lacrosse competition for the remainder of the season if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate lacrosse squad or team, he or she competes or has competed as a member of any outside lacrosse team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) during the institution's intercollegiate lacrosse season (see Bylaw 14.7.5 for exceptions and waivers). (*Revised:* 1/16/93)

**17.14.8.1.2 Out of Season.** There are no limits on the number of student-athletes with eligibility remaining in intercollegiate lacrosse who may practice or compete out of season on an outside, amateur lacrosse team. (Revised: 1/10/91 effective 8/1/91)

**17.14.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's lacrosse team except as provided under Bylaws 14.7.5 and 17.30.

**17.14.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.

**17.14.8.2 Equipment Issue, Team Pictures.** It shall be permissible to designate a single date for issuing lacrosse equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of a segment as specified in Bylaw 17.14.2. *(Revised: 1/11/89)* 

**17.14.8.2.1 Exception for Sundays.** If the day before the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (*Adopted:* 1/11/89)

17.14.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (Bylaw 17.14.1) by modifying the start of preseason practice (Bylaw 17.14.2) for an institution that has suffered extraordinary personnel losses to its lacrosse team due to accident or illness of a disastrous nature.

### 17.15 RIFLE

Regulations for computing the rifle playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.)

- 17.15.1 Length of Playing Season. The length of an institution's playing season in rifle shall be limited to a 21-week season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.7 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97, Revised: 1/08/01 effective 8/1/01)
- 17.15.2 Preseason Practice. A member institution shall not commence practice sessions in rifle before September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
- 17.15.3 First Date of Competition. A member institution shall not engage in its first date of competition (meet or practice meet) with outside competition in rifle before September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
- 17.15.4 End of Regular Playing Season. A member institution shall conclude all practice and competition (meets and practice meets) in the sport of rifle by the first date of final examinations for the regular academic year at the institution. (Revised 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97)
- 17.15.5 Number of Dates of Competition
  - **17.15.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of rifle during the permissible rifle playing season to a maximum of 13 dates of competition except for those dates of competition excluded under Bylaws 17.15.5.3, 17.15.5.4 and 17.15.5.5 (see Bylaw 20.11.3.2 for minimum contests and participants requirements). (Revised: 1/10/91 effective 8/1/91)
    - **17.15.5.1.1** In-Season Foreign Competition. A member institution may engage in one or more of its countable dates of competition in rifle in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - 17.15.5.2 Maximum Limitations—Student-Athlete. A student-athlete may participate in each academic year in a maximum of 13 dates of competition in rifle. This limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (Revised: 1/10/91 effective 8/1/91)
  - **17.15.5.3 Annual Exemptions.** The maximum number of dates of competition in the sport of rifle shall exclude the following (see Figure 17-17):
  - (a) **NCAA Championship.** Competition in the National Collegiate Men's and Women's Rifle Championships;
  - (b) **Conference Championship.** Competition in one conference championship competition in rifle;
  - (c) NCAA Championship Play-In Competition. Competition in play-in contests conducted before NCAA championships;
  - (d) **Alumni Match.** One date of competition in rifle each year with an alumni team of the institution;
  - (e) **Foreign Team in U.S.** One date of competition in rifle each year with a foreign opponent in the United States;
  - (f) **Hawaii or Alaska.** Any dates of competition in rifle in Hawaii or Alaska, respectively, against an active member institution located in Hawaii or Alaska, by an active member located outside the area in question; (Revised: 1/9/96 effective 8/1/96)
  - (g) **Fund-Raising Activity.** Any rifle activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
  - (h) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's rifle team who participate in local celebrity rifle activities conducted for the purpose of raising funds for charitable organizations, provided:
    - (1) The student-athlete(s) do not miss classes as a result of the participation;
    - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and

# Exemptions: Rifle Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	NCAA Championship [17.15.5.3-(a)] Conference Championship [17.15.5.3-(b)] NCAA Championship Play-In Competition [17.15.5.3-(c)] Alumni Match [17.15.5.3-(d)] Foreign Team in U.S. [17.15.5.3-(e)] Date(s) against Active Members in Hawaii or Alaska [17.15.5.3-(f)] Fund-Raising Activity [17.15.5.3-(g)] Celebrity Sports Activity [17.15.5.3-(h)] U.S. National Team [17.15.5.3-(i)]
Once-in-Four-Years Exemptions (All Divisions)	Once-in-Four-Years Exemptions (17.15.5.4) OR Foreign Tour [17.15.5.5]

- (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (i) **U.S. National Team.** One date of competition played against the U.S. national team as selected by the appropriate national governing body for rifle. (Adopted: 1/9/96 effective 8/1/96)
- **17.15.5.4 Once-in-Four-Years Exemptions.** An institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. (Adopted: 1/9/96 effective 8/1/96)
- 17.15.5.5 Once-in-Four-Years Exemptions—Foreign Tour. The contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.15.5.4. (Adopted: 1/9/96 effective 8/1/96)
- 17.15.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff at the student-athlete's institution shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (Revised: 1/10/91 effective 8/1/91)
  - 17.15.6.1 Summer Practice. Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/92)
- 17.15.7 Camps and Clinics. There are no limits on the number of student-athletes in rifle who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised: 1/10/92*)
- 17.15.8 Other Restrictions

#### 17.15.8.1 Noncollegiate, Amateur Competition

- **17.15.8.1.1 During Academic Year.** A student-athlete in the sport of rifle who participates during the academic year as a member of any outside rifle team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate rifle competition shall be ineligible for intercollegiate rifle competition for the remainder of the year and for the next academic year (see Bylaw 14.7.5 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - 17.15.8.1.1.1 Vacation-Period Exception. A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed two. ( $Adopted: 1/11/94 \ effective \ 8/1/94$ )
- **17.15.8.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate rifle who may practice or compete out of season on an outside, amateur rifle team. (*Revised:* 1/10/91 effective 8/1/91)

- **17.15.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's rifle team except as provided under Bylaws 14.7.5 and 17.30. (*Revised: 1/10/91 effective 8/1/91*)
- **17.15.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.15.8.2 Equipment Issue, Team Pictures. No limitations.
- 17.15.9 Safety Exception. A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete is shooting. The coach may provide safety or skill instruction but cannot conduct the individual's workouts. (Adopted: 1/10/91 effective 8/1/91)

#### 17.16 ROWING, WOMEN'S

Regulations for computing the rowing playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.) (Adopted: 1/9/96 effective 8/1/96)

- 17.16.1 Length of Playing Season. The length of an institution's playing season in rowing shall be limited to a 21-week season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.7 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97, Revised 1/8/01 effective 8/1/01)
- 17.16.2 Preseason Practice. A member institution shall not commence practice sessions in rowing before September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97, Revised 1/8/01 effective 8/1/01)
- 17.16.3 First Date of Competition. A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in rowing before September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- 17.16.4 End of Regular Playing Season. A member institution shall conclude all practice and competition (games and scrimmages) in the sport of rowing by the conclusion of the National Collegiate Rowing Championship. (Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)
- 17.16.5 Number of Dates of Competition
  - **17.16.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of rowing during the institution's playing season to a maximum of 20 dates of competition (games and scrimmages), except for those dates of competition excluded under Bylaws 17.16.5.3 and 17.16.5.4. (*Adopted: 1/9/96 effective 8/1/96*)
    - **17.16.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in rowing in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years. (Adopted: 1/9/96 effective 8/1/96)
  - **17.16.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in a maximum of 20 dates of competition. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (Adopted: 1/9/96 effective 8/1/96)
  - **17.16.5.3 Annual Exemptions.** The maximum number of dates of competition in the sport of rowing shall exclude the following (see Figure 17-18): (*Adopted: 1/9/96 effective 8/1/96*)
  - (a) Conference Championship. Competition in one conference championship meet in rowing;
  - (b) **Season-Ending Tournament—NCAA Championship.** Competition in the National Collegiate Women's Rowing Championship;
  - (c) **United States Rowing Association Championship.** Competition in the U.S. Rowing Association championship;

# Exemptions: Rowing Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.16.5.3-(a)] Season-Ending Tournaments-NCAA Championship [17.16.5.3-(b)] Alumni Meet [17.16.5.3-(d)] Foreign Team in U.S. [17.16.5.3-(e)] Date(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.16.5.3-(f)] Fund-Raising Activity [17.16.5.3-(g)] Celebrity Sports Activity [17.16.5.3-(h)]
Additional Annual Exemptions (By Division)	U.S. Rowing Association Championship [17.16.5.3-(c)]
Once-in-Four-Years Exemptions (All Divisions)	Foreign Tour (17.16.5.4)

- (d) Alumni Meet. One date of competition in rowing each year with an alumni team of the institution:
- (e) **Foreign Team in U.S.** One date of competition in rowing each year with a foreign opponent in the United States;
- (f) **Hawaii, Alaska, Puerto Rico.** Any dates of competition in rowing in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question;
- (g) **Fund-Raising Activity.** Any rowing activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (h) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's rowing team who participate in local celebrity rowing activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- **17.16.5.4 Foreign Tour.** The dates of competition in rowing on a foreign tour, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30).
- 17.16.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (*Adopted: 1/9/96 effective 8/1/96*)
  - **17.16.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Adopted: 1/9/96 effective 8/1/96)
- 17.16.7 Camps and Clinics. There are no limits on the number of student-athletes in rowing who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Adopted: 1/9/96 effective 8/1/96)
- 17.16.8 Other Restrictions

# 17.16.8.1 Noncollegiate, Amateur Competition

**17.16.8.1.1 In Season.** A student-athlete shall be denied eligibility for intercollegiate rowing competition for the remainder of the season if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate rowing squad or team, she competes or

has competed as a member of any outside rowing team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) during the institution's intercollegiate rowing season (see Bylaw 14.7.5 for exceptions and waivers). (*Adopted: 1/9/96 effective 8/1/96*)

- **17.16.8.1.2 Out of Season.** There are no limits on the number of student-athletes with eligibility remaining in intercollegiate rowing who may practice or compete out of season on an outside, amateur rowing team. (Adopted: 1/9/96 effective 8/1/96)
  - **17.16.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with remaining eligibility from that institution's rowing team except as provided under Bylaws 14.7.5, 17.30 and 30.14.3.1. (Adopted: 1/9/96 effective 8/1/96)
  - **17.16.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.16.8.2 Equipment Issue, Team Pictures. It shall be permissible to designate a single date for issuing rowing equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of a segment as specified in Bylaw 17.16.2. (Adopted: 1/9/96 effective 8/1/96)
  - **17.16.8.2.1 Exception for Sundays.** If the day before the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (*Adopted:* 1/9/96 effective 8/1/96)
- 17.16.9 Safety Exception. A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as athletically related activities) when the student-athlete uses rowing equipment. The coach may provide safety or skill instruction but cannot conduct the individual's workouts. (Adopted: 1/9/96 effective 8/1/96)

#### 17.17 **SKIING**

Regulations for computing the skiing playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.)

- 17.17.1 Length of Playing Season. The length of an institution's playing season in skiing shall be limited to a 21-week season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.7 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97, Revised 1/8/01 effective 8/1/01)
- 17.17.2 Preseason Practice. A member institution shall not commence practice sessions in skiing before September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
- 17.17.3 First Date of Competition. A member institution shall not engage in its first date of competition (meets or practice meets) with outside competition in skiing before September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97)
- 17.17.4 End of Regular Playing Season. A member institution shall conclude all practice and competition (meets and practice meets) in the sport of skiing by the first date of final examinations for the regular academic year at the institution. (Revised 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97)
- 17.17.5 Number of Dates of Competition
  - **17.17.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of skiing during the permissible skiing playing season to a maximum of 16 dates of competition, except for those dates of competition excluded under Bylaws 17.17.5.3, 17.17.5.4 and 17.17.5.5 (see Bylaw 20.11.3.2 for minimum contests and participants requirements). (*Revised:* 1/10/91 effective 8/1/91, *Revised:* 1/16/93)
    - **17.17.5.1.1 Skiing Meet.** A skiing meet of not more than two days' duration shall be considered a date of competition.
    - **17.17.5.1.2 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in skiing in one or more foreign countries on one trip during the

- prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
- **17.17.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in a maximum of 16 dates of competition in skiing. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
- **17.17.5.3 Annual Exemptions.** The maximum number of dates of competition in the sport of skiing shall exclude the following (see Figure 17-19):
- (a) **NCAA Championship.** Competition in the National Collegiate Men's and Women's Skiing Championships;
- (b) Conference Championship. Competition in one conference championship meet in skiing;
- (c) NCAA Championship Play-In Competition. Competition in play-in contests conducted before NCAA championships;
- (d) Alumni Meet. One date of competition in skiing each year with an alumni team of the institution:
- (e) Foreign Team in U.S. One date of competition in skiing each year with a foreign opponent in the United States:
- (f) **Hawaii or Alaska**. Any dates of competition in skiing in Hawaii or Alaska, respectively, against an active member institution located in Hawaii or Alaska, by a member located outside the area in question; (Revised: 1/9/96 effective 8/1/96)
- (g) **Fund-Raising Activity.** Any skiing activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (h) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's ski team who participate in local celebrity skiing activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (i) **U.S. National Team.** One date of competition against the U.S. national team as selected by the appropriate national governing body for skiing. (*Adopted: 1/9/96 effective 8/1/96*)
- 17.17.5.4 Once-in-Four-Years Exemptions. An institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. (Adopted: 1/9/96 effective 8/1/96)
- **17.17.5.5 Once-in-Four-Years Exemptions—Foreign Tour.** The contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). An institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.17.5.4. (Adopted: 1/9/96 effective 8/1/96)
- 17.17.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff at the student-athlete's institution shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (Revised: 1/10/91 effective 8/1/91)
  - **17.17.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (*Revised:* 1/10/91 effective 8/1/91, *Revised:* 1/10/92)
- 17.17.7 Camps and Clinics. There are no limits on the number of student-athletes in skiing who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised: 1/10/92*)

# Exemptions: Skiing Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	NCAA Championship [17.17.5.3-(a)] Conference Championship [17.17.5.3-(b)] NCAA Championship Play-In Competition [17.17.5.3-(c)] Alumni Meet [17.17.5.3-(d)] Foreign Team in U.S. [17.17.5.3-(e)] Date(s) against Active Members in Hawaii or Alaska [17.17.5.3-(f)] Fund-Raising Activity [17.17.5.3-(g)] Celebrity Sports Activity [17.17.5.3-(h)] U.S. National Team [17.17.5.3-(i)]
Once-in-Four-Years	Certified Once-in-Four-Years Exemptions [17.17.5.4]
Exemptions	<u>OR</u>
(All Divisions)	Foreign Tour [17.17.5.5]

#### 17.17.8 Other Restrictions

#### 17.17.8.1 Noncollegiate, Amateur Competition

**17.17.8.1.1 During Academic Year.** A student-athlete in the sport of skiing who participates during the academic year as a member of any outside skiing team in any noncollegiate, amateur competition (e.g., tournament, exhibition meets or other activity) except while representing the institution in intercollegiate skiing competition shall be ineligible for intercollegiate skiing competition for the remainder of the year and for the next academic year (see Bylaw 14.7.5 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)

**17.17.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed four. (*Adopted:* 1/11/94 effective 8/1/94)

17.17.8.1.2 Out of Season. There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate skiing who may practice or compete out of season on an outside, amateur skiing team. (Revised: 1/10/91 effective 8/1/91)

**17.17.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining with the institution's skiing team except as provided under Bylaws 14.7.5 and 17.30.

**17.17.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.

### 17.17.8.2 Equipment Issue, Team Pictures. No limitations.

17.17.9 Safety Exception. A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as athletically related activities) when the student-athlete is engaged in skiing. The coach may provide safety or skill instruction but cannot conduct the individual's workouts. (*Adopted: 1/10/91 effective 8/1/91*)

#### 17.18 SOCCFR

Regulations for computing the soccer playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.)

- 17.18.1 Length of Playing Season. The length of an institution's playing season in soccer shall be limited to a maximum of 21 weeks (traditional and nontraditional segments combined).
- 17.18.2 Preseason Practice. A member institution shall not commence practice sessions in soccer before the following dates:

- (a) **Traditional Segment.** The date that permits a maximum of 16 practice opportunities (see Bylaw 17.02.11) before the first scheduled intercollegiate game or before September 1, whichever is later. (Revised: 1/10/91 effective 8/1/92, Revised: 1/11/94)
- (b) **Nontraditional Segment.** September 1 or the first date on which classes are scheduled for the institution's fall term, whichever date occurs first.
- 17.18.3 First Contest or Date of Competition. A member institution shall not play its first contest or engage in its first date of competition (game) with outside competition in soccer before the following dates:
- (a) **Traditional Segment.** September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday, except that an unlimited number of exhibition scrimmages may be conducted on one date during the preseason practice period (see Bylaw 17.18.2), which shall count as one date of competition in the institution's maximum limitation on contests or dates of competition. (Revised: 1/10/91 effective 8/1/92, Revised: 1/11/94, Revised: 1/11/94 effective 8/1/94, Revised: 1/15/97 effective 8/1/97)
- (b) Nontraditional Segment. September 1. (Revised: 1/11/94)
- 17.18.4 End of Regular Playing Season. A member institution shall conclude all practice and competition (games and scrimmages) in the sport of soccer by the following dates:
- (a) **Traditional Segment.** The conclusion of the NCAA soccer championship in the institution's division, and
- (b) **Nontraditional Segment.** The first date of final exams for the regular academic year at the institution.
- 17.18.5 Number of Contests and Dates of Competition
  - **17.18.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of soccer during the institution's soccer playing season in any one year to a maximum of 20 contests during the segment in which the NCAA championship is conducted and four dates of competition during another segment, except for those contests and/or dates of competition excluded under Bylaws 17.18.5.3, 17.18.5.4 and 17.18.5.5 [see also Bylaw 17.18.3-(a)]. (Revised: 1/10/91 effective 8/1/92, Revised 1/8/01 effective 8/1/01)
    - **17.18.5.1.1 Scrimmages/Exhibition Games.** A member institution may play up to three soccer scrimmages or exhibition games (which shall not count toward the institution's won-lost record) before the first scheduled contest during a particular academic year, provided such scrimmages or exhibition games are conducted during the institution's declared playing season per Bylaw 17.18.1 and are counted against the maximum number of contests [see also Bylaw 17.18.3]. *(Revised: 1/10/92 effective 8/1/92)*
    - **17.18.5.1.2 In-Season Foreign Competition.** A member institution may play one or more of its countable contests in soccer in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.18.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of 20 soccer contests during the segment in which the NCAA championship is conducted and four dates of competition in soccer during another segment. This limitation includes those contests in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (Revised: 1/10/91 effective 8/1/92, Revised 1/8/01 effective 8/1/01)
  - **17.18.5.3 Annual Exemptions.** The maximum number of soccer contests and dates of competition shall exclude the following (see Figure 17-20):
  - (a) Conference Championship. Competition in one conference championship tournament in soccer (or the tournament used to determine the conference's automatic entry in the NCAA soccer championship);
  - (b) **Conference Playoff.** Competition involving member institutions that tie for a conference soccer championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in an NCAA soccer championship without the game(s) being counted as a regular-season contest or postseason tournament;
  - (c) **Season-Ending Tournaments.** Competition in one season-ending soccer tournament (e.g., NCAA championship, NAIA championship, NCCAA championship). A season-ending tournament involves competition after the end of the regular season between teams that are not identified until the close of that regular season; (Adopted: 1/9/96 effective 8/1/96, Revised: 1/12/99 effective 8/1/99)
  - (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;

# FIGURE 17-20 Exemptions: Soccer Contests 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.18.5.3-(a)] Conference Playoff [17.18.5.3-(b)] Season-Ending Tournament [17.18.5.3-(c)] NCAA Championship Play-In Competition [17.18.5.3-(d)] Alumni Game [17.18.5.3-(e)] Foreign Team in U.S. [17.18.5.3-(f)] Fund-Raising Activity [17.18.5.3-(g)] Celebrity Sports Activity [17.18.5.3-(h)]
Additional Annual Exemptions (By Division)	NO ADDITIONAL EXEMPTIONS
Once-in-Four-Years Exemptions (By Division)	Game(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.18.5.5]
Once-in-Three-Years Exemptions (By Division)	Foreign Tour [17.18.5.4]

- (e) Alumni Game. One soccer contest or date of competition each year with an alumni team of the institution:
- (f) **Foreign Team in U.S.** One soccer contest or date of competition each year with a foreign opponent in the United States;
- (g) Fund-Raising Activity. Any soccer activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of an institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (h) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's soccer team who participate in local celebrity soccer activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- **17.18.5.4 Once-in-Three-Years Exemption—Foreign Tour.** The soccer games played on a foreign tour, provided the tour occurs only once in a three-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). (Revised: 1/11/89 effective 8/1/89)
- 17.18.5.5 Once-in-Four-Years Exemption—Hawaii, Alaska, Puerto Rico. A maximum of four contests on a regular-season trip or a tournament (not to exceed four contests), either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, scheduled not more than once every four years by a member institution located outside of Hawaii, Alaska or Puerto Rico. This limitation shall not apply to a regular-season conference contest between two members of the same NCAA member conference. (Revised: 1/14/97 effective 8/1/97)
- 17.18.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (*Revised: 1/10/91 effective 8/1/92*)
  - **17.18.6.1 Summer Practice.** Soccer practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council.
- 17.18.7 Camps and Clinics. There are no limits on the number of student-athletes who may be employed in the same soccer camp or clinic (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Revised: 1/11/89, 1/10/92)

#### 17.18.8 Other Restrictions

#### 17.18.8.1 Noncollegiate, Amateur Competition

- **17.18.8.1.1 In Season.** A student-athlete shall be denied eligibility for intercollegiate soccer competition for the remainder of the season if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate soccer squad or team, he or she competes or has competed as a member of any outside soccer team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) during the institution's intercollegiate soccer season (see Bylaw 14.7.5 for exceptions and waivers). (*Revised:* 1/16/93)
- **17.18.8.1.2 Out of Season.** There are no limits on the number of student-athletes with eligibility remaining in intercollegiate soccer who may practice or compete out of season on an outside, amateur soccer team. (Revised: 1/10/91 effective 8/1/91)
  - **17.18.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's soccer team except as provided under Bylaws 14.7.5 and 17.30.
  - **17.18.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.18.8.2 Equipment Issue, Team Pictures.** It shall be permissible to designate a single date for issuing equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of a segment as specified in Bylaw 17.18.2. (*Revised: 1/11/89*)
  - **17.18.8.2.1 Exception for Sundays.** If the day before the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (*Adopted: 1/11/89*)
- 17.18.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (Bylaw 17.18.1) by modifying the start of preseason practice (Bylaw 17.18.2) for an institution that has suffered extraordinary personnel losses to its soccer team due to accident or illness of a disastrous nature.

#### 17.19 SOFTBALL

Regulations for computing the softball playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.)

- 17.19.1 Length of Playing Season. The length of an institution's playing season in softball shall be limited to a maximum of 21 weeks (traditional and nontraditional segments combined).
- 17.19.2 Preseason Practice. A member institution shall not commence practice sessions in softball before the following dates:
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95*)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised:* 1/10/92, 1/10/95 effective 8/1/95)
- 17.19.3 First Contest Date. A member institution shall not play its first contest (game or scrimmage) with outside competition in softball before the following dates:
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95*)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95)
- 17.19.4 End of Regular Playing Season. A member institution shall conclude all practice and competition (games and scrimmages) in the sport of softball by the following dates:
- (a) **Traditional Segment.** The conclusion of the NCAA softball championship in the institution's division.
- (b) **Nontraditional Segment.** The first date of final exams for the regular academic year at the institution.
- 17.19.5 Number of Contests
  - **17.19.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of softball during the institution's softball playing season to a maximum of 45 contests (games and scrimmages) with not more than 40 during the

traditional segment and not more than five during the nontraditional segment, except for those contests excluded under Bylaw 17.19.5.3. (Revised: 1/8/01 effective 8/1/01)

- **17.19.5.1.1 In-Season Foreign Competition.** A member institution may play one or more of its countable contests in softball in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
- **17.19.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of 45 softball contests (games and scrimmages) with not more than 40 during the traditional segment and not more than five during the nontraditional segment. This limitation includes those contests in which the student represents the institution including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (*Revised:* 1/8/01 effective 8/1/01)
- **17.19.5.3 Annual Exemptions.** The maximum number of softball contests shall exclude the following (see Figure 17-21):
- (a) **Conference Championship.** Competition in one conference championship tournament in softball (or the tournament used to determine the conference's automatic entry in the NCAA softball championship);
- (b) **Conference Playoff.** Competition involving member institutions that tie for a conference championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in an NCAA softball championship without the game(s) being counted as a post-season tournament;
- (c) **Season-Ending Tournament.** Competition in one season-ending softball tournament (e.g., NCAA championship, NAIA championship, NCCAA championship). A season-ending tournament involves competition after the end of the regular season between teams that are not identified until the close of that regular season; (Adopted: 1/9/96 effective 8/1/96, Revised: 1/12/99 effective 8/1/99)
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (e) **Alumni Game.** One softball contest each year with an alumni team of the institution;
- (f) **Foreign Team in U.S.** One softball contest each year with a foreign opponent in the United States;
- (g) **Fund-Raising Activity.** Any softball activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of an institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (h) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's softball team who participate in local celebrity softball activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- 17.19.5.4 Once-in-Three-Years Exemption—Foreign Tour. The softball games played on a foreign tour, provided the tour occurs only once in a three-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). (Revised: 1/11/89 effective 8/1/89)
- 17.19.5.5 Once-in-Four-Years Exemption—Hawaii, Alaska, Puerto Rico. A maximum of four contests on a regular-season trip or a tournament (not to exceed four contests), either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, scheduled not more than once every four years by a member institution located outside of Hawaii, Alaska or Puerto Rico. This limitation shall not apply to a regular-season conference contest between two members of the same NCAA member conference. (Revised: 1/14/97 effective 8/1/97)
- 17.19.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (Revised: 1/10/91 effective 8/1/92)
  - 17.19.6.1 Summer Practice. Practice that is organized or financially supported by a member institu-

# Exemptions: Softball Contests 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.19.5.3-(a)] Conference Playoff [17.19.5.3-(b)] Season-Ending Tournament [17.19.5.3-(c)] NCAA Championship Play-In Competition [17.19.5.3-(d)] Alumni Game [17.19.5.3-(e)] Foreign Team in U.S. [17.19.5.3-(f)] Fund-Raising Activity [17.19.5.3-(g)] Celebrity Sports Activity [17.19.5.3-(h)]
Additional Annual Exemptions (By Division)	NO ADDITIONAL EXEMPTIONS
Once-in- Four-Years Exemptions (By Division)	Game(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.19.5.5]
Once-in- Three-Years Exemptions (By Division)	Foreign Tour [17.19.5.4]

tion shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Revised: 1/10/91 effective 8/1/91)

17.19.7 Camps and Clinics. There are no limits on the number of student-athletes in softball who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised: 1/10/92*)

17.19.8 Other Restrictions

#### 17.19.8.1 Noncollegiate, Amateur Competition

**17.19.8.1.1 In Season.** A student-athlete shall be denied eligibility for intercollegiate softball competition for the remainder of the season if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate softball squad or team, he or she competes or has competed as a member of any outside softball team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) during the institution's intercollegiate softball season (see Bylaw 14.7.5 for exceptions and waivers). (*Revised: 1/16/93*)

17.19.8.1.2 Out of Season. There are no limits on the number of student-athletes with eligibility remaining in intercollegiate softball who may practice or compete out of season on an outside, amateur softball team. (Revised: 1/10/91 effective 8/1/91)

**17.19.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's softball team except as provided under Bylaws 14.7.5 and 17.30.

**17.19.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.

**17.19.8.2 Equipment Issue, Team Pictures.** It shall be permissible to designate a single date for issuing softball equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of a segment as specified in Bylaw 17.19.2. (*Revised:* 1/11/89)

**17.19.8.2.1 Exception for Sundays.** If the day before the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (*Adopted: 1/11/89*)

17.19.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (Bylaw 17.19.1) by modifying the start of preseason practice (Bylaw 17.19.2) for an institution that has suffered extraordinary personnel losses to its softball team due to accident or illness of a disastrous nature.

#### 17.20 SQUASH, WOMEN'S

Regulations for computing the squash playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.) ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )

- 17.20.1 Length of Playing Season. The length of an institution's playing season in squash shall be limited to a maximum of 21 weeks (traditional and nontraditional segments combined). (Adopted: 1/9/96 effective 8/1/96)
- 17.20.2 Preseason Practice. A member institution shall not commence practice sessions in squash before the following dates: (Adopted: 1/9/96 effective 8/1/96)
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Adopted: 1/9/96 effective 8/1/96*)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Adopted: 1/9/96 effective 8/1/96*)
- 17.20.3 First Date of Competition. A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in squash before the following dates: (Adopted: 1/9/96 effective 8/1/96)
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Adopted:* 1/9/96 effective 8/1/96)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96)
- 17.20.4 End of Regular Season. A member institution shall conclude all practice and competition (meets and practice meets) in each segment in squash by the following dates: (Adopted: 1/9/96 effective 8/1/96)
- (a) **Traditional Segment.** The conclusion of the national governing body championship in squash. (Adopted: 1/9/96 effective 8/1/96)
- (b) **Nontraditional Segment.** The first date of final examinations for the regular academic year at the institution. (*Adopted: 1/9/96 effective 8/1/96*)
- 17.20.5 Number of Dates of Competition
  - **17.20.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in squash during the institution's playing season to a maximum of 15 dates of competition, including not more than three tournaments that are counted as single dates of competition, except for those dates of competition excluded under Bylaws 17.20.5.3 and 17.20.5.4 (see Bylaw 20.11.3.2 for minimum contests and participants requirements). (Adopted: 1/9/96 effective 8/1/96)
    - 17.20.5.1.1 In-Season Foreign Competition. A member institution may engage in one or more of its countable dates of competition in squash in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years. (Adopted: 1/9/96 effective 8/1/96)
  - **17.20.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in a maximum of 15 dates of competition in squash, including not more than three tournaments that are counted as single dates of competition. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (Adopted: 1/9/96 effective 8/1/96)
  - **17.20.5.3 Annual Exemptions.** The maximum number of dates of competition in squash shall exclude the following (see Figure 17-22):  $(Adopted: 1/9/96 \ effective \ 8/1/96)$
  - (a) **Conference Championship.** Competition in one conference championship meet in squash;
  - (b) **National Governing Body Championship.** Competition in the squash national governing body championship;
  - (c) Alumni Meet. One date of competition each year with an alumni team of the institution;

- (d) **Foreign Team in U.S.** One date of competition each year with a foreign opponent in the United States;
- (e) Hawaii, Alaska, Puerto Rico. Any dates of competition in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question;
- (f) **Fund-Raising Activity.** Any activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (g) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's team who participate in local celebrity activities in squash conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- **17.20.5.4 Once-In-Four-Years Exemption—Foreign Tour.** The dates of competition on a foreign tour, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30).
- 17.20.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (Adopted: 1/9/96 effective 8/1/96)
  - **17.20.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Adopted: 1/9/96 effective 8/1/96)
- 17.20.7 Camps and Clinics. There are no limits on the number of student-athletes in squash who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Adopted: 1/9/96 effective 8/1/96)
- 17.20.8 Other Restrictions

### 17.20.8.1 Noncollegiate, Amateur Competition

- **17.20.8.1.1 In Season.** A student-athlete shall be denied eligibility for intercollegiate competition for the remainder of the season in squash if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate squad or team, she competes or has competed as a member of an outside team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) during the institution's intercollegiate season (see Bylaw 14.7.5 for exceptions and waivers). (Adopted: 1/9/96 effective 8/1/96)
- **17.20.8.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining who may practice or compete out of season on an outside, amateur team. (Adopted: 1/9/96 effective 8/1/96)
  - **17.20.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's team except as provided under Bylaws 14.7.5 and 17.30. (*Adopted: 1/9/96 effective 8/1/96*)
  - **17.20.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.20.8.2 Equipment Issue, Team Pictures.** It shall be permissible to designate a single date for issuing squash equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of the traditional or nontraditional segment as specified in Bylaw 17.20.2. ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )
  - 17.20.8.2.1 Exception for Sundays. If the day before the beginning of a traditional or nontradi-

# Exemptions: Squash Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.20.5.3-(a)] National Governing Body Championship [17.20.5.3-(b)] Alumni Meet [17.20.5.3-(c)] Foreign Team in U.S. [17.20.5.3-(d)] Date(s) against Active Members in Hawaii, Alaska or Puerto Rico [17.20.5.3-(e)] Fund-Raising Activity [17.20.5.3-(f)] Celebrity Sports Activity [17.20.5.3-(g)]
Once-in-Four-Years Exemptions (All Divisions)	Foreign Tour [17.20.5.4]

tional segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (Adopted: 1/9/96 effective 8/1/96)

17.20.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (Bylaw 17.20.1) by modifying the start of preseason practice (Bylaw 17.20.2) for an institution in that has suffered extraordinary personnel losses to its squash team due to accident or illness of a disastrous nature. ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )

### 17.21 SWIMMING

Regulations for computing the swimming playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.)

- 17.21.1 Length of Playing Season. The length of an institution's playing season in swimming shall be limited to a maximum of 21 weeks (traditional and nontraditional segments combined).
- 17.21.2 Preseason Practice. A member institution shall not commence practice sessions in swimming before the following dates:
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91 and 8/1/92, Revised: 1/10/95 effective 8/1/95)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/95 effective 8/1/95)
- 17.21.3 First Date of Competition. A member institution shall not engage in its first date of competition (contest or scrimmage) with outside competition in swimming before the following dates:
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91 and 8/1/92, Revised: 1/11/94, 1/10/95 effective 8/1/95)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised:* 1/10/91 effective 8/1/91, *Revised:* 1/11/94, 1/10/95 effective 8/1/95)
- 17.21.4 End of Regular Season. A member institution shall conclude all practice and competition (meets and practice meets) in each segment in swimming by the following dates:
- (a) **Traditional Segment.** The conclusion of the NCAA swimming championships. (*Revised: 1/10/91 effective 8/1/91*)
- (b) **Nontraditional Segment.** The first date of final examinations for the regular academic year at the institution. (*Revised:* 1/10/91 *effective* 8/1/91)
- 17.21.5 Number of Dates of Competition
  - **17.21.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition to a maximum of 16 dates of competition, except for those dates of competition excluded under Bylaw 17.21.5.3 (see Bylaw 20.11.3.2 for minimum contests and participants requirements).
    - **17.21.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in swimming in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a cer-

# Swimming/17.21.5.1.1—17.21.8.1.1

- tified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
- **17.21.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in a maximum of 16 dates of competition. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8.
- **17.21.5.3 Annual Exemptions.** The maximum number of dates of competition in the sport of swimming shall exclude the following (see Figure 17-23):
- (a) **Conference Championship.** Competition in one conference championship meet in swimming (or the meet used to determine the conference's automatic entries in an NCAA swimming championships);
- (b) **NCAA Championship.** Competition in the NCAA swimming and diving championships;
- (c) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) swimming championships;
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (e) Alumni Meet. One date of competition in swimming each year with an alumni team of the institution;
- (f) **Foreign Team in U.S.** One date of competition in swimming each year with a foreign opponent in the United States;
- (g) Fund-Raising Activity. Any swimming activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (h) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's swimming team who participate in local celebrity swimming activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- 17.21.5.4 Once-in-Three-Years Exemptions—Foreign Tour. The dates of competition in swimming on a foreign tour, provided the tour occurs only once in a three-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). (Revised: 1/11/89 effective 8/1/89)
- 17.21.5.5 Once-in-Four-Years Exemptions—Hawaii, Alaska, Puerto Rico. A maximum of four dates of competition scheduled on a regular-season trip or a tournament (not to exceed four dates of competition), either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, scheduled not more than once every four years by a member institution located outside of Hawaii, Alaska or Puerto Rico. This limitation shall not apply to a regular-season conference contest between two members of the same NCAA member conference. (Revised: 1/14/97 effective 8/1/97)
- 17.21.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (Revised: 1/10/91 effective 8/1/92)
  - **17.21.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/10/92*)
- 17.21.7 Camps and Clinics. There are no limits on the number of student-athletes in swimming who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised:* 1/10/92)
- 17.21.8 Other Restrictions

# 17.21.8.1 Noncollegiate, Amateur Competition

17.21.8.1.1 In Season. A student-athlete shall be denied eligibility for intercollegiate swimming

# FIGURE 17-23 Exemptions: Swimming Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.21.5.3-(a)] NCAA Championship [17.21.5.3-(b)] NAIA Championship [17.21.5.3-(c)] NCAA Championship Play-In Competition [17.21.5.3-(d)] Alumni Meet [17.21.5.3-(e)] Foreign Team in U.S. [17.21.5.3-(f)] Fund-Raising Activity [17.21.5.3-(g)] Celebrity Sports Activity [17.21.5.3-(h)]
Additional Annual Exemptions (By Division)	NO ADDITIONAL EXEMPTIONS
Once-in-Four-Years Exemptions (By Division)	Meet(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.21.5.5]
Once-in-Three-Years Exemptions (By Division)	Foreign Tour [17.21.5.4]

competition for the remainder of the season if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate swimming team, he or she competes or has competed as a member of any outside swimming team in any noncollegiate, amateur competition (e.g., team invitational meets, exhibition meets or other activity) during the institution's intercollegiate swimming season (see Bylaw 14.7.5 for exceptions and waivers). (Revised: 1/16/93)

**17.21.8.1.2 Out of Season.** There are no limits on the number of student-athletes with eligibility remaining in intercollegiate swimming who may practice or compete out of season on an outside, amateur swimming team. (*Revised:* 1/10/91 *effective* 8/1/91)

**17.21.8.1.2.1 Involvement of Coaching Staff Members.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's swimming team except as provided under Bylaws 14.7.5 and 17.30.

**17.21.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.

**17.21.8.2 Equipment Issue, Team Pictures.** It shall be permissible to designate a single date for issuing swimming equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of the traditional or nontraditional segment as specified in Bylaw 17.21.2. (*Revised:* 1/11/89)

**17.21.8.2.1 Exception for Sundays.** If the day before the beginning of a traditional or nontraditional segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (*Adopted:* 1/11/89)

17.21.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (Bylaw 17.21.1) by modifying the start of preseason practice (Bylaw 17.21.2) for an institution that has suffered extraordinary personnel losses to its swimming team due to accident or illness of a disastrous nature.

17.21.10 Safety Exception. A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete is engaged in swimming and diving. The coach may provide safety instruction but cannot conduct the individual's workouts. (*Adopted: 1/10/91 effective 8/1/91*)

### 17.22 SYNCHRONIZED SWIMMING, WOMEN'S

Regulations for computing the synchronized swimming playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.) (Adopted: 1/9/96 effective 8/1/96)

17.22.1 Length of Playing Season. The length of an institution's playing season in synchronized swim-

# Synchronized Swimming, Women's/17.22.1—17.22.5.3

- ming shall be limited to a maximum of 21 weeks (traditional and nontraditional segments combined). (Adopted: 1/9/96 effective 8/1/96)
- 17.22.2 Preseason Practice. A member institution shall not commence practice sessions in synchronized swimming before the following dates:
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Adopted: 1/9/96 effective 8/1/96*)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96)
- 17.22.3 First Contest Date. A member institution shall not play its first contest (including a scrimmage) with outside competition in synchronized swimming before the following dates: (Adopted: 1/9/96 effective 8/1/96)
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Adopted: 1/9/96 effective 8/1/96*)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Adopted: 1/9/96 effective 8/1/96*)
- 17.22.4 End of Regular Playing Season. A member institution shall conclude all practice and competition (contests and scrimmages) in the sport of synchronized swimming by the following dates: (Adopted: 1/9/96 effective 8/1/96)
- (a) Traditional Segment. The conclusion of the national governing body championship; and
- (b) Nontraditional Segment. The first date of final exams for the regular academic year at the institution.
- 17.22.5 Number of Dates of Competition
  - **17.22.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in synchronized swimming during the institution's synchronized swimming playing season to a maximum of 15 dates of competition (meets and scrimmages), except for those dates of competition excluded under Bylaws 17.22.5.3 and 17.22.5.4. (Adopted: 1/9/96 effective 8/1/96)
    - **17.22.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in synchronized swimming in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years. (*Adopted: 1/9/96 effective 8/1/96*)
  - **17.22.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in a maximum of 15 dates of competition in synchronized swimming. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (*Adopted: 1/9/96 effective 8/1/96*)
  - **17.22.5.3 Annual Exemptions.** The maximum number of dates of competition in synchronized swimming shall exclude the following: (see Figure 17-24) (*Adopted: 1/9/96 effective 8/1/96*)
  - (a) Conference Championship. Competition in one conference championship meet;
  - (b) **National Governing Body Championship Competition.** Competition in the national governing body championship;
  - (c) Alumni Meet. One date of competition each year with an alumni team of the institution;
  - (d) **Foreign Team in United States.** One date of competition each year with a foreign opponent in the United States;
  - (e) Hawaii, Alaska, Puerto Rico. Any dates of competition in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member institution located outside the area in question;
  - (f) **Fund-Raising Activity.** Any activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
  - (g) Celebrity Sports Activity. Competition involving a maximum of two student-athletes from a member institution's team who participate in local celebrity activities in synchronized swimming conducted for the purpose of raising funds for charitable organizations, provided:
    - (1) The student-athlete(s) do not miss classes as a result of the participation;

# Exemptions: Synchronized Swimming Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.22.5.3-(a)] National Governing Body Championship Competition [17.22.5.3-(b)] Alumni Meet [17.22.5.3-(c)] Foreign Team in U.S. [17.22.5.3-(d)] Date(s) against Active Members in Hawaii, Alaska or Puerto Rico [17.22.5.3-(e)] Fund-Raising Activity [17.22.5.3-(f)] Celebrity Sports Activity [17.22.5.3-(g)]
Once-in-Four-Years Exemptions (All Divisions)	Foreign Tour [17.22.5.4]

- (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
- (3) The activity takes place within a 30-mile radius of the institution's main campus.
- **17.22.5.4 Once-in-Four-Years Exemption—Foreign Tour.** The dates of competition on a foreign tour, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30).
- 17.22.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (*Adopted: 1/9/96 effective 8/1/96*)
  - 17.22.6.1 Summer Practice. Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Adopted: 1/9/96 effective 8/1/96)
- 17.22.7 Camps and Clinics. There are no limits on the number of student-athletes in synchronized swimming who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Adopted:* 1/9/96 effective 8/1/96)
- 17.22.8 Other Restrictions

#### 17.22.8.1 Noncollegiate, Amateur Competition

- **17.22.8.1.1 In Season.** A student-athlete shall be denied eligibility for intercollegiate competition for the remainder of the season in synchronized swimming if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate squad or team, she competes or has competed as a member of any outside team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) during the institution's intercollegiate season (see Bylaw 14.7.5 for exceptions and waivers). (*Adopted:* 1/9/96 effective 8/1/96)
- 17.22.8.1.2 Out of Season. There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate synchronized swimming who may practice or compete out of season on an outside, amateur synchronized swimming team. (Adopted: 1/9/96 effective 8/1/96)
  - **17.22.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's team except as provided under Bylaws 14.7.5 and 17.30. (*Adopted: 1/9/96 effective 8/1/96*)
  - **17.22.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.22.8.2 Equipment Issue, Team Pictures. It shall be permissible to designate a single date for issu-

# Sync. Swimming, Women's/17.22.8.2—Team Handball, Women's/17.23.5.3

ing synchronized swimming equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of the traditional or nontraditional segment as specified in Bylaw 17.22.2. (Adopted: 1/9/96 effective 8/1/96)

- **17.22.8.2.1 Exception for Sundays.** If the day before the beginning of a traditional or nontraditional segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (Adopted: 1/9/96 effective 8/1/96)
- 17.22.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (Bylaw 17.22.1) by modifying the start of preseason practice (Bylaw 17.22.2) for an institution that has suffered extraordinary personnel losses to its synchronized swimming team due to accident or illness of a disastrous nature. (Adopted: 1/9/96 effective 8/1/96)

## 17.23 TEAM HANDBALL, WOMEN'S

Regulations for computing the team handball playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.) (Adopted: 1/9/96 effective 8/1/96)

- 17.23.1 Length of Playing Season. The length of an institution's playing season in team handball shall be limited to a maximum of 21 weeks (traditional and nontraditional segments combined). (Adopted: 1/9/96 effective 8/1/96)
- 17.23.2 Preseason Practice. A member institution shall not commence practice sessions in team handball before the following dates:
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Adopted: 1/9/96 effective 8/1/96*)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96)
- 17.23.3 First Contest Date. A member institution shall not play its first contest (game or scrimmage) with outside competition in team handball before the following dates: (Adopted: 1/9/96 effective 8/1/96)
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Adopted: 1/9/96 effective 8/1/96*)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/9/96 effective 8/1/96)
- 17.23.4 End of Regular Playing Season. A member institution shall conclude all practice and competition (games and scrimmages) in the sport of team handball by the following dates: (Adopted: 1/9/96 effective 8/1/96)
- (a) Traditional Segment. The conclusion of the national governing body team handball championship;
   and
- (b) **Nontraditional Segment.** The first date of final exams for the regular academic year at the institution.
- 17.23.5 Number of Dates of Competition
  - **17.23.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in team handball during the institution's playing season to a maximum of 20 dates of competition (meets and scrimmages), including not more than three tournaments that are counted as single dates of competition, except for those contests excluded under Bylaws 17.23.5.3 and 17.23.5.4. (*Adopted: 1/9/96 effective 8/1/96*)
    - **17.23.5.1.1** In-Season Foreign Competition. A member institution may engage in one or more of its countable dates of competition in team handball in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years. (Adopted: 1/9/96 effective 8/1/96)
  - 17.23.5.2 Maximum Limitations—Student-Athlete. An individual student-athlete may participate in each academic year in a maximum of 20 dates of competition in team handball, including not more than three tournaments that are counted as single dates of competition. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (Adopted: 1/9/96 effective 8/1/96)
  - **17.23.5.3 Annual Exemptions.** The maximum number of dates of competition shall exclude the following (see Figure 17-25): (Adopted: 1/9/96 effective 8/1/96)
  - (a) **Conference Championship.** Competition in one conference championship meet;

- (b) **National Governing Body Championship Competition.** Competition in the national governing body championship;
- (c) Alumni Meet. One date of competition each year with an alumni team of the institution;
- (d) **Foreign Team in United States.** One date of competition each year with a foreign opponent in the United States;
- (e) **Hawaii, Alaska, Puerto Rico.** Any dates of competition in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member institution located outside the area in question;
- (f) **Fund-Raising Activity.** Any activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (g) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's team who participate in local celebrity activities in team handball conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- **17.23.5.4 Once-in-Four-Years Exemption—Foreign Tour.** The dates of competition on a foreign tour, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (see Bylaw 17.30).
- 17.23.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (*Adopted: 1/9/96 effective 8/1/96*)
  - **17.23.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Adopted: 1/9/96 effective 8/1/96)
- 17.23.7 Camps and Clinics. There are no limits on the number of student-athletes in team handball who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Adopted: 1/9/96 effective 8/1/96)
- 17.23.8 Other Restrictions

### 17.23.8.1 Noncollegiate, Amateur Competition

- **17.23.8.1.1 In Season**. A student-athlete shall be denied eligibility for intercollegiate competition for the remainder of the season in team handball if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate squad or team, she competes or has competed as a member of any outside team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) during the institution's intercollegiate season (see Bylaw 14.7.5 for exceptions and waivers). (Adopted: 1/9/96 effective 8/1/96)
- 17.23.8.1.2 Out of Season. There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate team handball who may practice or compete out of season on an outside, amateur team handball team. (Adopted: 1/9/96 effective 8/1/96)
  - **17.23.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's team except as provided under Bylaws 14.7.5 and 17.30. (Adopted: 1/9/96 effective 8/1/96)
  - **17.23.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- 17.23.8.2 Equipment Issue, Team Pictures. It shall be permissible to designate a single date for issu-

# Exemptions: Team Handball Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.23.5.3-(a)] National Governing Body Championship [17.23.5.3-(b)] Alumni Meet [17.23.5.3-(c)] Foreign Team in U.S. [17.23.5.3-(d)] Date(s) against Active Members in Hawaii, Alaska, Puerto Rico [17.23.5.3-(e)] Fund-Raising Activity [17.23.5.3-(f)] Celebrity Sports Activity [17.23.5.3-(g)]
Once-in-Four-Years Exemptions (All Divisions)	Foreign Tour [17.23.5.4]

ing team handball equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of the traditional or nontraditional segment as specified in Bylaw 17.23.2. (Adopted: 1/9/96 effective 8/1/96)

**17.23.8.2.1 Exception for Sundays.** If the day before the beginning of a traditional or nontraditional segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (Adopted: 1/9/96 effective 8/1/96)

17.23.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (Bylaw 17.23.1) by modifying the start of preseason practice (Bylaw 17.23.2) for an institution that has suffered extraordinary personnel losses to its team handball team due to accident or illness of a disastrous nature. ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )

#### 17.24 TENNIS

Regulations for computing the tennis playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.)

- 17.24.1 Length of Playing Season. The length of an institution's playing season in tennis shall be limited to a maximum of 21 weeks (traditional and nontraditional segments combined).
- 17.24.2 Preseason Practice. A member institution shall not commence practice sessions in tennis before the following dates:
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised:* 1/10/91 effective 8/1/91 and 8/1/92, *Revised:* 1/10/95 effective 8/1/95)
  - (1) **Exception.** An institution that conducts its traditional segment during the fall per Bylaw 17.1.11.3 may commence practice August 24 or the first date on which classes are scheduled for the institution's fall term, whichever date occurs first. (Adopted: 1/10/95 effective 8/1/95, Revised: 1/9/96 effective 8/1/96)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91. Revised: 1/10/95 effective 8/1/95)
- 17.24.3 First Date of Competition. A member institution shall not engage in its first date of competition (match or practice match) with outside competition in tennis before the following dates:
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised: 1/10/91 effective 8/1/91 and 8/1/92, Revised: 1/11/94, 1/10/95 effective 8/1/95*)
  - (1) **Exception.** An institution that conducts its traditional segment during the fall per Bylaw 17.1.11.3 may play its first contest with outside competition September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday, except that exhibition scrimmages may be conducted during the preseason practice period (see Bylaw 17.24.2). (Adopted: 1/10/95 effective 8/1/95, Revised: 1/9/96 effective 8/1/96, Revised: 1/15/97 effective 8/1/97)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/11/94, 1/10/95 effective 8/1/95)

- 17.24.4 End of Regular Playing Season. A member institution shall conclude all practice and competition in the sport of tennis by the following dates:
- (a) **Traditional Segment.** The conclusion of the NCAA tennis championships in the institution's division. (*Revised: 1/10/91 effective 8/1/91*)
- (b) **Nontraditional Segment.** The first date of final examinations for the regular academic year at the institution. (*Revised:* 1/10/91 effective 8/1/91)
- 17.24.5 Number of Dates of Competition
  - **17.24.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition to a maximum of 20 dates of competition, including not more than four individual singles and/or doubles tournaments that are counted as single dates of competition, except for those dates of competition excluded under Bylaws 17.24.5.3, 17.24.5.4 and 17.24.5.5 (see Bylaw 20.11.3.2 for minimum contests and participants requirements).
    - **17.24.5.1.1 Dual Tennis Match.** A dual tennis match in which head-to-head competition occurs between two collegiate institutions or between an intercollegiate team and an outside team shall count as one date of competition.
    - **17.24.5.1.2** Individual Singles or Doubles Tournament Limitations—Institutional. An individual singles or doubles tournament that does not include any team scoring or the recognition of a team champion shall count as a single date of competition (not to exceed the maximum number of tournaments noted in Bylaw 17.24.5.1) for those institutions that have three or more students competing therein, regardless of the number of days during which tournament competition takes place.
    - **17.24.5.1.3** In-Season Foreign Competition. A member institution may engage in one or more of its countable dates of competition in tennis in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.24.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in a maximum of 20 dates of competition, including not more than four individual singles and/or doubles tournaments that are counted as single dates of competition. This limitation includes those contests in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (Revised: 1/10/92 effective 8/1/92)
    - **17.24.5.2.1 Individual Singles or Doubles Tournament Limitations—Student-Athlete.** An individual singles or doubles tournament that does not include any team scoring or the recognition of a team champion shall count as a single date of competition (in no more than four tournaments) for the participating individuals, regardless of the number of days during which tournament competition takes place.
  - **17.24.5.3 Annual Exemptions.** The maximum number of dates of competition in the sport of tennis shall exclude the following (see Figure 17-26):
  - (a) **Conference Championship.** Competition in one conference championship tournament in tennis (or the tournament used to determine the conference's automatic entries in the NCAA tennis championships);
  - (b) **NCAA Championship.** Competition in the NCAA tennis championships;
  - (c) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) tennis championships;
  - (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
  - (e) **Alumni Match.** One date of competition in tennis each year with an alumni team of the institution;
  - (f) **Foreign Team in U.S.** One date of competition in tennis each year with a foreign opponent in the United States;
  - (g) **Fund-Raising Activity.** Any tennis activities in which athletes from more than one of the institution's athletics teams or in which team members of that sport participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of an institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
  - (h) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's tennis team who participate in local celebrity tennis activities conducted for

# Exemptions: Tennis Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.24.5.3-(a)] NCAA Championship [17.24.5.3-(b)] NAIA Championship [17.24.5.3-(c)] NCAA Championship Play-In Competition [17.24.5.3-(d)] Alumni Match [17.24.5.3-(e)] Foreign Team in U.S. [17.24.5.3-(f)] Fund-Raising Activity [17.24.5.3-(g)] Celebrity Sports Activity [17.24.5.3-(h)]
Additional Annual Exemptions (By Division)	NO ADDITIONAL EXEMPTIONS
Once-in-Four-Years Exemptions (By Division)	Date(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.24.5.5]
Once-in-Three-Years Exemptions (By Division)	Foreign Tour [17.24.5.4]

the purpose of raising funds for charitable organizations, provided:

- (1) The student-athlete(s) do not miss classes as a result of the participation;
- (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
- (3) The activity takes place within a 30-mile radius of the institution's main campus.
- **17.24.5.4 Once-in-Three-Years Exemption—Foreign Tour.** The dates of competition in tennis on a foreign tour, provided the tour occurs only once in a three-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). (Revised: 1/11/89 effective 8/1/89)
- 17.24.5.5 Once-in-Four-Years Exemption—Hawaii, Alaska, Puerto Rico. A maximum of four dates of competition on a regular-season trip or a tournament (not to exceed four dates of competition), either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, scheduled not more than once every four years by a member institution located outside of Hawaii, Alaska or Puerto Rico. This limitation shall not apply to a regular-season conference contest between two members of the same NCAA member conference. (Revised: 1/14/97 effective 8/1/97)
- 17.24.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (*Revised: 1/10/91 effective 8/1/92*)
  - **17.24.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/92)
- 17.24.7 Camps and Clinics. There are no limits on the number of student-athletes in tennis who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised: 1/10/92*)
- 17.24.8 Other Restrictions

### 17.24.8.1 Noncollegiate, Amateur Competition

- **17.24.8.1.1 In Season.** A student-athlete shall be denied eligibility for intercollegiate tennis competition for the remainder of the season if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate tennis team, he or she competes or has competed as a member of any outside tennis team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) during the institution's intercollegiate tennis season (see Bylaw 14.7.5 for exceptions and waivers). (*Revised:* 1/16/93)
- **17.24.8.1.2 Out of Season.** There are no limits on the number of student-athletes with eligibility remaining in intercollegiate tennis who may practice or compete out of season on an outside, amateur tennis team. (Revised: 1/10/91 effective 8/1/91)

### Tennis/17.24.8.1.2.1—Track and Field. Indoor/Outdoor/17.25.5.1.1

- **17.24.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from that institution's tennis team except as provided under Bylaws 14.7.5 and 17.30.
- **17.24.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.24.8.2 Equipment Issue, Team Pictures.** It shall be permissible to designate a single date for issuing tennis equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of the traditional or nontraditional segment as specified in Bylaw 17.24.2. (*Revised:* 1/11/89)
  - **17.24.8.2.1 Exception for Sundays.** If the day before the beginning of a traditional or nontraditional segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (*Adopted: 1/11/89*)
- 17.24.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (Bylaw 17.24.1) by modifying the start of preseason practice (Bylaw 17.24.2) for an institution that has suffered extraordinary personnel losses to its tennis team due to accident or illness of a disastrous nature.

# 17.25 TRACK AND FIELD, INDOOR/OUTDOOR

Regulations for computing the indoor/outdoor track and field playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.)

- 17.25.1 Length of Playing Season. The length of an institution's playing season in indoor and outdoor track and field shall be limited to a maximum of 26 weeks for indoor and outdoor track and field combined (traditional and nontraditional segments combined). (Revised: 1/11/89 effective 8/1/89)
  - **17.25.1.1 Cross Country/Track and Field Participants.** Track and field student-athletes listed as participants for cross country must participate fully in cross country practices. If student-athletes are practicing in track and field events unrelated to the sport of cross country, such practice must be counted in the institution's established segment in the sport of track and field. (*Adopted: 1/10/92*)
- 17.25.2 Preseason Practice. A member institution shall not commence practice sessions in indoor and outdoor track and field before the following dates:
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91 and 8/1/92, Revised: 1/10/95 effective 8/1/95)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95)
- 17.25.3 First Date of Competition. A member institution shall not engage in its first date of competition (meet or practice meet) with outside competition in indoor and outdoor track and field before the following dates:
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised:* 1/10/91 effective 8/1/91, *Revised:* 1/11/94, 1/10/95 effective 8/1/95)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/11/94, 1/10/95 effective 8/1/95)
- 17.25.4 End of Regular Season. A member institution shall conclude all practice and competition (meets and practice meets) in each segment in indoor and outdoor track and field by the following dates:
- (a) **Traditional Segment.** The conclusion of the NCAA track and field championships. (Revised: 1/10/91 effective 8/1/91)
- (b) **Nontraditional Segment.** The first date of final examinations for the regular academic year at the institution. (*Revised: 1/10/91 effective 8/1/91*)
- 17.25.5 Number of Dates of Competition
  - **17.25.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of indoor/outdoor track and field during the permissible indoor/outdoor track and field playing season to a maximum of 18 dates of competition except for those dates of competition excluded under Bylaw 17.25.5.3. (*Revised: 1/10/91 effective 8/1/92*)
    - 17.25.5.1.1 In-Season Foreign Competition. A member institution may engage in one or more of

### Track and Field, Indoor/Outdoor/17.25.5.1.1—17.25.7

- its countable dates of competition in indoor and outdoor track and field in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
- **17.25.5.2 Maximum Limitations—Student-Athlete.** A student-athlete may participate in each academic year in not more than 18 dates of competition in indoor/outdoor track and field. This limitation includes those contests in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (*Revised:* 1/10/91 effective 8/1/92)
- **17.25.5.3 Annual Exemptions.** The maximum number of dates of competition in the sports of indoor and outdoor track and field shall exclude the following (see Figure 17-27):
- (a) **Conference Championship.** Competition in one conference championship meet in indoor track and field and one such meet in outdoor track and field;
- (b) **NCAA Championships.** Competition in the NCAA indoor and outdoor track and field championships;
- (c) **NAIA/NCCAA Championships.** Competition in the National Association of Intercollegiate Athletics (NAIA) or National Christian College Athletic Association (NCCAA) indoor and outdoor track and field championships; (Revised: 1/12/99 effective 8/1/99)
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (e) Foreign Team in U.S. One date of competition in indoor and outdoor track and field each year with a foreign opponent in the United States;
- (f) **Fund-Raising Activity.** Any indoor or outdoor track and field activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (g) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's indoor/outdoor track and field team who participate in local celebrity track and field activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (h) **Alumni Meet.** One date of competition in indoor and outdoor track and field each year with an alumni team of the institution. (*Adopted: 1/11/00 effective 8/1/00*)
- **17.25.5.4 Once-in-Three-Years Exemption—Foreign Tour.** The dates of competition in indoor or outdoor track and field on a foreign tour, provided the tour occurs only once in a three-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). (Revised: 1/11/89 effective 8/1/89)
- 17.25.5.5 Once-in-Four-Years Exemption—Hawaii, Alaska, Puerto Rico. A maximum of four dates of competition on a regular-season trip or a tournament (not to exceed four dates of competition), either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, scheduled not more than once every four years by a member institution located outside of Hawaii, Alaska or Puerto Rico. This limitation shall not apply to a regular-season conference contest between two members of the same NCAA member conference. (Revised: 1/14/97 effective 8/1/97)
- 17.25.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (Revised: 1/10/91 effective 8/1/92)
  - **17.25.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (*Revised: 1/10/91 effective 8/1/91. Revised: 1/10/92*)
- 17.25.7 Camps and Clinics. There are no limits on the number of student-athletes in indoor or outdoor track and field who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Revised: 1/10/92)

# Exemptions: Track and Field (Indoor/Outdoor) Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.25.5.3-(a)] NCAA Championship [17.25.5.3-(b)] NAIA/NCCAA Championship [17.25.5.3-(c)] NCAA Championship Play-In Competition [17.25.5.3-(d)] Foreign Team in U.S. [17.25.5.3-(e)] Fund-Raising Activity [17.25.5.3-(f)] Celebrity Sports Activity [17.25.5.3-(g)] Alumni Meet [17.25.5.3-(h)]
Additional Annual Exemptions (By Division)	NO ADDITIONAL EXEMPTIONS
Once-in-Four-Years Exemptions (By Division)	Date(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.25.5.5]
Once-in-Three-Years Exemptions (By Division)	Foreign Tour [17.25.5.4]

#### 17.25.8 Other Restrictions

#### 17.25.8.1 Noncollegiate, Amateur Competition

**17.25.8.1.1 In Season.** A student-athlete shall be denied eligibility for intercollegiate indoor/outdoor track and field competition for the remainder of the season if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate indoor/outdoor track and field squad or team, he or she competes or has competed as a member of any outside indoor/outdoor track and field team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) during the institution's intercollegiate indoor/outdoor track and field season (see Bylaw 14.7.5 for exceptions and waivers). (*Revised: 1/16/93*)

17.25.8.1.2 Out of Season. There are no limits on the number of student-athletes with eligibility remaining in intercollegiate indoor/outdoor track and field who may practice or compete out of season on an outside, amateur indoor/outdoor track and field team. (Revised: 1/10/91 effective 8/1/91)

**17.25.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from that institution's indoor/outdoor track and field team except as provided under Bylaws 14.7.5 and 17.30.

**17.25.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.

**17.25.8.2 Equipment Issue, Team Pictures.** It shall be permissible to designate a single date for issuing equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of the traditional or nontraditional segment as specified in Bylaw 17.25.2. (*Revised:* 1/11/89)

**17.25.8.2.1 Exception for Sundays.** If the day before the beginning of a traditional or nontraditional segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (*Adopted: 1/11/89*)

17.25.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (Bylaw 17.25.1) by modifying the start of preseason practice (Bylaw 17.25.2) for an institution that has suffered extraordinary personnel losses to its indoor or outdoor track and field team due to accident or illness of a disastrous nature.

#### 17.26 VOLLEYBALL

Regulations for computing the volleyball playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.)

#### Volleyball/17.26.1—17.26.9.1

- 17.26.1 Length of Playing Season. The length of an institution's playing season in volleyball shall be limited to:
- (a) Men—A 21-week season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97, Revised: 1/8/01 effective 8/1/01)
- (b) Women—A maximum of 21 weeks (traditional and nontraditional segments combined).
- 17.26.2 Preseason Practice—Women. A member institution shall not commence practice sessions in women's volleyball before the following dates:
- (a) **Traditional Segment.** The date that permits a maximum of 16 practice opportunities (see Bylaw 17.02.11) before the first scheduled intercollegiate game or before September 1, whichever is later. (Revised: 1/10/91 effective 8/1/92, Revised: 1/11/94)
- (b) Nontraditional Segment. January 1.
- 17.26.3 First Date of Competition—Women. A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in women's volleyball before the following dates:
- (a) **Traditional Segment.** September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday, except that an unlimited number of exhibition scrimmages may be conducted on one date during the preseason practice period (see Bylaw 17.26.2), which shall count as one date of competition in the institution's maximum limitation on contests or dates of competition. (Revised: 1/10/91 effective 8/1/92, Revised: 1/11/94, Revised: 1/11/94 effective 8/1/94, Revised: 1/15/97 effective 8/1/97)
- (b) Nontraditional Segment. January 1. (Revised: 1/11/94)
- 17.26.4 Preseason Practice—Men. A member institution shall not commence practice sessions in men's volleyball before September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/14/97 effective 8/1/97)
- 17.26.5 First Date of Competition—Men. A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in men's volleyball before September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/14/97 effective 8/1/97)
- 17.26.6 End of Regular Playing Season—Men. A member institution shall conclude all practice and competition (games and scrimmages) in the sport of volleyball by the conclusion of the National Collegiate Men's Volleyball Championship.
- 17.26.7 End of Regular Playing Season—Women. A member institution shall conclude all practice and competition (games and scrimmages) in the sport of volleyball by the following dates:
- (a) **Traditional Segment.** The conclusion of the NCAA volleyball championship in the institution's division.
- (b) **Nontraditional Segment.** The first date of final exams for the regular academic year at the institution.
- 17.26.8 Number of Dates of Competition—Women
  - **17.26.8.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of women's volleyball during the institution's women's volleyball playing season to a maximum of 22 dates of competition during the traditional segment and four during the nontraditional segment, except for those dates of competition excluded under Bylaw 17.26.9. (*Revised 1/8/01 effective 8/1/01*)
    - **17.26.8.1.1 In-Season Foreign Competition—Women.** A member institution may play one or more of its countable dates of competition in women's volleyball in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such inseason foreign competition more than once every four years.
  - **17.26.8.2 Maximum Limitations—Student-Athlete—Women.** A student-athlete may participate each academic year in a maximum of 22 dates of competition during the traditional segment and four during the nontraditional segment in women's volleyball. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (Revised: 1/10/91 effective 8/1/92, Revised 1/8/01 effective 8/1/01)
- 17.26.9 Number of Dates of Competition—Men
  - 17.26.9.1 Maximum Limitations—Institutional. A member institution shall limit its total playing sched-

ule with outside competition in the sport of men's volleyball during the institution's men's volleyball playing season to a maximum of 28 dates of competition during the segment in which the NCAA championship is conducted and not more than four dates of competition during another segment, except for those dates of competition excluded under Bylaw 17.26.9. (Revised: 1/10/91 effective 8/1/91)

- **17.26.9.1.1 In-Season Foreign Competition—Men.** A member institution may play one or more of its countable dates of competition in men's volleyball in one or more foreign countries on one trip during the prescribed playing season. However, except for those contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such inseason foreign competition more than once every four years.
- **17.26.9.2 Maximum Limitations—Student-Athlete—Men.** An individual student-athlete may participate each academic year in a maximum of 28 dates of competition in men's volleyball during the segment in which the NCAA championship is conducted and not more than four dates of competition during another segment. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8. (Revised: 1/10/91 effective 8/1/91)
- 17.26.10 Annual Exemptions. The maximum number of dates of competition in the sport of volleyball shall exclude the following (see Figure 17-28): (Adopted: 1/9/96 effective 8/1/96)
- (a) **Conference Championship.** Competition in one conference championship tournament in volleyball (or the tournament used to determine the conference's automatic entry in the NCAA volleyball championship);
- (b) **Conference Playoff.** Competition involving member institutions that tie for a conference volleyball championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in the NCAA volleyball championship without the date(s) of competition being counted as a postseason tournament;
- (c) **Season-Ending Tournament.** Competition in one season-ending volleyball tournament (i.e., NCAA championship, NAIA championship, National Invitational Volleyball Championship and NCCAA Championship). A season-ending tournament involves competition after the end of the regular season between teams that are not identified until the close of that regular season; (Revised: 1/10/90, 1/12/99 effective 8/1/99)
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (e) Alumni Game. One date of competition in volleyball each year with an alumni team of the institution;
- (f) **Foreign Team in U.S.** One date of competition in volleyball each year with a foreign opponent in the United States;
- (g) **Hawaii, Alaska, Puerto Rico—Men.** Any dates of competition in volleyball in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by an active member located outside the area in question; and (Revised: 1/9/96 effective 8/1/96)
- (h) Fund-Raising Activity. Any volleyball activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation.
- 17.26.11 Once-in-Three-Years Exemption—Foreign Tour—Women. The dates of competition in volleyball on a foreign tour, provided the tour occurs only once in a three-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). (Revised: 1/11/89 effective 8/1/89)
- 17.26.12 Once-in-Four-Years Exemption—Foreign Tour—Men. The dates of competition in volley-ball on a foreign tour, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). (Revised: 1/9/96 effective 8/1/96)
- 17.26.13 Once-in-Four-Years Exemption—Hawaii, Alaska, Puerto Rico—Women. A maximum of four dates of competition on a regular-season trip or a tournament (not to exceed four dates of competition), either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, scheduled not more than once every four years by a member institution located outside of Hawaii, Alaska or Puerto Rico. This limitation shall not apply to a regular-season conference contest between two members of the same NCAA member conference. (Revised: 1/14/97 effective 8/1/97)
- 17.26.14 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (Revised: 1/10/91 effective 8/1/92)

### FIGURE 17-28 Exemptions: Volleyball Dates of Competition

2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.26.10-(a)] Conference Playoff [17.26.10-(b)] Season-Ending Tournament [17.26.10-(c)] NCAA Championship Play-In Competition [17.26.10-(d)] Alumni Game [17.26.10-(e)] Foreign Team in U.S. [17.26.10-(f)] Fund-Raising Activity [17.26.10-(h)]
Additional Annual Exemptions (By Division)	WOMEN  NO ADDITIONAL EXEMPTIONS  MEN  Date(s) against, or sponsored by, Active  Members in Hawaii, Alaska or Puerto Rico  [17.26.10-(g)]
Once-in-Four-Years Exemptions (By Division)	<u>WOMEN</u> Date(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.26.13] <u>MEN</u> Foreign Tour [17.26.12]
Once-in-Three-Years Exemptions (By Division)	MEN NO EXEMPTIONS <u>WOMEN</u> Foreign Tour [17.26.11]

**17.26.14.1 Summer Practice.** Volleyball practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council.

17.26.15 Camps and Clinics. There are no limits on the number of student-athletes in volleyball who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Revised: 1/10/92)

17.26.16 Other Restrictions

#### 17.26.16.1 Noncollegiate, Amateur Competition

**17.26.16.1.1 Men—During Academic Year.** In men's volleyball, a student-athlete in the sport of volleyball who participates during the academic year as a member of any outside volleyball team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate volleyball competition shall be ineligible for intercollegiate volleyball competition for the remainder of the year and for the next academic year (see Bylaw 14.7.5 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)

**17.26.16.1.1.1 Men—Vacation-Period Exception.** A student-athlete in men's volleyball may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed two. (*Adopted:* 1/11/94 effective 8/1/94)

**17.26.16.1.2 Women—In Season.** In women's volleyball, a student-athlete shall be denied eligibility for intercollegiate volleyball competition for the remainder of the season if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate volleyball squad or team, he or she competes or has competed as a member of any outside volleyball team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) during the institution's intercollegiate volleyball season (see Bylaw 14.7.5 for exceptions and waivers). (*Revised:* 1/16/93)

**17.26.16.1.3 Out of Season.** A member institution may permit not more than the following number of student-athletes with eligibility remaining in intercollegiate volleyball to practice or com-

pete out of season on an outside, amateur volleyball team:

- (a) Men—2 (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.26.17.1.1.1). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
- (b) Women—There are no limits.
  - **17.26.16.1.3.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's volleyball team except as provided under Bylaws 14.7.5 and 17.30.
  - **17.26.16.1.3.2** Olympic and National Team Development Program. There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.26.16.2 Equipment Issue, Team Pictures.** It shall be permissible to designate a single date for issuing volleyball equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of a segment as specified in Bylaw 17.26.2. (*Revised: 1/11/89*)
  - **17.26.16.2.1 Exception for Sundays.** If the day before the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (Adopted: 1/11/89)
- 17.26.17 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (Bylaw 17.26.1) by modifying the start of preseason practice (Bylaw 17.26.2) for an institution that has suffered extraordinary personnel losses to its women's volleyball team due to accident or illness of a disastrous nature.

#### 17.27 WATER POLO

Regulations for computing the men's and women's water polo playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.) (Revised: 1/9/96 effective 8/1/96)

- 17.27.1 Length of Playing Season. The length of an institution's playing season in water polo shall be limited to a 21-week season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.5 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (*Revised: 1/10/91 effective 8/1/91*, *Revised: 1/14/97 effective 8/1/97*, *Revised 1/8/01 effective 8/1/01*)
- 17.27.2 Preseason Practice—Men. A member institution shall not commence practice sessions in men's water polo before the date that permits a maximum of 21 permissible practice opportunities (see Bylaw 17.02.11) before the first scheduled intercollegiate contest. (Revised: 1/10/91 effective 8/1/91, Revised: 1/14/97 effective 8/1/97, Revised: 1/12/99)
- 17.27.3 Preseason Practice—Women. A member institution shall not commence practice sessions in the sport of women's water polo before the following dates: (*Adopted: 1/12/99*)
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Adopted: 1/12/99)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Adopted: 1/12/99*)
- 17.27.4 First Date of Competition—Men. A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in men's water polo before the first Saturday in September. (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93 effective 8/1/93, Revised: 1/14/97 effective 8/1/97, Revised: 1/12/99)
- 17.27.5 First Date of Competition—Women. In the sport of women's water polo, a member institution shall not engage in its first date of competition (game or scrimmage) with outside competition before September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93 effective 8/1/93, Revised: 1/14/97 effective 8/1/97, Revised: 1/12/99)
- 17.27.6 End of Regular Playing Season—Men. A member institution shall conclude all practice and competition (games and scrimmages) in the sport of men's water polo by the first date of final exams for the regular academic year at the institution. (Revised: 1/14/97 effective 8/1/97, Revised: 1/12/99)
- 17.27.7 End of Regular Playing Season—Women. In the sport of women's water polo, a member

#### Water Polo/17.27.7—17.27.8.3

institution shall conclude all practice and competition (games and scrimmages) by the conclusion of the National Collegiate Women's Water Polo Championship or the National Women's Collegiate Water Polo Championship. (Revised: 1/14/97 effective 8/1/97, Revised: 1/12/99)

- 17.27.8 Number of Dates of Competition
  - **17.27.8.1 Maximum Limitations—Institutional.** In all divisions, a member institution shall limit its total playing schedule with outside competition in the sport of water polo during the institution's water polo playing season to 21 dates of competition, except for those dates of competition excluded under Bylaws 17.27.6.3, 17.27.6.4 and 17.27.6.5. (*Revised: 1/10/91 effective 8/1/91*)
    - **17.27.8.1.1 In-Season Foreign Competition.** A member institution may play one or more of its countable dates of competition in water polo in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
  - **17.27.8.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 21 dates of competition in water polo. This limitation includes those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (Revised: 1/10/91 effective 8/1/91)
  - **17.27.8.3 Annual Exemptions.** The maximum number of dates of competition in the sport of water polo shall exclude the following (see Figure 17-29):
  - (a) **Conference Championship—Men and Women.** Competition in one conference championship tournament in water polo (or the tournament used to determine the conference's automatic entry in the National Collegiate Men's Water Polo Championship or the tournament used to determine entry into the National Women's Collegiate Water Polo Championship or the National Collegiate Women's Water Polo Championship); (Revised: 1/12/99)
  - (b) **Conference Playoff—Men and Women.** Competition involving member institutions that tie for a conference water polo championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in the NCAA Water Polo Championship without the game(s) being counted as a postseason tournament;
  - (c) **NCAA Championship—Men.** Competition in the National Collegiate Men's Water Polo Championship;
  - (d) **NCAA Championship—Women.** Competition in the National Collegiate Women's Water Polo Championship; (Adopted: 1/12/99)
  - (e) NCAA Championship Play-In Competition—Men. Competition in play-in contests conducted before NCAA championships;
  - (f) **Alumni Game—Men and Women.** One date of competition in water polo each year with an alumni team of the institution;
  - (g) **Foreign Team in U.S.—Men and Women.** One date of competition in water polo each year with a foreign opponent in the United States;
  - (h) **Hawaii, Alaska or Puerto Rico—Men and Women.** Any dates of competition in water polo in Hawaii, Alaska or Puerto Rico, respectively, against an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question; (Revised: 1/9/96 effective 8/1/96, Revised: 1/12/99)
  - (i) **Fund-Raising Activity—Men and Women.** Any water polo activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
  - (j) **Celebrity Sports Activity—Men and Women.** Competition involving a maximum of two student-athletes from a member institution's water polo team who participate in local celebrity water polo activities conducted for the purpose of raising funds for charitable organizations, provided:
    - (1) The student-athlete(s) do not miss classes as a result of the participation;
    - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
    - (3) The activity takes place within a 30-mile radius of the institution's main campus.

#### FIGURE 17-29

### Exemptions: Water Polo Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.27.8.3-(a)] Conference Playoff [17.27.8.3-(b)] NCAA Championship (men) [17.27.8.3-(c)] NCAA Championship (women) [17.27.8.3-(d)] NCAA Championship Play-In Competition (men) [17.27.8.3-(e)] Alumni Game [17.27.8.3-(f)] Foreign Team in U.S. [17.27.8.3-(g)] Date(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico (men and women) [17.27.8.3-(h)] Fund-Raising Activity [17.27.8.3-(j)] Celebrity Sports Activity [17.27.8.3-(k)]
Once-in-Four-Years Exemptions (All Divisions)	Foreign Tour [17.27.8.5] OR Certified Once-in-Four-Years Exemptions [17.27.8.4]

- (k) **U.S. National Team—Men and Women.** One date of competition against the U.S. national team as selected by the appropriate national governing body for water polo. (Adopted: 1/9/96 effective 8/1/96)
- **17.27.8.4 Once-in-Four-Years Exemptions—Certification Required—Men and Women.** An institution may exempt not more than one of the certified once-in-four-years exemptions from its maximum number of contests during any academic year and may not repeat participation in that event within a four-year period. (*Adopted: 1/9/96 effective 8/1/96*)
- 17.27.8.5 Once-in-Four-Years Exemption—Men and Women—Foreign Tour. The contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). In the sport of men's water polo, an institution may not use this exemption in the same year that it uses an exemption set forth in Bylaw 17.27.6.3. ( $Adopted: 1/9/96 \ effective \ 8/1/96$ )
- 17.27.9 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1. (*Revised: 1/10/91 effective 8/1/91*)
  - **17.27.9.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations approved by the Management Council. (*Revised: 1/10/91 effective 8/1/91*)
- 17.27.10 Camps and Clinics. There are no limits on the number of student-athletes in water polo who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised:* 1/10/92)
- 17.27.11 Other Restrictions

#### 17.27.11.1 Noncollegiate, Amateur Competition

- **17.27.11.1.1 During Academic Year.** A student-athlete in the sport of water polo who participates during the academic year as a member of any outside water polo team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate water polo competition shall be ineligible for intercollegiate water polo competition for the remainder of the year and for the next academic year (see Bylaw 14.7.5 for exceptions and waivers). (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93)
  - **17.27.11.1.11 Vacation-Period Exception.** A student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. There are no limitations on the number of student-athletes from any one insti-

#### Water Polo/17.27.11.1.1.1—Wrestling/17.28.5.1

- tution who may compete on an outside, amateur water polo team. (Adopted: 1/11/94 effective 8/1/94, Revised: 1/10/95 effective 8/1/95)
- **17.27.11.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining who may practice or compete out of season on an outside, amateur water polo team, provided competition on an outside team occurs only during the summer, except as provided in Bylaws 14.7.5 and 14.7.6. (Revised: 1/10/91 effective 8/1/91, Revised: 1/16/93, 1/10/95 effective 8/1/95)
  - **17.27.11.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's water polo team except as provided under Bylaws 14.7.5 and 17.30. (*Revised: 1/14/97 effective 8/1/97*)
  - **17.27.11.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.
- **17.27.11.2 Equipment Issue, Team Pictures.** It shall be permissible to designate a single date for issuing water polo equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of a segment as specified in Bylaw 17.27.2. (*Revised: 1/11/89*)
  - **17.27.11.2.1 Exception for Sundays.** If the day before the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (Adopted: 1/11/89)
- 17.27.12 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of the playing season (Bylaw 17.27.1) by modifying the start of preseason practice (Bylaws 17.27.2 and 17.27.3) for an institution that has suffered extraordinary personnel losses to its water polo team due to accident or illness of a disastrous nature.

#### 17.28 WRESTLING

Regulations for computing the wrestling playing season are set forth in Bylaw 17.1, General Playing-Season Regulations. (Also see Figures 17-1 and 17-2.)

- 17.28.1 Length of Playing Season. The length of an institution's playing season in wrestling shall be limited to a maximum of 21 weeks (traditional and nontraditional segments combined).
- 17.28.2 Preseason Practice. A member institution shall not commence practice sessions in wrestling before the following dates:
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised:* 1/10/91 effective 8/1/91 and 8/1/92, Revised: 1/10/95 effective 8/1/95)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95)
- 17.28.3 First Date of Competition. A member institution shall not engage in its first date of competition (match or scrimmage) with outside competition in wrestling before the following dates:
- (a) **Traditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (Revised: 1/10/91 effective 8/1/91 and 8/1/92, Revised: 1/11/94, 1/10/95 effective 8/1/95)
- (b) **Nontraditional Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised: 1/10/91 effective 8/1/91, Revised: 1/11/94, 1/10/95 effective 8/1/95*)
- 17.28.4 End of Regular Playing Season. A member institution shall conclude all practice and competition (meets and practice meets) in the sport of wrestling by the following dates:
- (a) **Traditional Segment.** The conclusion of the NCAA wrestling championships in the institution's division. ( $Revised: 1/10/91 \ effective \ 8/1/91$ )
- (b) **Nontraditional Segment.** The first date of final examinations for the regular academic year at the institution. (*Revised: 1/10/91 effective 8/1/91*)
- 17.28.5 Number of Dates of Competition
  - **17.28.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of wrestling during the permissible wrestling playing

season to a maximum of 16 dates of competition, which may include not more than two two-day meets that shall each count as a single date of competition each, except for those dates of competition excluded under Bylaws 17.28.5.3, 17.28.5.4 and 17.28.5.5 (see Bylaw 20.11.3.2 for minimum contests and participants requirements).

- **17.28.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in wrestling in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.30), the institution may not engage in such in-season foreign competition more than once every four years.
- **17.28.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in a maximum of 16 dates of competition in wrestling, which may include not more than two two-day meets that shall each count as a single date of competition each. This limitation includes those dates of competition in which the student represents the institution, including competition as a member of the varsity, junior varsity or freshman team of the institution in accordance with Bylaws 17.02.4 and 17.02.8.
- **17.28.5.3 Annual Exemptions.** The maximum number of dates of competition in the sport of wrestling shall exclude the following (see Figure 17-30):
- (a) Conference Championship. Competition in one conference championship tournament in wrestling (or the tournament used to determine the conference's automatic entries in the NCAA wrestling championships);
- (b) NCAA Championship. Competition in the NCAA wrestling championships;
- (c) NAIA/NCCAA Championship. Competition in the National Association of Intercollegiate Athletics (NAIA) or National Christian College Athletic Association (NCCAA) wrestling championships; (Revised: 1/12/99 effective 8/1/99)
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (e) **Alumni Meet.** One date of competition in wrestling each year with an alumni team of the institution;
- (f) Foreign Team in U.S. One date of competition in wrestling each year with a foreign opponent in the United States:
- (g) **Fund-Raising Activity.** Any wrestling activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation; and
- (h) **Celebrity Sports Activity.** Competition involving a maximum of two student-athletes from a member institution's wrestling team who participate in local celebrity wrestling activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete(s) do not miss classes as a result of the participation;
  - (2) The involvement of the student-athlete(s) has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- 17.28.5.4 Once-in-Three-Years Exemption—Foreign Tour. The dates of competition in wrestling on a foreign tour, provided the tour occurs only once in a three-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 30.7 (also see Bylaw 17.30). (Revised: 1/11/89 effective 8/1/89)
- 17.28.5.5 Once-in-Four-Years Exemption—Hawaii, Alaska, Puerto Rico. A maximum of four dates of competition on a regular-season trip or a tournament (not to exceed four dates of competition), either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, scheduled not more than once every four years by a member institution located outside of Hawaii, Alaska or Puerto Rico. This limitation shall not apply to a regular-season conference contest between two members of the same NCAA member conference. (Revised: 1/14/97 effective 8/1/97)
- 17.28.6 Out-of-Season Athletically Related Activities. Student-athletes and members of the coaching staff shall not engage in athletically related activities outside the institution's declared playing season per Bylaw 17.02.1.1.
  - **17.28.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign

#### **FIGURE 17-30**

### Exemptions: Wrestling Dates of Competition 2001-02 Academic Year

Annual Exemptions (All Divisions)	Conference Championship [17.28.5.3-(a)] NCAA Championship [17.28.5.3-(b)] NAIA/NCCAA Championship [17.28.5.3-(c)] NCAA Championship Play-In Competition [17.28.5.3-(d)] Alumni Meet [17.28.5.3-(e)] Foreign Team in U.S. [17.28.5.3-(f)] Fund-Raising Activity [17.28.5.3-(g)] Celebrity Sports Activity [17.28.5.3-(h)]
Additional Annual Exemptions (By Division)	NO ADDITIONAL EXEMPTIONS
Once-in-Four-Years Exemptions (By Division)	Date(s) against, or sponsored by, Active Members in Hawaii, Alaska or Puerto Rico [17.28.5.5]
Once-in-Three-Years Exemptions (By Division)	Foreign Tour [17.28.5.4]

tour) or through official interpretations approved by the Management Council. (Revised: 1/10/91 effective 8/1/91, Revised: 1/10/92)

17.28.7 Camps and Clinics. There are no limits on the number of student-athletes in wrestling who may be employed (e.g., as counselors) in camps or clinics (also see Bylaw 13.13). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised: 1/10/92*)

17.28.8 Other Restrictions

#### 17.28.8.1 Noncollegiate, Amateur Competition

**17.28.8.1.1 In Season.** A student-athlete shall be denied eligibility for intercollegiate competition for the remainder of the season in the sport of wrestling if, after enrollment in college and during any year in which the student-athlete is a member of an intercollegiate wrestling team, he or she competes or has competed as a member of any outside wrestling team in any noncollegiate, amateur competition (e.g., team invitational meets, exhibition meets or other activity) during the institution's intercollegiate wrestling season (see Bylaw 14.7.5 for exceptions and waivers). (Revised: 1/16/93)

**17.28.8.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate wrestling who may practice or compete out of season on an outside, amateur wrestling team.

**17.28.8.1.2.1 Involvement of Coaching Staff Member.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (i.e., during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's wrestling team except as provided under Bylaws 14.7.5 and 17.30.

**17.28.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution.

**17.28.8.2 Equipment Issue, Team Pictures.** It shall be permissible to designate a single date for issuing equipment and for taking team pictures in the sport of wrestling after the beginning of classes in the fall term or the day before the beginning of the traditional or nontraditional segment as specified in Bylaw 17.28.2. (*Revised:* 1/11/89)

**17.28.8.2.1 Exception for Sundays.** If the day before the beginning of a traditional or nontraditional segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures. (*Adopted: 1/11/89*)

17.28.9 Waivers for Extraordinary Personnel Losses. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers to the length of playing season (Bylaw 17.28.1) by modifying the start of preseason practice (Bylaw 17.28.2) for an institution that has suffered

extraordinary personnel losses to its wrestling team due to accident or illness of a disastrous nature.

### 17.29 EXCEPTIONS FOR MEMBER INSTITUTIONS LOCATED IN ALASKA, HAWAII AND PUERTO RICO

- 17.29.1 Practice and Playing Seasons. Member institutions located in Alaska, Hawaii and Puerto Rico shall not be required to observe the starting dates for the practice and playing seasons set forth in this bylaw, provided the amount of practice and number of contests engaged in by such institutions in each sport do not exceed the amount of practice and number of contests in each sport permitted other members of the Association.
- 17.29.2 Alaska/Hawaii, Additional Football Contest. Member institutions located in Alaska and Hawaii shall be permitted to exceed, by one, the maximum number of football contests permitted under Bylaw 17.10.5.1 but otherwise shall conform to the same maximum number of contests and dates of competition permitted other members of the Association.

#### 17.30 FOREIGN TOURS

- 17.30.1 Institutionally Certified Tours. A member institution may participate in competition in any sport on foreign tours certified by the institution in accordance with procedures set forth under Bylaw 30.7. (Revised: 1/11/89, 1/14/97 effective 8/1/97)
  - **17.30.1.1 Contest Exclusions.** Any contest(s) or date(s) of competition played on a certified foreign tour shall be excluded from the limitations set forth in this bylaw.
  - **17.30.1.2 Tour to U.S. Territory or Commonwealth.** A tour to a United States commonwealth (e.g., Puerto Rico) or a United States territory (e.g., Virgin Islands) is not considered a foreign tour.
- 17.30.2 Sanctioned Outside-Team Tours. An outside team that includes student-athletes from more than one member institution may participate in international competition in any sport on a foreign tour. However, any such outside team that includes more than the following number of student-athletes from the same member institution must be certified by the institution in accordance with procedures set forth in Bylaw 30.7. (Revised: 1/14/97 effective 8/1/97)

D 1 . 11	4	<b>Q</b>	0	0	~
Baseball	4	Gymnastics	2	Swimming and Diving	5
Basketball	2	Ice Hockey	4	Tennis	2
Cross Country	2	Lacrosse	5	Track and Field	7
Fencing	4	Rifle	2	Volleyball	2
Field Hockey	5	Skiing	4	Water Polo	4
Football	5	Soccer	5	Wrestling	5
Golf	2	Softball	4		

**17.30.2.1 Institutional Foreign-Tour Limitations.** A member institution shall be charged with its foreign-tour opportunity in a sport and its once-in-three-years limitation if the institution is represented by more than the number of student-athletes specified under Bylaw 17.30.2 on an outside team participating in a foreign tour in that sport.

#### 17.31 PLAYING RULES

Member institutions shall conduct all of their intercollegiate competition in accordance with the playing rules of the Association in all sports for which the NCAA develops playing rules. It is not mandatory that those rules be used in institutional scrimmages or other forms of practice with outside competition.

#### **BYLAW, ARTICLE 18**

# Championships and Postseason Football

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#### 18.01 GENERAL PRINCIPLES

18.01.1 Purpose of NCAA Championships. NCAA championships are intended to provide national-level competition among the best eligible student-athletes and teams of member institutions, with consideration also for approved regional structures for certain championships.

18.01.2 Postseason Competition Not Sponsored by a Collegiate Entity. Competition by member institutions in postseason contests that are not sponsored, promoted, managed and controlled by a collegiate entity shall conform to the requirements set forth in this article and all other applicable legislation of the Association, which may include penalties for violations of these requirements by sponsoring agencies.

#### 18.02 DEFINITIONS AND APPLICATIONS

#### 18.02.1 Championships

**18.02.1.1 National Collegiate Championship.** A National Collegiate Championship for a particular sport is postseason competition conducted by the Association for eligible student-athletes and teams of active member institutions to determine the NCAA champion in that sport for all divisions that do not have a separate division championship in that sport. A National Collegiate Championship is established or continued in accordance with the criteria set forth in Bylaws 18.2.3 and 18.2.4.

**18.02.1.2 Division Championship.** A division championship for a particular sport is postseason competition conducted by the Association for eligible student-athletes or teams of active member institutions to determine the division champion in that sport. A division championship is established or continued in accordance with the criteria set forth in Bylaws 18.2.3 and 18.2.4.

18.02.2 Mixed Team. A mixed team is a varsity intercollegiate sports team on which at least one individual of each gender competes (see Bylaw 18.2.8.3). (Revised: 1/11/94)

18.02.3 Open Date. An open date is a regular weekend playing date before the end of an institution's regular football schedule on which an institution is not playing a game, or a date approved by the Management Council, by a two-thirds majority of its members present and voting, to enable an institution to assist financially in meeting an unforeseen hardship situation resulting directly from the institution's intercollegiate athletics activities.

#### 18.1 REGULATIONS AND AUTHORITY FOR CONDUCT OF CHAMPIONSHIPS

All NCAA championships shall be conducted in accordance with this bylaw and the policies and procedures established by the Championships Committee, which shall establish and revise the policies and procedures governing the administration of NCAA championships, including selection processes, formats and distribution of revenues to participating institutions. NCAA championships shall be under the control, direction and supervision of the appropriate sports committees, subject to the requirements, standards and conditions prescribed in Bylaw 31. (Revised: 1/10/91)

### 18.2 CRITERIA FOR ESTABLISHMENT OR CONTINUATION OF CHAMPIONSHIPS

The establishment or continuation of an NCAA championship in a given sport shall be determined on the basis of the requirements in the following bylaws, with sponsorship of a sport based on the Association's records as of September 30 each year.

#### Establishment or Continuation of Championships/18.2.1—18.2.8.1

- 18.2.1 National Collegiate Championship. A National Collegiate Championship for which any active member in good standing is eligible (per Bylaw 20.8) may be established by action of all three divisions acting through each division's governance structure, subject to the requirements, standards and conditions regarding the required number of members sponsoring the sport as prescribed in this bylaw. (Revised: 1/14/97 effective 8/1/97)
- 18.2.2 Division Championship. A division championship in a particular sport may be established by a majority vote of all members of that division present and voting at an annual Convention subject to the requirements, standards and conditions regarding the required number of members sponsoring the sport as prescribed in this bylaw. (Revised: 1/9/96 effective 8/1/97)
- 18.2.3 Championships Existing During 1993-94. A National Collegiate Championship or a division championship that existed during the 1993-94 academic year may be continued if at least 40 member institutions sponsor the sport. (*Adopted: 1/11/94 effective 8/1/94*)
  - **18.2.3.1 National Collegiate and Division Championship in Same Sport.** If a National Collegiate Championship and a division championship exist in the same sport, sponsorship of the sport in the division in which the division championship is conducted shall not count toward the minimum sponsorship number for the National Collegiate Championship. (*Adopted: 1/11/94 effective 8/1/94*)
- 18.2.4 Championships Established During 1994-95 or Later. A National Collegiate Championship or a division championship may be established during the 1994-95 academic year or thereafter if at least 50 institutions sponsor the sport. (*Adopted: 1/11/94 effective 8/1/94*)
  - **18.2.4.1 Exception for Women's Sports During the 1994-95 through 2003-04 Academic Years.** A National Collegiate Championship or a division championship may be established during the 1994-95 through 2003-04 academic years in a women's sport in which the Association does not already conduct a championship if at least 40 institutions sponsor the sport. (*Adopted: 1/11/94 effective 8/1/94, Revised: 1/13/98 effective 8/1/98, Revised: 1/12/99*)
    - **18.2.4.1.1 Exception–Establishment of Championship.** A championship in an emerging women's team sport may be sponsored if at least 28 institutions sponsor the sport. (Adopted 1/8/01 effective 8/1/01)

#### 18.2.4.2 Sponsorship Criteria

- **18.2.4.2.1 Minimum Period.** In men's sports, the applicable minimum sponsorship number must exist for two consecutive academic years in order for a championship to be established. Legislation to establish the championship may be proposed during the second year in which the minimum sponsorship number exists. In women's sports, the applicable minimum sponsorship number must exist for one academic year in order for a championship to be established. Legislation to establish the championship may be proposed during the year in which the minimum sponsorship number exists. Varsity sports sponsored before August 1, 1994, shall count toward the applicable minimum-year sponsorship requirement. (Adopted: 1/11/94 effective 8/1/94, Revised: 1/11/00 effective 8/1/00)
- **18.2.4.2.2 National Collegiate and Division Championship in Same Sport.** If a National Collegiate Championship and a division championship exist in the same sport, sponsorship of the sport in the division in which the division championship is conducted shall not count toward the minimum sponsorship number for the National Collegiate Championship. (*Adopted: 1/11/94 effective 8/1/94*)
- 18.2.5 Establishment of Single Championship in Sport. If only one championship is established or continued in accordance with Bylaws 18.2.3 and 18.2.4, it shall be a National Collegiate Championship for which any active member institution in good standing can be eligible.
- 18.2.6 Establishment of Three Championships in Sport. If a National Collegiate Championship and two division championships exist in the same sport, the National Collegiate Championship automatically shall become a division championship for the remaining division that does not sponsor a division championship in that sport.
- 18.2.7 Establishment of Two Championships in Sport. If a National Collegiate Championship and one division championship exist in the same sport, only the members of the division sponsoring the division championship may participate in the division championship, and that division's membership may not participate in the National Collegiate Championship in that sport.
- 18.2.8 Determination of Sponsorship Requirements
  - **18.2.8.1 Single-Gender Athletics Programs.** For purposes of meeting the required minimums set forth in Bylaws 18.2.3 and 18.2.4, member institutions sponsoring no varsity intercollegiate athletics programs for men shall not be included in making calculations concerning men's championships, and member institutions sponsoring no varsity intercollegiate athletics programs for women shall not be included in making calculations concerning women's championships.

#### Establishment or Continuation/18.2.8.2—Current Championships/18.3.4

- **18.2.8.2 Separate Men's and Women's Teams, Same Sport.** For purposes of meeting the required minimums set forth in Bylaws 18.2.3 and 18.2.4 for a combined men's and women's championship, separate varsity intercollegiate men's and women's teams in the same sport at a member institution shall be counted separately.
- **18.2.8.3 Mixed Team.** For purposes of meeting the required minimums set forth in Bylaws 18.2.3 and 18.2.4, a mixed team (as defined in Bylaw 18.02.2) shall be counted as one team. A mixed team shall count toward the minimum-sponsorship percentage for men's championships.
- 18.2.9 Subdivision Requirements. If a division subdivides for the administration of a sport, resulting in less than the applicable minimum number of the division's active members sponsoring the sport on a varsity intercollegiate basis in that subdivision, the subdivision shall meet the requirement within three years of the date the subdivision was created. It may establish and conduct a championship in the interim. (Revised: 1/11/94 effective 8/1/94)
- 18.2.10 Failure to Meet Minimum Sponsorship Requirements. A championship shall be discontinued automatically at the conclusion of the academic year in which it falls below the applicable minimum sponsorship number set forth in Bylaw 18.2.3 or 18.2.4. (Revised: 1/11/94 effective 8/1/94)
  - **18.2.10.1** National Collegiate and Division Championships Exception. During the 1994-95, 1995-96, 1996-97, 1997-98 and 1998-99 academic years, an existing National Collegiate Championship or division championship shall not be canceled, nor shall transportation and per diem expenses be discontinued, due to that championship's failure to meet the minimum-numerical-sponsorship requirements for maintaining the championship. On the expiration of this legislative exception, if during the 1998-99 period a championship fell below the applicable minimum-numerical-sponsorship requirement set forth in Bylaw 18.2.3 or 18.2.4, it shall be discontinued automatically. (Revised: 1/11/94 effective 8/1/94, Revised: 1/10/95, 1/9/96 effective 8/1/96)
  - **18.2.10.2 Exception—Women's Championships Existing During 1993-94.** A National Collegiate Championship or a division championship for women that existed during the 1993-94 academic year (per Bylaw 18.2.3) shall continue through the 1998-99 academic year, even if fewer than 40 institutions sponsor the sport. (Adopted: 1/10/95)
  - **18.2.10.3 Exception—Olympic Sports.** A National Collegiate Championship or a division championship in any Olympic sport shall be exempt from the minimum-sponsorship-percentage requirements of Bylaws 18.2.3 and 18.2.4. The membership may adopt specific legislation to discontinue the championship in an Olympic sport. (*Adopted:* 1/14/97 effective 8/1/97)

#### 18.3 CURRENT CHAMPIONSHIPS

The Association will administer 84 national championships in 2001-02. Ten are National Collegiate Championships. Additionally, there are 27 Division III championships. (See Bylaw 31.02.2 for information about the classification and terminology of championships and see Constitution 5.3.11.1 and 5.3.11.2 for the voting requirements for the establishment of a new championship.) The championships for 2001-02 are as follows: (Revised: 1/10/90, 1/10/92, 1/9/96)

18.3.1 National Collegiate Championships (10)

Men (3)	Men and Women (3)	<b>Women (4)</b> (Revised: 1/12/99 effective 8/1/99, Revised: 1/11/00)
Gymnastics	Fencing	Gymnastics
Volleyball	Rifle	Ice Hockey (Division I and II only)
Water Polo	Skiing	Rowing (Adopted: 1/9/96)
		Water Polo

18.3.4 National Collegiate Division III Championships (27)

	·
Men (13)	Women (14) (Revised: 1/8/01)
Baseball	Basketball
Basketball	Cross Country
Cross Country	Field Hockey
Football	Golf (Revised: 1/12/99 effective 8/1/99)
Golf	Ice Hockey (Adopted 1/8/01 effective 8/1/01)
Ice Hockey	Lacrosse

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#### Current Championships/18.3.4—Eligibility for Championships/18.4.1.5.1

Lacrosse Rowing (Adopted 1/8/01 effective 8/1/01)

Soccer Soccer
Swimming and Diving Softball

Tennis Swimming and Diving

Indoor Track and Field Tennis

Outdoor Track and Field Indoor Track and Field
Wrestling Outdoor Track and Field

Volleyball

#### 18.4 ELIGIBILITY FOR CHAMPIONSHIPS

18.4.1 Student-Athlete Eligibility. To be eligible for NCAA championships, a student-athlete shall meet all applicable individual-eligibility requirements set forth in NCAA legislation. The general and academic eligibility requirements are set forth in detail in Bylaw 14.

- **18.4.1.1 Institution's Responsibility.** The responsibility of an institution to withhold from all intercollegiate competition a student-athlete who is ineligible under any NCAA legislation is set forth in Bylaw 14.10.1.
- **18.4.1.2 Committee on Student-Athlete Reinstatement Authority.** The Committee on Student-Athlete Reinstatement shall have initial authority to determine all matters pertaining to the eligibility of student-athletes competing in the various NCAA championships and to act upon all appeals concerning the eligibility of student-athletes submitted by member institutions (see Bylaw 14.11).
- **18.4.1.3 Protest of Eligibility Status.** If a student-athlete has been certified by the institution as eligible to compete in an NCAA championship and the student-athlete's eligibility is protested, the Eligibility Subcommittee shall not rule on such a protest received during the period beginning 24 hours before the event and ending with the conclusion of the event. (See Bylaw 31.2.2.3 regarding protests received during a break in the continuity of a championship.)
- **18.4.1.4 Amateur-Status Certification.** If requested by the Championships Committee, the student-athlete shall certify his or her amateur standing under the provisions of Bylaw 12 by signing an affidavit that is administered by the chair of the games committee and taken on a form prescribed by the Championships Committee.
- **18.4.1.5 Ineligibility for Use of Banned Drugs.** A student-athlete who is found to have utilized a substance on the list of banned drugs, as set forth in Bylaw 31.2.3.1, shall be declared ineligible for further participation in postseason and regular-season competition in accordance with the ineligibility provisions in Bylaw 18.4.1.5.1. The certifying institution may appeal to the Eligibility Subcommittee for restoration of the student-athlete's eligibility if the institution concludes that circumstances warrant restoration. (*Revised:* 1/10/90 effective 8/1/90)
  - **18.4.1.5.1 Duration of Ineligibility.** A student-athlete who tests positive (in accordance with the testing methods authorized by the Executive Committee) shall be charged with the loss of a minimum of one season of competition in all sports if the season of competition has not yet begun for that student-athlete or a minimum of the equivalent of one full season of competition in all sports if the student-athlete tests positive during his or her season of competition (i.e., the remainder of contests in the current season and contests in the subsequent season up to the period of time in which the student-athlete was declared ineligible during the previous year). The student-athlete shall remain ineligible for all regular-season and postseason competition during the time period ending one calendar year (i.e., 365 days) after the student-athlete's positive drug test and until the student-athlete retests negative (in accordance with the testing methods authorized by the Executive Committee) and the student-athlete's eligibility is restored by the Committee on Infractions and Student-Athlete Reinstatement. If the student-athlete immediately transfers to a non-NCAA institution while ineligible and competes in collegiate competition within the 365day period at a non-NCAA institution, the student-athlete will be ineligible for all NCAA regular season and postseason competition until the student-athlete does not compete in collegiate competition for a 365-day period. Furthermore, the student-athlete must retest negative (in accordance with the testing methods authorized by the Executive Committee) and the student-athlete's eligibility must be restored by the Committee on Student-Athlete Reinstatement. If the student-athlete transfers to another NCAA institution while ineligible, the institution from which the student-athlete transferred must notify the institution that the student-athlete is ineligible. If the student-athlete tests positive a second time for the use of any drug, other than a "street drug" as defined in Bylaw 31.2.3.1, he or she shall lose all remaining regular-season and postsea-

#### Eligibility for Championships/18.4.1.5.1—Playing Rules/18.6

son eligibility in all sports. If the student-athlete tests positive for the use of a "street drug" after being restored to eligibility, he or she shall be charged with the loss of a minimum of one additional season of competition in all sports and also shall remain ineligible for regular-season and postseason competition at least through the next calendar year. In addition, a student-athlete who has previously tested positive for performance-enhancing drugs as a result of a drug test administered by any other athletics organization and subsequently tests positive (in accordance with the testing methods authorized by the Executive Committee) shall be subject to these ineligibility provisions. (Revised: 1/10/90 effective 8/1/90, Revised: 1/16/93, 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97, Revised: 1/8/01)

**18.4.1.5.2 Banned Drugs and Drug-Testing Methods.** The Executive Committee shall adopt a list of banned drugs and shall authorize methods for drug testing of student-athletes on a year-round basis. The list of banned drugs and the procedure for informing member institutions about authorized methods for drug testing are set forth in Bylaws 31.2.3.1 and 31.2.3.3, respectively. (*Revised:* 1/10/90 effective 8/1/90)

**18.4.1.5.3 Non-NCAA Athletics Organization's Positive Drug Test.** The Executive Committee shall authorize methods for drug testing any student-athlete who has disclosed in the student-athlete statement (see Bylaw 14.1.3.1) that he or she has a positive drug test administered by a non-NCAA athletics organization. (*Adopted: 1/14/97 effective 8/1/97*)

#### 18.4.2 Institutional Eligibility

**18.4.2.1 General Institutional Requirements.** To be eligible to enter a team or an individual in NCAA championship competition, an institution shall:

- (a) Be an active member in good standing in the appropriate division, or have its sport so classified, and be eligible under the rules of the member conference of which it is a member;
- (b) Have paid its membership dues for the current year in accordance with the deadlines set forth in Bylaw 31.2.1.2;
- (c) Designate (in accordance with Bylaw 20) its athletics program as Division I, Division II or Division III for competition and possible eligibility for championships in those intercollegiate sports recognized by the NCAA;
- (d) Certify, through its chief executive officer on a form approved by the Management Council, the institution's compliance with NCAA legislation. The certification shall be completed not later than September 15 (see Bylaw 30.3 for details about information required on the certification form); (Revised: 1/10/95)
- (e) Have confirmed annually its sponsorship of a varsity intercollegiate team in the sport by so reporting on the NCAA official information form; and (Adopted: 1/10/90)
- (f) Refrain from entering a student-athlete as an individual or as a member of a team in an NCAA championship if it is acknowledged by the institution or established through the Association's enforcement procedures that the institution or representative(s) of its athletics interests violated NCAA regulations in the recruiting of the student-athlete. The institution may appeal to the Eligibility Subcommittee for restoration of the student-athlete's eligibility (see Bylaw 14.11).
  - **18.4.2.1.1** Additional Requirements. Other requirements for institutional eligibility for championships are set forth in Bylaw 31.2.1.
  - **18.4.2.1.2** Institution Petitioning for Division I Classification. An institution petitioning for Division I institutional membership or eligibility in a sport (in accordance with Bylaw 20) shall have operated in conformity with the requirements of Bylaw 18.4.2.1-(a) for a period of two years before the effective date of its Division I membership or be ineligible for Division I championships.

#### 18.5 AUTOMATIC QUALIFICATION BY CONFERENCE

To be eligible for automatic qualification into any championship, a conference shall meet the requirements set forth in Bylaw 31.3.4.

#### 18.6 PLAYING RULES FOR CHAMPIONSHIPS

In sports in which the Association maintains rules committees, the rules adopted by said committees shall govern the conduct of all NCAA-sponsored events in those sports. In those sports in which the Association does not maintain rules committees, the rules to be used are specified in Bylaw 31.1.6.

#### **BYLAW, ARTICLE 19**

### Enforcement

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#### 19.01 GENERAL PRINCIPLES

- 19.01.1 Mission of NCAA Enforcement Program. It shall be the mission of the NCAA enforcement program to eliminate violations of NCAA rules and impose appropriate penalties should violations occur. The program is committed to fairness of procedures and the timely and equitable resolution of infractions cases. The achievement of these objectives is essential to the conduct of a viable and effective enforcement program. Further, an important consideration in imposing penalties is to provide fairness to uninvolved student-athletes, coaches, administrators, competitors and other institutions. (Adopted: 1/11/94)
- 19.01.2 Exemplary Conduct. Individuals employed by or associated with member institutions for the administration, the conduct or the coaching of intercollegiate athletics are, in the final analysis, teachers of young people. Their responsibility is an affirmative one, and they must do more than avoid improper conduct or questionable acts. Their own moral values must be so certain and positive that those younger and more pliable will be influenced by a fine example. Much more is expected of them than of the less critically placed citizen.
- 19.01.3 Responsibility to Cooperate. All representatives of member institutions shall cooperate fully with the NCAA enforcement staff, Committee on Infractions and Management Council to further the objectives of the Association and its enforcement program. The enforcement policies and procedures are an essential part of the intercollegiate athletics program of each member institution and require full and complete disclosure by all institutional representatives of any relevant information requested by the NCAA enforcement staff or Committee on Infractions during the course of an inquiry.
- 19.01.4 Violations by Institutional Staff Members. Institutional staff members found in violation of NCAA regulations shall be subject to disciplinary or corrective action as set forth in the provisions of the NCAA enforcement procedures, whether such violations occurred at the certifying institution or during the individual's previous employment at another member institution.
- 19.01.5 Nature of Penalty Structure. As a guiding principle, a penalty imposed under NCAA enforcement policies and procedures should be broad and severe if the violation or violations reflect a general disregard for the governing rules; in those instances in which the violation or violations are isolated and of relative insignificance, then the NCAA penalty shall be specific and limited. Previous violations of NCAA legislation shall be a contributing factor in determining the degree of penalty.

#### 19.02 DEFINITIONS AND APPLICATIONS

19.02.1 Show-Cause Order. A show-cause order is one that requires a member institution to demonstrate to the satisfaction of the Committee on Infractions (or the appropriate appeals committee per Bylaw 19.3) why it should not be subject to a penalty (or additional penalty) for not taking appropriate disciplinary or corrective action against an institutional staff member or representative of the institution's athletics interests identified by the committee as having been involved in a violation of NCAA regulations that has been found by the committee. (Revised: 1/10/95)

#### 19.02.2 Types of Violations

**19.02.2.1 Violation, Secondary.** A secondary violation is a violation that is isolated or inadvertent in nature, provides or is intended to provide only a minimal recruiting or competitive or other advantage and does not include any significant recruiting inducement or extra benefit. Multiple secondary violations by a member institution collectively may be considered as a major violation. (*Revised:* 1/11/94)

#### Definitions and Applications/19.02.2.2—Committee on Infractions/19.1.3

- **19.02.2.2 Violation, Major.** All violations other than secondary violations are major violations, specifically including those that provide an extensive recruiting or competitive advantage. (*Revised:* 1/11/94)
- 19.02.3 New Evidence. New evidence is evidence that could not reasonably be ascertained before the Committee on Infractions hearing. (Adopted: 1/6/96)

#### 19.1 COMMITTEE ON INFRACTIONS

The Management Council shall appoint a Committee on Infractions, which shall be responsible for administration of the NCAA enforcement program.

- 19.1.1 Composition of Committee. The Committee on Infractions shall be composed of five members, including one member from the Management Council and one member from the general public. (Revised: 1/16/93, 1/14/97 effective 8/1/97)
  - **19.1.1.1 Quorum.** Three members present and voting shall constitute a quorum to conduct committee business, it being understood that the chair shall make a special effort to have full committee attendance when major infractions cases involving violations are to be considered. (Revised: 1/14/97 effective 8/1/97)
- 19.1.2 Authority of Committee on Infractions. Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between business sessions of the annual Convention by members of the Committee on Infractions present and voting at any duly called meeting, provided the call of such a meeting shall have contained notice of the situation presenting the disciplinary problem. Actions of the committee in cases involving major violations, however, shall be subject to review by the appropriate appeals committee per Bylaw 19.3, on appeal. (Revised: 1/16/93, 1/10/95, 1/14/97 effective 8/1/97)
  - **19.1.2.1 Authority of Vice-President for Enforcement Services.** On review of information developed by the enforcement staff or self-reported by the member institution, the vice-president for enforcement services shall identify the charges as involving alleged major or secondary violations, or repeated secondary violations that should be viewed as a major violation, subject to approval by the committee chair or another member of the committee designated by the chair. Disciplinary or corrective actions in the case of secondary violations may be effected by the vice-president for enforcement services, subject to approval by the committee chair or another member of the committee designated by the chair. Said actions shall be taken in accordance with the provisions of the enforcement policies and procedures and shall be subject to review by the committee on appeal. (*Revised: 1/14/97 effective 8/1/97*)
  - **19.1.2.2 Authority of Committee Chair.** In the interim between meetings of the committee, the chair shall be empowered to act on behalf of the committee, subject to committee approval at its next meeting. If at any time, at a meeting or between meetings, the chair is unavailable to act as such, the member of the committee longest in service who is available is empowered to exercise the functions of the chair. (*Revised:* 1/14/97 effective 8/1/97)
  - **19.1.2.3 Appellate Process.** The appropriate appeals committee per Bylaw 19.3 shall hear and act on an institution's appeal of the findings of major violations by the Committee on Infractions. (*Revised:* 1/16/93, 1/10/95)
  - **19.1.2.4 Temporary Substitutes.** If it appears that one or more members of the committee will be unable to participate in the hearing of a case, the chair may request the Management Council to designate a former member or members of the committee to rejoin the committee for purposes of the consideration and disposition of that case. (*Adopted: 1/14/97 effective 8/1/97*)
- 19.1.3 Duties of Committee. The duties of the committee shall be as follows: (Revised: 1/14/97 effective 8/1/97)
- (a) Consider complaints that may be filed with the Association charging the failure of any member to maintain the academic or athletics standards required for membership or the failure of any member to meet the conditions and obligations of membership in the Association; (Revised: 1/14/97 effective 8/1/97)
- (b) Formulate and revise, in accordance with the requirements of Bylaw 19.4, a statement of its established operating policies and procedures, including investigative guidelines (see Bylaw 32);
- (c) Determine facts related to alleged violations and find violations of NCAA rules; (Revised: 1/14/97 effective 8/1/97)
- (d) Refer to a hearing officer consideration of a case or any portion of a case involving disputed facts; (Adopted: 1/11/94)
- (e) Impose an appropriate penalty or show-cause requirement on a member found to be involved in a major violation (or, on appeal, on a member found to be involved in a secondary violation), or recommend to the Management Council suspension or termination of membership; and

#### Committee on Infractions/19.1.3—Notice of Charges/19.5.2

(f) Carry out any other duties directly related to the administration of the enforcement program. (Revised: 1/14/97 effective 8/1/97)

#### 19.2 INDEPENDENT HEARING OFFICERS

- 19.2.1 Appointment and Duties. The Management Council shall appoint hearing officers who, after the hearing process in major infractions cases (see Bylaw 32.7), shall be responsible for reviewing disputed facts concerning one or more alleged violations and present findings of fact to the Committee on Infractions and the parties who participate in the hearing before the hearing officer. (Adopted: 1/11/94)
- 19.2.2 Decision to Use Hearing Officer. The Committee on Infractions has the sole discretion to determine whether to use a hearing officer in the infractions process. The committee may exercise this discretion only when one or more of the following factors are present: (Adopted: 1/11/94)
- (a) The committee determines that discussion before the committee would be protracted and counterproductive to the committee's efficient consideration of the case;
- (b) The committee determines that use of a hearing officer would be an aid in resolving facts that were in dispute before the committee's consideration of the case; or
- (c) The institution or an individual identified in Bylaw 32.5.6 or 32.5.7 requests the use of a hearing officer to consider the facts in dispute, and the committee determines that the use of a hearing officer would be in the best interests of fact finding.

#### 19.3 APPEALS COMMITTEES

19.3.1 Authority of Infractions Appeals Committee. The Infractions Appeals Committee shall hear and act on an institution's appeal of the findings of major violations by the committee. (Adopted: 1/10/95, Revised: 1/14/97 effective 8/1/97)

### 19.4 ESTABLISHMENT AND REVISION OF ENFORCEMENT POLICIES AND PROCEDURES

- 19.4.1 Amendment by Committee and Approval by Management Council. The Committee on Infractions may establish or amend the policies and procedures in regard to issues other than those concerning institutional penalties, restitution, and committee duties and structure. A member institution shall be provided notice of alleged NCAA rules violations for which it is charged before any penalty is imposed, as well as the opportunity to appear before the committee and the opportunity to appeal the committee's findings of major violations or penalties (see Bylaws 19.5 and 19.6). The policies and procedures governing the administration of the Association's enforcement program, as set forth in Bylaw 32, are subject to review and approval by the Management Council at its next regularly scheduled meeting.
  - **19.4.1.1 Notification to Membership.** To the extent that the enforcement policies and procedures are revised, any member institution involved in the processing of an infractions case shall be notified immediately of the change and the general membership shall be advised through The NCAA News.
  - **19.4.1.2 Review by Convention.** Policies and procedures established by the Committee on Infractions, per Bylaw 19.4.1, are subject to review and approval by the membership at the next annual Convention (see Constitution 5.2.3.3).
- 19.4.2 Amendment by Convention. The enforcement policies and procedures set forth in Bylaw 32 may be amended at any annual or special Convention in accordance with the procedures set forth in Constitution 5.3 for general provisions.

#### 19.5 NOTICE OF CHARGES AND OPPORTUNITY TO APPEAR

- 19.5.1 For Major Violations. A member under investigation for major violations shall be given the following:
- (a) Notice of any specific charges against it and the facts upon which such charges are based; and
- (b) An opportunity to appear before the Committee on Infractions (or the appropriate appeals committee per Bylaw 19.3) to answer such charges by the production of evidence (see Bylaw 19.7). (Revised: 1/16/93, 1/10/95)
- 19.5.2 For Secondary Violations. A member under investigation for secondary violations shall be given the following:
- (a) Notice of any specific charges against it and the facts on which such charges are based; and
- (b) An opportunity to provide a written response to the vice-president for enforcement services (or to appear before the Committee on Infractions on appeal) to answer such charges by the production of evidence (see Bylaw 19.7).

#### Notice of Charges and Opportunity to Appear/19.5.3—Penalties/19.6.2.1

19.5.3 New Findings. If a member appears before the committee to discuss its response to the official inquiry, the hearing shall be directed toward the general scope of the official inquiry but shall not preclude the committee from finding any violation resulting from information developed or discussed during the hearing.

#### 19.6 PENALTIES

- 19.6.1 Penalties for Secondary Violations. The vice-president for enforcement services, on approval by the chair or another member of the Committee on Infractions designated by the chair, or the committee may determine that no penalty is warranted in a secondary case, that an institutional- or conference-determined penalty is satisfactory or, if appropriate, impose a penalty. Among the disciplinary measures are: (Revised: 1/11/94)
- (a) Termination of the recruitment of a prospect by the institution or, if the prospect enrolls (or has enrolled) in the institution, permanent ineligibility to represent the institution in intercollegiate competition (unless eligibility is restored by the Committee on Student-Athlete Reinstatement on appeal);
- (b) Forfeiture of contests in which an ineligible student-athlete participated;
- (c) Prohibition of the head coach or other staff members in the involved sport from participating in any offcampus recruiting activities for up to one year; (Revised: 1/11/94)
- (d) An institutional fine for each violation, with the monetary penalty ranging in total from \$500 to \$5,000;
- (e) A limited reduction in the number of financial aid awards that may be awarded during a specified period in the sport involved to the maximum extent of 20 percent of the maximum number of awards normally permissible in that sport;
- (f) Institutional recertification that its current athletics policies and practices conform to all requirements of NCAA regulations;
- (g) Suspension of the head coach or other staff members for one or more competitions; (Adopted: 1/11/94)
- (h) Public reprimand (to be invoked only in situations where the Committee on Infractions or the vice-president for enforcement services, on approval by the committee, determines that a penalty, in addition to any institutional- or conference-determined penalty, is warranted); and (Adopted: 1/11/94)
- (i) Requirement that a member institution that has been found in violation, or that has an athletics department staff member who has been found in violation of the provisions of NCAA legislation while representing another institution, show cause why a penalty or an additional penalty should not be imposed if it does not take appropriate disciplinary or corrective action against the athletics department personnel involved, any other institutional employee, if the circumstances warrant, or representatives of the institution's athletics interests. (Adopted: 1/11/94)
- 19.6.2 Penalties for Major Violations
  - **19.6.2.1 Presumptive Penalty.** The presumptive penalty for a major violation, subject to exceptions authorized by the Committee on Infractions on the basis of specifically stated reasons, shall include all of the following: (*Revised:* 1/11/94)
  - (a) A two-year probationary period (including a periodic in-person monitoring system and written institutional reports);
  - (b) The reduction in the number of expense-paid recruiting visits to the institution in the involved sport for one recruiting year; (*Revised:* 1/11/94)
  - (c) A requirement that all coaching staff members in the sport be prohibited from engaging in any offcampus recruiting activities for up to one recruiting year; (Revised: 1/11/94)
  - (d) A requirement that all institutional staff members determined by the committee knowingly to have engaged in or condoned a major violation be subject to: (Adopted: 1/11/94)
    - (1) Termination of employment;
    - (2) Suspension without pay for at least one year;
    - (3) Reassignment of duties within the institution to a position that does not include contact with prospective or enrolled student-athletes or representatives of the institution's athletics interests for at least one year; or
    - (4) Other disciplinary action approved by the committee.
  - (e) A reduction in the number of financial aid awards; (Adopted: 1/11/94)
  - (f) Sanctions precluding postseason competition in the sport, particularly in those cases in which: (Revised: 1/11/94)

- (1) Involved individuals remain active in the program; (Adopted: 1/11/94)
- (2) A significant competitive advantage results from the violation(s); or (Adopted: 1/11/94)
- (3) The violation(s) reflect a lack of institutional control. (Adopted: 1/11/94)
- (g) Institutional recertification that the current athletics policies and practices conform to all requirements of NCAA regulations.
- **19.6.2.2 Disciplinary Measures.** In addition to those penalties prescribed for secondary violations, among the disciplinary measures, singly or in combination, that may be adopted by the committee (or the appropriate appeals committee per Bylaw 19.3) and imposed against an institution for major violations are: (Revised: 1/16/93, 1/11/94, 1/10/95)
- (a) Public reprimand and censure; (Revised: 1/11/94)
- (b) Probation for at least one year; (Revised: 1/11/94)
- (c) A reduction in the number of financial aid awards (as defined in Bylaw 15.02.2.2) that may be awarded during a specified period;
- (d) Prohibition against the recruitment of prospective student-athletes for a sport or sports for a specified period;
- (e) One or more of the following penalties: (Revised: 1/8/01 effective 8/1/01)
  - (1) Individual records and performances shall be vacated or stricken; or (Revised: 1/11/94)
  - (2) Team records and performances shall be vacated or stricken; or (Adopted: 1/11/94)
  - (3) Individual or team awards shall be returned to the Association.
- (f) Financial penalty; (Adopted: 1/8/01 effective 8/1/01)
- (g) Ineligibility for any television programs involving coverage of the institution's intercollegiate athletics team or teams in the sport or sports in which the violations occurred; (Revised: 1/10/92)
- (h) Ineligibility for invitational and postseason meets and tournaments;
- (i) Ineligibility for one or more NCAA championship events;
- (j) Prohibition against an intercollegiate sports team or teams participating against outside competition for a specified period;
- (k) Ineligibility of the member to vote or its personnel to serve on committees of the Association, or both; and
- (l) Requirement that a member institution that has been found in violation, or that has an athletics department staff member who has been found in violation of the provisions of NCAA legislation while representing another institution, show cause why:
  - (1) A penalty or an additional penalty should not be imposed if, in the opinion of the committee (or the appropriate appeals committee per Bylaw 19.3), it does not take appropriate disciplinary or corrective action against athletics department personnel involved in the infractions case, any other institutional employee, if the circumstances warrant, or representatives of the institution's athletics interests; or (Revised: 1/10/95)
  - (2) A recommendation should not be made to the membership that the institution's membership in the Association be suspended or terminated if, in the opinion of the committee (or the appropriate appeals committee per Bylaw 19.3), it does not take appropriate disciplinary or corrective action against the head coach of the sport involved, any other institutional employee, if the circumstances warrant, or representatives of the institution's athletics interests; (Revised: 1/10/95)
  - (3) "Appropriate disciplinary or corrective action" as specified in subparagraphs (1) and (2) above may include, for example, termination of the coaching contract of the head coach and any assistants involved; suspension or termination of the employment status of any other institutional employee who may be involved; severance of relations with any representative of the institution's athletics interests who may be involved; the debarment of the head or assistant coach from any coaching, recruiting or speaking engagements for a specified period; and the prohibition of all recruiting in a specified sport for a specified period;
  - (4) The nature and extent of such action shall be the determination of the institution after due notice and hearing to the individuals concerned, but the determination of whether or not the action is appropriate in the fulfillment of NCAA policies and principles, and its resulting effect on any institutional penalty, shall be solely that of the committee (or the appropriate appeals committee per Bylaw 19.3); or (Revised: 1/10/95)
  - (5) Where this requirement is made, the institution shall show cause or, in the alternative, shall

show the appropriate disciplinary or corrective action taken, in writing, to the committee (or the appropriate appeals committee per Bylaw 19.3) within 15 days. The committee (or the appropriate appeals committee per Bylaw 19.3) may, without further hearing, determine on the basis of such writing whether or not in its opinion appropriate disciplinary or corrective action has been taken and may impose a penalty or additional penalty; take no further action, or, by notice to the institution, conduct a further hearing at a later date before making a final determination. (Revised: 1/10/95)

**19.6.2.2.1 Opportunity to Appear.** In the event the committee considers additional penalties to be imposed on an institution in accordance with Bylaw 19.6.2.1-(l) above, the involved institution shall be provided the opportunity to appear before the committee; further, the institution shall be provided the opportunity to appeal (per Bylaw 19.7.2) any additional penalty imposed by the committee.

#### 19.6.2.3 Repeat Violators

- **19.6.2.3.1 Time Period.** An institution shall be considered a "repeat" violator if the Committee on Infractions finds that a major violation has occurred within five years of the starting date of a major penalty. For this provision to apply, at least one major violation must have occurred within five years after the starting date of the penalties in the previous case. It shall not be necessary that the Committee on Infractions's hearing be conducted or its report issued within the five-year period. (*Revised:* 1/14/97 effective 8/1/97)
- **19.6.2.3.2 Repeat-Violator Penalties.** In addition to the penalties identified for a major violation, the minimum penalty for a repeat violator, subject to exceptions authorized by the Committee on Infractions on the basis of specifically stated reasons, may include any or all of the following: (Revised: 1/11/94)
- (a) The prohibition of some or all outside competition in the sport involved in the latest major violation for one or two sports seasons and the prohibition of all coaching staff members in that sport from involvement directly or indirectly in any coaching activities at the institution during that period;
- (b) The elimination of all initial grants-in-aid and all recruiting activities in the sport involved in the latest major violation in question for a two-year period;
- (c) The requirement that all institutional staff members serving on the Presidents Council, Management Council, Executive Committee or other committees of the Association resign those positions, it being understood that all institutional representatives shall be ineligible to serve on any NCAA committee for a period of four years; and
- (d) The requirement that the institution relinquish its voting privilege in the Association for a fouryear period.

#### 19.6.2.4 Probationary Periods

- 19.6.2.4.1 Conditions of Probation. The committee (or the appropriate appeals committee per Bylaw 19.3) may identify possible conditions that an institution must satisfy during a probationary period. Such conditions shall be designed on a case-by-case basis to focus on the institution's administrative weaknesses detected in the case and shall include, but not be limited to, written reports from the institution pertaining to areas of concern to the committee (or the appropriate appeals committee per Bylaw 19.3), in-person reviews of the institution's athletics policies and practices by the NCAA administrator for the Committee on Infractions, implementation of educational or deterrent programs, and audits for specific programs or teams. If the institution fails to satisfy such conditions, the committee (or the appropriate appeals committee per Bylaw 19.3) may reconsider the penalties in the case and may extend the probationary period and/or impose additional sanctions. (Revised: 1/10/95)
- 19.6.2.4.2 Review Before Restoration of Membership Rights and Privileges. In the event the committee imposes a penalty involving a probationary period, the institution shall be notified that after the penalty becomes effective, the NCAA administrator for the Committee on Infractions will review the athletics policies and practices of the institution before action by the committee to restore the institution to full rights and privileges of membership in the Association. (Revised: 1/10/95)
- **19.6.2.5 Television Appearance Limitations.** In some instances, an institution is rendered ineligible to appear on television programs. When an institution is banned from such television programs, the penalty shall specify that the institution may not enter into any contracts or agreements for such appearances until the institution's probationary status has been terminated and it has been restored to full rights and privileges of membership. (*Revised:* 1/10/92)
  - **19.6.2.5.1 Closed-Circuit Telecast Exception.** The Championships Committee is authorized to permit a closed-circuit telecast, limited to the campus of the opponent of the ineligible institution, it being understood that no rights fee is to be paid to the ineligible institution.

#### Penalties/19.6.2.6—Rights of Members to Appeal/19.7.1

- **19.6.2.6 Disassociation of Representatives of Athletics Interests.** The disassociation of relations with a representative of an institution's athletics interests may be imposed on a permanent basis, for the duration of the applicable probationary period or for another specified period of time. When an institution is required to show cause why a representative of the institution's athletics interests should not be disassociated from its athletics program, such disassociation shall require that the institution:
- (a) Refrain from accepting any assistance from the individual that would aid in the recruitment of prospective student-athletes or the support of enrolled student-athletes;
- (b) Not accept financial assistance for the institution's athletics program from the individual;
- (c) Ensure that no athletics benefit or privilege be provided to the individual that is not generally available to the public at large; and
- (d) Take such other actions against the individual that the institution determines to be within its authority to eliminate the involvement of the individual in the institution's athletics program.
- **19.6.2.7 Notification to Regional Accrediting Agency.** When an institution has been found to be in violation of NCAA requirements, and the report reflects academic violations or questionable academic procedures, the president shall be authorized to forward a copy of the report to the appropriate regional accrediting agency.

#### 19.6.2.8 Review of Penalty

- 19.6.2.8.1 Newly Discovered Evidence or Prejudicial Error. When a penalty has been imposed and publicly announced and the appeal opportunity has been exhausted, there shall be no review of the penalty except on a showing of newly discovered evidence (per Bylaw 19.02.3) that is directly related to the findings in the case or that there was a prejudicial error in the procedure that was followed in the processing of the case by the committee. (Revised: 1/9/96)
  - **19.6.2.8.1.1 Review Process.** Any institution that initiates such a review shall be required to submit a brief of its appeal to the committee and to furnish sufficient copies of the brief for distribution to all members of the committee. The committee shall review the brief and decide by majority vote whether it shall grant a hearing of the appeal.
  - **19.6.2.8.1.2 Institution or Conference Discipline as New Evidence.** Disciplinary measures imposed by the institution or its conference, after the NCAA's action, may be considered to be "newly discovered evidence" for the purposes of this section.
  - **19.6.2.8.1.3 No Imposition of New Penalty.** If a hearing of the appeal is granted, the committee may reduce or eliminate any penalty but may not impose any new penalty. The committee's decision with respect to the penalty shall be final and conclusive for all purposes.
- **19.6.2.8.2 Reconsideration of Penalty.** The institution shall be notified that should any portion of the penalty in the case be set aside for any reason other than by appropriate action of the Association, the penalty shall be reconsidered by the NCAA. In such cases, any extension or adjustment of a penalty shall be proposed by the Committee on Infractions after notice to the institution and hearing. Any such action by the committee shall be subject to appeal.
- 19.6.3 Discipline of Affiliated or Corresponding Member
  - **19.6.3.1 Termination or Suspension.** The membership of any affiliated or corresponding member failing to meet the conditions and obligations of membership or failing to support and adhere to the purposes and policies set forth in Constitution 1 may be terminated or suspended or the member otherwise may be disciplined through the following procedure:
  - (a) The Management Council, by a two-thirds majority of its members present and voting, may take such action on its own initiative; or (Adopted: 1/11/89)
  - (b) The Committee on Infractions, by majority vote, may recommend such action to the Management Council, which may adopt the recommendation by a two-thirds vote of its members present and voting; and
  - (c) The affiliated or corresponding member shall be advised of the proposed action at least 30 days before any Committee on Infractions or Management Council meeting in which such action is considered and shall be provided the opportunity to appear at any such meeting.

#### 19.7 RIGHTS OF MEMBER TO APPEAL

19.7.1 Appeal of Secondary Violations. A member shall have the right to appeal actions taken by the vice-president for enforcement services in reference to secondary violations. To appeal, the member must submit a written notice of appeal to the Committee on Infractions. The Committee on Infractions must receive the written notice of appeal and any supporting information within 30 days of the date the institution receives the enforcement staff's decision. (Revised: 1/14/97 effective 8/1/97)

#### Rights of Member to Appeal/19.7.2—Restitution/19.8

- 19.7.2 Appeal of Major Violations. A member shall have the right to give written notice of appeal of the committee's findings of major violations (subject to Bylaw 32.10.2), the penalty, or both to the appropriate appeals committee per Bylaw 19.3. (Revised: 1/16/93, 1/10/95)
- 19.7.3 Appeal by an Institutional Staff Member. If any current or former institutional staff member participates in a hearing before the Committee on Infractions and is involved in a finding of a violation against that individual, the individual shall be given the opportunity to appeal any of the findings in question (subject to the conditions of Bylaw 32.10.2) to the appropriate appeals committee per Bylaw 19.3. Under such circumstances, the individual and personal legal counsel may appear before the appeals committee at the time it considers the pertinent findings. (Revised: 1/16/93, 1/10/95, 1/6/96)
- 19.7.4 Student-Athlete Appeal. If an institution concludes that continued application of the rule(s) would work an injustice on any student-athlete, an appeal shall be submitted to the Committee on Student-Athlete Reinstatement and promptly reviewed.
  - **19.7.4.1 Obligation of Institution to Take Appropriate Action.** When the committee (or the appropriate appeals committee per Bylaw 19.3) finds that there has been a violation of the constitution or bylaws affecting the eligibility of an individual student-athlete or student-athletes, the institution involved and its conference(s), if any, shall be notified of the violation and the name(s) of the student-athlete(s) involved, it being understood that if the institution fails to take appropriate action, the involved institution shall be cited to show cause under the Association's regular enforcement procedures why it should not be disciplined for failure to do so. (*Revised: 1/10/95*)

#### 19.8 RESTITUTION

If a student-athlete who is ineligible under the terms of the constitution, bylaws or other legislation of the Association is permitted to participate in intercollegiate competition contrary to such NCAA legislation but in accordance with the terms of a court restraining order or injunction operative against the institution attended by such student-athlete or against the Association, or both, and said injunction is voluntarily vacated, stayed or reversed or it is finally determined by the courts that injunctive relief is not or was not justified, the Management Council may take any one or more of the following actions against such institution in the interest of restitution and fairness to competing institutions:

- (a) Require that individual records and performances achieved during participation by such ineligible student-athlete shall be vacated or stricken;
- (b) Require that team records and performances achieved during participation by such ineligible studentathlete shall be vacated or stricken;
- (c) Require that team victories achieved during participation by such ineligible student-athlete shall be abrogated and the games or events forfeited to the opposing institutions;
- (d) Require that individual awards earned during participation by such ineligible student-athlete shall be returned to the Association, the sponsor or the competing institution supplying same;
- (e) Require that team awards earned during participation by such ineligible student-athlete shall be returned to the Association, the sponsor or the competing institution supplying same;
- (f) Determine that the institution is ineligible for one or more NCAA championships in the sports and in the seasons in which such ineligible student-athlete participated;
- (g) Determine that the institution is ineligible for invitational and postseason meets and tournaments in the sports and in the seasons in which such ineligible student-athlete participated;
- (h) Require that the institution shall remit to the NCAA the institution's share of television receipts (other than the portion shared with other conference members) for appearing on any live television series or program if such ineligible student-athlete participates in the contest(s) selected for such telecast, or if the Management Council concludes that the institution would not have been selected for such telecast but for the participation of such ineligible student-athlete during the season of the telecast; any such funds thus remitted shall be devoted to the NCAA postgraduate scholarship program; and
- (i) Require that the institution that has been represented in an NCAA championship by such a student-athlete be assessed a financial penalty as determined by the Committee on Infractions. (Revised: 1/8/01 effective 8/1/01)

#### **BYLAW, ARTICLE 20**

# Division Membership

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#### 20.01 GENERAL PRINCIPLES

20.01.1 Membership in the Association. Eligibility for membership in the Association; conditions, obligations and classes of Association membership; and procedures governing the termination, suspension and reinstatement of such membership are governed by Constitution 3.

20.01.2 Division Membership. Each active and provisional member institution and member conference is designated as a member of Division I, II or III for certain legislative and competitive purposes. In the sport of football, Division I is divided into Division I-A and Division I-AA (also see Bylaw 20.8.1). Multidivision classification is permitted under specified circumstances (see Bylaw 20.4).

#### 20.02 DEFINITIONS AND APPLICATIONS

20.02.1 Multidivision Classification. Multidivision classification is the procedure in which a member institution may petition to have a certain sport or sports classified in a division other than the division in which it holds membership (see Bylaw 20.4).

20.02.2 Performance Criterion. A performance criterion is a requirement for membership or classification in a division that must be met by the member institution before the date that the requirement becomes effective; i.e., during the academic year before the effective date. Performance criteria include, but are not limited to, minimum sports sponsorship requirements, minimum scheduling requirements and minimum game-attendance requirements.

20.02.3 Provisional Membership. Provisional membership is a prerequisite for active membership and shall not be less than a four-year period. During the third and fourth years of provisional membership, an institution shall administer its athletics programs in accordance with the constitution, bylaws and other legislation of the Association. (Adopted: 1/11/94 effective 9/2/94, Revised: 1/14/97 effective 8/1/97 for institutions petitioning for provisional membership on or after 8/1/97, Revised 8/1/01)

20.02.4 Restricted Membership. Restricted membership is a membership classification status assigned to an institution that fails to comply with the minimum requirements of its division (e.g., sports sponsorship, scheduling). The institution placed in such status loses eligibility for a number of membership privileges and has from one year to three years to comply with the requirement involved. Failure to comply reclassifies the institution to corresponding membership (see Bylaw 20.3.5).

20.02.5 Emerging Sports for Women. The following shall be considered emerging sports for women and countable for purposes of revenue distribution (i.e., for sports sponsorship and grants-in-aid): (Adopted: 1/11/94 effective 9/1/94)

- (a) Team Sports: team handball and synchronized swimming; and (Revised: 1/13/98)
- (b) Individual Sports: archery, badminton, bowling and squash. (Revised: 1/12/99)

**20.02.5.1 Additional Emerging Sports.** The Presidents Council periodically shall identify future emerging sports for women that shall be countable sports for revenue distribution and minimum sports sponsorship criteria and shall establish procedures to determine minimum contests and maximum grants in those sports. (Adopted: 1/11/94 effective 9/1/94)

**20.02.5.2 Removal of Emerging Sport Status.** A sport shall no longer be considered an emerging sport once the sport has been established as a championship sport. Further, an emerging sport is limited to a 10-year time period to become a championship sport unless it can be demonstrated that steady growth has occurred during that time. (Adopted: 1/13/98)

#### Definitions and Applications/20.02.5.3—Compliance with Criteria/20.3.2

**20.02.5.3 Rowing.** Students who were enrolled in a collegiate institution during the 1996-97 academic year are subject to the eligibility legislation for student-athletes participating in the sport of rowing that was in effect at the time of the student's initial enrollment [see Bylaw 14.01.4 (compliance with legislation for emerging sports)]. (Adopted: 1/13/98)

#### 20.1 DETERMINATION OF DIVISIONS

- 20.1.1 Designation of Division by Active and Provisional Members. Each active and provisional member institution shall be designated as a member of Division I, Division II or Division III for certain legislative and competitive purposes. (Revised: 1/11/94 effective 9/2/94)
  - **20.1.1.1 Men's and Women's Programs in Different Divisions.** An institution shall not classify its women's athletics program in a division other than the institution's membership division, unless its women's program was so classified before January 11, 1991; further, for those institutions so classified, the Executive Committee, by a two-thirds majority of its members present and voting, may permit the institution's women's athletics program to be classified in a division other than its membership division, provided it determines every three years that unusual circumstances warrant such action. (*Revised:* 1/10/91)
  - **20.1.1.2 Multidivision Classification.** A member may request classification and eligibility in more than one division in accordance with provisions permitting multidivision classification, eligibility and voting (see Bylaw 20.4).
- 20.1.2 Designation of Division by Member Conference. Each member conference shall determine the membership division it desires for legislative and/or competitive purposes. When designating the division, at least 50 percent or a minimum of 25 of the conference's institutions must hold membership in that division. The Executive Committee shall determine the membership division of a member conference not meeting these criteria.

#### 20.2 ELIGIBILITY OF NEW MEMBER

- 20.2.1 Determination of Division/Submission for Election. At the time of application, a prospective member shall designate the membership division it desires and any desired multidivision classification per Bylaw 20.4. The application then shall be submitted for possible election to provisional membership (effective the next September 1) in accordance with the provisions of Constitution 3. (Revised: 1/10/90, 1/11/94 effective 9/2/94)
- 20.2.2 Four-Year Provision. Provisional membership shall not be less than a four-year period. During the first and second years of provisional membership, an institution shall apply the legislation of the Association to the greatest extent possible. During the third and fourth years of provisional membership, an institution shall operate in conformity with the membership criteria of this article. (Revised: 1/11/94 effective 9/2/94, Revised: 1/14/97 effective 8/1/97 for institutions petitioning for provisional membership on or after 8/1/97)
  - **20.2.2.1 Criteria in Effect for Less Than Two Years.** If particular membership criteria have been in effect for less than two years, the provisional member is obligated to show conformity with those criteria only from the effective date of their adoption. (*Revised: 1/11/94 effective 9/2/94*)
- 20.2.3 Eligibility of New Member for Championships. To be eligible for NCAA championships immediately after the September 1 on which an institution's election to active membership becomes effective, the institution shall: (*Revised: 1/11/94 effective 9/2/94*)
- (a) Have operated in conformity with the membership criteria of this article for its division for a period of two years for Divisions I and II only; (Revised: 1/10/91 effective 9/1/91)
- (b) Comply with all championships eligibility provisions prescribed in Bylaw 31.2.1; and
- (c) Otherwise be eligible for participation in those championships.

#### 20.3 ESTABLISHMENT OF AND COMPLIANCE WITH DIVISION CRITERIA

- 20.3.1 Adoption of Criteria. The members of each division, by majority vote, may establish division criteria for membership and competition by sport.
- 20.3.2 Effective Date and Compliance Period. In establishing criteria, the members of the division shall specify the effective date and compliance period, if any. Each institution (either as a member of that division or as an institution that competes in a sport in that division under the provisions of multidivision classification per Bylaw 20.4) shall conform to the requirements of the criteria by that effective date or by the end of the compliance period, whichever is later. Amendments to membership criteria shall be effective the September 1 after adoption or a future September 1.

- **20.3.2.1 Deadline for Meeting Performance Criterion.** An institution must comply with any specific performance criterion adopted by the membership of its division (e.g., that the institution sponsor a minimum number of sports, schedule and play a specific percentage or number of contests against opponents of a certain division, or demonstrate a specific level of paid attendance) by the end of the academic year before the effective date of the legislation.
- 20.3.3 Failure to Conform by Effective Date. If an institution has not conformed to the adopted criteria of its division by the effective date or the end of the prescribed compliance period, the institution's membership (or its sport per Bylaw 20.4) shall be reassigned to a division for which it qualifies. If the member (or its sport per Bylaw 20.4) does not qualify for any division, the institution (or its sport per Bylaw 20.4) shall be reclassified in accordance with Bylaw 20.3.5.
- 20.3.4 Continuation of Compliance. To retain division membership or approved multidivision classification (per Bylaw 20.4), each member institution shall continue to meet division criteria when any grace period expires. If an institution fails to remain in compliance with its division's criteria, the institution's membership (or its sport per Bylaw 20.4) shall be reassigned to a division for which it qualifies. If the member (or its sport per Bylaw 20.4) does not qualify for any division, the institution shall be reclassified in accordance with Bylaw 20.3.5 and also shall be subject to the Association's enforcement procedures.
- 20.3.5 Noncompliance and Waivers of Division Criteria

#### 20.3.5.1 Restricted Membership

**20.3.5.1.1 Minimum One-Year Compliance Period.** If an institution (or its sport per Bylaw 20.4) does not qualify for membership in any division, the institution (or its sport per Bylaw 20.4) may be placed in a "restricted membership" category for a minimum of one year. At the conclusion of the minimum one-year period, the institution automatically shall be granted membership in its preferred division, provided the institution complies with the division's criteria. If the member does not meet the criteria of any division at the end of the "restricted membership" period, the member shall be reclassified as a corresponding member.

#### 20.3.5.1.2 Failure to Meet Minimum Sports Sponsorship Criteria

- **20.3.5.1.2.1 One-Year Probationary Period.** An institution that fails to meet either the minimum men's or women's sports sponsorship criterion for its division shall be placed on probation for one year for its entire program (both men's and women's sports) in the next academic year after noncompliance with sports sponsorship requirements is discovered. An institution shall be afforded the one-year probationary period for failure to comply with sports sponsorship criteria only once in every 10-year period. The 10-year period shall begin the September 1 after completion of the academic year in which the membership criterion is not met. (*Revised:* 1/11/89)
- **20.3.5.1.2.2 Application of Restricted Membership Status.** If an institution fails to meet the sports sponsorship criteria at the end of the probationary year or is ineligible for the once-in-10-year probationary period, it shall be placed in "restricted membership" and shall not be eligible for NCAA championship competition in all sports (both men's and women's) in the first academic year after the probationary year (or the first academic year after failure to meet the requirements if the institution is ineligible for the once-in-10-year probationary period). If the institution still cannot certify compliance with the division criteria at the end of that year, it shall be reclassified as a corresponding member. (*Revised: 1/11/89*)
- **20.3.5.1.3** Failure to Meet Division Scheduling Requirement. An institution that fails to meet the division scheduling requirement in a sport shall be placed in the "restricted membership" category in that sport, with men's and women's teams in the same sport treated separately. The sport shall be in the "restricted membership" category for no longer than a three-year period.
- **20.3.5.1.4 Voting Privileges and Eligibility for Championships.** The institution shall identify its preferred division and, during the period of "restricted membership," shall be:
- (a) In compliance to the greatest extent possible with that division's scheduling criteria;
- (b) Bound by all other applicable rules of the Association;
- (c) Permitted to vote only on dominant issues, unless the "restricted membership" status is in one sport, in which case its loss of voting privileges shall apply only to that sport; and
- (d) Ineligible for NCAA championships and postseason football contests, unless the "restricted membership" status is in one sport, in which case loss of eligibility for championships and postseason football contests shall apply only to that sport.
- **20.3.5.1.5 Division Members Counting a Restricted Opponent.** During the period of its "restricted membership," an institution may be counted by its classified opponents as a member of the restricted institution's desired division for purposes of the opponents' meeting their division's

#### Establishment of and Compliance with Division Criteria/20.3.5.1.5—20.3.5.2.2.2

scheduling criteria; however, a restricted member shall not count another restricted member for such purposes.

- **20.3.5.1.5.1 Restricted Members Counting a Restricted Opponent.** A contest between two institutions in "restricted membership" status in a sport shall not be counted as a contest by either institution for purposes of the scheduling criterion in the sport in which they are restricted, provided this exception is not applied to more than 25 percent of an institution's contests in the sport in question for any particular season.
- **20.3.5.1.6** No Voluntary Selection of "Restricted Membership" Category. "Restricted membership" status is imposed only when an institution has failed to comply with division membership criteria. An institution is not permitted to select voluntarily or to request that it be placed in the "restricted membership" category.
- **20.3.5.1.7** Removal of "Restricted Membership" Status. When an institution that has been placed in "restricted membership" status wishes to return to its original membership division, it shall meet the criteria that were in effect at the time it became restricted or that have become effective since that time. If a restricted institution wishes to move to a different membership division, it is considered a petitioner for change of division membership per Bylaw 20.5 and would be required to meet all of the new division's criteria at the time the institution leaves restricted status.
- **20.3.5.2 Waivers of Division Membership Criteria.** If an institution applying for multidivision classification or change of division membership, or an institution placed in the "restricted membership" category (per Bylaw 20.3.5.1), does not meet the criteria of its preferred division, or if an active member institution does not meet (or does not expect to meet within the applicable compliance period, if any) new criteria adopted by the membership of its division, it may request a waiver of the criteria of the preferred division according to the procedures specified below. The Management Council, on recommendation of the Membership Committee, may approve or reject the waiver request. (Revised: 1/11/94 effective 9/2/94, Revised: 1/12/99)
  - **20.3.5.2.1 Submission of Request.** The institution shall submit (by mail or wired transmission) its request for a waiver (signed by the institution's chief executive officer) to the Association's president, and it shall be received in the national office not later than September 15. Any request received after that date shall be postmarked not later than September 8. The request shall include pertinent information supporting the institution's request. Additionally, the institution shall distribute this information to the chief executive officer, faculty athletics representative, athletics director and senior woman administrator of athletics programs at each member institution in the preferred division, as well as the executive officer of each member conference of that division, postmarked not later than December 1.
    - **20.3.5.2.1.1 Waiver.** The Management Council, by a two-thirds majority of its members present and voting, may waive the September 15 deadline set forth in Bylaw 20.3.5.2.1 due to circumstances beyond an institution's control. (Adopted: 1/9/96)
  - **20.3.5.2.2 Effective Date of Waiver.** If the Management Council votes to admit the institution as a member of that division, such classification shall become effective: (*Revised:* 1/12/99)
  - (a) In accordance with the requirements of Constitution 3.2.3.4 if a new member of the Association is involved;
  - (b) September 1 after the approval of the waiver by the Management Council if an active member institution is seeking multidivision classification or change of division membership; or (Revised: 1/10/90, Revised: 1/12/99)
  - (c) Immediately if the institution receives a waiver of the "restricted membership" classification. (Adopted: 1/11/89)
    - **20.3.5.2.2.1 Maximum Three-Year Waiver Period.** If after three years from the effective date of multidivision classification or reclassification (September 1 after the approval of the waiver by the Management Council) the institution receiving such a waiver has not conformed to all adopted criteria of the division, the institution's membership (or its sport per Bylaw 20.4): (Revised: 1/11/94 effective 9/2/94, Revised: 1/12/99)
    - (a) Shall be assigned to a division for which it qualifies in accordance with Bylaw 20.5.1; or
    - (b) Shall be placed in the "restricted membership" category for a minimum of one year in accordance with Bylaw 20.3.5.1 if the member (or its sport per Bylaw 20.4) does not qualify for any division.
    - **20.3.5.2.22 Eligibility for Championships.** The institution receiving such a waiver shall be accorded all privileges of division membership during this waiver period; however, its eligibility for championships shall require compliance with the institutional eligibility requirements of Bylaw 20.2.3, 20.4.3 or 20.5.3.

#### Compliance with Criteria/20.3.5.2.3—Change of Division Membership/20.6.1

- **20.3.5.2.3 Rejection of Waiver Request.** If the Management Council rejects the institution's request, the institution's membership (or its sport per Bylaw 20.4): (*Revised: 1/12/99*)
- (a) Shall be reassigned to a division for which it qualifies; or
- (b) Shall be placed in the "restricted membership" category, if it does not qualify for any division (see Bylaw 20.3.5.1).

#### 20.4 MULTIDIVISION CLASSIFICATION

- 20.4.1 Multidivision-Classification Options. A member institution may have a sport classified in a division other than the one in which it is a member only in the following circumstances:
  - **20.4.1.1 Classification of a Sport in Division I.** A member may petition to be classified in Division I in any one men's sport, other than football or basketball, and in any one women's sport, other than basketball, and in any single sport in which the only NCAA championships opportunity is the National Collegiate Championship. (*Revised: 1/11/94 effective 8/1/94*)
  - **20.4.1.2 Women's Multidivision-Classification Limitation.** A member institution that has its women's program classified in a division other than its membership division (per Bylaw 20.1.1.1) shall not be eligible to petition for the multidivision-classification opportunities available to a women's program that is classified in the same division as the institution's men's program.
  - **20.4.1.3 National Collegiate Championship.** A member institution may petition to be classified in a division other than its membership division in a single sport in which the only NCAA championships opportunity is a National Collegiate Championship for which all divisions are eligible. (Adopted: 1/10/91, Revised: 1/10/92)
- 20.4.2 Requesting Multidivision Classification
  - **20.4.2.1 Deadline for Submission of Approved Form.** When petitioning for multidivision classification, a member shall submit its request to the Association's president on a form approved by the Executive Committee. The form must be received in the national office (by mail or wired transmission) not later than June 1. Any form received after that date shall be postmarked not later than May 25.
  - **20.4.2.2 Compliance with Criteria.** If the member has met all applicable division membership criteria of this article and has complied for the two years before June 1 with all other bylaw requirements as they pertain to the sport in question (other than the financial aid requirements set forth in Bylaw 15.4, except that athletically related financial aid may not be awarded to incoming freshmen or transfer students), the member shall be eligible for participation in the division in that sport effective the September 1 that the institution selects as its effective date. (Revised: 1/10/90)
  - **20.4.2.3 Three-Year Requirement.** Once the institution has been approved for multidivision classification, it shall remain classified in the division (for that sport) for a minimum of three years.
- 20.4.3 Championships Eligibility. To be eligible for the NCAA championship in the sport in the new division on the effective September 1, the institution shall comply with all championships eligibility requirements, as prescribed by Bylaw 31, and otherwise shall be eligible for participation in that championship. In addition, a member of Division II or Division III that has been approved for classification in one sport in Division I shall comply with the championships eligibility provisions of Bylaw 18.4.2.2.
- 20.4.4 Waivers
  - **20.4.4.1 Three-Year and Petition Deadline Requirements.** The Executive Committee, by a two-thirds majority of its members present and voting, may grant waivers of the June 1 and September 1 deadline provisions of this section or of the three-year membership provisions of Bylaw 20.4.2.3 if it deems that unusual circumstances warrant such action.
  - **20.4.4.2 New Division or Subdivision.** When the institution's reclassification was the direct result of the creation of a new division or subdivision, the Executive Committee, by a two-thirds majority of its members present and voting, may grant waivers of the three-year requirement of Bylaw 20.4.2.3 if it deems that unusual circumstances warrant such action.

#### 20.6 CHANGE OF DIVISION MEMBERSHIP

20.6.1 Reclassification Options. A member institution may request a change of division membership according to the provisions of this section. A member may petition to change its membership to Division II, and a member of Division I or II may petition to change its membership to Division III. (*Revised: 1/12/99 effective 8/1/99*)

#### Change of Division Membership/20.6.2—20.6.4.2

- 20.6.2 Requesting Reclassification
  - **20.6.2.1 Reclassification to Division II—Notification Requirement.** The chief executive officer of a member that intends to petition for reclassification to Division II, per Bylaw 20.5.2.4, shall submit to the Association's president written notice of the institution's intention to reclassify. The notice shall be received in the national office (by mail or wired transmission) not later than June 1, two years before the September 1 when the institution intends to reclassify to Division II. (Adopted: 1/12/99 effective 8/1/99 for institutions first submitting official notice to reclassify after 6/1/98)
  - **20.6.2.2 Deadline for Submission of Petition.** When petitioning for change of division membership, a member shall notify the Association's president on a form approved by the Executive Committee. The form shall be received in the national office (by mail or wired transmission) not later than June 1. Any form received after that date shall be postmarked not later than May 25.
  - **20.6.2.3 Compliance with Criteria.** If the member has met all applicable membership criteria of this article for Division III and has complied for the three years (if reclassifying from Division II) or four years (if reclassifying from Division I) preceding June 1 with all other Division III requirements, the member shall be transferred to Division III effective the September 1 that the institution selects as its effective date. (Revised: 1/10/90, 1/13/98 effective 8/1/98 for institutions that begin the initial year of the compliance period during the 1998-99 academic year, Revised: 1/12/99 effective 8/1/99)
    - **20.6.2.3.1 Financial Aid Exception.** During the first year of compliance, the member is not required to comply with the financial aid requirements set forth in Bylaw 15.4, except that athletically related financial aid may not be awarded to incoming freshmen or transfer students. During the second and third years, the member reclassifying from Division II must comply with all financial aid requirements set forth in Bylaw 15.4. During the third and fourth years, the member reclassifying from Division I must comply with all financial aid requirements set forth in Bylaw 15.4. (Revised: 1/10/90, 1/13/98 effective 8/1/98 for institutions that begin the initial year of the compliance period during the 1998-99 academic year, Revised: 1/12/99 effective 8/1/99)
    - **20.6.2.3.2 Institutional Self-Study Requirement.** An institution reclassifying into Division III from Division III must complete an Institutional Self-Study Guide (ISSG) during the first year of its three-year compliance period. An institution reclassifying to Division III from Division I must participate in the provisional member education program and must complete an ISSG during the first year of its four-year compliance period. (*Adopted: 1/13/98 effective 8/1/98, for those institutions that begin the initial year of the compliance period during the 1998-99 academic year, Revised: 1/12/99 effective 8/1/99)*
  - **20.6.2.4 Three-Year Requirement.** Once the change of division membership has been approved, the institution shall remain classified in that division for a minimum of three years.
  - **20.6.2.5** Reclassification of Provisional Membership from Division III. The Management Council, on recommendation from the Membership Committee, may waive one year of the four-year provisional membership period if an institution reclassifies its provisional membership from Division II to Division III. The waiver may be granted only if the institution meets Division III financial aid requirements and the minimum contest and participant requirements during its previous year of provisional membership. The institution shall serve a minimum of four total years of provisional membership before being considered for active membership. (*Adopted: 1/12/99 effective 8/1/99*)
- 20.6.3 Championships Eligibility. To be eligible for NCAA championships in the new division on the effective September 1, the institution shall comply with all championships eligibility requirements, as prescribed by Bylaw 31, and otherwise shall be eligible for participation in those championships.
  - **20.6.3.1 Reclassification to Division II.** A member that has forwarded to the national office written notice of its intention to change its membership classification to Division II, per Bylaw 20.5.2.4, no longer shall be eligible to participate in any future Division III championship. (Adopted: 1/12/99 effective 8/1/99; for institutions first submitting official notice to reclassify after 6/1/98)
- 20.6.4 Waivers
  - **20.6.4.1 Three-Year and Petition Deadline Requirements.** The Executive Committee, by a two-thirds majority of its members present and voting, may grant waivers of the June 1 and September 1 deadline provisions of this section or of the three-year membership provisions of Bylaw 20.6.2.4 if it deems that unusual circumstances warrant such action.
  - **20.6.4.2 New Division or Subdivision.** When the institution's reclassification was the direct result of the creation of a new division or subdivision, the Executive Committee, by a two-thirds majority of its members present and voting, may grant waivers of the three-year requirement of Bylaw 20.6.2.4 if it deems that unusual circumstances warrant such action.

#### 20.7 DIVISION LEGISLATION

20.7.1 Applicable Legislation. A member institution shall observe the applicable legislation and requirements of its membership division. However, if the institution is eligible to participate in a sport in another division (see Bylaw 20.4 for multidivision-classification privileges), it shall apply the rules of the other division that govern the sport in question.

**20.7.1.1 Division III Application.** A member institution that has a sport classified in Division I must apply the rules of both divisions, or the more stringent rule if both divisions have a rule concerning the same issue except that in either instance, the institution may apply the Division I playing and practice season regulations of Bylaw 17 in the Division I sport. (*Revised: 1/11/94*)

**20.7.1.1.1 Waivers.** If a member institution conducts a men's or women's sport that was classified in Division I during the 1982-83 academic year, the Management Council, on recommendation of the Membership Committee, by a two-thirds majority of its members present and voting, may approve waivers of the application of the Division III regulations to such a sport. This provision also applies to such an institution that later reclassifies a sport for the opposite gender to Division I.

### 20.8 ELIGIBILITY FOR NATIONAL COLLEGIATE AND DIVISION CHAMPIONSHIPS

20.8.1 Eligibility for National Collegiate Championships. Separate championships in each division are not sponsored in the following sports:

Men's and women's fencing

Men's and women's rifle

Men's gymnastics

Men's and women's skiing

Women's gymnastics Men's volleyball Women's Water Polo Men's water polo

An active member institution in good standing, regardless of division, is eligible for the National Collegiate Championships if a division championship in the respective sport is not offered in its division. Such an institution normally is required to meet only the institutional and individual eligibility requirements of its division that govern the sport in question. However, in those sports in which two-thirds or more of the institutions sponsoring the sport are members of the same division, an institution participating in the championship is required to meet that division's and its own division's institutional and individual eligibility requirements (or the more stringent rule if both divisions' rules address the same issue).

20.8.2 Options When No Division III Championship Is Conducted. An active member institution that holds membership in Division III is eligible to compete in the Division II championships in those sports for which no championship is conducted in Division III. Such an institution is required to meet only the institutional and individual eligibility requirements of Division III that govern the sport in question.

**20.8.2.1 Exception for Maximum Number of Contests or Dates of Competition.** A Division III member institution that is eligible for a championship in another division because there is no championship in that sport in its membership division shall apply the maximum number of contests or dates of competition in the sport involved that applies to the division in which it declares its intention to compete.

#### 20.11 DIVISION III MEMBERSHIP REQUIREMENTS

#### DIVISION III PHILOSOPHY STATEMENT

Colleges and universities in Division III place highest priority on the overall quality of the educational experience and on the successful completion of all students' academic programs. They seek to establish and maintain an environment in which a student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience. They also seek to establish and maintain an environment that values cultural diversity and gender equity among their student-athletes and athletics staff. (Revised: 1/10/95)

To achieve this end. Division III institutions:

- (a) Place special importance on the impact of athletics on the participants rather than on the spectators and place greater emphasis on the internal constituency (students, alumni, institutional personnel) than on the general public and its entertainment needs:
- (b) Award no athletically related financial aid to any student;

#### Division III Membership Requirements/20.11—20.11.3.2

- (c) Encourage the development of sportsmanship and positive societal attitudes in all constituents, including student-athletes, coaches, administrative personnel and spectators:
- (d) Encourage participation by maximizing the number and variety of athletics opportunities for their students:
- (e) Assure that the actions of coaches and administrators exhibit fairness, openness and honesty in their relationships with student-athletes;
- (f) Assure that athletics participants are not treated differently from other members of the student body;
- (g) Assure that athletics programs support the institution's educational mission by financing, staffing and controlling the programs through the same general procedures as other departments of the institution;
- (h) Provide equitable athletics opportunities for males and females and give equal emphasis to men's and women's sports;
- (i) Support ethnic and gender diversity for all constituents; (Adopted: 1/12/99)
- (j) Give primary emphasis to regional in-season competition and conference championships; and
- (k) Support student-athletes in their efforts to reach high levels of athletics performance, which may include opportunities for participation in national championships, by providing all teams with adequate facilities, competent coaching and appropriate competitive opportunities.

The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. The above statement articulates principles that represent a commitment to Division III membership and shall serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences.

- 20.11.1 Regular-Season Eligibility. A member shall conduct its regular-season competition under eligibility rules at least as stringent as those provisions of Bylaw 14 applicable to members (see Bylaw 14.1.1).
- 20.11.2 Financial Aid Limitations. A member shall not award financial aid to any student-athlete except on a showing of financial need by the recipient (see Bylaw 15.4).
  - 20.11.3 Sports Sponsorship. A member shall sponsor in Division III a minimum of: (Revised: 1/14/97 effective 8/1/01)
  - (a) Five varsity intercollegiate sports, including at least three team sports, based on the minimum requirements of Bylaw 20.11.3.2 and involving all-male teams or mixed teams of males and females; and
  - (b) Five varsity intercollegiate sports, including at least three team sports, based on the minimum requirements of Bylaw 20.11.3.2 and involving all-female teams, except when a waiver has been granted per Constitution 3.2.4.11.4.
  - **20.11.3.1 One Team Sport Each Season.** A member institution does not need to sponsor one team sport each season. ( $Adopted\ 10/25/00$ )
  - 20.11.3.2 Acceptable Sports. The sports designated to meet the sports sponsorship criteria shall:
  - (a) Be among those in which the Association sponsors a championship; and
  - (b) Be recognized by the institution as varsity intercollegiate sports (see Constitution 3.2.4.4); and
  - (c) Involve all-male teams, mixed teams of males and females or all-female teams.
    - **20.11.3.2.1 Waiver.** The Management Council, on recommendation of the Membership Committee, by a two-thirds majority of its members present and voting, may approve a request to designate one sport involving all-male teams or mixed teams of males and females and one sport involving all-female teams other than those in which the Association sponsors a championship to meet the division sports sponsorship criteria. (Revised: 1/11/89)
  - **20.11.3.2 Minimum Contests and Participants Requirements for Sports Sponsorship.** In each sport, the institution's team shall engage in at least a minimum number of intercollegiate contests (against the varsity programs of four-year, degree-granting collegiate institutions) each year. In the individual sports, the institution's team shall include a minimum number of participants in each contest that is counted toward meeting the minimum-contests requirement. The following minimums are applicable: (Revised: 1/12/99 effective 8/1/99)

Team Sports	Minimum Contests	Individual Sports	Minimum Contests	Minimum Participants
Baseball	12	Cross Country	5	5
Basketball	18	Men's Fencing	6	5
Field Hockey	8	Women's Fencing (Revised: 1/11/00)	6	5
Football	8	Golf	7	5
Ice Hockey	12	Men's Gymnastics	6	6
Lacrosse	8	Women's Gymnastics	6	5
Soccer	8	Rifle	8	4
Softball	12	Skiing	5	5
Volleyball	8	Swimming and Diving	6	8
Water Polo	12	Tennis	7	5
		Track and Field, Indoor	5	10
		Track and Field, Outdoor	5	12
		Wrestling	7	6

(Note: The minimum-contest requirements set forth in Bylaws 20.11.3.2.1 through 20.11.3.2.8 apply only to the provisions of this section and do not apply to minimum-contest requirements in Bylaw 14 and Bylaw 17.)

- **20.11.3.2.1 Completion of Contest.** To count as a contest, the institution's team actually shall participate in and complete the contest. Scheduled contests that are canceled or not completed (in accordance with the playing rules of the sport in question) may not be counted.
- **20.11.3.2.2** Regional Cross Country Qualifying Meets. An institution may count participation in a Division III regional cross country meet in meeting the minimum-contest requirement, provided the institution meets the minimum-participant requirement per Bylaw 20.10.3.2, and no qualifying standards exist for participation in the meet. (Adopted: 1/11/94)
- **20.11.3.2.3 Counting Multicontest Events.** In the team sports, each game in a double-header, triple-header or tournament shall be counted as one contest.
- **20.11.3.2.4 Counting Multiteam Events in Individual Sports.** In the individual sports, not more than two institution-versus-institution meetings shall be counted as contests in any multiteam competition (e.g., quadrangular track and field meet). If the institution achieves a single score in competition with the other competing institutions (e.g., a conference championship), it shall be counted as one contest. (*Revised: 1/11/89 effective 8/1/89*)
  - **20.11.3.2.4.1 Meets with No Team Scoring.** In the individual sports, a meet at which no team scoring is kept counts as a contest for the purpose of meeting the minimum-contest requirement, provided that at least the minimum number of participants per Bylaw 20.11.3.2 participate on the institution's team.
- **20.11.3.2.5 Individual Sports—One or More Sites.** In the individual sports, if the minimum number of student-athletes participating on one or more teams, at one or more sites, on behalf of the institution on the same day equals or exceeds the minimum number of participants per Bylaw 20.11.3.2, an institution may use the competition as a contest in meeting the minimum-contest requirements. (Adopted: 1/16/93)
- **20.11.3.2.6 Contests in Two Seasons.** If an institution sponsors the same sport in two different seasons of the same academic year, it may count contests in both seasons, provided regular varsity competition is sponsored in both seasons and is so listed on the institution's official schedule in that sport.
- **20.11.3.2.7 Contests Versus Club Teams.** A contest against a collegiate institution's club team may not be counted toward meeting the minimum-contest requirements. However, a member is not precluded from scheduling club teams.
- **20.11.3.2.8 Indoor and Outdoor Track and Field.** An institution may receive credit for sponsoring both indoor and outdoor track and field, provided its team participates in a total of at least 10 indoor and outdoor meets during the year, including at least three indoor and three outdoor meets.

#### Division III Membership Requirements/20.11.3.2.9—20.11.4

#### 20.11.3.2.9 Waivers

**20.11.3.2.9.1 Minimum Contests and Participants.** The Management Council, on recommendation of the Membership Committee, by a two-thirds majority of its members present and voting, may approve waivers of the minimum number of intercollegiate contests or the minimum number of participants in a contest in situations beyond the control of the institution (e.g., weather conditions or disaster preventing completion of a scheduled competition, or verified injury or illness at the site of competition preventing the participation of the required minimum number of individuals). (Revised: 1/10/90)

**20.11.3.2.9.2 Minimum Contests.** The Management Council, on recommendation of the Membership Committee, by a two-thirds majority of its members present and voting, may approve a waiver of the minimum number of intercollegiate contests in a situation in which:

- (a) The member institution can document that it had scheduled (for that academic year) the appropriate minimum number of contests under enforceable game contracts executed in writing;
- (b) An opponent canceled a game that it had contracted to play that academic year; and
- (c) Despite a good-faith effort, the institution was unable to rearrange its schedule to play the appropriate minimum number of contests.

**20.11.3.2.9.3 Baseball Waiver.** The Management Council, on recommendation of the Membership Committee, by two-thirds majority of its members present and voting, may approve waivers for institutions that fail to complete the minimum number of contests because the institution chooses to forfeit or cancel games against teams whose baseball bats do not conform to the August 1, 1999, (three-prong test) standard. This waiver is applicable only to those institutions that have designated baseball as one of their four sports for males needed to meet the minimum sports sponsorship requirements. (Adopted: 4/13/99)

20.11.4 Letter-of-Intent Prohibition. An institution, or one that competes in a sport in Division III, shall not use in the recruitment of a prospective student-athlete in a sport classified in Division III any form of a letter of intent or similar form of commitment. The institution may use for a prospective student-athlete those preenrollment forms executed by prospective students in general at that institution.

## FIGURE 20-1 General Requirements for Membership

Sports Sponsorship: Number of Sports			
All-Male or Mixed-Team Sports	5		
All-Female Sports	5		
Minimum Number of Team Sports	3-All male/mixed 3-All female		
Football Scheduling Requirement	NONE		
Football Attendance Requirement	NONE		
Men's Basketball Scheduling Requirement	NONE		
Women's Basketball Scheduling Requirement	NONE		
Scheduling Requirement— Sports Other Than Football and Basketball	NONE		
Financial Aid Requirement	NONE		

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# **BYLAW, ARTICLE 21**

# Committees

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#### 21.02 DEFINITIONS AND APPLICATIONS

- 21.02.1 Association-Wide Committees. Association-wide committees deal with issues that affect all members of the Association and perform duties necessary to the on-going operation of the Association. Association-wide committees are comprised of members from each of the Association's divisions. (Adopted: 1/14/97 effective 8/1/97)
- 21.02.2 Common Committees. Common committees deal with issues that apply to more than one division of the Association. Common committees perform duties necessary to the on-going operation of the applicable divisions and are comprised of members from the applicable divisions. (Adopted: 1/14/97 effective 8/1/97)
- 21.02.3 Federated Committees. Federated committees deal with issues that apply to a specific membership division. Federated committees perform duties necessary to the on-going operation of that division and are comprised only of members from that division. (*Adopted: 1/14/97 effective 8/1/97*)

# 21.1 ASSOCIATION-WIDE COMMITTEES—GENERAL COMMITTEES

- 21.1.1 Method of Selection and Operation. Each division's governance structure shall appoint members to serve on the general Association-wide committees of the Association, consistent with that division's committee-appointment procedures (see Bylaw 21.8). The membership of each committee shall include representatives from each of the Association's membership divisions, including each subdivision of Division I. The Executive Committee shall ratify the appointment of all individuals serving on Association-wide committees and shall appoint the chairs of those committees. The Executive Committee also shall ratify policies regarding length, location and expenses of Association-wide committee meetings. Association-wide committees are set forth in Bylaws 21.1.2 through 21.1.11. (Revised: 1/10/90, 1/16/93, 1/14/97 effective 8/1/97)
- 21.1.2 Competitive Safeguards and Medical Aspects of Sports, Committee on
  - **21.1.2.1 Composition.** The Committee on Competitive Safeguards and Medical Aspects of Sports shall consist of 16 members, including five positions allocated for men, five allocated for women and six unallocated. The membership of the committee shall be constituted as follows: (*Revised: 1/11/00*)
  - (a) Two athletics directors or senior woman athletics administrators, one man and one woman;
  - (b) One member who is an active coach;
  - (c) One member active in exercise physiology research;
  - (d) Three members from the field of medicine, of whom at least one shall be a woman and one shall be a primary-care team physician; (*Revised: 1/10/91*)
  - (e) One man and one woman, one of whom is responsible for the total athletics training programs at member institutions: (Revised: 1/10/95)
  - (f) One member representing the field of law;
  - (g) One member of the NCAA Football Rules Committee;
  - (h) One member representing secondary school interests. This individual shall be the National

# Association-Wide General Committees/21.1.2.1—21.1.6.2

- Federation of State High School Association staff liaison to the Sports Medicine Advisory Board and shall be eligible for reappointment without restriction; (Revised: 1/8/01)
- (i) One member active in sports-medicine research: (Revised: 1/10/90)
- (j) One member with expertise in the area of drug education; and (Adopted: 1/11/00)
- (k) Two members selected at large.
  - **21.1.2.1.1 Student-Athlete Representation.** A Division III student-athlete shall serve as a member of the committee in an advisory capacity and may serve on the committee up to two years after completion of his or her intercollegiate athletics eligibility. (*Adopted: 1/11/00*)
- **21.1.2.2 Duties.** The committee, subject to the direction of the Executive Committee, shall:
  - (a) Collect and develop pertinent information regarding desirable training methods, prevention and treatment of sports injuries, use of sound safety measures at the college level, drug education, and drug testing:
  - (b) Disseminate such information as might be brought appropriately to the attention of the Association's membership and adopt recommended policies and standards designed to further the above objectives; and
  - (c) Supervise the Association's drug-education and drug-testing programs under the direction of the Executive Committee.
- 21.1.3 Honors Committee

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- # 21.1.3.1 Composition. The Honors Committee shall consist of eight members, one from each division and subdivision of Division I, three nationally distinguished citizens, one of which must be a former NCAA honors recipient. Division II and Division III will be represented each by a member of the Management Council. Two positions shall be allocated for men, two allocated for women and four unallocated. (Revised: 1/13/98)
- # **21.1.3.2 Duties.** The committee shall receive nominations for the Theodore Roosevelt Award, the Silver Anniversary Awards and the Top VIII awards, and the Award of Valor and shall select the recipients of those awards.
  - 21.1.4 Memorial Resolutions Committee
- # **21.1.4.1 Composition.** The Memorial Resolutions Committee shall consist of five members, at least one from each membership division. (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/13/98*)
- # 21.1.4.2 Duties. The committee shall be responsible for compiling the names of those individuals associated with intercollegiate athletics who died during the year immediately before the annual Convention. The committee shall make its report each year, memorializing the individuals involved, during the business sessions of the annual Convention. (Adopted: 1/14/97 effective 8/1/97)
  - 21.1.5 Minority Opportunities and Interests Committee
- # **21.1.5.1 Composition.** The Minority Opportunities and Interests Committee shall consist of 12 members. There shall be six members from Division I, three members from Division II and three members from Division III. Committee membership shall include a minimum of eight ethnic minorities, including at least four males and four females. (Adopted: 1/10/91)
  - **21.1.5.1.1 Student-Athlete Representation.** Three student-athletes, at least one of whom shall be a Division III student-athlete, shall serve as members of the committee in an advisory capacity and may serve on the committee up to two years after completion of their intercollegiate athletics eligibility. (*Adopted:* 1/10/95, *Revised:* 1/9/96, 1/11/00)
  - **21.1.5.2 Duties.** The committee shall review issues related to the interests of ethnic minority student-athletes, NCAA minority programs and NCAA policies that affect ethnic minorities. (Adopted: 1/10/91)
    - 21.1.6 Olympic Sports Liaison Committee
- # **21.1.6.1 Composition.** The Olympic Sports Liaison Committee shall consist of 11 members, including at least one from each division. (*Adopted:* 1/10/91)
  - **21.1.6.1.1 Student-Athlete Representation.** A Division III student-athlete shall serve as a member of the committee in an advisory capacity and may serve on the committee up to two years after completion of his or her intercollegiate athletics eligibility. (*Adopted: 1/11/00*)
- # **21.1.6.2 Duties.** The committee shall: (*Adopted*: 1/10/91)
  - (a) Act as a liaison between the Association, the U.S. Olympic Committee and national governing bodies; and

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# Association-Wide General Committees/21.1.6.2—21.1.11.2

- (b) Study and make recommendations concerning the Association's appropriate role in the involvement of student-athletes in international athletics.
- 21.1.7 Postgraduate Scholarship Committee
  - **21.1.7.1 Composition.** The Postgraduate Scholarship Committee shall consist of seven members, including two positions allocated for men, two allocated for women and three unallocated, one of which shall be a former recipient of an NCAA postgraduate scholarship.
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- **21.1.7.2 Duties.** The committee shall be responsible for planning and administering the Association's postgraduate scholarship program for student-athletes who have distinguished themselves academically and athletically.
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- 21.1.8 Research Committee
  - **21.1.8.1 Composition.** The Research Committee shall consist of 10 members, including four representatives from Division I, two each from Divisions II and III and two unallocated. In addition, three positions shall be allocated for men, three allocated for women and four unallocated. The membership of the committee shall consist of at least three athletics administrators and at least three faculty athletics representatives with appropriate research experience. (*Revised:* 1/13/98)
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    - **21.1.8.1.1 Student-Athlete Representation.** A Division III student-athlete shall serve as a member of the committee in an advisory capacity and may serve on the committee up to two years after completion of his or her intercollegiate athletics eligibility. (*Adopted: 1/11/00*)
  - 21.1.8.2 Duties. The committee shall:
  - (a) Evaluate, supervise and coordinate the Association's research activities:
  - (b) Make recommendations to the Executive Committee regarding expenditures of Association funds for research projects; and
  - (c) Make recommendations to the Council concerning research topics in intercollegiate athletics.
- 21.1.9 Sportsmanship and Ethical Conduct, Committee on
  - **21.1.9.1 Composition.** The Committee on Sportsmanship and Ethical Conduct shall consist of eight members. (Adopted: 1/14/97 effective 8/1/97)

    - 21.1.90.1.1 Student-Athlete Representation. A Division III student-athlete shall serve as a member of the committee in an advisory capacity and may serve on the committee up to two years after completion of his or her intercollegiate athletics eligibility. (Adopted: 1/11/00)
  - **21.1.9.2 Duties.** The committee shall be responsible for promoting sportsmanship and ethical conduct within the Association. (Adopted: 1/14/97 effective 8/1/97)
- 21.1.10 Walter Byers Scholarship Committee
  - **21.1.10.1 Composition.** The Walter Byers Scholarship Committee shall consist of five members, including one position allocated for a man, one allocated for a woman and three unallocated. (Adopted: 1/10/90)
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- **21.1.10.2 Duties.** The committee shall be responsible for planning and administering the Association's Walter Byers Scholarship program for student-athletes who have distinguished themselves academically and athletically. (*Adopted: 1/10/90*)
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- 21.1.11 Women's Athletics, Committee on
  - **21.1.11.1 Composition.** The Committee on Women's Athletics shall consist of 12 members, including six members from Division I, three members from Division II and three members from Division III. Four positions shall be allocated for men, four allocated for women and four unallocated. (*Revised:* 1/11/89)
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- **21.1.11.1.1 Student-Athlete Representation.** Three student-athletes, at least one of whom shall be a Division III student-athlete, shall serve as members of the committee in an advisory capacity and may serve on the committee up to two years after completion of their intercollegiate athletics eligibility. (*Adopted: 1/10/95, Revised: 1/9/96, 1/11/00*)
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- **21.1.11.2 Duties.** The committee shall study and make policy recommendations concerning opportunities for women in athletics at the institutional, conference and national levels, as well as other issues directly affecting women's athletics.

#### Rules Committees/21.2—21.2.5

- 21.2 ASSOCIATION-WIDE COMMITTEES—
  RULES COMMITTEES WITHOUT CHAMPIONSHIPS ADMINISTRATION
  RESPONSIBILITIES
- 21.2.1 Selection, Composition and Duties
- # 21.2.1.1 Method of Selection. Each division's governance structure shall appoint members to serve on the rules committees without championships administration responsibilities, subject to the ratification of the Executive Committee. The Executive Committee also shall appoint the chair and secretary-rules editor of each committee. The secretary-rules editor may be reelected without restriction and shall be a nonvoting member of the committee. The membership of each committee shall include representatives from each of the Association's membership divisions, including each subdivision of Division I in which a substantial number of schools sponsor the sport in question. (Revised: 1/11/89, 1/10/91, 1/16/93, 1/14/97 effective 8/1/97)
- # **21.2.1.2 Composition Requirements.** At least 25 percent of the positions on each rules committee shall be filled by athletics directors, associate or assistant athletics directors, senior woman athletics administrators, individuals who are employed full-time as administrators by member conferences, or individuals who are employed both part-time as administrators by member conferences and full-time by member institutions. In order for a district to be represented, at least five of its active members shall sponsor the sport involved on an intercollegiate basis. (Revised: 1/10/90)
- # 21.2.1.3 Duties. Subject to the final authority of the Executive Committee, each rules committee shall establish and maintain rules of play in its sport consistent with the sound traditions of the sport and of such character as to ensure good sportsmanship and safe participation by the competitors. These playing rules shall be common for all divisions of the Association, and differences among the divisions shall not be permitted, except for the division-specific playing regulations developed to address significant financial impact and approved by the divisions and the Executive Committee. (Revised: 1/10/92 effective 8/1/92, Revised: 1/14/97 effective 8/1/97)
- # **21.2.1.4 Cooperation with Other Organizations.** A rules committee may cooperate with other national organizations in the development of common playing rules. (*Revised: 1/14/97 effective 8/1/97*)
- # **21.2.1.5 Operation.** The Executive Committee shall ratify policies regarding the length, location and expenses of association-wide committee meetings. (*Adopted: 1/14/97 effective 8/1/97*)
- # 21.2.2 Baseball Rules Committee. The Baseball Rules Committee shall consist of nine members and shall be constituted as follows: (Revised: 1/11/89)
  - (a) Four members shall be from Division I, two members shall be from Division II, two members shall be from Division III and an additional member shall be secretary-rules editor; and
  - (b) One member shall be elected chair.
- # 21.2.3 Basketball Rules Committee, Men's. The Men's Basketball Rules Committee shall consist of 13 members and shall be constituted as follows: (Revised: 1/10/91)
  - (a) There shall be at least two representatives from each of the following four geographical regions: Districts 1 and 2; District 3; Districts 4 and 5; and Districts 6, 7 and 8;
  - (b) Six members shall be from Division I, three members shall be from Division II, three members shall be from Division III and an additional member shall be secretary-rules editor; and
  - (c) One member shall be elected chair. (Revised: 1/10/91)
- # 21.2.4 Basketball Rules Committee, Women's. The Women's Basketball Rules Committee shall consist of 13 members and shall be constituted as follows: (Revised: 1/11/89)
  - (a) There shall be at least two representatives from each of the following four geographical regions: Districts 1 and 2; District 3; Districts 4 and 5; and Districts 6, 7 and 8;
  - (b) Six members shall be from Division I, three members shall be from Division II, three members shall be from Division III and an additional member shall be secretary-rules editor; and (*Revised:* 1/11/89)
  - (c) One member shall be elected chair.
- # 21.2.5 Football Rules Committee. The Football Rules Committee shall consist of 13 members and shall be constituted as follows: (Revised: 1/16/93)
  - (a) There shall be at least two representatives from each of the following four geographical regions: Districts 1 and 2; District 3; Districts 4 and 5; and Districts 6, 7 and 8;
  - (b) Six members shall be from Division II, three members shall be from Division III, three members shall be from Division III, and an additional member shall be secretary-rules editor; and (Revised: 1/16/93)
  - (c) One member shall be elected chair. (Revised: 1/16/93)

# Rules Committees/21.2.6—Rules and Championships Committees/21.3.1.5.1

- 21.2.6 Ice Hockey Rules Committee, Men's and Women's. The Men's and Women's Ice Hockey Rules Committee shall consist of eight members and shall be constituted as follows: (Revised: 1/11/89, 1/14/97 effective 8/1/97)
- (a) Four members shall be from Division I, one member shall be from Division II, two members shall be from Division III, and an additional member shall be secretary-rules editor; (Revised: 1/14/97 effec-
- (b) Within Divisions I and III, one-half of the members shall represent men's ice hockey interests and onehalf of the members shall represent women's ice hockey interests; and
- One member shall be elected chair.
- 21.2.7 Soccer Rules Committee, Men's and Women's. The Men's and Women's Soccer Rules Committee shall consist of nine members and shall be constituted as follows: (Adopted: 1/11/89)
- (a) Four members shall be from Division I, two members shall be from Division II, two members shall be from Division III and an additional member shall be secretary-rules editor;
- (b) Within each division, one-half of the members shall represent men's soccer interests, and one-half of the members shall represent women's soccer interests; and
- (c) One member shall be elected chair.
- 21.2.8 Softball Rules Committee, Women's. The Women's Softball Rules Committee shall consist of nine members and shall be constituted as follows: (Adopted: 1/9/96)
- Four members shall be from Division I, two members shall be from Division II, two members shall be from Division III and an additional member shall be secretary-rules editor; and
- (b) One member shall be elected chair.

# COMMON COMMITTEES—COMMITTEES WITH PLAYING RULES AND CHAMPIONSHIPS ADMINISTRATION RESPONSIBILITIES

- 21.3.1 Selection, Composition and Duties
  - **21.3.1.1 Method of Selection.** Each division's governance structure shall appoint members to serve on the committees with playing rules and championships administration responsibilities, subject to the ratification of the Executive Committee. The Executive Committee also shall appoint the chair and secretary-rules editor of each committee. The secretary-rules editor may be reelected without restriction and shall be a nonvoting member of the committee. The membership of each committee shall include representatives from each of the Association's membership divisions, including each subdivision of Division I in which a substantial number of schools sponsor the sport in question. (Revised: 1/11/89, 1/14/97 effective 8/1/97)
  - 21.3.1.2 Composition Requirements. For committees that administer Division I, Division II, Division III and National Collegiate Championships in individual sports, at least 25 percent of the positions on each committee shall be filled by athletics administrators (i.e., athletics directors, associate or assistant athletics directors, senior woman athletics administrators, individuals who are employed full-time as administrators by member conferences, or individuals who are employed both part-time as administrators by member conferences and full-time by member institutions). For committees that administer Division I, Division II, Division III and National Collegiate Championships in team sports, at least 50 percent of the positions on each committee shall be filled by athletics administrators. In order for a district to be represented on a committee for which district representation is specified, at least five of its active members shall sponsor the sport on an intercollegiate basis. (Revised: 1/10/90, 1/10/95)
  - 21.3.1.3 Special Operating Rules. Each committee shall act as one body to formulate playing rules and determine general policies for the administration of the NCAA championships under its jurisdiction. Division subcommittees, composed of committee members from the respective divisions, shall be responsible for administering the respective division championships.
  - 21.3.1.4 Advisory Committees. The governing sports committee may appoint individuals not already serving on a sports committee to an advisory committee.

#### 21.3.1.5 Duties

21.3.1.5.1 Rules of Play. Subject to the final authority of the Executive Committee, each rules committee shall establish and maintain rules of play in its sport consistent with the sound traditions of the sport and of such character as to ensure good sportsmanship and safe participation by the competitors. These playing rules shall be common for all divisions of the Association, and differences among the divisions shall not be permitted, except for the division-specific playing regulations developed to address significant financial impact and approved by the divisions and the Executive Committee. (Revised: 1/10/92 effective 8/1/92, Revised: 1/14/97 effective 8/1/97)

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# Rules and Championships Committees/21.3.1.5.2—21.3.7

- # **21.3.1.5.2 National Records.** In sports for which national records are maintained, each committee shall be responsible for approval of such national records.
- # 21.3.1.5.3 Cooperation with Other Organizations. A rules committee may cooperate with other national organizations in the development of common playing rules. (Revised: 1/14/97 effective 8/1/97)
  - **21.3.1.5.4 Operation.** The Executive Committee shall ratify policies regarding the length, location and expenses of common committee meetings. (*Adopted: 1/14/97 effective 8/1/97*)
- # 21.3.2 Ice Hockey Committee, Women's. The Women's Ice Hockey Committee shall consist of seven members, including the secretary-rules editor. (Adopted: 1/11/00 effective 8/1/00)
  - 21.3.3 Lacrosse Committee, Men's

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- # **21.3.3.1 Composition.** The Men's Lacrosse Committee shall consist of nine members. There shall be five members from Division I, two members from Division III, one member elected at large and an additional member shall be secretary-rules editor. The five Division I members shall include two representatives from the North region, two representatives from the South region and one representative from the West region. The Division III members and the at-large member may be selected from any region. (*Revised:* 4/13/99)
- **21.3.3.2 Advisory Committee.** There may be an advisory committee of six members elected from the U.S. Intercollegiate Lacrosse Association.
- # 21.3.4 Rifle Committee, Men's and Women's. The Men's and Women's Rifle Committee shall consist of seven members, including the secretary-rules editor.
- # 21.3.5 Skiing Committee, Men's and Women's. The Men's and Women's Skiing Committee shall consist of seven members and shall be constituted as follows: (Revised: 1/11/89)
  - (a) Two positions shall be allocated for men, two allocated for women and three unallocated; and (Revised: 1/11/89)
  - (b) One member shall be selected from the West skiing region, two members shall be selected from the East skiing region, three members shall be selected at large and one member shall be secretary-rules editor. (Revised: 1/11/89)
- # 21.3.6 Swimming and Diving Committee, Men's and Women's. The Men's and Women's Swimming and Diving Committee shall consist of 19 members and shall be constituted as follows (Revised: 1/13/98):
  - (a) There shall be at least two representatives from Districts 1 and 2; two representatives from District 3; two representatives from District 4; one representative from Districts 5, 6 and 7; and one representative from District 8; (Revised: 1/10/95)
  - (b) Eight members shall be from Division I and shall compose a Division I subcommittee. Four members of the subcommittee shall represent men's swimming interests and four members shall represent women's swimming interests, including three positions allocated for men, three allocated for women and two unallocated;
  - (c) Four members shall be from Division II and shall compose a Division II subcommittee. Two members of the subcommittee shall represent men's swimming interests and two members shall represent women's swimming interests, including one position allocated for a man, one allocated for a woman and two unallocated:
  - (d) Four members shall be from Division III and shall compose a Division III subcommittee. Two members of the subcommittee shall represent men's swimming interests and two members shall represent women's swimming interests, including one position allocated for a man, one allocated for a woman and two unallocated;
  - (e) An additional four members shall represent diving interests, two representing men's diving and two representing women's diving. Two shall be Division I representatives, one shall be a Division II representative and (Revised: 1/13/98)
  - (f) An additional member shall be secretary-rules editor.
- # 21.3.7 Track and Field Committee, Men's and Women's. The Men's and Women's Track and Field Committee shall consist of 25 members and shall be constituted as follows:
  - (a) There shall be at least four representatives from each of the following four geographical regions: Districts 1 and 2; District 3; Districts 4 and 5; and Districts 6, 7 and 8;
  - (b) Twelve members shall be from Division I and shall compose a Division I subcommittee. Six members of the subcommittee shall represent men's track and field interests and six members shall represent women's track and field interests, including four positions allocated for men, four allocated for women

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# Rules and Championships Committees/21.3.7—Governance Administration/21.5.1

and four unallocated:

- (c) Six members shall be from Division II and shall compose a Division II subcommittee. Three members of the subcommittee shall represent men's track and field interests and three members shall represent women's track and field interests, including two positions allocated for men, two allocated for women and two unallocated:
- (d) Six members shall be from Division III and shall compose a Division III subcommittee. Three members of the subcommittee shall represent men's track and field interests and three members shall represent women's track and field interests, including two positions allocated for men, two allocated for women and two unallocated;
- (e) An additional member shall be secretary-rules editor; and
- (f) A rules-editing subcommittee shall have five members, including two members appointed by the Division I subcommittee, one member each appointed by the Division II and Division III subcommittees, and the secretary-rules editor. The rules-editing subcommittee shall formulate playing rules subject to the approval of the full committee.
- 21.3.8 Water Polo Committee, Men's. The Men's Water Polo Committee shall consist of six members, including the secretary-rules editor. At least one member shall represent each of the two water polo regions (East and West), and four members shall be selected at-large. (Revised: 1/13/98, 4/13/99)
- 21.3.9 Water Polo Committee, Women's. The Women's Water Polo Committee shall consist of six members, including the secretary-rules editor. (Adopted: 1/11/00 effective 8/1/00)
- 21.3.10 Wrestling Committee. The Wrestling Committee shall consist of 13 members and shall be constituted as follows:
- (a) There shall be at least one representative from District 3 and at least two representatives from each of the following three geographical regions: Districts 1 and 2; Districts 4 and 5; and Districts 6, 7 and 8;
- (b) Six members shall be from Division I, three members shall be from Division II and three members shall be from Division III; and
- (c) An additional member shall be secretary-rules editor.

# 21.4 COMMON COMMITTEES—COMMITTEES WITH ONLY CHAMPIONSHIPS ADMINISTRATION RESPONSIBILITIES

- 21.4.1 Selection, Composition and Duties
  - **21.4.1.1 Method of Selection.** Each applicable division's governance structure shall nominate and select the members and chair of each committee, subject to the ratification of the Executive Committee. (*Revised:* 1/14/97 effective 8/1/97)
  - **21.4.1.2 Duties.** Each committee shall be responsible for developing policies and procedures governing the administration and conduct of the NCAA championships under its jurisdiction, subject to the approval of the applicable division's championships cabinet/committee and management council and the requirements, standards and conditions prescribed by Bylaw 31. Policies and procedures governing the administration of National Collegiate Championships applicable to more than one division also shall be subject to the ratification of the Executive Committee. (Also see Bylaw 31 for committee duties related to the administration of championships.) (*Revised: 1/14/97 effective 8/1/97*)
  - **21.4.1.3 Special Operating Rules.** Each committee shall act as one body to determine general policies for the administration of championships. (*Revised:* 1/14/97 effective 8/1/97)
  - **21.4.1.4 Selection Criteria.** Each committee shall have the authority to establish championships selection criteria, including requirements to use regular-season playing rules that conform with rules used in NCAA championships under its jurisdiction in those sports for which the Association does not maintain playing rules.
  - **21.4.1.5 Regional Advisory Committees.** Regional advisory committees may be appointed by each championships committee. (*Revised: 1/14/97 effective 8/1/97*)
  - **21.4.1.6 Operation.** The Executive Committee shall ratify policies regarding the length, location and expenses of common committee meetings. (Adopted: 1/14/97 effective 8/1/97)

# 21.5 COMMON COMMITTEES—COMMITTEES WITH GOVERNANCE ADMINISTRATION RESPONSIBILITIES

21.5.1 Selection. Each applicable division's governance structure shall appoint members to serve on the common committees with governance administration responsibilities, subject to the ratification of the Executive Committee. The Executive Committee also shall appoint the chair. The membership of each committee shall include representatives from each of the Association's applicable membership divisions,

# Governance Administration/21.5.1—Division III Committees/21.8.2.1

including each subdivision of Division I. (Adopted: 1/14/97 effective 8/1/97)

21.5.2 Operation. The Executive Committee shall ratify policies regarding the length, location and expenses of common committee meetings. (Adopted: 1/14/97 effective 8/1/97)

#### 21.8 DIVISION III COMMITTEES

#### 21.8.1 Eligibility for Membership

- **21.8.1.1** "On the Staff." Individuals serving on Division III committees or as Division III representatives on Association-wide or common committees shall be salaried on a regular basis by a Division III institution or conference and perform a regular staff function representing at least 50 percent of the normal workload for a staff member at that institution or conference, unless otherwise specified. (Adopted: 1/14/97 effective 8/1/97)
  - **21.8.1.1.1 Modification in Employment Status.** If a committee member's employment status is altered to the extent that the individual no longer meets this requirement, the individual shall be replaced on the committee at the Management Council and Presidents Council meetings immediately after the change in status. (*Adopted: 1/14/97 effective 8/1/97*)
  - **21.8.1.1.2** Individuals on Sabbatical or Temporary Leave. An individual on sabbatical or other temporary leave for a period not exceeding 12 consecutive months may be considered to be "on the staff" and eligible for committee membership. An individual on terminal leave or on leave in excess of 12 consecutive months shall not be eligible to serve on a committee. (Adopted: 1/14/97 effective 8/1/97)
  - **21.8.1.1.3 Waiver of Replacement Requirement.** The Management Council, subject to ratification by the Presidents Council, shall have the authority to waive this provision or to approve a later replacement date if it deems that application of Bylaw 21.8.1.1.1 would be detrimental to the work of the committee involved. (*Adopted: 1/14/97 effective 8/1/97*)
  - **21.8.1.1.4** Reclassification from Division III to Division II. If a committee member's institution has forwarded to the national office written notice of its intention to change its membership classification to Division II, per Bylaw 20.5.2.4, that individual shall not be eligible to serve on any Division III committee. (Adopted: 1/12/99 effective 8/1/99; for those institutions first submitting official notice to reclassify after 6/1/98)
- **21.8.1.2 One Member per Playing Conference.** The membership of a Division III "playing conference" (i.e., one that conducts a regular conference schedule or a postseason tournament to determine its champion in football or basketball) may not be represented on any committee by more than one individual. (Adopted: 1/14/97 effective 8/1/97)
- **21.8.1.3 Vacancies.** A representative of a playing conference or member institution whose term of service on a committee has expired shall not be replaced on that committee by another representative of the same conference or institution for a period of at least one year. (Adopted: 1/14/97 effective 8/1/97)
  - **21.8.1.3.1 Unexpired Portion of Term.** If an interim vacancy occurs and a committee member has equal to or more than one half of a term remaining, then that committee member may be replaced on that committee by another representative of the same conference or institution only for the remainder of that term. (Adopted: 1/11/00 effective 8/1/00)

#### 21.8.1.4 Sports Committees. Selection and Composition

- **21.8.1.4.1 Method of Selection.** The Division III members of each common committee per Bylaws 21.4 and 21.5, and each Division III sports committee per Bylaw 21.8.7 shall be nominated by the Nominating Committee. The Nominating Committee will forward a slate to the Championships Committee for appointment, subject to final approval by the Management Council and the Presidents Council. (Adopted: 1/14/97, Revised 2/5/97 effective 8/1/97, Revised: 1/13/98)
- **21.8.1.4.2 Composition Requirements.** At least 50 percent of the positions on each team sports committee and at least 25 percent of the positions on each individual sports committee shall be filled by athletics administrators (i.e., athletics directors, associate or assistant athletics directors, senior woman administrators, individuals who are employed full time as administrators by member conferences, or individuals who are employed both part time as administrators by member conferences and full time by member institutions). (*Adopted: 1/14/97 effective 8/1/97, Revised 1/8/01*)
  - **21.8.1.4.2.1 Waiver of Composition Requirements.** The Management Council shall have the authority to waive the composition requirements set forth in Bylaw 21.8.1.4.2, if it deems a waiver of such requirements is in the best interest of the sport committee. (Adopted: 1/11/00 effective 8/1/00)

#### 21.8.2 Term of Office

**21.8.2.1 Four-Year Term.** Unless otherwise specified, the members of committees shall be appointed

- or elected for one four-year term. Unless otherwise specified, the terms of service of general committee members (per Bylaw 21.8.6) shall commence in January after the adjournment of the annual NCAA Convention after the member's election or appointment. The terms of service of all other committee members shall commence on the first day of September after the member's election or appointment. (Adopted: 1/14/97 effective 8/1/97)
- **21.8.2.2 Appointment or Election.** Unless otherwise specified, the members of committees will be appointed or elected by the Management Council. Unless otherwise specified, a former committee member may be appointed or elected to an additional term on that committee after three years have elapsed. Unless otherwise specified, an individual who has served two terms on a committee may not serve further on that committee. A member serving more than one-half of a four-year term is ineligible to seek immediate reelection. (Adopted: 1/14/97 effective 8/1/97)
  - **21.8.2.2.1 Appointment or Election of Chairs.** Unless otherwise specified, chairs of committees shall be elected by the committees themselves for a term not to exceed two years. A chair is not eligible for immediate reelection to the position of chair. The chair of each committee shall have the privilege of voting on any issue considered by the committee. ( $Adopted: 1/14/97 \ effective \ 8/1/97$ )
- **21.8.2.3 Appointment to Fill Vacancies.** Whenever a vacancy occurs among the members of a committee or among the Division III members of Association-wide or common committees, the Management Council shall fill the vacancy for the remainder of the term by a majority vote of its members present and voting. (Adopted: 1/14/97 effective 8/1/97)
  - **21.8.2.3.1 Unexpired Portion of Term.** Members appointed to fill vacancies shall be appointed only for the unexpired portion of that term. Members who serve more than one-half of a term in such instances shall be considered to have served a full term. (*Adopted: 1/14/97 effective 8/1/97*)
- **21.8.2.4 Adjustments to Achieve Staggered Terms.** Members may be appointed for less than full terms whenever it is necessary to adjust the membership of a committee to ensure that vacancies occur in proper sequence. Members who serve more than one-half of a term in such instances shall be considered to have served a full term. (*Adopted:* 1/14/97 effective 8/1/97)
- 21.8.2.5 Management Council Representation. All general committees (per Bylaw 21.8.6) shall include at least one Management Council member. In addition, at least one of the Division III representatives on general committees functioning in an Association-wide capacity shall be a member of the Management Council. Management Council members will serve as a liaison for each committee to the Management Council. Unless otherwise specified, Management Council members will serve as voting members of such committees. A Management Council member's term of service shall commence in January after adjournment of the annual Convention and shall be concurrent with his or her remaining term of service on the Management Council. A Management Council member must terminate service on such a committee at the expiration of the member's term on the Management Council. (Adopted: 1/14/97 effective 8/1/97)
  - **21.8.2.5.1 Exception.** The Division III representative of the Memorial Resolutions Committee is not required to be a member of the Management Council. (*Adopted: 3/19/97*)
- 21.8.3 Removal from Committee. The chair of each committee is authorized to recommend to the Management Council that a member be replaced if such member is not discharging the member's duties properly. In addition, a member who is absent from two consecutive meetings without reason approved by the Management Council shall be removed from the committee. (Adopted: 1/14/97 effective 8/1/97, Revised: 1/12/99 effective 8/1/99)
- 21.8.4 Conflict of Interest. A committee member shall not participate in the committee's discussion or vote on any action that might bring direct or indirect financial benefit to the member or any organization in which the member is financially interested (other than the member's institution or the conference of which it is a member). Committee members shall recuse themselves from voting on issues that directly involve their institution, playing conference or another member of their conference. A violation of these rules by a member of a committee shall not invalidate the action taken by the committee if, after disclosure of the conflict of interest, the committee authorizes, ratifies or approves the action by a vote sufficient for the purpose, without counting the vote of the committee member with the conflict of interest, and the Management Council approves such action. (Adopted: 1/14/97 effective 8/1/97, Revised 1/11/00 effective 8/1/00)
- 21.8.5 Meeting Length and Sites. The Management Council and Presidents Council, subject to ratification by the Executive Committee, is responsible for developing policies governing the length, sites and expenses related to committee meetings (see Bylaw 31.7.2). Such policies shall be published annually in the NCAA Committee Handbook. (Adopted: 1/14/97 effective 8/1/97)
- 21.8.6 General Committees. To conduct Division III business in an efficient and orderly fashion, the following general committees shall be established and will report directly to the Management Council. (*Adopted:* 1/14/97 effective 8/1/97)

#### 21.8.6.1 Budget Committee

- **21.8.6.1.1 Composition.** The Budget Committee shall consist of eight members, including the vice-chair of the Presidents Council; an additional member of the Presidents Council; the vice-chair of the Management Council; an additional member of the Management Council; a member of the Championships Committee; an "at-large" Division III chief executive officer who does not serve on the Presidents Council, Management Council or championships committee; and two "at-large" athletics administrators who do not serve on the Management Council or Championships Committee. (*Adopted:* 1/14/97 effective 8/1/97)
- **21.8.6.1.2 Term of Office.** "At-large" members shall serve a maximum of one four-year term. The terms of the other members shall coincide with their terms on those bodies. (Adopted: 1/14/97 effective 8/1/97)
- **21.8.6.1.3 Chair.** The vice-chair of the Presidents Council shall serve as chair. (Adopted: 1/14/97 effective 8/1/97)
- **21.8.6.1.4 Duties.** The committee shall review all budgetary requests forwarded by the Management Council related to the annual budget and shall forward budgetary recommendations to the Management Council. The committee also shall monitor the administration of the annual budget and report to the Management Council on a regular basis regarding that topic. (*Adopted:* 1/14/97 effective 8/1/97)

#### 21.8.6.2 Championships Committee

**21.8.6.2.1 Composition.** The championships committee shall consist of nine members, including the chair of the Management Council and four other Management Council members. The committee shall include at least four men, at least four women, at least one student-athlete and at least one member of an ethnic minority. At least two committee members shall represent each of the four regions specified in Constitution 4.12.2.2. Terms of the Management Council members shall coincide with their terms on that body. (Adopted: 1/14/97 effective 8/1/97)

**21.8.6.2.2 Duties.** The committee shall: (*Adopted*: 1/14/97 effective 8/1/97)

- (a) Make budgetary recommendations to the Management Council for the conduct of championships;
- (b) Supervise qualifications and/or selection procedures for those events;
- (c) Maintain oversight responsibilities for applicable playing regulations in the areas of player safety, financial impact, image of the sport and the impact on the ability to administer any rule during the regular season and during the administration of the championship in the applicable sport and approve appeals for division-specific exceptions to the applicable playing regulations when significant financial impact may occur (subject to the final authority of the Executive Committee); (Revised: 1/12/99)
- (d) Review recommendations from sports committees regarding the administration of those championships;
- (e) Process other issues related to the administration of the events;
- (f) Act as the final authority regarding championships matters that are subject to appeal (i.e., excluding appeals of championships selection or assignment in championships competition);
- (g) Appoint the members of the sports committees, subject to the final approval of the Management Council and the Presidents Council.

#### 21.8.6.3 Committee on Student-Athlete Reinstatement

- **21.8.6.3.1 Composition.** The committee shall be composed of six members, including one member from the Management Council and one student-athlete who shall be a member of the Student-Athlete Advisory Committee. At least one of the positions shall be allocated for a member of an ethnic minority. (Adopted: 1/14/97 effective 8/1/97 Revised: 1/11/00 effective 8/1/00)
- **21.8.6.3.2 Duties.** The committee shall have the authority under Bylaw 14.11 to determine all matters pertaining to the policies and procedures for the reinstatement of eligibility of a student-athlete who is ineligible for intercollegiate competition as a result of an NCAA rules violation, and for waivers of legislation that the Management Council or the membership has authorized the committee to grant. The procedures for processing such appeals or requests shall be established by the committee and approved by the Management Council. The committee may reinstate eligibility immediately, may reinstate eligibility at a future time or may determine that eligibility should not be reinstated. The committee also may impose conditions for reinstatement of eligibility. (Adopted: 1/14/97 effective 8/1/97, Revised: 1/13/98, 1/11/00)

- **21.8.6.3.3 Quorum.** Three members present and voting shall constitute a quorum to conduct committee business, it being understood that the chair shall make a special effort to have full committee attendance. (*Adopted: 1/14/97 effective 8/1/97, 1/11/00*)
- **21.8.6.3.4** Authority of Director of Student-Athlete Reinstatement. Subject to review by the Committee on Student-Athlete Reinstatement, the director of student-athlete reinstatement is authorized to apply the reinstatement rules of the Association. (Adopted: 1/14/97 effective 8/1/97, Revised: 1/13/98, 1/11/00)
- **21.8.6.3.5 Appeals.** After the director of student-athlete reinstatement has acted on a reinstatement matter, the involved institution may appeal the decision to the Committee on Student-Athlete Reinstatement. Its determination shall be final, binding and conclusive, and shall not be subject to further review by the Management Council or any other authority. (Adopted: 1/14/97 effective 8/1/97, Revised: 1/13/98, 1/11/00)

#### 21.8.6.4 Committee on Infractions

**21.8.6.4.1 Composition.** The committee shall be composed of five members. At least one of the positions shall be allocated for a member of an ethnic minority. Two positions shall be allocated for men and two for women. (Adopted: 1/11/00 effective 8/1/00)

**21.8.6.4.2 Duties.** The duties of the committee shall be as follows:

- (a) Consider complaints that may be filed with the Association charging the failure of any member to maintain the academic or athletics standards required for membership or the failure of any member to meet the conditions and obligations of membership in the Association;
- (b) Formulate and revise, in accordance with the requirements of Bylaw 19.4, a statement of its established operating policies and procedures, including investigative guidelines (see Bylaw 32);
- (c) Determine facts related to alleged violations and find violations of NCAA rules;
- (d) Refer to a hearing officer consideration of a case or any portion of a case involving disputed facts;
- (e) Impose an appropriate penalty or show-cause requirement on a member found to be involved in a major violation (or, on appeal, on a member found to be involved in a secondary violation), or recommend to the Management Council suspension or termination of membership; and
- (f) Carry out any other duties directly related to the administration of the enforcement program.
- **21.8.6.4.3 Quorum.** Three members present and voting shall constitute a quorum to conduct committee business, it being understood that the chair shall make a special effort to have full committee attendance when major infractions cases involving major violations are to be considered. (Adopted: 1/11/00)
- **21.8.6.4.4 Temporary Substitutes.** If it appears that one or more members of the committee will be unable to participate in the hearing of a case, the chair may request the Management Council to designate a former member or members of the committee to rejoin the committee for purposes of the consideration and disposition of that case. (*Adopted: 1/11/00*)
- **21.8.6.4.5 Authority of Infractions Committee.** Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between Division III business sessions of the annual Convention by members of the infractions committee present and voting at any duly called meeting, provided the call of such a meeting shall have contained notice of the situation presenting the disciplinary problem. Actions of the committee in cases involving major violations, however, shall be subject to review by the appropriate appeals committee per Bylaw 19.3, on appeal. (*Adopted: 1/11/00*)
- **21.8.6.4.6 Authority of Vice-President for Enforcement Services.** On review of information developed by the enforcement staff or self-reported by the member institution, the vice-president for enforcement services shall identify the charges as involving alleged major or secondary violations, or repeated secondary violations that should be viewed as a major violation, subject to approval by the committee chair or another member of the committee designated by the chair. Disciplinary or corrective actions in the case of secondary violations may be effected by the vice-president for enforcement services, subject to approval by the committee chair or another member of the committee designated by the chair. Said actions shall be taken in accordance with the provisions of the enforcement policies and procedures and shall be subject to review by the committee on appeal. (*Adopted: 1/11/00*)
- **21.8.6.4.7 Authority of Committee Chair.** In the interim between meetings of the committee, the chair shall be empowered to act on behalf of the committee, subject to committee approval at its next meeting. If at any time, at a meeting or between meetings, the chair is unavailable to act as

# Division III Committees/21.8.6.4.7—21.8.6.9.1

such, the member of the committee longest in service who is available is empowered to exercise the functions of the chair. (Adopted: 1/11/00)

**21.8.6.4.8 Authority of Management Council.** The Management Council per Bylaw 19.3 shall hear and act on an institution's appeal of the findings of major violations by the committee. (*Adopted: 1/11/00*)

#### 21.8.6.5 Financial Aid and Awards Committee

**21.8.6.5.1 Composition.** The Financial Aid and Awards Committee shall consist of nine members. Two members shall be financial aid administrators, one shall be a member of the Management Council, one shall be a student-athlete representative and at least one shall be a member of an ethnic minority. At least four positions shall be allocated for men and at least four allocated for women. (*Adopted:* 1/14/97 effective 8/1/97)

**21.8.6.5.2 Duties.** The committee shall be responsible for the review and consideration of the Division III bylaws that govern financial aid and financial awards and report to the Management Council on a regular basis regarding that topic. (*Adopted:* 1/14/97 effective 8/1/97)

#### 21.8.6.6 Committee on Interpretations and Legislation

**21.8.6.6.1 Composition.** The Committee on Interpretations and Legislation shall consist of eight members. One shall be a member of the Management Council, one shall be a student-athlete representative, one shall be a faculty-athletics representative, one shall be a conference administrator and at least one shall be a member of an ethnic minority. At least three positions shall be allocated for men and at least three allocated for women. (Adopted: 1/14/97 effective 8/1/97, Revised: 1/11/00)

**21.8.6.6.2 Duties.** The committee shall determine interpretations of all Division III legislation. The committee also shall review and refine legislative proposals, incorporate new legislation and interpretations, review deregulation issues and approve the publication of supplementary compilations of interpretations. (*Adopted:* 1/14/97 effective 8/1/97)

**21.8.6.6.3 Special Operating Rules.** The committee shall not have the authority to alter an existing Management Council interpretation. Its decision shall be binding unless overturned upon appeal to the Management Council or Presidents Council at its next regularly scheduled meeting, or at the business session of the annual Convention. (*Adopted:* 1/14/97 effective 8/1/97)

#### 21.8.6.7 Membership Committee

**21.8.6.7.1 Composition.** The membership committee shall consist of nine members. One shall be a Management Council member, at least one shall be a faculty-athletics representative, at least one shall be a senior women administrator and at least one shall be a member of an ethnic minority. At least four positions shall be allocated for men and at least four positions shall be allocated for women. At least two members shall be appointed from each of the four geographical regions per Constitution 4.12.2.2. (Adopted: 1/14/97, Revised: 2/5/97 effective 8/1/97, Revised: 1/11/00 effective 8/1/00)

**21.8.6.7.2 Duties.** The committee shall review issues related to Division III membership, including issues and educational efforts affecting provisional and continuing members, and shall coordinate educational programs for the membership regarding NCAA legislation. (Adopted: 1/14/97 effective 8/1/97, Revised: 1/11/00 effective 8/1/00)

# 21.8.6.8 Nominating Committee

**21.8.6.8.1 Composition.** The Nominating Committee shall consist of eight members, including at least four former NCAA officers or former members of the NCAA Council or Management Council, one current member of the Management Council, and one chief executive officer. The committee shall include at least four men, at least four women, and at least one member of an ethnic minority. (Adopted: 1/14/97 effective 8/1/97)

**21.8.6.8.2 Chair.** The chair shall be appointed from among the former NCAA officers or Council or Management Council members serving on the committee. (Adopted: 1/14/97 effective 8/1/97)

**21.8.6.8.3 Duties.** The committee shall coordinate nominations for the Division III Management Council, all standing committees that report to the Management Council, all Division III sports committees and all Division III positions on Association-wide and common committees. (*Adopted:* 1/14/97 effective 8/1/97)

#### 21.8.6.9 Student-Athlete Advisory Committee

**21.8.6.9.1 Composition.** The student-athlete advisory committee shall consist of 24 members, including at least four members from each of the four geographical regions per Constitution

- 4.12.2.2 and eight members serving at large. The committee shall include at least 12 men, at least 12 women, and at least three members of ethnic minorities. No more than two student-athletes from the same playing conference (one male, one female) may be committee members. Two Management Council members shall serve as ex officio members of the committee. (Adopted: 1/14/97, Revised: 2/5/97 effective 8/1/97)
- **21.8.6.9.2 Term of Office.** A student-athlete member shall not serve more than three years on the committee and may not be reappointed for another term. Student-athletes may serve on the committee up to one year after completion of their intercollegiate athletics eligibility. A member shall commence service on the first day following the member's election. (Adopted: 1/14/97 effective 8/1/97, Revised: 1/8/01)
- **21.8.6.9.3 Management Council Service.** The committee annually shall elect two members (one male, one female) to serve on the Management Council. To be eligible for Management Council service, at least one committee member shall have completed at least one year of service on the committee. Committee members shall be eligible for no more than two years of service on the Management Council. (Adopted: 1/14/97 effective 8/1/97, Revised: 1/13/98)
- **21.8.6.9.4 Duties.** The committee shall receive information on and explanations of NCAA activities and legislation and shall review and react to topics referred to it by other Association committees and by the Management Council. (*Adopted: 1/14/97 effective 8/1/97*)
- 21.8.7 Sports Committees with Only Championships Administration Responsibilities. To conduct Division III championships in an efficient and orderly fashion, sports committees with only championships administration responsibilities shall be established and shall report directly to the championships committee (see Bylaw 21.8.6.2). (Adopted: 1/14/97 effective 8/1/97)
  - **21.8.7.1 Duties.** Each committee shall be responsible for developing policies and procedures governing the administration and conduct of the championships under its jurisdiction, subject to the direction and approval of the championships committee and the requirements, standards and conditions prescribed by Bylaw 31. (Also see Bylaw 31 for committee duties related to the administration of championships.) (Adopted: 1/14/97 effective 8/1/97)
  - **21.8.7.2 Special Operating Rules.** Each committee shall act as one body to determine general policies for the administration of championships. (*Adopted:* 1/14/97 effective 8/1/97)
  - **21.8.7.3 Selection Criteria.** Each committee shall have the authority to establish championships selection criteria, including requirements to use regular-season playing rules that conform with rules used in NCAA championships under its jurisdiction in those sports for which the Association does not maintain playing rules. (*Adopted:* 1/14/97 effective 8/1/97)
  - **21.8.7.4 Regional Advisory Committees.** Regional advisory committees may be appointed by each sports committee as prescribed by the championships committee. (*Adopted: 1/14/97 effective 8/1/97*)
  - **21.8.7.5 Baseball Committee, Division III.** The baseball committee shall consist of eight members. One member shall be elected from each of the Division III baseball regions. (Adopted: 1/14/97 effective 8/1/97)
  - 21.8.7.6 Basketball Committee, Division III Men's. The men's basketball committee shall consist of eight members. One member shall be elected from each of the Division III men's basketball regions.  $(Adopted: 1/14/97\ effective\ 8/1/97)$
  - **21.8.7.7 Basketball Committee, Division III Women's.** The women's basketball committee shall consist of eight members. One member shall be elected from each of the Division III women's basketball regions. (Adopted: 1/14/97 effective 8/1/97)
  - **21.8.7.8 Field Hockey Committee, Division III.** The field hockey committee shall consist of six members. One member shall be elected from each of the Division III field hockey regions. (Adopted: 1/14/97 effective 8/1/97)
  - **21.8.7.9 Football Committee, Division III.** The football committee shall consist of eight members. Two members shall be elected from each of the four Division III football regions. (Adopted: 1/14/97 effective 8/1/97)
  - **21.8.7.10 Golf Committee, Division III Men's.** The men's golf committee shall consist of six members. One member shall be elected from each of the Division III men's golf regions. (Adopted: 1/14/97 effective 8/1/97)
  - **21.8.7.11 Golf Committee, Division III Women's.** The women's golf committee shall consist of five members. One member shall be elected from each of the Division III women's golf regions. ( $Adopted: 1/12/99 \ effective \ 8/1/99, Revised: 1/11/00 \ effective \ 8/1/00$ )
  - **21.8.7.12** Ice Hockey Committee, Division III Men's. The men's ice hockey committee shall consist of four representatives, including two members from the East, comprising Districts 1, 2 and 3, and

- two members from the West, comprising Districts 4, 5, 6, 7 and 8. (Adopted: 1/14/97 effective 8/1/97)
- **21.8.7.13 Ice Hockey Committee, Division III Women's.** The women's ice hockey committee shall consist of six representatives. (*Adopted:* 1/8/01 effective 8/1/01)
- **21.8.7.14** Lacrosse Committee, Division III Men's. The men's lacrosse committee shall consist of four representatives, two Division III representatives to the common Men's Lacrosse Committee with playing rules and championships administration responsibilities (see Bylaw 21.3.2) and two other members. One member shall be elected from each of the Division III men's lacrosse regions. Two representatives serving on the committee shall be athletics administrators, and two shall be coaching-staff members. (Adopted: 1/14/97, 3/19/97 effective 8/1/97)
- **21.8.7.15** Lacrosse Committee, Division III Women's. The women's lacrosse committee shall consist of five members. One member shall be elected from each of the Division III women's lacrosse regions. (*Adopted:* 1/14/97 effective 8/1/97, Revised: 1/12/99)
- **21.8.7.16 Rowing Committee, Division III Women's.** The women's rowing committee shall consist of six members. One member shall be selected from each of the Division III women's rowing regions. (*Adopted:* 1/8/01 effective 8/1/01)
- **21.8.7.17 Soccer Committee, Division III Men's.** The men's soccer committee shall consist of eight members. One member shall be elected from each of the Division III men's soccer regions. (*Adopted:* 1/14/97 effective 8/1/97)
- **21.8.7.18 Soccer Committee, Division III Women's.** The women's soccer committee shall consist of eight members. One member shall be elected from each of the Division III women's soccer regions. (*Adopted:* 1/14/97 effective 8/1/97)
- **21.8.7.19 Softball Committee, Division III Women's.** The women's softball committee shall consist of eight members. One member shall be elected from each of the Division III softball regions. (*Adopted:* 1/14/97 effective 8/1/97)
- **21.8.7.20 Swimming and Diving Committee, Division III Men's and Women's.** The men's and women's swimming and diving committee shall consist of the Division III representatives to the common Men's and Women's Swimming and Diving Committee with playing rules and championships administration responsibilities (see Bylaw 21.3.5) and three additional members. One of the additional members shall represent men's swimming interests, one shall represent women's swimming interests and one shall be selected at-large. There shall be one or two representatives from District I; one or two from District 2; one from District 3; one or two from District 4; one from District 5, 6 or 7; and one from District 8. (Adopted: 1/14/97 effective 8/1/97, Revised: 1/13/98)
- **21.8.7.21 Tennis Committee, Division III Men's and Women's.** The men's and women's tennis committee shall consist of eight members. Four members shall represent men's tennis interests and four shall represent women's tennis interests. One member shall be elected from each of the Division III tennis regions. (*Adopted: 1/14/97 effective 8/1/97*)
- **21.8.7.22** Track and Field Committee, Division III Men's and Women's. The men's and women's track and field committee shall consist of the Division III representatives to the common Men's and Women's Track and Field Committee with playing rules and championships administration responsibilities (see Bylaw 21.3.6) and two additional members. One of the additional members shall represent men's track and field interests, and one shall represent women's track and field interests. One member shall be elected from each of the Division III track and field regions. (Adopted: 1/14/97 effective 8/1/97)
  - **21.8.7.22.1 Track and Field.** The men's and women's track and field committee shall be responsible for the Division III championships in cross country, indoor track and field and outdoor track and field. (*Adopted:* 1/14/97 effective 8/1/97)
- **21.8.7.23 Volleyball Committee, Division III Women's.** The Division III Women's Volleyball Committee shall consist of eight members. One member shall be elected from each of the Division III women's volleyball regions. (Adopted: 1/14/97 effective 8/1/97)
- **21.8.7.24 Wrestling Committee, Division III.** The wrestling committee shall consist of the Division III representatives to the common Wrestling Committee with playing rules and championships administration responsibilities (see Bylaw 21.3.8) and one additional member. One member shall be elected from Districts 1 and 2, one from Districts 4 and 5, and two at-large. (Adopted: 1/14/97 effective 8/1/97, Revised: 1/13/98)

# ADMINISTRATIVE BYLAW, ARTICLE 30

# Administrative Regulations

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# 30.01 GENERAL PRINCIPLE

Constitution 5.2.3 authorizes the applicable presidential administrative group and the Management Council to adopt or amend administrative regulations. (Revised: 1/9/96 effective 8/1/97)

# 30.1 ADMISSIONS AND GRADUATION-RATE DISCLOSURE

An institution shall not be eligible to enter a team or an individual competitor in an NCAA championship unless it has completed the Integrated Postsecondary Education Data System Graduation-Rate Survey (IPEDS GRS-1) on or before the applicable deadline established by federal regulations. (See Bylaw 13.3 for additional regulations.) (Revised: 1/10/90, 1/6/91 effective immediately for data collection, Revised: 4/15/92, 1/14/97, 10/28/97, 4/13/99)

#### 30.2 ALL-STAR CONTESTS

30.2.1 High-School Football and Basketball. As provided in Bylaw 14.6, a student-athlete shall be denied the first year of intercollegiate athletics competition if, after completion of high-school eligibility in the student-athlete's sport and before the student-athlete's high-school graduation, the student-athlete competes in more than two all-star football contests or two all-star basketball contests.

**30.2.1.1 High-School All-Star Contest Defined.** A high-school all-star contest is any contest in the sport of football or basketball that meets the following criteria:

- (a) The teams participating in the contest involve individuals who have completed their high-school eligibility in the sport and have not yet enrolled in and attended classes during a regular term at a collegiate institution;
- (b) The contest is scheduled and publicized in advance;
- (c) The competition is sponsored and promoted by an individual, organization or agency; and
- (d) The individuals are selected for participation in the contest on an invitational basis and have not competed together previously as members of a team that played a regular schedule of games in an organized recreation or interscholastic program.

**30.2.1.2 Contests Not Considered High-School All-Star Competition.** Contests conducted under the criteria listed in Bylaw 30.2.1.1 shall not be considered all-star competition for purposes of Bylaw 14.6 if one or more of the following conditions is applicable:

- (a) The contest occurs within a framework of a regularly scheduled recreational program involving solely participants from the community in which the sponsor is located;
- (b) The competition is limited solely to participants from the community in which the sponsor is located and no revenue, including gate receipts, concessions, program sales or contributions, is generated from the contest;
- (c) The competition is scheduled within the framework of an established cultural exchange program involving other educational activities; or

# All-Star Contests/30.2.1.2—Consortium, Athletics/30.4.2

- (d) The contest is scheduled in conjunction with developmental competition sponsored by the national governing body of amateur basketball (USA Basketball) or an active member thereof.
- **30.2.1.3 Multiple Competition (Tournaments).** Multiple competition (e.g., tournaments) involving more than one contest or two teams will be considered high-school all-star competition if the specific criteria in Bylaw 30.2.1.1 (subject to the conditions in Bylaw 30.2.1.2) are applicable. Each game conducted under such circumstances would be considered a high-school all-star contest.
- **30.2.1.4 Facility Use for High-School All-Star Games.** An institution is prohibited from making its facilities available for a high-school all-star game unless the conditions specified in Bylaw 13.12.3.3 are met.

#### 30.3 CERTIFICATION OF COMPLIANCE

A member institution shall not be eligible to enter a team or individual competitors in an NCAA championship unless its chief executive officer makes an annual institutional eligibility certification [see Bylaw 18.4.2.1-(d)] attesting that the conditions specified below have been satisfied. The certification shall be completed not later than September 15. (*Revised:* 1/10/95)

- 30.3.1 NCAA Rules Review. The chief executive or a designated representative has reviewed with all athletics department staff members the rules and regulations of the NCAA as they apply to the administration and conduct of intercollegiate athletics.
- 30.3.2 Coaching Staff Disciplinary Actions. At the time of such certification, and as a result of involvement in a violation of the Association's legislation as determined by the Committee on Infractions or the Management Council, no current member of the institution's coaching staff:
- (a) Shall have been temporarily or permanently suspended from coaching duties by another member institution within the last two years; or
- (b) Shall have been prohibited within the last two years, as a result of violations occurring while employed by another member institution, from participating in identified coaching-related activities, unless the prohibition has been equally applied by the certifying institution with respect to the individual's coaching-related activities on behalf of it; or
- (c) Shall have been permitted within the last two years to perform any coaching-related activities for the certifying institution that were prohibited after determination by the Committee on Infractions of an "appropriate disciplinary action" for the individual in accordance with the show-cause provision of Bylaw 19.6.2.2-(l) of the NCAA enforcement procedures.
  - **30.3.2.1 Period of Suspension or Prohibition.** The period of suspension or prohibition established by the Infractions Subcommittee or the Management Council must be in effect for the provisions set forth in Bylaw 30.3.2 to apply.
  - **30.3.2.2 Due-Process Requirement.** The affected coaching staff member must be given through the appropriate institution notice of an opportunity to be heard at both the NCAA hearing resulting in the finding of involvement in the violation and the institutional hearing resulting in suspension or prohibition.
- 30.3.3 Certification of Policies, Procedures and Practices. The policies, procedures and practices of the institution, its staff members and representatives of athletics interests are in compliance at the present time with the Association's legislation insofar as the chief executive officer can determine.
- 30.3.4 Maintenance of Compliance. It is the intention of the institution to maintain such compliance.
- 30.3.5 Report of NCAA Violation Involving Institution. A current statement has been filed with the chief executive officer, as a part of the institution's annual certification, which is signed by each athletics department staff member (except for clerical personnel) and, at a Division III institution or an institution that is classified in Division III in a sport, by the institutional financial aid officer or comparable campus official, attesting that the individual has reported any knowledge of an involvement in any violations of NCAA legislation involving the institution.

# 30.4 CONSORTIUM, ATHLETICS

An athletics consortium (see Constitution 3.1.2) may be approved by a two-thirds vote of the Management Council, on recommendation of the Membership Committee, provided the criteria specified below are met.

- 30.4.1 General Policy. In general, a consortium shall be approved on the basis of existing academic considerations with the understanding that there shall be no change in the basic recruitment, enrollment or financial aid policies of the involved institutions as a result of such approval.
- 30.4.2 Combining Entire Athletics Programs. The institutions shall combine their entire athletics programs, and the consortium shall not be formed on a sport-by-sport basis.

# Consortium, Athletics/30.4.3—Five-Year/10-Semester Rule Waiver/30.6.1

- 30.4.3 Conference Approval. An institution that belongs to an NCAA member conference first shall receive approval of its conference before instituting a consortium for its intercollegiate athletics program. If more than one institution holds such conference membership, all such conferences shall approve the consortium.
- 30.4.4 Eligibility Requirements for Student-Athletes. Participating student-athletes shall meet all eligibility requirements of the member institution(s), the athletics conference(s) involved and the NCAA. The member institution(s) shall certify the eligibility of all student-athletes under those rules.
- 30.4.5 Financial Assistance to Student-Athletes. Within a consortium:
- (a) Each institution shall be responsible for the financial assistance awarded to its student-athletes. The financial arrangement between or among the institutions for the exchange of funds to cover the academic costs of student-athletes who take part in the exchange program shall apply to student-athletes in the same manner as it applies to those students not participating in the intercollegiate athletics program;
- (b) One institution may not provide a scholarship or any other form of financial aid to a student-athlete enrolled in another institution or transmit a scholarship or grant-in-aid to another institution to be used by one or more of its student-athletes; and
- (c) Financial aid limitations as set forth in Bylaw 15 shall be applicable to the consortium as one entity and shall include all countable student-athletes, regardless of the institution in which they are enrolled.
- 30.4.6 Length of Approval. NCAA approval shall be for a four-academic-year period, at the end of which the institutions shall submit a report on the program setting forth its effect upon their academic and athletics operations. (*Revised:* 8/4/89)
- 30.4.7 NCAA Division Membership. The institutions may be members of different NCAA divisions but shall select one division for legislative and competitive purposes.
- 30.4.8 NCAA Member Involvement. At least one of the institutions already shall be a member of the NCAA, and no more than one nonmember institution shall be included.
- 30.4.9 NCAA Membership Application. The institutions shall apply for NCAA membership as a consortium and shall be considered as one member of the Association, with their combined names included on the official NCAA membership list.
- 30.4.10 Prior Academic Consortium Relationship. The institutions shall have had a prior academic consortium relationship.
- 30.4.11 Recruitment. It is permissible for one institution to recruit prospective student-athletes with a view to their possible enrollment at another institution in the consortium, provided the individuals qualify for admission to that institution and the athletics interests of the member institution are not involved, directly or indirectly, in influencing the admission or award of financial assistance.

#### 30.5 DRUG-TESTING CONSENT FORM

The following procedures shall be used in administering the drug-testing consent form required in Bylaw 14.1.4 (see also Constitution 3.2.4.6): (Adopted: 1/10/92 effective 8/1/92)

- (a) The consent form shall be administered individually to each student-athlete by the director of athletics or the director of athletics' designee each academic year; and
- (b) The director of athletics or the director of athletic's designee shall disseminate the list of banned drug classes to all student-athletes and educate them about products that might contain banned drugs. All student-athles are to be notified that the list may change during the academic year, that updates may be found on the NCAA web site (i.e., www.ncaa.org) and informed of the appropriate athletics department procedures for disseminating updates to the list; and
- (c) The consent forms shall be kept on file by the director of athletics and shall be available for examination on request by an authorized representative of the NCAA.
- 30.5.1 Effect of Violations. Violations of the procedure set forth in Bylaw 30.5 shall be considered an institutional violation per 2.8.1; however, the violation shall not affect the student-athlete's eligibility.

#### 30.6 FIVE-YEAR/10-SEMESTER RULE WAIVER

As authorized in Bylaw 14.2.2.3, the Council, or a Committee on Student-Athlete Reinstatement, by a two-thirds majority of its members present and voting, may approve waivers of the 10-semester rule.

30.6.1 Waiver Criteria. A waiver of the five-year/10-semester period of eligibility is designed to provide a student-athlete with the opportunity to participate in four seasons of intercollegiate competition within a

# Five-Year/10-Semester Rule Waiver/30.6.1—Foreign Tours/30.7.2

five-year period. This waiver may be granted, based upon objective evidence, for reasons that are beyond the control of the student-athlete or the institution, which deprive the student-athlete of the opportunity to participate for more than one season in his/her sport within the five-year or 10-semester period. The Committee on Student-Athlete Reinstatement reserves the right to review requests that do not meet the more-than-one-year criteria detailed in this bylaw for extraordinary circumstances or extreme hardship. (Revised: 4/17/91, 1/11/94, 8/10/94, 10/12/95)

- **30.6.1.1** Circumstances considered to be beyond the control of the student-athlete or the institution and do not cause a participation opportunity to be used shall include, but are not limited to, the following: (Adopted: 8/10/94, Revised: 10/12/95)
- (a) Situations clearly supported by contemporaneous medical documentation, which states that a student-athlete is unable to participate in intercollegiate competition as a result of incapacitating physical or mental circumstances;
- (b) The student-athlete is unable to attend a collegiate institution full time as a result of a life-threatening or incapacitating injury or illness suffered by a member of the student-athlete's immediate family, which clearly is supported by contemporaneous medical documentation;
- (c) Reliance by the student-athlete on written, contemporaneous, clearly erroneous academic advice provided to the student-athlete from a specific academic authority from a collegiate institution regarding the academic status of the student-athlete or prospective student-athlete, which directly leads to that individual not being eligible to participate and, but for the clearly erroneous advice, the student-athlete would have established eligibility for intercollegiate competition; (Revised: 10/9/96 effective 8/1/97)
- (d) Natural disasters (e.g., earthquakes, floods);
- (e) Extreme financial difficulties as a result of a specific event (e.g., layoff, death in the family) experienced by the student-athlete or by an individual on whom the student-athlete is legally dependent, which prohibit the student-athlete from participating in intercollegiate athletics. These circumstances must be clearly supported by objective documentation (e.g., decree of bankruptcy, proof of termination) and must be beyond the control of the student-athlete or the individual on whom the student-athlete is legally dependent. (Adopted: 10/12/95, Revised: 10/28/97)
- **30.6.1.2** Circumstances that are considered to be within the control of the student-athlete or the institution and cause a participation opportunity to be used include, but are not limited to, the following: (Adopted: 8/10/94, Revised: 10/12/95)
- (a) A student-athlete's decision to attend an institution that does not sponsor his/her sport, or decides not to participate at an institution that does sponsor his/her sport;
- (b) An inability to participate due to failure to meet institutional/conference or NCAA academic requirements, or disciplinary reasons or incarceration culminating in or resulting from a conviction; (Revised: 10/12/95)
- (c) Reliance by a student-athlete on misinformation from a coaching staff member;
- (d) Redshirt year;
- (e) An inability to participate as a result of a transfer year in residence or fulfilling a condition for restoration of eligibility; and
- (f) A student-athlete's lack of understanding regarding the specific starting date of his or her five-year/10-semester period of eligibility. (*Adopted:* 10/9/96 effective 8/1/97)

#### 30.7 FOREIGN TOURS AND COMPETITION

A member institution may participate in a foreign tour in any sport (see Bylaw 17.30), provided the conditions specified below are met. (Revised: 1/11/89)

- 30.7.1 Certification of Tour. The institution must certify in writing that the conditions set forth in this section are met and must maintain the certification on file in the athletics department. (*Revised: 1/11/89*)
- 30.7.2 Eligibility of Student-Athletes. The eligibility of student-athletes on the tour shall be governed by the following (see also Bylaw 14.2.4.8):
- (a) If the tour takes place during the summer, the student-athletes shall have been eligible for intercollegiate competition during the previous academic year or shall have been enrolled at the institution as a full-time student during the previous academic year and have established by the beginning of the tour that he or she is eligible for competition the academic year immediately after the tour; or (*Revised:* 1/11/00 effective 8/1/00)
- (b) If the tour takes place after the academic year has started, the student-athletes shall be regularly

enrolled in the institution and eligible for intercollegiate competition.

- **30.7.2.1 Incoming Student Participation.** In a sport that has no limitations on preseason practice, it is not permissible for an incoming student-athlete to participate as a member of the institution's team on a foreign tour that occurs before the beginning of his or her first term. In sports with limitations on preseason practice (e.g., football, basketball), it is permissible for an eligible incoming student-athlete to represent the institution only on a foreign tour that begins after the permissible starting practice date in the sport involved or after the first day of classes of his or her first regular term at the institution.
- 30.7.3 Football Postseason Opportunity. A foreign football tour shall be considered that institution's postseason opportunity for that season, the accounting period to commence with the start of the institution's normal beginning of fall football practice.
- 30.7.4 Time Lapse Between Tours. An institution shall not engage in a foreign tour in each sport more than once every four years in Divisions I and II or more than once every three years in Division III. In Divisions II and III, participation during the summer is counted in the previous academic year. (*Revised:* 1/11/89)
- 30.7.5 Maximum Number of Contests/Competition Dates. A team shall be limited to a maximum of three football games, 10 basketball games or 10 contests or dates of competition in any other sport during and as part of the tour. (*Revised: 1/10/91*)
- 30.7.6 Opponents. The team shall not compete during the tour against other American teams (colleges or other U.S. teams) other than teams composed of U.S. armed forces personnel stationed at U.S. military bases in foreign countries.
  - **30.7.6.1 Exception—Women's Rowing.** It is permissible for rowing teams representing NCAA member institutions to compete against each other as part of the Henley Royal Regatta. (Adopted: 1/11/00)
- 30.7.7 Practice Limitation. Not more than 10 days of practice are permitted before departure.
- 30.7.8 Timing of Tour. The tour shall be scheduled during the summer-vacation period between the institution's spring and fall terms or during any other vacation period published in the institution's official catalog. All travel to and from the foreign country must take place during such a vacation period. However, if the team crosses the international date line during the tour, the change of date will be disregarded and the equivalent time as measured in the United States will be used to determine the institution's vacation period. (*Revised:* 10/18/89)

#### 30.8 OUTSIDE COMPETITION, NATIONAL TEAM

Student-athletes are prohibited from participating in organized outside competition except as specifically provided in Bylaw 14.7.

30.8.1 National-Team Criteria. In applying the regulation permitting exceptions for student-athletes to participate during the institution's intercollegiate season in international competition involving national teams represented in the competition, a national team is defined as one that meets the following criteria:

- (a) It is selected, organized and sponsored by the appropriate Group A member of the United States Olympic Committee (or, for student-athletes representing another nation, the equivalent organization of that nation, or, for student-athletes competing in a non-Olympic sport, the equivalent organization in that sport);
- (b) Selection for such a team is made on a national qualification basis either through a defined selective process or actual tryouts, publicly announced; and
- (c) The international competition in question requires that the entries officially represent their respective nations, although it is not necessary to require team scoring by nation.

#### 30.12 STUDENT-ATHLETE STATEMENT

The following procedures shall be used in administering the student-athlete statement required in Bylaw 14.1.3: (Revised: 8/4/89)

- (a) The statement shall be administered individually to each student-athlete by the athletics director or the athletics director's designee before the student's participation in intercollegiate competition each academic year;
- (b) The athletics director and head coach in the sport in which the student-athlete participates shall sign each statement as required by the prescribed form;
- (c) The statement shall be kept on file by the athletics director and shall be available for examination on request by an authorized representative of the NCAA; and

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# Student-Athlete Statement/30.12—Summer Baseball/30.14.6.3

(d) The athletics director shall promptly notify in writing the NCAA's director of sports sciences regarding a student-athlete's disclosure of a previous positive drug test administered by any other athletics organization. (Adopted: 1/14/97 effective 8/1/97)

#### 30.14 SUMMER BASEBALL

These regulations refer only to leagues that receive funding from the NCAA through Major League Baseball. Other amateur summer baseball leagues are not required to meet the operational guidelines for summer baseball leagues listed in this section. In order to be approved by the Executive Committee, a summer baseball league receiving funding from the NCAA through Major League Baseball must meet the following requirements: (Adopted: 4/25/90)

- 30.14.1 Amateur Status. Only teams composed solely of amateur players will be certified. For the purpose of these requirements, an amateur player is identified as one not under current professional contract in the sport of baseball or receiving compensation for playing and who otherwise meets all the conditions of an amateur student-athlete per Bylaw 12. (Adopted: 4/25/90)
- 30.14.2 Eligibility for Participation. All leagues or teams receiving funds through these regulations must limit the playing personnel of its member teams to students of junior or senior colleges who have eligibility remaining in accordance with NCAA rules. (*Adopted: 4/25/90*)
- 30.14.3 Staff Limitations. Only teams employing college coaches, high-school coaches or coaches of recognized amateur baseball teams will be certified. Further, the coach or manager of any such certified team shall not be employed by any professional baseball team or club, or receive any remuneration whatsoever from such an organization. (Adopted: 4/25/90)
  - **30.14.3.1 Institution's Baseball Coach.** In a league that involves student-athletes from a coach's institution, a member institution's baseball coach may be involved only with league administrative duties (e.g., serve as a member of a league's board of directors), but shall not perform any on- or off-field coaching or supervisory responsibilities that involve contact with student-athletes from the coach's institution. (*Adopted: 1/11/94*)
- 30.14.4 Player Limitations and Written Permission. There is no limit on the number of student-athletes from the same institution who may participate on the same outside summer baseball team (see Bylaw 17.4.8.1.3). In order to participate in a summer baseball league, a student-athlete must receive written permission from the institution's director of athletics (or the director's official representative). If the student-athlete is transferring and has been officially accepted for enrollment in a second institution and if the previous institution certifies that the student has withdrawn and does not intend to return to that institution for the next term, this written permission is to be obtained from the member institution to which the student-athlete is transferring. (Adopted: 4/25/90)
- 30.14.5 Player Assignment. There shall be no assignment of players to a league or team by professional baseball clubs or organizations; however, representatives from Major League Baseball may recommend college players to the president or commissioner of a certified league. (*Adopted: 4/25/90, Revised: 4/15/91*)
- 30.14.6 Employment Opportunities
  - **30.14.6.1 Work Requirement.** Any student-athlete who participates on an organized team in such a league may have the opportunity to be employed in a real and necessary job for a number of hours that is agreed on by the employer and the student-athlete. The student-athlete shall indicate his intent to work on the NCAA letter-of-commitment form. Under these circumstances, a league is not required to obtain employment for the student-athlete; however, the league must make a legitimate effort to provide employment for the student-athlete. Compensation shall be paid to the student-athlete only for work actually performed and at a rate commensurate with the going rate in that locality for similar services. The student-athlete must be able to report to work within seven calendar days after the date of arrival with the team. The student-athlete may play baseball whenever feasible provided the practice and competition do not interfere with the opportunity to work. (*Adopted: 4/25/90, Revised: 8/7/92*)
  - **30.14.6.2 Employment at Camp.** A student-athlete may be employed as a counselor in a summer camp or in a recreation department program. Compensation provided to the student-athlete shall be commensurate with the going rate for camp or clinic counselors of similar teaching ability and experience and may not be paid on the basis of the value that the student-athlete may have for the employer because of the athletics reputation or fame the student-athlete has achieved. (*Adopted: 4/25/90*)
  - **30.14.6.3 Employment Certification.** Not later than July 1, each team shall submit to the summer baseball subcommittee the following information regarding each undergraduate student-athlete who is a member of its squad and who is employed: (*Adopted: 4/25/90, Revised: 8/7/92*)
  - (a) Name of player;
  - (b) Name of college and year of graduating class;

- (c) Description of job;
- (d) Rate of pay;
- (e) Location of job;
- (f) Name of employer; and
- (g) Working hours.
- **30.14.6.4 Exception.** Leagues that choose their talent primarily from the community in which the league is located are not required to meet the employment-opportunities requirements listed in Bylaws 30.14.6.1 through 30.14.6.3. (*Adopted:* 1/6/92)
- 30.14.7 Expenses. The student-athlete's team may pay the student-athlete's actual round-trip transportation costs by direct route not to exceed coach air fare (or comparable class) between the student-athlete's home or the community of the collegiate institution for which the student-athlete participates and the home community of the team. The team also may provide actual and necessary travel and room and board expenses related to practice and game competition. (*Adopted: 4/25/90*)
  - **30.14.7.1 Bonus Payments.** No cash allowance or bonus shall be given to any student-athlete in an NCAA certified summer baseball league. (Adopted: 4/25/90)
- 30.14.8 Awards Restrictions. Awards are not permissible for recognition of a specialized performance in a particular contest or event. A student-athlete may receive a memento (e.g., certificate or plaque) valued at less than \$25, provided the total value of all mementos received by the student-athlete during a season does not exceed \$200, including mementos received for recognition as the most valuable player on a team or in a league or for a team's performance in a league playoff. (Adopted: 4/25/90)
- 30.14.9 Certification
  - **30.14.9.1 Certification Statement.** The management of each team shall file a statement with the summer baseball subcommittee not later than April 1 certifying that the team will operate in accordance with the requirements listed in this bylaw. (*Adopted:* 4/25/90, *Revised:* 4/20/94)
  - **30.14.9.2 Coach and Administration Certification.** Not later than April 1, the commissioner of each league shall submit to the Executive Committee the names of all individuals who serve in an administrative or coaching capacity within the league and indicate those coaches who are employed at NCAA member institutions. (*Adopted: 4/25/90, Revised: 4/20/94*)
  - **30.14.9.3 Attendance at In-Person Certification Meeting.** A league representative shall be in attendance at the summer baseball subcommittee's in-person January meetings. (*Adopted:* 1/13/98)
- 30.14.10 Playing and Schedule Requirements
  - **30.14.10.1 Calendar Requirement.** All play, including league, nonleague and playoff competition, shall take place between June 1 (or the preceding Friday if June 1 falls on a Sunday or Monday) and August 15. (*Adopted:* 4/25/90, *Revised:* 1/6/92, 8/7/92)
  - **30.14.10.2 All-Star Competition.** All-star competition between teams within the same league shall be permitted. All-star competition between teams in separate leagues shall be permitted, provided:
  - (a) Each league's schedule is not modified or extended to accommodate this competition;
  - (b) Each league participates in only one all-star competition per summer;
  - (c) The competition does not replace the league all-star game;
  - (d) The competition does not include nonsanctioned leagues; and
  - (e) The involved leagues present all financial details of the competition to the NCAA and Major League Baseball representatives who have the authority to approve or deny the competition at the annual January in-person meeting.

An all-star team from a league may participate against a foreign country's national team, provided the foreign national team's tour has been approved by USA Baseball, the league's schedules have not been modified or extended to allow such competition, and the contest(s) takes place in the community of a sanctioned league team. (*Adopted: 4/15/91, Revised: 8/10/94, Revised: 1/8/01*)

- **30.14.10.3 Submission of Information.** Each team shall submit a copy of its constitution, bylaws and comparable regulations to the summer baseball subcommittee not later than March 1. A game schedule from each team shall be submitted by May 1. (Adopted: 4/25/90, Revised: 4/20/94)
- 30.14.11 Letter of Commitment. All teams shall use the NCAA sanctioned summer baseball league's letter of commitment. A league may use its own letter of commitment only if the student-athlete has signed the NCAA letter of commitment. (Adopted: 4/25/90, Revised: 4/15/91)
- 30.14.12 Playing Rules. The NCAA baseball playing rules, with the exception of the "Optional Rules"

# Summer Baseball/30.14.12—Summer Basketball/30.15

- for Speed-Up and Optional Substitution, are to be used in all certified leagues. (Adopted: 4/25/90)
- 30.14.13 Notification. The membership of the NCAA shall be advised through The NCAA News of the names of the teams (and leagues) certified by the summer baseball subcommittee. (*Adopted: 4/25/90*)
- 30.14.14 League Probation. The NCAA summer baseball subcommittee shall have the authority to place a league on probation at any time. Probation may include, but is not limited to, a verbal reprimand or a reduction in a league's financial allocation. (*Adopted: 8/10/94*)
- 30.14.15 Contributions from Major League Baseball
  - **30.14.15.1 Certification Requirement.** Certification shall be denied to any team or league receiving financial assistance directly from a professional baseball club, league or organization. (Adopted: 4/25/90)
  - **30.14.15.2 Franchise Contribution.** Any contribution in money or similar kind by Major League Baseball to an amateur summer baseball league or team in which undergraduate student-athletes participate shall be prohibited, except that the office of the Commissioner of Major League Baseball may contribute funds directly to the NCAA for the administration of these funds to designated summer baseball leagues. The distribution of the funds received, including the selection of the recipient and amount disbursed, shall be determined by the summer baseball subcommittee, in conformance with the NCAA summer baseball requirements and subject to the approval of the Executive Committee. (*Adopted: 4/25/90*)
- 30.14.16 Commissioner Requirement. If a summer baseball league receives a financial contribution of \$10,000 or more from funds administered by the Executive Committee, such a league shall be required to employ a commissioner acceptable to the league and the NCAA. (*Adopted: 4/25/90*)
- 30.14.17 Financial Arrangements. Financial arrangements between the NCAA (and Major League Baseball) and summer baseball leagues are "at will" and may be terminated at the discretion of the Executive Committee. (Adopted: 8/10/94)
- 30.14.18 Financial Report. Each league that receives a contribution from the funds contributed by Major League Baseball and administered by the Executive Committee shall be required to file a financial report of its immediate past summer's operations to the NCAA in a mutually agreeable form. This report shall be submitted to the NCAA not later than September 15, and a copy shall be made available promptly to Major League Baseball. (*Adopted: 4/25/90, Revised: 4/20/94*)
- 30.14.19 Tobacco Ban. No player, coach or game official may use any form of tobacco during practices or games in NCAA-approved summer baseball leagues. (*Adopted: 8/6/93*)

#### 30.15 SUMMER BASKETBALL

In order to be approved by the Management Council per Bylaw 14.7.5.2-(a), a summer basketball league must meet the following requirements:

- (a) All-Star Games Prohibition. No all-star game of any kind shall be permitted;
- (b) **Geographical Limitation.** League play shall be within 100 air miles of the city limits of the student-athlete's official residence at the end of the previous academic year or the institution the student-athlete last attended as a regular student. If a league does not exist within 100 air miles of the student-athlete's residence, a student-athlete may participate in the summer league located closest to the student's official residence; (*Revised: 8/2/91*)
- (c) **Payment Prohibition.** No member team shall make any payments for play or expenses directly or indirectly to any player;
- (d) **Postseason Competition.** Postseason play-offs or tournaments shall be permitted, provided they involve intraleague competition and are completed by August 31;
- (e) Player Limitations
  - (1) **Number from Any One College.** Each team shall include on its roster no more than one player with intercollegiate basketball eligibility remaining from any two-year or four-year college (other than a Divisions II and III member institution); and (Revised: 1/10/91, 1/14/97 effective 8/1/97)
  - (2) **Replacement of Student-Athlete Who Withdraws.** A student-athlete who is listed on the roster of a team and withdraws or is injured and will not continue to practice or compete may be replaced for the remainder of the season by another basketball student-athlete from the same institution. The institution is permitted only one replacement per team. (*Adopted: 1/11/94*)
- (f) **Revenue.** No admission shall be charged for any game, no fee shall be charged for parking to attend any game, no revenue shall be realized at any game from raffles or similar activities, and no revenue shall be realized from over-the-air or cable television or radio rights fees for any game; and

# Summer Basketball/30.15—U.S. Service Academy Waivers/30.17.1.1

(h) **Staff Limitation.** Neither the league nor any member team shall have on its staff or as a participant any person associated in any employment capacity with any two-year or four-year college, except that institutional employees who are not athletics department staff members and do not have responsibilities directly related to the athletics department may serve as game officials. (*Revised*: 8/6/93)

#### 30.17 U.S. SERVICE ACADEMY WAIVERS

- 30.17.1 Preparatory School Assistance. The Management Council, by a two-thirds majority of its members present and voting, may approve waivers of Bylaw 13.16, provided such waivers are limited to procedures involving preparation for entrance into one of the U.S. service academies.
  - **30.17.1.1** Air Force, Military and Naval Academies Waiver. The Management Council, by a two-thirds majority of its members present and voting, has approved an exception to the prohibition against offering, providing or arranging to pay the costs of a prospect's education or other expenses before the prospect's enrollment (see Bylaw 13.16.1) in regard to the preparatory education programs of the U.S. Air Force, Military and Naval Academies. This action provides that a nonprofit, outside organization representing the interests of one of the academies may collect contributions from alumni and other friends of the academy for the purpose of assisting candidates in obtaining a preparatory education, provided the following conditions are met:
  - (a) The foundation's arrangements with the preparatory school(s) shall provide that the foundation's contributions shall be turned over to the preparatory school for the school's administration without interference or dictation from the foundation or the academy;
  - (b) The preparatory school shall have sole jurisdiction in determining the recipient of financial assistance and the terms and conditions of the award;
  - (c) The foundation may recommend candidates to the preparatory school; athletics staff members of the academy may not; and
  - (d) Such a foundation shall provide preparatory education assistance for prospective candidates who do not have specialized athletics abilities as well as those who do. The number of candidates with recognized ability assisted each year as the result of the foundation's program shall be in equal ratio to the number of student-athletes on the regular intercollegiate squads of the academy compared to the total enrollment of the academy.

# ADMINISTRATIVE BYLAW, ARTICLE 31

# **Executive Regulations**

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# 31.01 GENERAL PRINCIPLES

- 31.01.1 Names of Championships. All NCAA championships (see Bylaw 18.3) have formal designations that identify their appropriate category and sport classification (see Bylaw 31.02.2). The name of each championship is the property of the Association (see Bylaw 31.9).
- 31.01.2 Postseason Championship Opportunities. NCAA championships are intended to provide national-championship competition among the best eligible student-athletes and teams at the conclusion of the respective sport seasons, with consideration for regional structures that may be approved for certain championships.
- 31.01.3 Size of Championships Fields. The size of all NCAA championships fields shall be established by the championships committee to provide for efficient management of the events, adequate NCAA championship opportunities relative to the nationwide quality of competition and sound economic administration of the financial resources of the Association and its championships. (See Bylaw 31.3.1 for the criteria to be considered in establishing the size of the championship field.)
- 31.01.4 Economy of Operation. Every sports committee (see Bylaws 21.02.4 and 21.02.5) and games committee (Bylaw 31.1.2) shall exercise all possible economy in the conduct of an NCAA championship.

# 31.02 DEFINITIONS AND APPLICATIONS

- 31.02.1 Automatic Qualification. Automatic qualification is the automatic entry into a championship field by a team or individual student-athletes representing a member conference recommended by the appropriate sports committee and approved by the championships committee (see Bylaw 31.3.4).
- 31.02.2 Championships Classification and Terminology
  - **31.02.2.1 Team Championships.** Team championships are those conducted for the team sports [see Bylaw 17.02.12.1 or 31.3.4)]. The title of a team championship is always singular and is identified as a National Collegiate Championship or a division championship (e.g., National Collegiate Women's Rowing Championship, Division I Men's Basketball Championship).
  - **31.02.2.2 Individual-Team Championships.** Individual-team championships are those conducted for the individual sports [see Bylaw 17.02.12.2 or 31.3.4.5]. The title of an individual-team championship is always plural, reflecting the fact that both individual and team championships are determined (e.g., National Collegiate Men's Gymnastics Championships, Division I Women's Tennis Championships).
- 31.02.3 Misconduct. Misconduct in an NCAA championship is any act of dishonesty, unsportsmanlike conduct, unprofessional behavior or breach of law occurring incident to, en route to, from or at the locale of the competition or practice that discredits the event or intercollegiate athletics. (Revised: 8/15/89, 8/13/92)

# 31.1 ADMINISTRATION OF NCAA CHAMPIONSHIPS

31.1.1 Authority of Championships Committee and Sports Committees. As specified in Bylaw 18.1, all NCAA championships shall be conducted in accordance with the general policies established by the Championships Committee and shall be under the control, direction and supervision of the appropriate sports

# Administration of NCAA Championships/31.1.1—31.1.3.2.5

committees, subject to the standards and conditions set forth in these executive regulations. Additional policies of an administrative nature are set forth in the respective championships handbooks and are to be followed in the administration of NCAA championships.

- **31.1.1.1 Waivers.** The president shall be authorized to grant waivers of executive regulations governing the conduct of an NCAA championship when warranted by special and unusual circumstances.
- **31.1.1.2 Appeal of Decisions of Sports Committees.** An appeal of a decision of a governing sports committee, or a subcommittee designated by it, concerning questions of individual or institutional eligibility or the conduct of a championship will not be considered by the Championships Committee at any time during the championship or 48 hours immediately before the championship. During such period, the governing sports committee, or a subcommittee designated by it, shall be the final authority in acting upon appeals concerning the conduct of the championship, subject to the provisions of Bylaw 31.2 regarding institutional and individual eligibility questions.
- 31.1.2 Games Committee. The governing sports committee shall appoint a games committee to supervise actively the conduct of each championship session. The games committee conducting any NCAA championship shall limit participation to eligible student-athletes and may limit the number of entries or reject any application for entry in any event in order that the competition shall best promote the welfare and interest of the sport involved.
- 31.1.3 Sites and Dates. The governing sports committees recommend to the Championships Committee the sites and dates for all NCAA championships.
  - **31.1.3.1 Championships Committee Approval.** Championships Committee approval shall be obtained before final site commitments are made to the host institution or any other individual or organization associated with the management of an NCAA championship. However, in the sports of baseball, basketball, field hockey, football, ice hockey, lacrosse, soccer, softball and volleyball, the governing sports committees are authorized to select sites for preliminary rounds of competition without prior Championships Committee approval.
  - **31.1.3.2 Site Selection.** The governing sports committees shall evaluate prospective sites for NCAA championships in terms of the specific criteria approved by the Championships Committee. The division championships committees may assign specific priorities to these criteria for their respective championships. These shall be specified in the appropriate championships handbooks. A governing sports committee that desires to use additional criteria shall obtain Championships Committee approval before doing so.
    - **31.1.3.2.1 Criteria for Site Determination.** The following criteria are to be used in the evaluation of sites for all competition in NCAA championships:
    - (a) Quality and availability of the facility and other necessary accommodations;
    - (b) Geographical location (including such factors as rotation of sites, weather, accessibility and transportation costs);
    - (c) Seeding; and
    - (d) Attendance history and revenue potential, which shall be considered necessary to assure fiscal responsibility.
    - **31.1.3.2.2 Nonpredetermined Site.** When a championship site is not predetermined, the governing sports committee may award the site to the higher-ranked team if the above criteria, and any priorities established by the respective division championships committee, are met.
    - **31.1.3.2.3 On-Campus versus Off-Campus Sites.** Preference shall be given to conducting competition on the grounds or in the buildings of educational institutions unless there are compelling reasons, based on evaluation of the criteria in Bylaw 31.1.3.2.1 (which shall be applied to both oncampus and off-campus sites), to conduct the competition in an off-campus facility. In those instances in which it is advisable to conduct the competition at off-campus sites, the host institution(s) shall have complete control, supervision and management of the facility being used. (*Revised:* 12/3/90)
    - **31.1.3.2.4 Reconsideration of Host Institution.** The Championships Committee may reconsider the designation of a host institution for an NCAA championship if that institution's team or individual student-athletes are not eligible to compete in the championship.
    - **31.1.3.2.5 Nonrevenue Championship Site Assignment.** Team championships that do not generate revenues, pairings shall be based primarily on the teams' geographical proximity to one another, regardless of their region, in order to avoid air travel in preliminary rounds whenever possible. Teams' seeding relative to one another may be taken into consideration when establishing pairings if such a pairing does not result in air travel that otherwise could be avoided. *(Revised: 8/4/94)*

- **31.1.3.3 Concluding Dates.** NCAA championships competition shall be concluded no later than May 31 each year unless later dates are approved by the Championships Committee. (Note: The three division baseball championships, the Division I Men's Golf Championships, and the Division I Men's and Women's Outdoor Track and Field Championships have been granted waivers by the championships committee.)
- 31.1.4 Day of Competition. NCAA championships competition may be scheduled or conducted on any day, provided the governing sports committee has received the prior approval of the Championships Committee and the following regulations are applied. (*Revised:* 1/12/99)
  - **31.1.4.1 Institutional Policy.** If a participating institution has a written policy against competition on a particular day for religious reasons, it shall inform the governing sports committee before the beginning of the championship in order for it or one of its student-athletes to be excused from competing on that day. The championship schedule shall be adjusted to accommodate that institution. (*Revised:* 1/12/99, *Revised 1/8/01*)
  - **31.1.4.2 Individual Championships.** In individual championships, an athlete must compete according to the institution's policy regarding Sunday competition (i.e., if the institution has no policy against Sunday competition, the athlete shall compete on Sunday if required by the schedule).
  - **31.1.4.3 Rescheduling, Emergency or Competitive Development.** If an emergency develops that causes postponement of an NCAA championship, or if the competitive situation dictates a more expeditious completion of the meet or tournament, Sunday competition may be permitted, provided the competing institutions are agreeable and advance approval is obtained from the championships committee.
  - 31.1.4.4 Noon Start Time. NCAA competition conducted on Sunday may not begin before noon, local time.
  - **31.1.4.5 Commencement Exercises.** The governing sports committee, in consultation with participating institutions, may reschedule, to the nearest possible date, team championships competition dates that are in direct conflict with commencement exercises or graduation dates for teams participating in Division III championships competition, provided the following conditions are met: (*Adopted 1/8/01*)
  - (a) Participating institutions have given prior notice and declared on the certification of eligibility to participate form commencement dates during which student-athletes would not be eligible for championships participation; (Adopted 1/8/01)
  - (b) The championship is not conducted at a predetermined finals site; and (Adopted 1/8/01)
  - (c) The committee shall make a good-faith effort to accommodate participating institutions in non-predetermined preliminary round contests with multiple teams participating at the same site.  $(Adopted\ 1/8/01)$
- 31.1.5 Squad Limits. In any championship where a squad limit has been established by the governing sports committee or by the bylaws, the number of eligible student-athletes in competitive uniform at the start of the competition shall not exceed the prescribed number. An institution that is advised that it is in violation of this regulation and that does not promptly conform to it automatically shall forfeit the competition. There shall be no inordinate delay of the competition to allow the institution to conform to the rule.
- 31.1.6 Playing Rules
  - **31.1.6.1 Non-NCAA Rules, Men's Sports.** In those men's sports in which the Association does not publish rules, the NCAA championships shall be conducted according to the following, except where those rules are superseded by modifications made by the appropriate governing sports committee (also see Bylaw 18.6):
  - (a) Fencing—U.S. Fencing Association Rules;
  - (b) Golf—U.S. Golf Association Rules;
  - (c) Gymnastics—International Gymnastics Federation Rules;
  - (d) Tennis-U.S. Tennis Association Rules; and
  - (e) Volleyball—U.S. Volleyball Association Rules.
  - **31.1.6.2** Non-NCAA Rules, Women's Sports. In those women's sports in which the Association does not publish rules, the NCAA championships shall be conducted according to the following, except where those rules are superseded by modifications made by the appropriate governing sports committee (also see Bylaw 18.6):
  - (a) Fencing—U.S. Fencing Association Rules;
  - (b) Field Hockey—International Field Hockey Rules;
  - (c) Golf—U.S. Golf Association Rules;
  - (d) Gymnastics—International Gymnastics Federation Women's Code of Points with the U.S. Gymnastics Federation Class I, Competition I-B rules and the USGF modifications;

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- (e) Lacrosse—U.S. Women's Lacrosse Association Rules;
- (f) Rowing—U.S. Rowing Rules; (Adopted: 1/14/97)
- (g) Tennis—U.S. Tennis Association Rules; and
- (h) Volleyball—National Association for Girls and Women in Sport Rules.
- 31.1.8 Medical Disqualification. The student-athlete's team physician shall examine each athlete injured during NCAA competition and make a recommendation to the athlete, the coach and the chair of the governing sports committee, or the chair's designated representative, as to the advisability of continued participation or disqualification of the athlete. In the absence of said team physician, the NCAA tournament physician, as recommended by the host institution and approved by the governing sports committee, shall examine the injured athlete and make a recommendation as noted above. The chair of the governing sports committee, or the chair's designated representative, shall be responsible for enforcement of the medical recommendation if it involves disqualification.
- 31.1.9 Misconduct. Each games committee shall hold a pretournament meeting with the coaches of participating institutions to review and explain the policies related to misconduct (as defined in Bylaw 31.02.3).
  - **31.1.9.1 Hearing Opportunity.** An act of misconduct may be found upon an administrative hearing granted to the student-athlete or the institutional representative involved by the governing sports committee or the games committee authorized to act for it.
  - **31.1.9.2 Misconduct Incident to Competition.** If the act of misconduct occurs during the competition, under normal circumstances the individual shall be allowed to complete the competition in which he or she is participating at the time of the incident. An administrative hearing shall be held at the conclusion of the day's competition, during a break in the continuity of the championship (e.g., between rounds of a basketball tournament) when no competition is being conducted or at the conclusion of the championship. However, if the act of misconduct is so flagrant that it obviously violates the principles of fair play and sportsmanship, the games committee may immediately withdraw the student-athlete or institutional representative from the competition and conduct the hearing after this action. Other acts of misconduct may be dealt with at the governing sports committee's convenience. (*Revised: 8/13/92*)
  - **31.1.9.3 Penalty for Misconduct.** A governing sports committee (or the games committee authorized to act for it) may impose any one or a combination of the following penalties on an institution or any student-athlete or representative of an institution guilty of misconduct that occurs incident to, en route to, from or at the locale of the competition or practice:
  - (a) Public or private reprimand of the individual;
  - (b) Disqualification of the individual from further participation in the NCAA championship involved;
  - (c) Banishment of the individual from participation in one or more future championships of the sport involved;
  - (d) Cancellation of payment to the institution of the Association's travel guarantee for the individuals involved:
  - (e) Withholding of all or a portion of the institution's share of revenue distribution;
  - (f) Banishment of the institution from participation in one or more future championships in which its team in that sport otherwise would be eligible to participate;
  - (g) Disqualification of an institution for a period of time from serving as host institution for one or more NCAA championships; (Revised: 8/13/92)
  - (h) Cancellation of all or a portion of the honorarium for hosting an NCAA championship; and (Revised: 8/13/92)
  - (i) Financial or other penalties different from (a) through (h) above, but only if they have prior approval of the Championships Committee. (Revised: 8/13/92)
  - **31.1.9.4 Ban from Future Championship.** When a student-athlete or institutional representative is banned from participation in a future championship, such penalty shall be applied to the next tournament(s) in which the individual's team is involved and the individual otherwise is eligible to participate. In the case of an individual event, the penalty shall be applied to the next meet(s) or tournament(s) for which the individual qualifies and otherwise is eligible to participate.
  - **31.1.9.5 Review of Action.** Any action related to misconduct may be reviewed by the governing sports committee on request of any institution participating in the championship.
- 31.1.10 Failure to Adhere to Policies and Procedures
  - **31.1.10.1 Financial Penalties.** A governing sports committee may assess a financial penalty against

an institution for failure of any of its representatives to adhere to the policies and procedures governing championships administration, subject to review by and appeal to the championships committee. The institution may be assessed:

- (a) One hundred dollars per team or \$50 per individual, up to a \$300 maximum penalty, for failure to adhere to published procedures for the submission of regular-season results, availability questionnaires and/or entry forms;
- (b) One hundred dollars, cancellation of all or a portion of the Association's travel guarantee, or all or a portion of the institution's share of revenue distribution for failure to adhere to published managerial and administrative policies and procedures; (Revised: 5/7/90)
- (c) Cancellation of all or a portion of the honorarium for hosting an NCAA championship for failure to submit the financial report within 60 days after the competition, as specified in Bylaw 31.4.1.1; or (Revised: 5/7/90)
- (d) Financial or other penalties different from (a), (b) and (c) above, but only if they have prior approval of the championships committee. (Revised: 5/7/90)
- **31.1.10.2 Late-Entry Fines.** Institutions shall not be charged entry fees for teams or student-athletes competing in NCAA championships, but governing sports committees may establish late-entry fines, subject to the approval of the championships committee.
- 31.1.11 Awards. The Association has created standard awards for individuals and teams that participate in NCAA championships. The number of such awards for each championship shall be determined by the governing sports committee, subject to the approval of the championships committee. These official NCAA awards shall be the only awards presented by the Association to teams and individuals for participation in NCAA championships competition and shall be the only awards presented at the site of an NCAA championship. "At the site" is intended to include the period from the time access to the site is available to spectators until all patrons have left the facility or area used for competition. Additional participant awards may not be purchased by either an institution or an individual.
  - **31.1.11.1 Other Permissible Awards.** The above provision places no restriction on the presentation of awards at banquets or meetings held in conjunction with the championship or at sites other than that of the championships competition itself.
    - **31.1.11.1.1 Commemorative Awards.** An institution whose athletes receive individual awards as a result of its team's performance in an NCAA championship may purchase any number of commemorative awards, all of which shall be ordered through the Association's national office.
- 31.1.12 Admission and Tickets. Admission shall be charged at all NCAA championships unless a governing sports committee determines that charging admission is not feasible because of facility configuration or the expense relative to the event's attendance history. Ticket prices shall be determined by the respective games committees with the approval of the governing sports committee.
- 31.1.13 Restricted Advertising and Sponsorship Activities. The following activities are restricted when they occur in conjunction with NCAA championships. Other restrictions are set forth in the championships handbooks.
  - **31.1.13.1 Advertising.** Advertising policies of the Association are designed to exclude those advertisements that do not appear to be in the best interests of higher education. The president shall have the authority to rule in cases where doubt exists concerning acceptable advertisers and advertising copy of game programs, broadcasts and telecasts of NCAA championships; however, the following expressly are prohibited: (*Revised:* 8/15/89)
  - (a) Alcoholic beverages (except as specified below);
  - (b) Cigarettes and other tobacco products;
  - (c) Professional sports organizations or personnel (except as specified in the championships handbooks) in games other than certified postseason football games; and
  - (d) Organizations promoting gambling.
    - **31.1.13.1.1 Malt Beverages, Beer and Wine Advertisements.** Advertising of malt beverages, beer and wine products that do not exceed six percent alcohol by volume may be used in game programs. Such advertisements, however, shall not compose more than 14 percent of the space in the program devoted to advertising or not more than 60 seconds per hour of any telecast or broadcast (either a single 60-second commercial or two 30-second commercials).
    - **31.1.13.1.2 Sponsorships.** A championships activity or promotion may not be sponsored by liquor, tobacco, beer or wine companies or by professional sports organizations or teams at any time.
- 31.1.14 Availability of Alcoholic Beverages. Alcoholic beverages shall not be sold or otherwise made available for public consumption at any championship event sponsored by or administered by the

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Association, nor shall any such beverages be brought to the site during the championship (i.e., during the period from the time access to the site is available to spectators until all patrons have left the facility or area used for competition).

#### 31.2 ELIGIBILITY FOR CHAMPIONSHIPS

- 31.2.1 Institutional Eligibility. To be eligible to enter teams or individual student-athletes in NCAA championships, an institution shall recognize the sport involved as a varsity intercollegiate sport (see Bylaw 17.02.12) and shall meet the institutional requirements set forth in Bylaw 18.4.2 applicable to the division in which the institution is a member or for which it is petitioning for eligibility in a sport. An institution that holds membership in a member conference may not enter teams or individuals in an NCAA championship unless they are eligible for such competition under the rules of that conference [see Bylaw 18.4.2.1-(a)].
  - **31.2.1.1 Commitment to Participate.** Eligible members in a sport who are not also members of the National Association of Intercollegiate Athletics or the National Christian College Athletic Association will participate (if selected) in the NCAA championship or in no postseason competition in that sport. (*Revised:* 8/12/91, 1/12/99 effective 8/1/99)
  - **31.2.1.2 Deadline.** The institutional eligibility requirements for entry into NCAA championships (see Bylaw 18.4.2) must be met by the following dates:
  - (a) September 15 for fall championships;
  - (b) December 1 for winter championships; and
  - (c) March 1 for spring championships.
    - **31.2.1.2.1 Compliance with Deadline.** Any dues check or approved form required shall be received in the national office by the applicable date or must have been postmarked not later than seven days before the applicable date.
  - **31.2.1.3 Deadline Waivers.** Institutions that fail to meet a deadline for institutional eligibility in NCAA championships may appeal to the championships committee for a waiver. If the championships committee grants the appeal, then the institution may be restored to eligibility for NCAA championships.
    - **31.2.1.3.1 Penalty for Future Waiver.** Such an institution that fails to meet a deadline a future time may be granted a waiver only on payment of a penalty of \$150 for the second offense and \$300 for each additional offense during the five-year period after the last offense. On approval of the Championships Committee of such waiver and receipt of the institution's check in the national office, the institution may compete in NCAA championships, provided it is otherwise eligible.
  - **31.2.1.4 Joint-Declaration Program.** The NCAA and the National Association of Intercollegiate Athletics (NAIA) and the NCAA and the National Christian Collegiate Athletic Association (NCCAA), respectively, will administer joint-declaration programs in those men's and women's sports in which there is a date conflict between the national championships of the NCAA and either the NAIA or NCCAA. In such championships, each institution that holds membership in the NCAA and either the NAIA or the NCCAA must declare by mid-September each year whether it will participate (if selected) in the NAIA or NCCAA championship, in the NCAA championship or in no postseason competition in that sport. An institution that fails to submit the joint-declaration form by the established deadline shall be ineligible to compete in the NCAA's and either the NAIA's or NCCAA's championships in the particular sport(s) that year. An appeal process exists for such institutions. (Revised: 1/12/99 effective 8/1/99)
  - **31.2.1.5 National Collegiate Championships.** In team sports in which the NCAA offers only one national championship, all member institutions in good standing are eligible to compete in accordance with the requirements of division membership (see Bylaw 20.10.1) and institutional eligibility (per Bylaw 31.2.1).
  - **31.2.1.6 Division Championships.** In those sports in which national-championship competition is offered in more than one division, only those member institutions that meet the membership requirements of the respective divisions or requirements applicable to institutions competing outside their membership divisions are eligible to compete in such division championships (see Bylaw 20).
  - **31.2.1.7 Eligibility Requirements.** Institutional eligibility for all championships shall be limited to member institutions that meet institutional eligibility requirements (Bylaw 31.2.1) and any additional requirements specified in the applicable championships handbooks.
    - **31.2.1.7.1 Eligibility of Participants.** An institution shall advise the NCAA championships staff if a student-athlete who has participated in regular-season competition becomes ineligible before the date on which the governing sports committee selects championship participants, as indicated in the appropriate championships handbook. (*Revised: 5/92*)

- **31.2.1.7.1.1 Failure to Report Ineligible Player Before Selection.** If an institution fails to report an ineligible player before being selected to participate in the championship, the governing sports committee may declare the institution ineligible to participate in the tournament for one or two years.
- **31.2.1.7.1.2** Discovery of Ineligibility of Player After Selection. When an institution fails to report an ineligible player and the omission is not discovered until after the institution is selected to participate in the championship, necessitating the institution's withdrawal from the championship, that withdrawal shall be considered as one of the years of ineligibility, provided another member institution participates in the championship in place of the disqualified institution. If the discovery of the ineligible student-athlete(s) occurs so near the beginning of the championship that the governing sports committee does not have a reasonable period of time to replace the disqualified institution in the bracket, that fact shall be taken into consideration in determining the number of years the disqualified institution shall be ineligible to participate.
- **31.2.1.7.1.3** Review of Violation of Terms of Availability Questionnaire. When an institution is alleged to have violated the terms of an availability questionnaire (e.g., failing to report an ineligible student-athlete), it may be represented at the meeting of the governing sports committee when the committee determines whether a violation occurred and, if so, whether the institution shall be ineligible to participate in the tournament for one or more years. The institution may submit a written statement or make an in-person presentation, or both. After the governing sports committee has ruled on the matter, its decision shall be final unless the institution appeals the decision to the championships committee. The championships committee shall hear the appeal at one of its regularly scheduled meetings unless there are compelling reasons to conduct a special meeting for such purpose.
- **31.2.1.7.2 Protest Regarding Eligibility of Team.** Any team that has been duly certified as eligible for an NCAA championship shall not be withheld from participation because of any protest made or filed during the progress of the competition or during a period 24 hours immediately before the championship. If there is a break in the continuity of the championship (e.g., between rounds of a basketball tournament) when no competition is being conducted, a team may be withheld from further competition in the championship, provided such protest is made or filed at least 24 hours before the next segment of the championship.
- 31.2.2 Individual Eligibility. All student-athletes, regardless of division, must meet the eligibility standards established for NCAA championships competition. The general and academic eligibility requirements are set forth in detail in Bylaw 14, in which there is also reference to other legislation regarding eligibility of the individual student-athlete. Other specific requirements for eligibility for NCAA championships (e.g., authority of the championships committee, amateur status certification and ineligibility for use of banned drugs) are set forth in Bylaws 18.4.1, 10.3 and 10.4. The Executive Committee may require the student-athletes to certify their eligibility for championship competition. (Revised: 3/5/97)
  - **31.2.2.1 Eligibility Requirements.** A member institution shall not enter a student-athlete, as an individual or as a member of a team, in an NCAA championship unless the student-athlete satisfies the relevant eligibility requirements of Bylaw 14. (*Revised*: 8/15/89)
  - **31.2.2.2 Committee on Student-Athlete Reinstatement Representative.** For a particular championship, the chair of the Committee on Student-Athlete Reinstatement may designate the faculty athletics representative of the host institution to act as a temporary, additional member of the committee. It shall be the special responsibility of the temporary member to notify the chair of any eligibility problem that, in the member's opinion, requires the attention of the Committee on Student-Athlete Reinstatement and to represent the committee at the time of the championship.
  - **31.2.2.3 Protest of Eligibility Status.** Any student-athlete duly certified by the institution for an NCAA championship shall not be withheld from participation because of any protest made or filed during the championship or during the 24 hours immediately before the championship. If there is a break in the continuity of the championship (e.g., between rounds of a basketball tournament) when no competition is being conducted, the student may be withheld from further competition in the championship, provided the protest is made or filed at least 24 hours before the next segment of the championship.
  - **31.2.2.4 Participation While Ineligible.** When a student-athlete competing as an individual or representing the institution in a team championship is declared ineligible after the competition, or a penalty has been imposed or action taken as set forth in Bylaw 19.6.2.2-(e) or 19.8 of the NCAA enforcement program, the following shall occur:
  - (a) **Individual Competition.** The individual's performance shall be stricken from the championships records, the points the student has contributed to the team's total shall be deleted, the team standings shall be adjusted accordingly, and any awards involved shall be returned to the Association. For those championships in which individual results are recorded by

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time, points or stroke totals (i.e., cross country, golf, gymnastics, indoor track and field, outdoor track and field, rifle, swimming and skiing), the placement of other competitors shall be altered and awards presented accordingly. For those championships in which individual results are recorded by advancement through a bracket or head-to-head competition, the placement of other competitors shall not be altered. (*Revised: 8/15/89*)

(b) **Team Competition.** The record of the team's performance shall be deleted, the team's place in the final standings shall be vacated, and the team's trophy and the ineligible student's award shall be returned to the Association.

**31.2.2.5 Institutional Penalty for Ineligible Participation.** When an ineligible student-athlete participates in an NCAA championship and the student-athlete or the institution knew or had reason to know of the ineligibility, the Committee on Infractions may assess a financial penalty. (Revised: 1/8/01 effective 8/1/01)

31.2.3 Ineligibility for Use of Banned Drugs. Bylaw 18.4.1.5 provides that a student-athlete who is found to have used a substance on the list of banned drugs shall be declared ineligible for further participation in postseason and regular-season competition during the time period ending one calendar year after the student-athlete's positive drug test. The student-athlete shall be charged with the loss of a minimum of one season of competition in all sports if the season of competition has not yet begun or a minimum of the equivalent of one full season of competition in all sports if the student-athlete tests positive during his or her season of competition (i.e., the remainder of contests in the current season and contests in the next season up to the period of time in which the studentathlete was declared ineligible during the previous year). The student-athlete shall remain ineligible until the student-athlete retests negative (in accordance with the testing methods authorized by the Executive Committee), and the student-athlete's eligibility is restored by the Committee on Student-Athlete Reinstatement. If the student-athlete tests positive a second time for the use of any drug, other than a "street drug" as defined below, he or she shall lose all remaining regular-season and postseason eligibility in all sports. If the student-athlete tests positive for the use of a "street drug" after being restored to eligibility, he or she shall lose a minimum of one additional season of competition in all sports and also shall remain ineligible for regular-season and postseason competition at least through the next calendar year. Bylaw 18.4.1.5.2 also provides that the Executive Committee shall adopt a list of banned drugs and authorize methods for drug testing of student-athletes on a year-round basis. In addition, as stated in Bylaw 18.4.1.5.1, a student-athlete who previously tested positive for performance-enhancing drugs as a result of tests administered by any other athletics organization and subsequently tests positive (in accordance with the testing methods authorized by the Executive Committee) shall be subject to these ineligibility provisions. (Revised: 1/16/93, 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)

**31.2.3.1 Banned Drugs.** The following is the list of banned-drugs classes (The list is subject to change and institutions and student-athletes shall be held accountable for all banned-drug classes on the current list. The current list is located on the NCAA Web site [i.e., www.ncaa.org] or may be obtained from the NCAA national office.) (*Revised:* 8/15/89, 7/10/90, 12/3/90, 5/4/92, 5/6/93, 7/23/97, 10/29/97, 1/8/01)

#### (a) Stimulants:

amiphenazole fencamfamine amphetamine meclofenoxate bemigride methamphetamine benzphetamine methylphenidate bromantan (Adopted: 7/23/97) nikethamide caffeine1 pemoline chlorphentermine pentetrazol cocaine phendimetrazine cropropamide phenmetrazine crothetamide phentermine diethylpropion picrotoxine dimethylamphetamine pipradol doxapram prolintane ephedrine (Adopted: 7/23/97) strychnine

ethamivan and related compounds

ethylamphetamine

Anabolic steroids:

 $(b) \ \ An abolic \ agents:$ 

boldenone

androstenedione (Adopted: 10/29/97)

methyltestosterone

spironolactone

nandrolone

clostebol norandrostenedione (Adopted: 10/29/97)

dehydrochlormethyl-testosterone norethandrolone dehydroepiandrosterone (DHEA) oxandrolone

(Adopted: 7/23/97)

dihydrotestosterone (Adopted: 7/23/97) oxymesterone dromostanolone oxymetholone fluoxymesterone stanozolol mesterolone testosterone<sup>2</sup>

methandienone and related compounds

methenolone

Other anabolic agents:

clenbuterol

(c) Substances banned for specific sports:

Rifle:
alcohol pindolol
atenolol propranolol
metoprolol timolol

nadolol and related compounds

(d) Diuretics:

acetazolamide hydroflumethiazide
bendroflumethiazide methyclothiazide
benzthiazide metolazone
bumetanide polythiazide
chlorothiazide quinethazone

ethacrynic acid triamterene
flumethiazide trichlormethiazide
furosemide and related compounds

hydrochlorothiazide

chlorthalidone

(e) Street drugs:

heroin THC (tetrahydrocannabinol)<sup>3</sup>

marijuana<sup>3</sup>

(f) Peptide hormones and analogues:

chorionic gonadotrophin corticotrophin (ACTH)
(HCG - human chorionic growth hormone

gonadotrophin) (HGH, somatotrophin)

All the respective releasing factors of the above-mentioned substances also are banned.

erythropoietin (EPO)

(g) Definition of positive depends on the following:

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- <sup>1</sup> for caffeine—if the concentration in the urine exceeds 15 micrograms/ml.
- <sup>2</sup> for testosterone—if the administration of testosterone or the use of any other manipulation has the result of increasing the ratio of the total concentration of testosterone to that of epitestosterone in the urine to greater than 6:1, unless there is evidence that this ratio is due to a physiological or pathological condition. (*Revised:* 6/17/92)
- $^3$  for marijuana and THC—if the concentration in the urine of THC metabolite exceeds 15 nanograms/ml. (Revised: 9/6/94)
- **31.2.3.1.1 Drugs and Procedures Subject to Restrictions.** The use of the following drugs and/or procedures is subject to certain restrictions and may or may not be permissible, depending on limitations expressed in these guidelines and/or quantities of these substances used: (*Revised:* 8/15/89)
- (a) **Blood Doping.** The practice of blood doping (the intravenous injection of whole blood, packed red blood cells or blood substitutes) is prohibited, and any evidence confirming use will be cause for action consistent with that taken for a positive drug test. (Revised: 8/15/89, 5/4/92)
- (b) **Local Anesthetics.** The Executive Committee will permit the limited use of local anesthetics under the following conditions:
  - (1) That procaine, xylocaine, carbocaine or any other local anesthetic may be used, but not cocaine; (Revised: 12/9/91, 5/6/93)
  - (2) That only local or topical injections can be used (i.e., intravenous injections are not permitted); and
  - (3) That use is medically justified only when permitting the athlete to continue the competition without potential risk to his or her health.
- (c) **Manipulation of Urine Samples.** The Executive Committee bans the use of substances and methods that alter the integrity and/or validity of urine samples provided during NCAA drug testing. Examples of banned methods are catheterization, urine substitution and/or tampering or modification of renal excretion by the use of diuretics, probenecid, bromantan or related compounds, and epitestosterone administration. (*Revised:* 8/15/89, 6/17/92, 7/23/97)
- (d) **Beta 2 Agonists.** The use of beta 2 agonists is permitted by inhalation only. (Adopted: 8/13/93)
- (e) **Additional Analysis.** Drug screening for select nonbanned substances may be conducted for nonpunitive purposes. (*Revised: 8/15/89*)
- **31.2.3.1.2 Positive Drug Test—Non-NCAA Athletics Organization.** A student-athlete who has disclosed (i.e., in the student-athlete statement) a previous positive drug test for performance enhancing drugs administered by any other athletics organization (e.g., U.S. Olympic Committee) shall be required to submit to a drug test administered by the NCAA for the banned drugs listed in Bylaw 31.2.3.1. A student-athlete who is tested by the NCAA and found to have used a substance on the NCAA's list of banned drugs shall be declared ineligible for further participation in postseason and regular-season competition in accordance with the ineligibility provisions in Bylaws 31.2.3 and 18.4.1.5. (*Adopted: 1/14/97 effective 8/1/97*)
- **31.2.3.2 Medical Exceptions.** Exceptions for categories (a), (c) and (d) under Bylaw 31.2.3.1 may be made by the Executive Committee for those student-athletes with a documented medical history demonstrating the need for regular use of such a drug. (*Revised:* 1/11/00)
- **31.2.3.3 Methods for Drug Testing.** The methods and any future modifications authorized by the Executive Committee for drug testing of student-athletes shall be summarized in The NCAA News. Copies of the modifications shall be available to member institutions.
- **31.2.3.4 Events Identified for Drug Tests.** The Executive Committee shall determine the regular-season and postseason competition for which drug tests shall be made and the procedures to be used in disclosing its determinations.
- **31.2.3.5** Individual Eligibility—Team Sanctions. Executive regulations pertaining to team-eligibility sanctions for positive tests resulting from the NCAA drug-testing program shall apply only in the following situation: If a student-athlete is declared ineligible before an NCAA team championship or a certified postseason football game and the institution knowingly allows him or her to participate, all team-ineligibility sanctions shall apply (i.e., the team shall be required to forfeit its awards and any revenue distribution it may have earned, and the team's and student-athlete's performances shall be deleted from NCAA records). In the case of certified postseason football contests, the team's and student-athlete's performances shall be deleted from NCAA records. (Revised: 1/10/90)

# 31.3 SELECTION OF TEAMS AND INDIVIDUALS FOR CHAMPIONSHIPS PARTICIPATION

A list of the institutions in good standing shall be supplied by the Association's president to the chair of each governing sports committee and to the athletics director of the host institution. This list should be observed carefully to assure that no entries are accepted from or invitations extended to ineligible institutions.

- 31.3.1 Size of Championships Fields. The size of all NCAA championships fields shall be established by the Championships Committee to provide for efficient management of the events, adequate NCAA championship opportunities relative to the nationwide quality of competition and sound economic administration of the financial resources of the Association and its championships. (Revised: 8/13/93, 1/14/97 effective 8/1/97)
- 31.3.2 Selection Decisions of Sports Committees. The determination of sites, selection of teams or individuals, or their site assignment in championships competition made by a governing sports committee (or a designated subcommittee) may not be appealed.
- 31.3.3 Criteria for Selection of Participants. The following criteria, based on regional and conference competition and in priority order, shall be employed by a governing-sports committee in selecting participants for NCAA championships competition, and a governing-sports committee that wishes to use additional criteria must obtain championships committee approval before doing so: (Revised: 1/12/99 effective 8/1/99; for championship selection during the 1999-00 academic year and thereafter)
- (a) Winning percentage, head-to-head results, results against common opponents;
- (b) Strength of schedule as demonstrated by regional opponents winning percentage;
- (c) Results against teams already in the tournament; and
- (d) If criteria (a) through (c) are equal, the sports committee also may consider the remainder of the institution's schedule, including out-of-region play and competition against Division I and II institutions, and nonmember institutions.
  - **31.3.3.1 Countable Competition.** For NCAA team-championship selection purposes, competition is countable only when the teams played are varsity intercollegiate teams of four-year, degree-granting institutions that conduct a majority of their competition in that team sport against varsity intercollegiate teams (see Constitution 3.2.4.4) of United States four-year, degree-granting institutions. Competition against service teams, professional teams, semiprofessional teams, amateur teams, two-year colleges and club teams shall be excluded.
  - **31.3.3.2 Qualifying Standards.** Individuals and teams required to meet performance standards to qualify for NCAA championships competition shall achieve such standards in meets held during the same academic year as the particular championship.
  - **31.3.3.3 Ineligible Players on Team.** The presence on a varsity squad of one or more players who are ineligible, or unavailable due to injury, for NCAA championships competition does not necessarily disqualify that team from consideration. If such a player(s) contributed materially to the team's success during the season, the committee may choose not to select that team, based on the conclusion that without the use of the ineligible or unavailable player(s), the team would not have been able to compile the record that brought it before the committee for consideration. It shall be the responsibility of the governing sports committee to apply the criterion "contributed materially" to each case as it arises.
  - **31.3.3.4 Selection of Official Conference Representative When Automatic Qualification Is Not Applicable.** In Divisions I and II, the governing sports committee shall select the official conference representative (as determined by the conference through regular-season competition or a conference tournament) to participate in an NCAA championship before it may select any other team from that conference. This regulation applies to National Collegiate Championships and Divisions I and II team championships in the sports of baseball, basketball, fencing, field hockey, football, golf, gymnastics, ice hockey, lacrosse, soccer, softball, tennis, volleyball and water polo, when a selection process other than automatic qualification (see Bylaw 31.02.1) is used. This provision is applicable if the conference: (*Revised: 1/11/89 effective 8/1/89*)
  - (a) Is a member of the Association and conducts its regular-season competition under eligibility rules at least as stringent as those provisions of Bylaw 14 applicable to its members;
  - (b) Conducts a championship in the team sport (and has conducted a championship in that sport for at least two consecutive years);
  - (c) Has at least two-thirds of its member institutions sponsor the sport as a varsity intercollegiate sport and participate in the process that determines the conference champion; and
  - (d) Competes in the sport in question during the same season in which the national championship in that sport is conducted and determines its conference champion before the date on which the appropriate governing sports committee selects teams (as indicated in the appropriate champi-

#### Selection of Teams and Individuals for Participation/31.3.3.4—31.3.4.1

- onships handbook). If a conference conducts a tournament to determine its champion, that tournament must be conducted in the same season as the national championship in that sport. If member institutions tie for the conference championship in a sport and the conference wishes the provisions of this regulation to be applied, it must declare one champion and advise the governing sports committee of this before the date on which teams are selected.
- **31.3.3.4.1** Waiver Opportunity. The conference shall advise the NCAA championships staff in writing by September 1 of each year whether it wishes the selection process set forth in Bylaw 31.3.3.4 to be applied or waived. Once the conference has indicated its intention regarding the application or waiver of this regulation, it may not be changed during that year.
  - **31.3.3.4.1.1 First Waiver.** Conferences that fail to meet the deadline may appeal to the Championships Committee for a waiver of the deadline. Such an appeal must be submitted to the national office not later than October 15. If the championships committee grants the appeal, the conference shall be granted a waiver. (*Revised:* 12/4/89)
  - **31.3.3.4.1.2 Future Waivers.** Such a conference that fails to meet the September 1 deadline in a future year may be granted a waiver only on payment of a penalty of \$150 for the second offense and \$300 for each additional offense during the five-year period after the last offense. On approval of the Championships Committee of such waiver and receipt of the conference's check in the national office, the conference may use the selection process set forth in Bylaw 31.3.4.4, provided it is otherwise eligible to do so.
- **31.3.3.5** Removal of Committee Member from Selection Process. If a team or individual athlete(s) of the institution of a member of the governing sports committee is under consideration for selection, that person shall withdraw from the committee deliberations while that team or individual(s) is being considered and shall not participate in any discussion or vote affecting that particular selection. The chair of the committee may appoint a replacement, if it is deemed necessary. In the event the chair must withdraw, an individual shall be appointed by him or her to assume the responsibilities of the chair. The new chair may appoint a replacement to fill the vacancy, if it is deemed necessary. In the event a replacement is appointed, geographical representation on the committee shall be maintained if possible.
- **31.3.3.6 Exclusion of Institution Reclassifying to Division II.** In all sports, any institution that has forwarded to the national office written notice of its intention to change its membership classification to Division II, per Bylaw 20.6.2.3, no longer shall be eligible to participate in any future Division III championship. (Adopted: 1/12/99 effective 8/1/99; for those institutions first submitting official notice to reclassify after 6/1/98)
- 31.3.4 Automatic Qualification. The Championships Committee and the governing-sports committees annually shall award automatic qualification to those conferences that meet the requirements specified in Bylaws 31.3.4.1, 31.3.4.2 and 31.3.4.3. (Revised: 1/14/97 effective 8/1/97, Revised: 1/12/99 effective 8/1/99; for championships selection during the 1999-00 academic year and thereafter)
  - **31.3.4.1 General Requirements.** To be eligible for automatic qualification, a member conference must meet the following general requirements:
  - (a) Conference competition must be conducted in the applicable sport, and the conference champion in that sport must be determined not later than the date on which participants are selected for the NCAA championship, either by regular in-season conference competition or a conference meet or tournament, as indicated at the time of application. If a conference's competition to determine its automatic qualifier is unexpectedly terminated (e.g., due to inclement weather), the conference may designate its qualifier, provided it has established objective criteria for making that designation and has communicated that information to the appropriate sports committee by a specified deadline. (Revised: 8/13/93)
  - (b) In the event of a tie for the conference championship, the conference shall have the responsibility of determining which team or individual shall represent the conference in NCAA competition. If a play-off is held, such competition shall be considered conference competition, not NCAA competition.
  - (c) A conference may establish subdivisions and conduct competition within each subdivision to determine a conference champion, as long as each subdivision consists of at least four members. Conferences with subdivisions of four members must conduct double round-robin competition within each subdivision, plus a postseason tournament, to determine their champion. Conferences with subdivisions of five or more members may conduct either single or double round-robin competition within each subdivision, plus a postseason tournament to determine their champion. (Note: This regulation does not apply to Division I men's or women's basketball. In those sports, a conference may conduct either double round-robin, in-season competition or a minimum of 14 conference games in order to determine its champion.) (Revised: 12/9/91, 10/18/95)

- (d) The conference must maintain and actively enforce compliance with eligibility rules at least as stringent as those in Bylaw 14 applicable to its members. The use of an ineligible player by a team in a conference that has been granted automatic qualification may result in the involved team being denied the right to be the automatic entry in the NCAA championship. The governing sports committee may recommend loss of the automatic-qualification privilege for the conference during the season in which the violation occurred. (Revised: 1/12/99 effective 8/1/99)
- (e) All eligible member institutions must agree to participate in the appropriate NCAA championship, unless institutional policy conflicts with the dates of the championship, and the institution advises the appropriate sports committee before the start of the season of its decision not to participate. If a conference champion is ineligible to compete or fails to advise the appropriate sports committee before the deadline that it will not compete, automatic qualification shall be withdrawn for that year, and the remaining conference members shall be considered at large. (Revised: 1/12/99 effective 8/1/99; for championship selection during the 1999-00 academic year and thereafter)
- (f) If a conference comprises members of more than one division, it may be granted automatic qualification for a championship either in the division in which the conference holds membership or in a different division, provided the conference or a subdivision of the conference meets the automatic-qualification requirements.
- (g) Institutions that are members of more than one conference must declare on a three-year basis which conference they will participate in for automatic-qualification purposes and may participate in only that conference's process to determine the automatic qualifier. (Adopted: 12/5/94, Revised: 1/12/99 effective 8/1/99; for championship selection during the 1999-00 academic year and thereafter)

#### **31.3.4.2** Additional Requirements. The conference must have:

- (a) Been a member conference of the Association for two consecutive academic years. The championships committee may grant a waiver of the two-year waiting period; (Revised: 12/6/92)
- (b) Conducted competition in the sport in question for two consecutive years at the time of its application for automatic qualification. The championships committee may grant a waiver of the two-year waiting period;
- (c) At least seven active members that sponsor the sport on a varsity intercollegiate basis in the division in which automatic qualification is sought and that are eligible for the NCAA championship, and have at least seven active members that are eligible for the NCAA championship participate in the process that determines the automatic qualifier; (Revised: 8/15/89, 1/12/99 effective 8/1/99, for championship selection during the 1999-00 academic year and thereafter)
- (d) At least 50 percent of a conference's members must sponsor a minimum of three men's and three women's team sports. Single-sport conferences in existence before February 1, 1998, do not have to meet this minimum sport-sponsorship requirement; and (Adopted: 1/12/99 effective 8/1/99, for championship selection during the 1999-00 academic year and thereafter)
- (e) As of February 1, 2000, existing conferences that fail to meet all the requirements for automatic qualification specified in Bylaw 31.3.6 shall be required to fulfill all requirements for one year before receiving automatic qualification. (Adopted: 1/12/99 effective 8/1/99, for championship selection during the 1999-00 academic year and thereafter)
- **31.3.4.3 Sports Groupings for Automatic Qualification.** For purposes of evaluating criteria for automatic qualification, the various sports shall be grouped as follows:
- (a) Team sports—baseball, basketball, field hockey, football, ice hockey, lacrosse, soccer, softball, volleyball and water polo;
- (b) Timed individual sports—indoor track and field, outdoor track and field, and swimming; and
- (c) Other individual sports—cross country, fencing, golf, gymnastics, rifle, skiing, tennis and wrestling. In this category, a sports committee may grant exceptions to the six-team requirement, subject to the approval of the Championships Committee.
- 31.3.5 Selection of Balance of Championship Field. Once the official representative(s) of each qualifying conference is determined, the governing sports committee responsible for selection of the balance of the championship field shall consider objectively and without prejudice the competitive records of all other eligible student-athletes and teams (including independent institutions, representatives of conferences not receiving automatic qualification). To the best of its ability, the committee shall select the most highly qualified individuals and teams to complete the championship field in accordance with the regional criteria approved for the particular championship and additional selection pools, if any, approved by the championships committee. (Revised:1/12/99 effective 8/1/99, for championship selection during the 1999-00 academic year and thereafter)

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31.3.6 Institution Trademarks. Participation in a National Collegiate Championship constitutes acquiescence by the member institution that the Association may use the institution's name, mascot and other identifying marks in championship-related activities, including television, promotion, licensing and merchandising programs incident to the championship. Revenues derived from such activities, less expenses, will be remitted to the member institution. (Revised: 11/3/93)

#### 31.4 FINANCIAL ADMINISTRATION OF CHAMPIONSHIPS

See Figure 31-1, page 286, for a summary of the elements in the administration of finances for NCAA championships.

- 31.4.1 Host Institution's Responsibility. The host institution shall administer the finances of an NCAA championship in accordance with this bylaw and consistent with the institution's championship budget, as submitted to and approved by the governing sports committee and the Championships Committee.
  - **31.4.1.1 Institution's Financial Report.** A financial report from each championship site shall be submitted to the NCAA president not later than 60 days after the conclusion of the competition and shall bear certification of the athletics director of the host institution. The report shall be submitted on a form approved and provided by the NCAA president.
    - **31.4.1.1.1 Exclusions.** A host institution or sponsoring agency shall not include, in its financial report or in its percentage of net receipts, television rights fees or income from the sale of championships merchandise. Also, income from program advertising or sales shall not be included in the host institution's or sponsoring agency's financial report or percentage of net receipts if the program is produced and sold under contract between the NCAA and an outside agency.
- 31.4.2 Gross Receipts. Gross receipts shall be all revenues derived from the championship, including:
- (a) Sale of tickets. All tickets shall be accounted for at face value and shall become a part of gross receipts. Working passes may be provided to bona fide working personnel, including media representatives; and participation passes may be provided to student-athletes competing in the championship, as well as to their coaches, athletics trainers, managers and other members of the participating institution's official party as defined by the Association;
- (b) Net revenue from marketing items sold at the site through or by an outside agency under contract with the NCAA;
- (c) Program sales and advertising;
- (d) Radio, television and movie rights; and
- (e) Any other income derived from the operation of the championship.
  - **31.4.2.1 Exclusions.** Income from concessions and parking shall accrue to the host institution or sponsoring agency and is not included in gross receipts. Sales commissions received by a host institution for selling marketing items on behalf of an outside agency under contract with the NCAA shall accrue to the host institution.
  - **31.4.2.2 Waiver.** Any waiver of the policies for the gross receipts of championships shall be approved in advance by the championships committee.
- 31.4.3 Game Expenses. Game expenses are the actual costs directly related to the administration and conduct of the championship, including specifically the costs for tickets (printing, selling and collecting), sales taxes, ushers, game officials, police, buildings and grounds expenses, printing of related materials, motion pictures of the competition (if authorized by the championships committee), reasonable entertainment, expenses of selection committees authorized by the governing sports committee and any other out-of-pocket expense directly related to the administration and conduct of the championship. (Revised: 12/2/90)
  - **31.4.3.1 Exclusions.** The following items shall not be included as game expenses:
  - (a) The cost of permanent equipment, local transportation of competing teams and on-campus facility rental charges; and
  - (b) A fee or honorarium for athletics department staff members of the host institution (e.g., athletics director, assistant athletics director, business manager, ticket manager, coach, sports information director or athletics trainer). (Note: Such a payment could be made from the host institution's share of net receipts.)
  - **31.4.3.2 Waiver.** Any waiver of the policies for game expenses shall be approved in advance by the championships committee.

31.4.4 Institutional Allowance. The host institution may share in the balance remaining after game expenses are deducted from the gross receipts. Television rights fees and income from championships programs and merchandise produced and sold under contract between the NCAA and an outside agency shall not be included in determining the host institution's allowance.

**31.4.4.1 Permissible Allowances.** The amount of institutional allowance varies with the sport, the level of championships competition, whether there is a common site for men's and women's championships and whether an institution's facilities are used. The permissible institutional allowances (from balance of gross receipts after deduction of game expenses) are as follows: (*Revised:* 5/1/89, 5/1/96)

	TEAM <sup>1,2,3</sup>		INDIVIDUAL <sup>1,2,3</sup>	
	Preliminary	Final	Preliminary	Final
One day	\$575	\$1,150	\$700	\$1,725
Two days	\$750	\$1,450	\$870	\$2,000
Three or more days	\$920	\$1,725	\$1,150	\$2,300

<sup>&</sup>lt;sup>1</sup> For championships conducted at institutional facilities, hosts may deduct the permissible allowance above or a maximum of 15 percent of gross receipts after deduction of game expenses, whichever is greater.

#### **31.4.4.2 Restrictions.** The following restrictions apply to allowances to host institutions:

- (a) If an institution's department of intercollegiate athletics must pay a rental fee for the use of an oncampus facility, such fee shall be deducted from the institution's share of the net receipts or allowance; and
- (b) No other sum from the receipts of an NCAA championship, or from any other source, shall be paid to the college or university sponsoring or acting as host of the NCAA championship except to cover actual and necessary expenses directly incurred in the conduct of the championship as noted in 31.4.3.
- 31.4.5 Administrative Expenses. After the institution's allowance has been deducted, the athletics director of the host institution shall forward the remaining receipts to the NCAA president, who then deducts administrative expenses and costs incurred by governing sports committees and their representatives in matters of championships administration.
- 31.4.6 Transportation and Per Diem Expenses. Subject to the availability of funds, the championships committee may pay transportation and per diem expenses incurred by teams and individual competitors in NCAA championships competition. At its August meeting, the championships committee shall determine and announce to the membership the percentage of transportation expense and the per diem allowance to be paid in each championship for the next academic year.
  - **31.4.6.1 National Collegiate Championships.** For National Collegiate Championships, the Association shall provide transportation expenses and per diem allowances for the official traveling parties of competing institutions in team championships. In individual-team championships other than track and field, the Association shall provide transportation and per diem allowances for competing individuals and for the following numbers of nonathletes: two nonathletes from each team selected, one nonathlete for institutions with one to four individuals selected and two nonathletes for institutions with more than four individuals selected. Exceptions approved for individual-team championships are specified in the respective championships handbook. (Revised: 8/14/90, 5/6/93, 8/3/95)
  - **31.4.6.3 Division III Championships.** For Division III team championships, transportation expenses and per diem allowances shall be paid for the official traveling parties of competing institutions. For individual-team championships other than track and field, transportation expenses and per diem allowances shall be provided for the competing individuals and for the following numbers of nonathletes: one nonathlete from each team selected, one nonathlete for institutions with one to eight individuals selected and two nonathletes for institutions with more than eight individuals selected. Institutions participating in both the NCAA Division III Men's or Women's Indoor and Outdoor Track and Field Championships will receive transportation expenses and per diem allowances for one, but not both, events. (*Revised:* 8/12/91)
  - **31.4.6.4 Limitations.** In individual-team championships, transportation and per diem allowances shall be paid only for the final competition and not for regional qualifying meets or tournaments. In a division that generates net revenues from its division championships, those revenues first shall be

<sup>&</sup>lt;sup>2</sup> For championships conducted at off-campus facilities, hosts may deduct the permissible allowance or a maximum of 10 percent of gross receipts after deduction of game expenses, on the recommendation of the sport committee and approval of the Championships Committee.

<sup>&</sup>lt;sup>3</sup> Amount is doubled for common-site men's and women's championships.

#### Financial Admin. of Championships/31.4.6.4—Rights to NCAA Properties/31.6.2

used to pay transportation costs for individual championships of that division before such funds shall be used to underwrite the transportation expenses of individual championships of other divisions.

**31.4.6.4.1 Individual-Team Championships.** Both individual and team championships are determined in the following sports: cross country, fencing, golf, gymnastics, rifle, skiing, swimming, tennis, indoor track and field, outdoor track and field, and wrestling.

**31.4.6.5 Official Traveling Parties.** The following are the approved official traveling parties for which expenses shall be provided in accordance with the provisions of this section: (Revised: 8/15/89, 8/14/90, 5/6/93, 5/6/94, 8/3/95)

Division III Men's and Women's Basketball  Division III Field Hockey  24  Division III Football  58  Division III Men's Ice Hockey  26
Division III Football 58
Division III Men's Ice Hockey 26
· ·
Division III Men's Lacrosse 33
Division III Women's Lacrosse 29
National Collegiate Women's Rowing (Adopted: 1/14/97 effective 8/1/97) 31
Division III Men's and Women's Soccer 23
Division III Women's Softball 23
National Collegiate Men's Volleyball 18
Division III Women's Volleyball 17
National Collegiate Men's Water Polo 19

- **31.4.6.6 Administration of Expense Reimbursement.** The president shall administer the transportation and per diem expense program according to guidelines established by the championships committee.
- 31.4.7 Net Receipts. After payment of game and administrative expenses for each championship, the president shall place the remaining moneys in the general operating fund of the Association to be used to cover other expenses of the Association and to be apportioned among member institutions, as directed by the championships committee. (*Revised:* 8/14/90)
- 31.4.8 Distribution of Forfeited Receipts. An institution may be obligated to return its share of the revenue distribution for permitting an ineligible student-athlete to participate in the championship (see Bylaw 31.2.2.5). If so, such funds shall be distributed among other member institutions according to a formula prescribed by the championships committee. (*Revised:* 8/14/90)
- 31.4.9 Publication of Championships Financial Summaries. A financial summary of each championship shall be published in the Annual Reports of the Association.

#### 31.6 RIGHTS TO NCAA PROPERTIES AND MARKETING RESTRICTIONS

- 31.6.1 Names and Marks. The NCAA owns or controls all rights and interests in its name, logo, seal, trademarks and service marks, whether registered or unregistered, including "National Collegiate Athletic Association," "NCAA," "National Collegiate Championships," "NCAAction," "College Sports USA," "The Final Four," "Women's Final Four," "College World Series," "Women's College World Series" and "Stagg Bowl." The NCAA will prosecute infringement of identical or confusingly similar marks. The NCAA shall maintain control over the nature and quality of the goods and services rendered under the marks; therefore, no use of the marks by others will be permitted in advertising, in association with commercial services or related to the sale of merchandise without the specific approval of the NCAA. (Revised: 1/29/90)
- 31.6.2 Concessions. The following conditions or restrictions apply to all concession items sold at any event sponsored or administered by the Association:
- (a) Any merchandise or material bearing any name, logo, trademarks or service marks of the Association that is to be sold or distributed at an event sponsored or administered by the Association must have the prior approval of the president before being sold or distributed. No such merchandise or material shall be sold or distributed by anyone except as designated by the NCAA. The host institution may sell merchandise that features an institutional mark or logo at NCAA competition only if it has guaranteed a sellout of the NCAA merchandise at the site of the competition and has agreed to provide the NCAA championship 25 percent of gross sales (after sales taxes) of all non-NCAA championship mer-

- chandise. The quantity of NCAA merchandise sent to the site shall have the prior approval of the president and shall not be less than a comparable shipment to a comparable site of the previous year's championship. (Revised: 12/4/89)
- (b) The NCAA owns the rights to all concession items other than food or drink sold at such events. Any merchandise or material to be sold at such events must have prior approval of the NCAA president, and any unapproved material shall be barred from the premises. If the championship facility has prior contractual commitments with outside vendors, the NCAA will endeavor to work with such vendors to advance the policies of the Association in the sale of concession items as herein stated.
- (c) The NCAA may authorize others, including the host institution or the coaches organization for the sport involved in the particular event, to sell and distribute concession items.
- (d) Income payable to the NCAA from the sale of concession items other than food and drink at such events shall be included in the gross receipts of the championship.
- (e) No alcoholic beverages shall be sold or dispensed for public consumption at any event sponsored by or administered by the Association.
- 31.6.3 Programs. The Association reserves the right to publish and sell any program sold or distributed for an NCAA championship. It may award such rights for any championship to another party or agency, provided it retains the right to review and approve the content of all programs to be sold or distributed and provided it shall receive a guaranteed amount or predetermined percentage of program receipts. All financial arrangements for official programs produced by the host institution or sponsoring agency shall be reflected in the proposed budget, and receipts and expenses shall be entered in the financial report of the championship. If the program is produced and sold under contract between the NCAA and an outside agency, income from program advertising or sales shall not be included in the host institution's or sponsoring agency's financial report or percentage of net receipts.
- 31.6.4 Championships Properties. The Association owns all rights to each and all of its championships as listed in 18.3. These rights include, in addition to the rights with respect to participation and admission, rights to television (live and delayed), radio broadcasting, filming and commercial photography. In addition, the NCAA reserves the right to sell items developed through the NCAA marketing program at the site of a championship.
  - **31.6.4.1 Awarding Media Rights.** Television, radio and film rights shall be awarded in such a manner as to advance most fully the following interests:
  - (a) Gate attendance;
  - (b) Promotion of interest in the sport;
  - (c) Promotion of intercollegiate athletics as a part of collegiate education; and
  - (d) Promotion of the Association and its purposes and fundamental policy.
    - **31.6.4.1.1 Procedures.** Specific policy decisions under the foregoing are determined by the governing sports committee for the particular championship, subject to the approval of the Championships Committee. Negotiations with respect to the awarding of any such rights shall be conducted by the president, who shall have authority to determine the specific terms and conditions and to execute contracts for the award of such rights in behalf of the Association. Inquiries concerning all such rights should be directed to the president at the national office. In accordance with the policies stated herein, the president shall negotiate with any party interested in such rights when they are available.
  - **31.6.4.2 Marketing, Licensing, Promotional and Public Affairs Initiatives.** The president of the Association shall be responsible for the oversight and administration of all marketing, licensing, promotions and public affairs initiatives. The president shall have the authority to appoint ad hoc advisory groups to provide assistance in developing policies to review any initiative and to provide assistance in addressing emerging issues. In addition, negotiations with respect to awarding merchandising, marketing and licensing rights (including corporate partner rights) shall be conducted by the president, who shall have the authority to determine the specific terms and conditions and to execute and enforce contracts for the award of such rights on behalf of the Association. The NCAA Executive Committee, at its discretion, may request a detailed accounting of any marketing, licensing, promotional and public affairs initiative that resulted in the awarding of a contract on behalf of the Association. Each division's presidential administrative group and Management Council may request a report related to any matter handled by the president under the authority of this legislation. (*Adopted 1/8/01*)
  - **31.6.4.3 Photographs, Films and Videotapes.** The Association reserves all rights to the use of still photographs, films and videotapes of its championships. All rights to photograph, film and videotape NCAA championships may be assigned to media representatives for news purposes but otherwise are to be controlled exclusively by NCAA Productions, a unit of the NCAA. NCAA Productions shall film or videotape

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certain NCAA championships, as recommended by the governing sports committees and approved by the Championships Committee. Its cost in filming or videotaping an NCAA championship and producing prints or videodubs for the NCAA library of films shall be entered as an item of game expenses for that championship. The filming or videotaping of NCAA championships by parties other than NCAA Productions (i.e., participating institutions or commercial film production companies) for any purpose other than news purposes may be permitted only with the advance written consent of the president.

- **31.6.4.4 Use of Action Footage on Television News Programs.** The games committee of a particular NCAA championship for which exclusive rights have not been awarded may permit single television stations or television and/or cable networks to broadcast action footage of the championship (on a live or delayed basis) on their regular television news programs (see Bylaw 31.6.4.3.1). Unless otherwise approved by the NCAA, these broadcasts shall not exceed three minutes of action footage of the championship unless broadcast rights have been secured from the Association by payment of a rights fee. The president shall be authorized to interpret and apply these provisions as necessary.
  - **31.6.4.4.1 News Program.** A "news program" shall be a regularly scheduled program devoted exclusively to general news and/or sports news. Sports entertainment programs do not qualify under this provision.
- **31.6.4.5** Live Microphone on Coach. The placement of microphones on a team coach or in team huddles and bench areas for television, radio or motion-picture purposes is prohibited in all NCAA championships.
- **31.6.4.6 Promotional Announcements for Professional Sports Contests.** During the telecast of any NCAA championship, there shall be no more than two promotional announcements for telecasts of professional sports contests. Each such announcement shall not exceed 15 seconds in length. It may be of a billboard nature only and shall not include any action footage of any professional sports contest. If less than the complete championship segment is televised, only one such professional announcement shall be permitted. These restrictions shall not apply to certified postseason bowl games. (*Revised:* 8/15/89)

#### 31.7 GENERAL FINANCIAL MANAGEMENT

- 31.7.1 General Operating Budget. The Executive Committee shall adopt a budget for the ensuing fiscal year before the end of any current fiscal year. The budget, as approved, shall list all anticipated revenues and expenses for the coming fiscal year, including transfers to or withdrawals from reserve funds.
- 31.7.2 Committee and Delegate Finances
  - **31.7.2.1 Allowable Expenses, Committee Members.** Any member of an NCAA committee who is entitled under the following regulations to reimbursement of expenses for attendance at a committee meeting shall not receive such payment if the member fails to remain in actual attendance at the meeting for its entire duration as announced in advance. However, in any special case where a committee member for valid reasons is granted permission by the chair for late arrival or early departure, the member shall receive reimbursement in full.
    - **31.7.2.1.1 Transportation Allowances.** A committee member may be reimbursed for the following transportation expenses:
    - (a) **Commercial Airline.** The member must travel by coach (or "super saver"), and (Revised: 8/12/91, 1/13/98 effective 2/1/98, Revised: 1/12/99)
    - (b) Automobile. The member may claim 27 cents per mile for the round trip, based upon the most direct route between the two points. (Revised: 1/13/98 effective 2/1/98)
      - **31.7.2.1.1.1 Travel to Competition Site.** A committee member or designated representative who travels to the site of preliminary competition in an NCAA championship via either ground or coach-class air may claim 27 cents per mile based on the most direct route between the two points. (*Revised:* 1/13/98 effective 2/1/98)
    - **31.7.2.1.2 Per Diem Allowance.** A per diem allowance of \$75 may be claimed for each day or part thereof away from home, except that no more than one day's travel each way may be claimed. The Association shall pay single-room and tax charges for committee members attending scheduled committee meetings or on Association business. Incidental charges shall be the responsibility of the committee member. (*Revised:* 8/3/95, 1/13/98 effective 2/1/98)
    - **31.7.2.1.3 Actual Expenses.** The Management Council or Executive Committee for Association-wide issues may authorize reimbursement of actual expenses, if circumstances warrant.
    - **31.7.2.1.4 Meeting Frequency.** Payment of expenses for attendance at meetings of an NCAA standing committee shall be limited to two meetings per year unless otherwise authorized by the

Management Council or Executive Committee for Association-wide issues.

- **31.7.2.1.5 Meeting Site.** Recommendations for sites of meetings of standing and special committees shall be submitted by the committee chair to the NCAA president and are subject to the following conditions:
- (a) Committees that meet only once per year are required to meet in the Indianapolis metropolitan area in alternate years; (Revised: 1/13/98, 1/12/99)
- (b) Committees that meet more than once per year are required to conduct at least 50 percent of the meetings in the Indianapolis metropolitan area (e.g., one of two; two of four); and (Revised: 1/13/98, 1/12/99)
- (c) All meetings of NCAA committees shall be held within the 48 contiguous states.
- **31.7.2.1.6 Special Committees.** The expense allowance for any special committees or for individuals engaged in official Association business shall be determined by the Management Council or Executive Committee for Association-wide issues.
- **31.7.2.1.7 Sports Committees.** Meetings of sports committees shall be held at places and times other than those authorized for the championships of their respective sports and shall be limited to two days (plus travel time) for purposes of the NCAA per diem expense allowance, unless other arrangements have been approved by the Management Council or Executive Committee for Association-wide issues.
- **31.7.2.1.8 Expense Allowance for Conventions.** An expense allowance shall not be granted for committee meetings held in connection with a Convention of the Association, except that standing committees that regularly meet before or after an Association Convention shall be entitled to one day's per diem for each day or part thereof on Association business, subject to the approval of the Management Council.
- **31.7.2.1.9 Approval of Payments.** All expense payments shall be approved in writing by the committee chair or, in the case of the Management Council, Presidents Council and Executive Committee, by the secretary-treasurer or the president.
- **31.7.2.1.10 Waivers.** The president, subject to the approval of the Management Council or Executive Committee for Association-wide issues, may grant such waivers of the committee-expense regulations in particular cases as are deemed advisable to prevent hardship on a committee or an individual committee member.

#### 31.7.2.2 Allowable Delegate Expenses

- **31.7.2.2.1 Meetings of Other Organizations.** Delegates of the Association attending meetings of other organizations (e.g., various sports federations) shall be entitled to the same expense allowances as those outlined in Bylaw 31.7.2.
- **31.7.2.2.2 Games Committees for International Competition.** NCAA delegates to games committees appointed to supervise international competition may not receive expenses to more than one meeting during each academic year.
- **31.7.2.2.3 Governing Boards of Other Organizations.** NCAA delegates to the governing boards of other organizations may claim an expense allowance for each regular or special meeting of the particular board.
- **31.7.2.3 Prohibition against Funding Olympics.** Income from the Association's championships shall not be allocated to the Olympic fund.

#### 31.7.3 Indemnification

The Association has adopted regulations authorizing the Executive Committee to indemnify its members, members of the appropriate divisional governing bodies, members of general and sports committees, members of special committees, members of drug-testing crews and crew chiefs, and the national office staff against certain expenses, judgments, fines and settlement payments incurred in connection with legal actions brought against them because of their service in such capacities. The specific provisions, conditions and limitations of this authorization were circularized to the membership in the Official Notice of the 71st NCAA Convention (January 10-12, 1977) and also appear in the Official Program and the printed Proceedings of that Convention.

#### 31.7.4 Insurance

**31.7.4.1 Primary Liability.** Host institutions and sponsoring agencies of NCAA championships shall have in place primary comprehensive general public liability insurance coverage with combined single limits of at least \$1 million per occurrence for bodily injury and property damage and shall provide the national office with the appropriate insurance certificates unless statutes of the state in which the host institution or sponsoring agency is located provide a lesser maximum recov-

#### General Financial Management/31.7.4.1—National Statistics Program/31.10.1.2

- ery limit and documentation of that statute is on file at the NCAA national office. (Revised: 12/2/90)
- **31.7.4.2 Excess Liability.** The Association maintains excess liability insurance coverage for the conduct of NCAA championships. The coverage includes bodily injury and property damage resulting from accidents.
- **31.7.4.3 Injury, Death and Dismemberment.** Basic athletics-injury, catastrophic-injury, and accidental death and dismemberment insurance for participants in NCAA championships shall be provided by the Association.

#### 31.8 PERSONNEL

- 31.8.1 Employment. In accordance with Constitution 4.2.3-(b), the president is authorized to employ such persons as may be necessary to conduct efficiently the business of the Association. The number of administrative personnel that may be employed shall be determined at the beginning of each fiscal year and may not be increased without the approval of the Executive Committee. The president may employ as many nonadministrative personnel as may be necessary.
  - **31.8.1.1 Affirmative Action.** In the selection of personnel for appointment, the policies and procedures of the Association's affirmative-action program, as approved by the Executive Committee, shall be followed.
- 31.8.2 Staff Operations. The national office staff operations shall be reviewed by the Budget Subcommittee appointed by the Executive Committee. Among the items to be reviewed by the subcommittee are:
- (a) Employee compensation;
- (b) Employee benefits; and
- (c) Allegations of improper conduct by any member of the national office staff as reported to the president.
  - **31.8.2.1 Employee Compensation and Benefits.** The Executive Committee shall review staff compensation and benefits at least once each year.
  - **31.8.2.2 Pension Trust Plan.** The policies for the retirement benefits of the staff are set forth in the NCAA Pension Trust Plan, which was adopted and may be revised by the Executive Committee.

#### 31.9 NCAA CORPORATIONS AND FOUNDATIONS

- 31.9.1 National Collegiate Realty Corporation. This for-profit corporation is a wholly owned subsidiary of the NCAA. It was formed for the sole purpose of holding title to the Association's office buildings and land.
- 31.9.2 NCAA Marketing Corporation. This for-profit corporation is a wholly owned subsidiary of the NCAA. It was formed to assist the Association in promoting intercollegiate athletics and in generating revenue through advertising and sale of merchandise carrying the trademarks of the NCAA and any or all member institutions.
- 31.9.3 NCAA Foundation. This not-for-profit corporation was formed by the Association for the purpose of receiving and administering funds to advance the scientific, educational and charitable purposes of the NCAA.

#### 31.10 NATIONAL STATISTICS PROGRAM

A national statistics program shall be maintained for active member institutions in the sports of football, men's and women's basketball, baseball, men's ice hockey, men's and women's lacrosse, men's and women's soccer, women's softball and women's volleyball. The Management Council shall determine the statistics program's policies and procedures.

#### 31.10.1 General Policies

- **31.10.1.1 Statistics Reporting.** For a member institution to be eligible for either an individual or a team national statistics title, it shall have filed reports with the national office before the midway point in its regular-season schedule and shall have reported weekly thereafter. If a conference office files the reports, both the league and the institution share the responsibility for providing the updates on a regular basis as described above.
- **31.10.1.2 Substantiation of Statistics.** Filing a statistics report does not ensure automatically a member institution's inclusion in the NCAA's national statistics rankings. The report shall be mathematically accurate. Unusual statistics that cannot be promptly supported will not be included in the rankings. The NCAA shall withhold such reports from the ranking until a satisfactory explanation and substantiation of the statistics are submitted by an appropriate official of the member institution involved.

- **31.10.1.3 Correlation with Conference Statistical Rules.** If a conference adopts a statistical rule different than that of the NCAA, the Executive Committee shall determine on a case-by-case basis how the statistics resulting from use of the special rule will be related to the national statistics program.
- **31.10.1.4 Countable Opponents.** Only contests against varsity intercollegiate teams of four-year, degree-granting institutions shall be included in individual and team statistics, won-lost record and coaching records. Contests against service teams, professional teams, semiprofessional teams, amateur teams, two-year colleges and club teams are specifically excluded. Further, only contests against varsity intercollegiate teams of four-year, degree-granting institutions that play a majority of their contests in that sport against varsity intercollegiate teams (per Constitution 3.2.4.4) of United States four-year, degree-granting institutions shall be tabulated for inclusion in such statistics (and counted for purposes of team selection for an NCAA championship per Bylaw 31.3.4.1).
- **31.10.1.5 Ranking Minimums.** Ranking minimums shall be determined by the national office to assure a valid comparative basis. The minimums shall be based on the normal participation or performance levels of the "field" in a given category and shall be reviewed annually.
- **31.10.1.6 Individual Minimum-Games Requirement.** To be ranked, a student-athlete must appear in 75 percent of the team's games (a volleyball student-athlete must appear in 66.6 percent of the team's games, an ice hockey goaltender must play in 33.3 percent of the team's minutes and a lacrosse goaltender must play in 60 percent of the team's minutes). National per-game rankings shall be based on the number of games an individual actually plays; and even if a player is in the lineup for only one play, the player shall be charged with a game played whether or not the player touches the ball.
  - **31.10.1.6.1 Exception.** For statistical purposes only, if a player appears in a football game only to hold the ball on point-after-touchdown kick attempts, he shall not be charged with a game played. However, if he becomes statistically involved on the play (e.g., successfully runs, passes or kicks following an aborted kick attempt), he shall be charged with a game played.
- **31.10.1.7 Less Than Minimum-Game Rule.** If a player has participated in fewer than the required percentage of the team's games, but the player's per-game average still would be highest if charged with the necessary required games, the player shall be declared champion. However, no player shall be declared champion by the application of both the necessary required games and the minimums required for punting and kick-return championships (see Bylaws 31.10.4.6 and 31.10.4.7).

#### 31.10.1.8 Statistics of Ineligible Student-Athletes

- **31.10.1.8.1 Declared Ineligible During Season.** The individual statistics of any student-athlete declared ineligible by a member institution, a conference or the NCAA for any remaining portion of a season shall not be included in the national statistics rankings from the date the student-athlete is declared ineligible.
- **31.10.1.8.2** Declared Ineligible After Championships Competition. When a participant in an NCAA championship is declared ineligible after the championship competition, all of the student-athlete's statistics in the championship, the team's championships won-lost record and all of its statistics shall be asterisked and footnoted, and its place in the final standings shall be asterisked and noted as vacated. All team and individual statistics for the offending team's opponents shall remain unaffected.
- 31.10.2 Baseball and Softball Statistics
  - **31.10.2.1 Fall Games.** For purposes of national statistics, games played during the fall shall not be included in a team's won-lost record and statistics.
  - **31.10.2.2 Exhibition Games.** A game will be counted by both teams as an official contest for purposes of won-lost records and team and individual statistics unless both institutions agree in writing before the game that it is to be an exhibition contest. If it is an exhibition contest, the team and individual statistics and records cannot be counted for either team.
  - **31.10.2.3 Batting Championship.** The national batting championship shall be based on a minimum of 2.5 times at bat per game played for baseball and 2.0 times at bat per game played for softball, as well as a minimum total number of official at-bats established annually by the national office based on current conditions and the division involved. However, if there is a player with fewer than the required number of at-bats whose average would be the highest if the player were charged with the required number of at-bats with zero additional hits, that player shall be declared champion.
  - **31.10.2.4 Strikeout Ratio (Strikeouts per Seven or Nine Innings).** The national individual strikeout ratio champion for pitchers shall be based on at least one inning pitched for every game played by that player's team, as well as a minimum total number of innings pitched established annually by the national office based on current conditions and the divisions involved. However, if there is a player with fewer than the required number of innings pitched whose ratio would be the highest if the player were charged with the required number of innings with zero strikeouts, that player shall be declared champion.

#### National Statistics Program/31.10.3—31.10.5.3

- 31.10.3 Basketball Statistics. All tournament games (e.g., holiday, postseason and conference playoffs) shall be included in the official statistics.
- 31.10.4 Football Statistics
  - **31.10.4.1 Seven-Game Requirement.** An institution shall be required to play at least seven games against four-year, degree-granting varsity opposition (per Bylaw 31.10.1.4) to be eligible for national statistics rankings.
  - **31.10.4.2 Conference Championship Game.** A conference game that matches two divisional winners for the purpose of determining the league championship shall be counted in statistics and won-lost records.
  - **31.10.4.3 Bowl Games, Conference Playoffs, National Playoffs.** Postseason bowl, conference playoffs that do not determine the league champion or national-championship playoff games are not considered as part of an institution's regular-season schedule. Consequently, the statistics of these games shall not be included in any team's or player's season or career records, and such statistics shall not affect single-game records. The results of such games, however, are included in a coach's career record, the team's all-time won-lost record and all team "streaks" (e.g., winning and consecutive scoring).
  - **31.10.4.4 Overtime Games.** Statistics of extra-period (overtime) games are included in the contest's total statistics.
  - **31.10.4.5 Passing Champion.** The national passing champion shall be determined by a rating formula with a minimum number of pass attempts required per game. However, if a player has fewer than the required number of pass attempts per game and his rating points still would be highest if he were charged with the necessary required pass attempts, and counting each attempt as an interception, he shall be declared champion.
  - **31.10.4.6 Punting Champion.** The national individual punting champion shall be determined by the average yards per punt with a minimum number of punts per game. However, if a player has fewer than the required number of punts per game and his average per punt still would be the highest if he were charged with the necessary required punts for zero additional yards, he shall be declared champion.
  - **31.10.4.7 Kick-Return Champions.** The national individual punt-return and kickoff-return champions shall be determined by average per return with a minimum number of returns required per game. However, if there is a player with fewer than the required minimum returns per game whose average per return would be the highest if he were charged with the necessary required returns with zero additional yards, he shall be declared the champion.
  - **31.10.4.8 Minimum-Games Requirement.** For purposes of Bylaws 31.10.4.6 and 31.10.4.7, if a player has not participated in the required 75 percent of the team's games but has the required minimum number of returns or punts per game played, he is not to be charged with the number of games necessary for qualification because it cannot be assumed that he would have maintained his average in the additional games.
- 31.10.5 Forfeits. Neither the outcome nor the statistics in any completed contest are reversible by a forfeit due to postgame administrative actions, except as provided in Bylaw 31.10.5.4 or by Committee on Infractions' decisions. The actual results of the contest and the statistics shall be entered as such in both teams' all-time records, as well as in the coaches' records and in individual statistics. Contests later forfeited shall be denoted by the institution with an asterisk and a footnote.
  - **31.10.5.1 Consistency of Application.** Each member institution involved in a forfeited contest is encouraged, but not required, to enter the results of the contest in its records in the same manner as the Association to prevent confusion in national rankings of team and coaching records.
  - **31.10.5.2** "No Contest" Declaration. There is no forfeit of a contest until all participating teams are present and the referee or other appropriate contest official has assumed jurisdiction in accordance with the applicable playing rules. When a team does not appear (e.g., due to weather conditions, accidents, breakdown of vehicles, illness or catastrophic causes), a forfeit is not recorded. An institution shall not, for statistical purposes, declare a forfeit for nonfulfillment of a contract. Such instances shall be considered as "no contest." In circumstances involving institutions from the same conference, the league office has the option to declare a forfeit win and loss for conference-standings purposes only, but this does not change an institution's overall won-lost record.
    - **31.10.5.2.1 Basketball, Men's.** If, under the NCAA Men's Basketball Rules Committee's interpretation regarding interrupted games, the officials do not declare a winner or loser in such a contest, the status shall be "no contest." Statistics shall not be counted, nor shall either team's won-lost record or coach's record be changed.
  - **31.10.5.3 Contest in Progress.** If a forfeit is declared while a contest is in progress or a situation occurs that forces a premature end to the contest, all statistics (other than won-lost and coach's

records) are voided unless the contest has progressed to a "reasonable point of conclusion" (e.g., three quarters in football and lacrosse, 30 minutes in basketball and five innings in baseball and softball and two periods in ice hockey; for volleyball, all completed games in a match shall count, as well as a partial game if the team ahead has scored at least 10 points), in which case all statistics shall count and shall be reflected in all records. The team's won-lost record shall include the forfeit, but if the statistics are voided, all averages in future rankings shall be computed without inclusion of the forfeited contests.

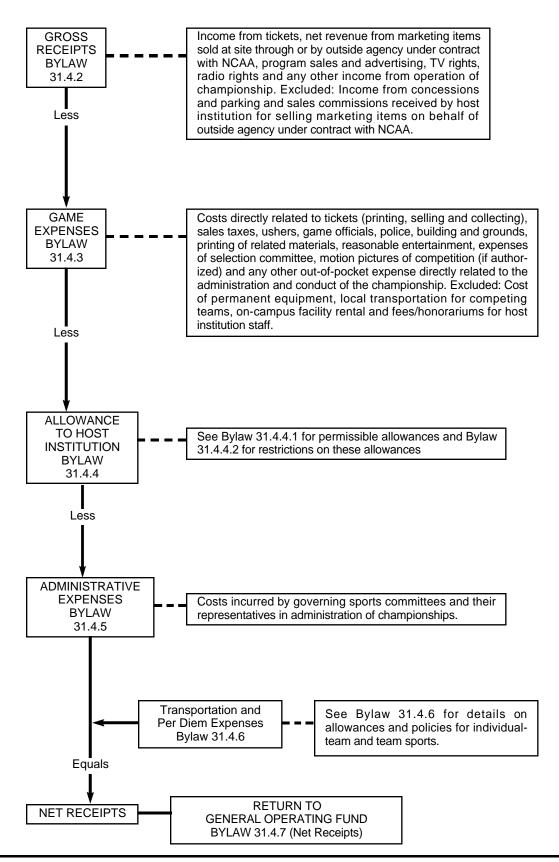
**31.10.5.4 Actions by Management Council.** An institution required to forfeit contests under the restitution provisions of Bylaw 19.8 shall vacate and strike from its records the individual records and performances achieved by the ineligible player during the period of ineligible participation in the following manner:

- (a) Team victories shall be abrogated and coaching records altered by the institution and the national office for those contests in which the player participated while ineligible;
- (b) It is not required that similar action be taken in regard to the individual records and performances of other players (teammates and opponents) who participated in contests during the period in which the player participated while ineligible;
- (c) While neither the forfeiting institution nor the national office has the authority to require opponents receiving those forfeits to alter their season records, the forfeiting institution should actively encourage its opponents to do so; and
- (d) These same conditions shall be applicable to the records of a conference. Neither the institution nor the national office has the authority to require a conference to alter its records. However, the institution should actively encourage such a result.

#### 31.10.6 Career Records

- **31.10.6.1 Cohead Coaches.** In the event that an institution designates a cohead-coaching system, the won-lost records of both coaches for each season that they are cohead coaches are to be included in each coach's total career record. In such instances, both coaches' career records shall be denoted by an asterisk and a footnote [e.g., includes 40-20 record as a cohead coach with (name of other coach) during the years indicated].
- **31.10.6.2 Division Classification.** For career record purposes, a player's statistics are recognized by the Association only for the years that the individual's college was an active member of the Association. In the event an individual's college changed divisions while a member, career statistics for the individual are credited only in the division in which he or she actually competed each year.
- **31.10.6.3 Collegiate Record Category.** In the above-mentioned case where an individual's college changed divisions while a member, the player's full career statistics are to be entered in the collegiate record category.
- **31.10.6.4 Determination of Head Coach at an Institution.** In order for a coach to be credited with wins, losses or ties, that individual must be designated as the institution's head coach for the entire sports season. Individuals serving on an advisory or preseason basis may not be credited with the wins, losses or ties. If the head coach is not present at a contest due to illness or other unexpected circumstances, or otherwise is unable to complete the sports season, it is up to the institution to determine whether the win, loss or tie for that contest(s) shall be credited to the head coach or to an interim or assistant coach, as determined by the institution before the contest(s). (*Revised: 6/15/94*)

FIGURE 31-1
Summary of the Elements in the Administration of Finances for NCAA Championships



# Enforcement Policies and Procedures

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### 32.1 COMMITTEE ON INFRACTIONS AND HEARING OFFICER—SPECIAL OPERATING RULES

- 32.1.1 Confidentiality. The Committee on Infractions, the appropriate appeals committee per Bylaw 19.3, hearing officers and the enforcement staff shall treat all cases before them as confidential until the same have been announced in accordance with the prescribed procedures. (*Revised: 1/11/94*)
- 32.1.2 Public Announcements. The enforcement staff shall not confirm or deny the existence of an infractions case before complete resolution of the case through normal NCAA enforcement procedures. However, if the involved institution makes a public announcement concerning a case, the enforcement staff may confirm the information made public by the institution and may correct erroneous or incomplete information about the investigation that has been made public by the institution.
- 32.1.3 Conflict of Interest. Any member of the infractions subcommittee or the appropriate appeals committee per Bylaw 19.3 or a hearing officer who is directly connected with an institution under inquiry shall not take part in any NCAA proceedings connected with the case. In addition, Committee on Infractions members, members of the appropriate appeals committee per Bylaw 19.3 or hearing officers with personal relationships or institutional affiliations that reasonably would result in the appearance of prejudice should refrain from participating in any manner in the processing of the involved institution's or individual's infractions case. It is the responsibility of the committee member, members of the appropriate appeals committee per Bylaw 19.3 or a hearing officer to remove himself or herself if a conflict exists. Objections to the participation of a committee member or the appropriate appeals committee member per Bylaw 19.3 should be raised as soon as recognized, but will not be considered unless raised at least one week in advance of the affected hearing. (Revised: 1/16/93, 1/11/94)

See Figures 32-1 and 32-2, pages 300 and 301, for the processing of a typical infractions case.

#### 32.2 PRELIMINARY REVIEW OF INFORMATION

- 32.2.1 Submission of Allegations. All allegations and complaints relative to a member's failure to maintain the academic or athletics standards required for membership, the member's violation of the legislation or regulations of the Association, or the member's failure otherwise to meet the conditions and obligations of membership shall be received by the committee or the Association's president and channeled to the NCAA enforcement staff.
  - **32.2.1.1 Staff Initiation of Investigation.** The enforcement staff may initiate an investigation on its own motion when it has reasonable cause to believe that a member is or has been in violation of its obligations as a member of the Association.
  - **32.2.1.2 Self-Disclosure by an Institution.** Self-disclosure shall be considered in establishing penalties, and, if an institution uncovers a violation before its being reported to the NCAA and/or its conference, such disclosure shall be considered as a mitigating factor in determining the penalty. (*Revised:* 10/12/94)
- 32.2.2 Investigative Guidelines. The infractions subcommittee shall provide general guidance to the enforcement staff through approved and established investigative and procedural guidelines.

#### Preliminary Review of Information/32.2.2.1—32.2.2.4.1

- **32.2.2.1 Initial Enforcement Staff Responsibilities.** The enforcement staff is responsible for evaluating information reported to the NCAA office to determine whether the possible violation should be handled by correspondence with the involved institution or its conference, or whether the enforcement staff should conduct its own in-person inquiries.
  - **32.2.2.1.1 Basic Information Gathering.** The enforcement staff has a responsibility to engage in basic information gathering and, in doing so, may contact individuals to solicit information concerning possible violations.
  - **32.2.2.1.2 Matters Handled by Correspondence.** Matters that clearly are isolated and of relative insignificance should be handled promptly by correspondence with the involved institution.
  - **32.2.2.1.3 Investigation Decision.** When reasonably reliable information has been obtained indicating that a violation has occurred and that the violation appears to indicate intentional wrongdoing, a significant competitive advantage, or that false or misleading information has been reported to the involved institution or to the NCAA, the matter should be assigned to an enforcement representative for investigation.
  - **32.2.2.1.4 Timely Process.** The enforcement staff shall make reasonable efforts to process infractions matters in a timely manner.
  - **32.2.2.1.5 Consultation with Committee.** If questions arise concerning investigative procedures during the course of an investigation, the chair (or the full committee, if necessary) may be consulted by the enforcement staff.
- **32.2.2.2 Identification of Major/Secondary Violation.** On review of information developed by the enforcement staff or self-reported by the member institution, the enforcement staff shall identify the charges as involving alleged major or secondary violations (as defined in Bylaw 19.02.2), subject to approval by the chair or another member of the Committee on Infractions designated by the chair.
- **32.2.2.3 Preliminary Inquiry.** The enforcement staff, so far as practicable, shall make a thorough investigation of all charges that are received from responsible sources and that are reasonably substantial. The enforcement staff may conduct a preliminary inquiry for a reasonable period of time to determine whether there is adequate evidence to warrant an official inquiry; and in conducting this inquiry, the services of an enforcement representative may be used.
- **32.2.2.4 Notice to Institution.** The enforcement staff shall submit a letter to the chief executive officer to notify a member institution of preliminary inquiries into its athletics policies and practices when information has been developed to indicate that violations of the Association's governing legislation may have occurred that will require further in-person investigation. Such a letter shall advise the chief executive officer that the enforcement staff will be undertaking a preliminary investigation, that the investigation will be conducted under the direction of the vice-president for enforcement services and that members of the enforcement staff wish to meet with the chief executive officer to discuss the nature of the investigation and to deliver a more complete notice of preliminary inquiry in person. The notice shall state that in the event the allegations appear to be of a substantial nature, an official inquiry may be filed in accordance with the provisions of Bylaw 32.5 or, in the alternative, the institution will be notified that the matter has been closed. Whenever possible, the notice also shall contain the following information regarding the nature of the potential violations: (Revised: 1/16/93)
- (a) The involved sport; (Adopted: 1/16/93)
- (b) The approximate time period during which the alleged violations occurred; (Adopted: 1/16/93)
- (c) The identity of involved individuals; (Adopted: 1/16/93)
- (d) An approximate time frame for the investigation; (Adopted: 1/16/93)
- (e) A statement indicating that the institution and involved individuals may be represented by legal counsel at all stages of the proceedings; (Adopted: 1/16/93)
- (f) A statement requesting that individuals associated with the institution not discuss the case before interviews by the enforcement staff and institution except for reasonable campus communications not intended to impede the investigation of the allegations and except for consultation with legal counsel; (Adopted: 1/16/93)
- (g) A statement indicating that other facts may be developed during the course of the investigation that may relate to additional violations; and (Adopted: 1/16/93)
- (h) A statement regarding the obligation of the institution to cooperate in the case. (Adopted: 1/16/93)
  - **32.2.2.4.1 Status Notification within Six Months.** During the period of the preliminary inquiry, the enforcement staff shall inform the involved institution of the general status of the inquiry not

#### Preliminary Review of Information/32.2.2.4.1—Investigative Procedures/32.3.7

later than six months after the institution's chief executive officer receives the notice of preliminary inquiry from the enforcement staff representatives. (Revised: 1/16/93)

**32.2.2.4.2 Review After One Year.** If the inquiry has not been processed to conclusion within one year of the date that the institution's chief executive officer receives the notice of preliminary inquiry from enforcement staff representatives, the enforcement staff shall review the status of the case with the Committee on Infractions. The committee shall determine whether further investigation is warranted, and its decision shall be forwarded to the involved institution in writing. If the investigation is continued, additional status reports shall be provided to the institution in writing at least every six months thereafter, until the matter is concluded. (*Revised: 1/16/93*)

**32.2.2.5 Conflict of Interest.** Any enforcement staff member with a personal relationship or institutional affiliation that reasonably would result in the appearance of prejudice should refrain from participating in any manner in the processing of the involved institution's or individual's infractions case. (Adopted: 1/16/93)

#### 32.3 INVESTIGATIVE PROCEDURES

- 32.3.1 Conformance with Procedures. Investigations by the enforcement staff shall be conducted in accordance with the operating policies, procedures and investigative guidelines established by the Committee on Infractions, Management Council and membership in accordance with Bylaw 19.
- 32.3.2 Coordination with Member Conference. The enforcement staff shall attempt to coordinate the processing of infractions cases with the involved member conference unless it would hinder the development of the NCAA investigation to do so.
- 32.3.3 Interviews with Member Institution. The athletics director or other appropriate official of an institution shall be contacted by the enforcement staff in order to schedule interviews on the institution's campus with enrolled student-athletes or with coaching staff members who are involved in possible violations at the institution.
  - **32.3.3.1 Presence of Institutional Representative During Interview.** If an interview with an enrolled student-athlete or athletics department staff member is conducted on the campus of a member institution, an institutional representative (as designated by the institution) will be permitted to be present during the interview, provided the subject matter to be discussed in the interview relates directly to the individual's institution or could affect the individual's eligibility or employment at the institution. If the investigator wishes to discuss information with a student-athlete that is related solely to institutions other than the one in which the student-athlete is enrolled and that would not affect the student's eligibility, the institutional representative shall not be present during that portion of the interview. Further, in such a situation (after the institutional representative has departed), any information inadvertently reported by the student-athlete that is related to his or her own institution shall not be used against the student-athlete or that institution.
  - **32.3.3.2 Conflict with Academic Schedule.** If possible, such interviews should be conducted without disrupting the normally scheduled academic activities of the student-athlete.
- 32.3.4 Proper Identification of NCAA Staff Member. In no case shall an enforcement staff member misrepresent the staff member's identity or title.
- 32.3.5 Representation by Legal Counsel. When an enforcement staff member conducts an interview that may develop information detrimental to the interests of the individual being questioned, that individual may be represented by personal legal counsel throughout the interview.
- 32.3.6 Disclosure of Purpose of Interview. When an enforcement representative requests information that could be detrimental to the interests of the student-athlete or institutional employee being interviewed, that individual shall be advised that the purpose of the interview is to determine whether the individual has been involved directly or indirectly in any violation of NCAA legislation. Before alleging that a student-athlete or staff member has violated NCAA ethical-conduct legislation, the individual shall be advised that such an allegation may be forthcoming based on the individual's:
- (a) Involvement in violations;
- (b) Refusal to furnish information relevant to investigation of a possible violation when requested by the NCAA or by the institution; or
- (c) Provision of false or misleading information to the NCAA, conference or institution concerning the individual's knowledge of or involvement in a violation.
- 32.3.7 Limited Immunity. At the request of the enforcement staff, the committee may grant limited immunity to a student-athlete who provides information when such individual otherwise might be declared ineligible for intercollegiate competition based on the information that he or she reports and an institutional employee with responsibilities related to athletics when such an individual otherwise would

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be subject to disciplinary action as described in Bylaws 19.6.1-(c) and 19.6.2.2-(l) based on the information that individual reports. Such immunity shall not apply to the individual's involvement in violations of NCAA regulations not reported or to future involvement in violations of NCAA legislation by the individual. In any case, such immunity shall not be granted unless the individual voluntarily provides information not otherwise available to the enforcement staff when no previous information has been developed that would jeopardize the individual. The granting of limited immunity does not exempt an individual from any action that an institution imposes. (Revised: 10/12/94)

- 32.3.8 Tape Recordings. It is preferable that an interview conducted by the enforcement staff be recorded through the use of a mechanical device. If a witness objects to be tape recorded, however, or the enforcement staff believes the use of a recording device would have an inhibiting effect upon the witness, a written statement of the substance of the interview shall be prepared per 32.3.8.2. (*Adopted: 1/8/01*)
  - **32.3.8.1 Access to Tape Recordings.** Both the enforcement staff and the individual being interviewed may record the interview or the individual may receive a copy of the recording at minimal cost, subject to the confidentiality provisions of 32.3.8.3. Copies of recorded interview summaries and any report prepared by the enforcement staff are confidential and shall not be provided to individuals (and their institutions) who may be involved in reporting information during the processing of an infractions case, except as set forth in 32.3.9 and 32.5.4. (*Adopted: 1/8/01*)
    - **32.3.8.1.1 Institutional Recording of an Interview.** Interviews conducted in accordance with Bylaw 32.3.3.1 or jointly with the enforcement staff at any location, may be recorded by the institution under inquiry. Institutional recordings of NCAA interviews under any other circumstances must be approved by the Committee on Infractions. (*Adopted: 10/12/94*)
  - **32.3.8.2 Non-Recorded Interviews.** When an interview is not tape recorded, the enforcement staff shall prepare a memorandum summarizing the interview and attempt to obtain a signed affirmation of its accuracy from the interviewee. The interviewee shall be permitted to make additions or corrections to the memorandum before affirming its accuracy. Testimony as to the substance of an unrecorded interview for which a signed affirmation was not obtained may nevertheless be considered by an Infractions Committee to the extent the committee determines the testimony to be reliable. (*Adopted: 1/8/01*)
    - **32.3.8.2.1 Confidentiality of Non-Recorded Interview Documents.** Copies of non-recorded interview summaries and any report prepared by the enforcement staff are confidential and shall not be provided to individuals (or their institutions) who may be involved in reporting information during the processions of an infractions case except as set forth in 32.3.9 and 32.5.4. (Adopted: 1/8/01)
  - **32.3.8.3 Statement of Confidentiality.** Individuals and institutional representatives shall be required to agree not to release tape recordings or interview transcripts to a third party. A statement of confidentiality shall be signed or recorded before an interview. Failure to enter into such an agreement would preclude the individual or institutional representative from recording or transcribing the interview. (Adopted: 10/12/94, Revised: 1/6/96)
  - **32.3.8.4 Handwritten Notes.** It shall be permissible for all individuals involved in interviews conducted by the enforcement staff to take handwritten notes of the proceedings. (*Revised: 10/12/94*)
  - **32.3.8.5 Use of Court Reporters.** Institutional representatives or individuals being interviewed may use a court reporter to transcribe and interview subject to the following conditions. The institution or individual shall: (Adopted: 1/6/96)
  - (a) Pay the court reporter's fees;
  - (b) Provide a copy of the transcript to the enforcement staff at no charge; and
  - (c) Agree that the confidentiality standards of Bylaw 32.3.8.3 apply.

An institutional representative or individual who chooses to use a court reporter shall submit a written notice of agreement with the required conditions to the enforcement staff before the interview on a form approved by the Committee on Infractions. If the enforcement staff chooses to use a court reporter, the NCAA will pay all costs of the reporter. A copy of the transcript prepared by the court reporter for the enforcement staff shall be made available to the institution and the affected involved individuals at the NCAA offices or at a custodial site as provided for in Bylaw 32.5.4. (Adopted: 1/6/96)

- **32.3.9** Access to Information to be Used in Presentation of Case. Copies of interview documents, including copies of nonrecorded interviews and other information pertinent to an infractions case, shall be retained on file in the national office. Information to be used in the presentation of a case may be reviewed in the national office or at the site of a custodial agent in accordance with the provisions of Bylaw 32.5.4. (Adopted: 1/8/01)
- 32.3.10 Verification of and Access to Reports. Whenever possible, individuals who have reported to the enforcement staff information that will be used in an infractions case should be given the opportunity

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to review the information set forth in the investigator's report of the interview and be provided the opportunity to make additions or corrections. Copies of these reports and any report or transcript prepared by the enforcement staff are confidential and shall not be provided to individuals (or their institutions) who may be involved in reporting information during the processing of an infractions case. The reports and all other pertinent information shall be retained on file in the NCAA national office. Such information that will be used in the presentation of the case may be reviewed in the national office or at the site of a custodial agent in accordance with the provisions of Bylaw 32.5.4. (Revised: 1/6/96)

- 32.3.11 Corroboration or Refutation of Information. The enforcement staff shall attempt to develop any information that would corroborate or refute alleged violations of NCAA legislation reported in previous interviews.
- 32.3.12 Failure to Cooperate. In the event that a representative of a member institution refuses to submit relevant information to the committee or the enforcement staff on request, an official inquiry may be filed with the institution alleging a violation of the cooperative principles of the NCAA bylaws and enforcement procedures. Institutional representatives may be requested to appear before the committee at the time the allegation is considered.
- 32.3.13 Termination of Investigation. The enforcement staff shall terminate the investigation related to any preliminary inquiry in which information is developed that does not appear to be of sufficient substance or reliability to warrant an official inquiry, it being understood that the committee shall review each such decision.
- 32.3.14 Authorization of Meeting with Chief Executive Officer. The committee may authorize an enforcement staff member to meet personally with the chief executive officer or a designated representative of the involved institution to discuss the allegations investigated and information developed by the NCAA in a case that has been terminated.

#### 32.4 PROCESSING INFORMATION FOR SECONDARY VIOLATIONS

- 32.4.1 Review of Institutional or Conference Actions or Penalties In Secondary Cases. If the infractions subcommittee or the enforcement staff, after review of institutional or conference action taken in connection with a rules infraction in secondary cases, concludes that the corrective or punitive action taken by the institution or conference is representative of and consistent with NCAA policies and principles, the committee or the enforcement staff, subject to approval by the chair or another member of the Infractions Subcommittee designated by the chair, may exercise the discretion to take no further action. (Revised: 10/12/94)
  - **32.4.1.1 Sufficient Actions.** Institutional or conference actions that are accepted by the NCAA as sufficient in secondary cases will be announced in The NCAA News in summary fashion only. If the NCAA adopts (rather than accepts) a penalty and reserves jurisdiction to ensure that the actions are implemented fully, such adopted penalties will be publicly announced. (*Revised:* 10/12/94)
  - **32.4.1.2 Insufficient Actions.** If the institutional or conference actions appear to be insufficient, the enforcement staff shall notify the institution of the proposed additional penalties in a secondary case (after review with a committee member) or shall process as a major case. (Revised: 10/12/94)
- 32.4.2 Action Taken by Enforcement Staff (Not Institution or Conference). If the enforcement staff, after consideration of the information that has been developed and after consulting with the member institution involved, determines that a secondary violation has occurred, the enforcement staff, on approval by the chair or another member of the Committee on Infractions designated by the chair, may determine that no penalty is warranted or, if appropriate, require one or more of the alternatives set forth in Bylaw 19.6.1.
  - **32.4.2.1 Report to Committee.** Any action taken by the enforcement staff, on approval by the chair or another member of the Committee on Infractions designated by the chair, either not to impose a penalty when a violation has occurred or to take disciplinary action that is not appealed by the institution, will be reported to the Committee on Infractions.
  - **32.4.2.2 Publication in The NCAA News.** Such disciplinary or corrective actions taken by the enforcement staff or by the Committee on Infractions in cases involving secondary violations shall be published in The NCAA News.

#### 32.5 OFFICIAL INQUIRY

32.5.1 Letter to Chief Executive Officer. If the enforcement staff determines that an allegation or complaint warrants an official inquiry, the staff shall determine its scope and thrust and direct a letter to the chief executive officer of the member involved (with copies to the faculty athletics representative and the athletics director of the member and to the executive officer of the conference of which the institution is

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a member), fully informing the chief executive of the matter under inquiry and requesting cooperation to the end that the facts may be discovered.

- **32.5.1.1 Request for Disclosure/Appearance.** By this letter, the chief executive officer of the member involved shall be requested to disclose all relevant information, and the letter may require the appearance of the chief executive or a designated representative before the subcommittee at a time and place that are mutually convenient, if such appearance is deemed necessary. If a member declines to meet with the committee after having been requested to do so, the member shall not have the right to appeal either the committee's findings of facts and violations or the resultant penalty.
- **32.5.1.2 Identification of Allegations.** An official inquiry shall include a statement of the NCAA regulations alleged to have been violated, as well as the details of each allegation that in the judgment of the enforcement staff can be supported by sufficient information to reasonably expect that the infractions subcommittee will find the violation to have occurred.
- **32.5.1.3 Identification of Individuals/Regulations.** The enforcement staff shall provide to the institution the identity of all individuals on whom the staff intends to rely in presenting the case. Additional individuals or additional regulations that are identified after the submission of an official inquiry also shall be reported to the institution promptly and, in all cases, before the institution's appearance before the committee in accordance with Bylaw 32.5.11.
- 32.5.2 Statute of Limitations. Allegations included in a letter of official inquiry shall be limited to possible violations occurring not earlier than four years before the date the notice of preliminary inquiry is forwarded to the institution or the date the institution notifies (or, if earlier, should have notified) the enforcement staff of its inquiries into the matter. However, the following shall not be subject to the four-year limitation: (Revised: 10/12/94)
- (a) Allegations involving violations affecting the eligibility of a current student-athlete;
- (b) Allegations in a case in which information is developed to indicate a pattern of willful violations on the part of the institution or individual involved, which began before but continued into the four-year period; and
- (c) Allegations that indicate a blatant disregard for the Association's fundamental recruiting, extra-benefit, academic or ethical-conduct regulations or that involve an effort to conceal the occurrence of the violation. In such cases, the enforcement staff shall have a one-year period after the date information concerning the matter becomes available to the NCAA to investigate and submit to the institution an official inquiry concerning the matter.
- 32.5.3 Availability of Primary Investigator. After the filing of an official inquiry in an infractions case, the primary investigator in the case shall be available to assist the involved institution for purposes such as meeting with the institution to discuss the development of its response and assisting in locating various principals in the case.
- 32.5.4 Disclosure of Information. Within 30 days following the filing of an official inquiry in an infractions case, the enforcement staff shall make available to the member institution and to the involved individuals reasonable access to all pertinent evidentiary materials, including tape recordings of interviews and documents, on which the inquiry is based. Requests for access to such evidentiary materials shall be delivered to the enforcement staff. The staff shall be responsible for maintaining custody of all of the evidentiary materials. The staff shall provide access to these materials at the NCAA national office or at custodial sites reasonably near the involved institution or the involved individuals. If information is developed after the 30-day period, the enforcement staff shall notify the involved institution and involved individuals of its availability. The same procedure for requesting access to these materials shall be followed. (Adopted: 1/16/93, Revised: 10/12/94)
- 32.5.5 Determination of Meeting Date. The Committee on Infractions shall set the dates and times for all hearings before the committee. Reasonable effort will be made to schedule the hearings at convenient times and places for the involved institutions and individuals. The committee shall notify all relevant parties of the hearing date and site. (Revised: 1/16/93, 10/12/94)
- 32.5.6 Contents of Official Inquiry Cover Letter. The cover letter accompanying each official inquiry shall contain notification that the institution is requested to:
- (a) Read each allegation involving a present or former institutional staff member, or a prospective, present or former student-athlete whose eligibility could be affected based on involvement in the alleged violation, to the identified individual;
- (b) Provide that individual the opportunity to submit in writing and orally any information the individual desires that is relevant to the allegation in question; and
- (c) Notify the individual in person, as well as in writing, that the individual and personal legal counsel (if

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any) may appear before the committee at the time it considers each allegation in which the individual is involved.

- 32.5.7 Notification of Others in Potential Jeopardy. The enforcement staff shall notify athletics department staff members and student-athletes at institutions other than the one under inquiry, whose employment or eligibility could be affected, of the allegations in which they are named. A copy of such notification shall be forwarded to the chief executive officer of the institution that employs the staff member or in which the student is enrolled. All such individuals may submit responses to the Committee on Infractions, and the institution under inquiry shall provide a copy of pertinent portions of its response to each individual who will attend the committee's hearing in the case.
- 32.5.8 Notice of Hearing Procedures. The cover letter accompanying each official inquiry shall contain a specific reference to Bylaw 32.7 (which describes the general procedures to be followed during a hearing), as well as notice in extensive cases that the primary NCAA investigator in the case is available to assist the institution in its investigation of the allegations in the official inquiry.
- 32.5.9 Obligation to Provide Full Information. A member that is subject to official inquiry shall collect all information available to it concerning the allegations set forth in the inquiry. At any appearance before the committee, the member and the enforcement staff shall have the obligation of providing full information concerning each allegation (i.e., information that would corroborate or refute each allegation).
- 32.5.10 Deadline for Institutional Response. The institution's response to the official inquiry shall be on file with members of the committee and the enforcement department within 90 days of the institution's receipt of the inquiry, unless the committee grants an extension. An institution may not submit additional documentary evidence (in addition to its initial response) at that meeting without prior authorization from the committee. (Revised: 1/16/93)
- 32.5.11 Prehearing Conference. Within 30 days of an institution's submission of its written response to an official inquiry, in a case involving an alleged major violation, the enforcement staff shall consult with institutional representatives and other involved individuals in order to clarify the issues to be discussed in the case during the hearing, make suggestions regarding additional investigation or interviews that should be conducted by the institution to supplement its response and identify allegations that the staff intends to withdraw. (*Revised: 1/16/93, 10/12/94*)
  - **32.5.11.1 Extension.** The committee may approve additional time for representatives of the involved individuals and institution and the enforcement staff to conduct such prehearing conferences. (Adopted: 1/16/93)
- 32.5.12 NCAA Summary Case Statement. Not later than 14 days before the date of the institution's appearance, the enforcement staff shall prepare a summary statement of the case that indicates the status of each allegation and identifies the individuals on whom and the information on which the staff will rely in presenting the case. This summary shall be provided to the members of the Committee on Infractions and to representatives of the institution and involved individuals before the hearing. The committee may waive this 14-day period for good cause shown. (Adopted: 10/12/94)
- 32.5.13 Deadline for Submission of Written Materials. Unless specifically approved by the Committee on Infractions, all written materials to be considered by the committee at the infractions hearing must be received by the committee and the enforcement staff at least 10 days before the date of the hearing. Evidence may be submitted at the hearing, but subject to the limitations set forth in Bylaw 32.7.5.5. (Adopted: 10/16/00)

#### 32.6 SUMMARY DISPOSITION AND EXPEDITED HEARING

- 32.6.1 Institutional Election. In major infractions cases, member institutions and involved individuals may elect to process the case through the summary disposition procedures specified below. (Adopted: 1/16/93)
  - **32.6.1.1 Thorough Investigation.** The Committee on Infractions shall determine that a thorough investigation of possible violations of NCAA legislation has been conducted. The investigation may be conducted by the NCAA enforcement staff and/or the institution, but the enforcement staff must agree that a complete and thorough investigation has been conducted and that the institution fully cooperated in the process. (Adopted: 1/16/93)
  - **32.6.1.2 Written Report.** The institution, involved individuals and the NCAA enforcement staff shall submit a written report setting forth: (*Adopted: 1/16/93*)
  - (a) The proposed findings of fact;
  - (b) A summary of information on which the findings are based;
  - (c) A stipulation that the proposed findings are substantially correct;
  - (d) The findings that are violations of NCAA legislation; and

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- (e) A statement of unresolved issues that are not considered substantial enough to affect the outcome of the case.
- **32.6.1.3 Proposed Penalties.** The institution and involved individuals shall submit proposed penalties within the guidelines set forth in the penalty structure for major violations specified in Bylaw 19.6.2. The institution and involved individuals also may submit a statement regarding mitigating factors. (Adopted: 1/16/93)
- **32.6.1.4 Committee on Infractions Review.** The Committee on Infractions shall consider the case during its next scheduled meeting. (Adopted: 1/16/93)
  - **32.6.1.4.1 Approval of Findings and Penalties.** If the agreed-on findings and proposed penalties are approved, the committee shall prepare a written report, forward it to the institution and involved individuals, and publicly announce the resolution of the case under the provisions of Bylaw 32.9. (Adopted: 1/16/93)
  - **32.6.1.4.2 Findings Not Approved.** If the committee does not approve the findings, the hearing process set forth in Bylaw 32.7 shall be followed. At the conclusion of the hearing process, the committee shall prepare a written report, forward it to the institution and involved individuals, and publicly announce the committee's decision under the provisions of Bylaw 32.9. If, following the committee's announcement of it's decision in the case, the institution and/or involved parties do not agree to the findings made by the committee, the institution and/or involved parties will have the right to appeal those penalties to the NCAA Division III Infractions Appeals Committee in accordance with Bylaws 32.10 and 32.11. (Adopted: 1/16/93)
  - **32.6.1.4.3 Penalties Not Approved.** If the committee accepts the agreed-on findings but does not approve the proposed penalties, the institution and involved individuals may elect to participate in an expedited hearing. Expedited hearings shall be conducted based on the findings submitted, and the institution and involved individuals may present additional information regarding the uniqueness of the case and mitigating factors. If the institution or the involved individuals decline to participate in an expedited hearing, a hearing regarding the alleged violations shall be conducted under the provisions of Bylaw 32.7. At the conclusion of the hearing process, the committee shall prepare a written report, forward it to the institution and involved individuals, and publicly announce the resolution of the committee's decision under the provisions of Bylaw 32.9. If, following the committee's anouncement of it's decision in the case, the institution and/or the involved parties do not agree to the additional penalties imposed, the institution and/or involved parties will have the right to appeal those penalties to the NCAA Division III Infractions Appeals Committee in accordance with Bylaws 32.10 and 32.11. (*Adopted: 1/16/93*)

#### 32.7 COMMITTEE ON INFRACTIONS HEARINGS

- 32.7.1 Limitations on Presentation of Staff Evidence. In major cases requiring an institutional hearing before the committee, specific information and evidence developed by the staff related to alleged violations of NCAA regulations shall not be presented to the committee before the institution's appearance, except as provided in these procedures.
- 32.7.2 Opportunity to Appear before the Committee. A member that is subject to official inquiry shall be given, on its request, the opportunity to have representatives appear before the committee. Also, an institution may request the opportunity to appear before the committee to appeal findings and penalties that have been proposed by the enforcement staff in secondary cases.
  - **32.7.2.1 Review Based on Written Record.** When the enforcement staff does not request that an institution be represented in person before the committee, the institution may choose to have the matter in question reviewed on the basis of the written record before the committee.
- 32.7.3 Notification of Hearing Procedures. An institution shall be advised in writing before its appearance before the committee of the general procedures to be followed during the hearing. Such notification shall contain a specific reference to Bylaw 32.7 and shall indicate that, as a general rule, the discussion during the hearing will use the numbering of the allegations in the official inquiry.
- 32.7.4 Appearance of Individuals at Hearings
  - **32.7.4.1 Request for Specific Individuals.** Institutional officials, staff members or enrolled student-athletes who are specifically requested to appear before the committee at an institutional hearing are expected to appear in person and may be accompanied by personal legal counsel. The committee also may request that former institutional staff members appear at a hearing. Such individuals also are expected to appear in person and may be accompanied by personal legal counsel.
  - **32.7.4.2 Attendance at Hearings.** At the time the institution appears before the committee, its representatives may include officials of the institution, the institution's legal counsel, the individuals identified in Bylaws 32.5.6 and 32.5.7, and other enrolled student-athletes whose eligibility could be affected by information developed by the institution in conjunction with preparation of its response to an offi-

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cial inquiry. In any major case, such representatives should include the institution's chief executive officer or a designated representative outside the athletics department, as well as the head coach of the sport in question. An individual who appears before the committee may appear with personal legal counsel, and no additional individuals may be included among the institution's representatives during an institutional hearing unless specifically requested to be present by the committee. In accordance with Bylaw 32.7.4.1, the committee may request that other individuals be present during the hearing.

#### 32.7.4.3 Exclusion of Individuals from Hearing

- **32.7.4.3.1 Exclusions Requested by the Institution.** The institution may request that an individual be excluded from certain portions of the hearing, subject to approval by the committee. When an individual is excluded from the hearing room for a period of time, it shall be with the understanding that matters discussed in the hearing during that time will not relate to that individual.
- **32.7.4.3.2** Limited Attendance of Student-Athletes. Any student-athlete (and personal legal counsel) included among the institution's representatives may attend the hearing only during the discussion of the allegations in which the student-athlete is involved.
- **32.7.4.4 Representation of Member Conference.** The executive officer or other representative of a member conference's executive office may attend an institutional hearing involving a conference member, subject to approval of the involved institution.
- **32.7.4.5 Prohibited Attendee.** A member of the committee or the Management Council who is prohibited under the provisions of Bylaw 32.1.3 from participating in any NCAA proceedings may not attend a Committee on Infractions hearing involving the member's institution unless specifically requested by the committee to be present as a witness.
- **32.7.4.6 Designation of Presentation Coordinators.** The chair shall request each institution appearing before the committee to select one person to coordinate institutional responses during the hearing. In addition, one individual from the enforcement staff will be responsible for coordinating the presentation of the enforcement staff.
- 32.7.5 Hearing Procedures. The exact procedure to be followed in the conduct of the hearing will be determined by the committee.
  - **32.7.5.1 Case Summary.** The summary case statement required by Bylaw 32.5.12 shall be distributed to individuals in attendance as an aid in following the discussion of each allegation during the hearing. Individuals who attend only a portion of the hearing will receive only those parts of the case summary that are relevant to their participation in the hearing. (*Revised:* 10/18/89)
  - **32.7.5.2 Opening and Closing Statements.** At the outset of the hearing, a representative of the institution shall make an opening statement, followed by an opening statement by a representative of the enforcement staff. The contents of such a statement should not relate to the substance of the specific items contained in the official inquiry. Statements concerning the nature or theory of the case are encouraged. An institutional representative also may make a closing statement at the conclusion of the hearing, followed by a closing statement by a representative of the enforcement staff.
  - **32.7.5.3 Staff Presentation.** During the hearing, the enforcement staff first shall present the information that its investigation has developed.
  - **32.7.5.4 Institutional Presentation.** The member institution then will present its explanation of the alleged violations and questionable practices and any other arguments or information that it deems appropriate in the committee's consideration of the case.
  - **32.7.5.5 Type of Information.** Any oral or documentary information may be received, but the committee may exclude information that it determines to be irrelevant, immaterial or unduly repetitious.
    - **32.7.5.5.1 Information from Confidential Sources.** In presenting information and evidence for consideration by the committee during an institutional hearing, the enforcement staff shall present only information that can be attributed to individuals who are willing to be identified. Information obtained from individuals not wishing to be identified shall not be relied on by the committee in making findings of violations. Such confidential sources shall not be identified to either the Committee on Infractions or the institution.
    - **32.7.5.5.2 Information Concerning Mitigating Factors.** Institutional, conference and enforcement staff representatives are encouraged to present all relevant information concerning mitigating or other factors that should be considered in arriving at appropriate penalties.
  - **32.7.5.6 Scope of Inquiry.** If a member institution appears before the committee to discuss its response to the official inquiry, the hearing shall be directed toward the general scope of the official inquiry but shall not preclude the committee from finding any violation resulting from information developed or discussed during the hearing.
  - **32.7.5.7 Committee Questioning.** The committee, at the discretion of any of its members, shall ques-

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tion representatives of the member institution or the enforcement staff, as well as any other persons appearing before it, in order to determine the facts of the case. Further, under the direction of the committee, questions and information may be exchanged between and among all parties participating in the hearing.

- **32.7.5.8 Recording of Proceedings.** The proceedings of institutional hearings shall be recorded by a court reporter (unless otherwise agreed) and shall be tape-recorded by the committee. No additional verbatim recording of these proceedings will be permitted by the committee. The Committee on Infractions shall maintain custody of the tape recordings and any transcriptions. Reasonable access to review the tape recordings shall be provided at the NCAA national office or at custodial sites reasonably near the institution and involved individuals. In the event a transcription is necessary for use in an appeal, the relevant material shall be reproduced at the direction of the Committee on Infractions for submission to the appellate body and for review at the NCAA national office or at custodial sites reasonably near the institution and involved individuals. If an appeal is not sustained, the institution or individuals who file the appeal shall be responsible for the cost of the transcription, as well as the cost related to the use of the appropriate custodial office; except that the involved institution or individuals may be granted a waiver of responsibility for such costs by the Committee on Infractions. (*Revised: 1/16/93*)
- 32.7.6 Posthearing Committee Deliberations. After all presentations have been made and the hearing has been concluded, the committee shall excuse all others from the hearing, and the committee shall make its determinations of fact and violation in private.
  - **32.7.6.1** Request for New Information. In arriving at its determinations, the committee may request additional information from any appropriate source, including the member institution or the enforcement staff. In the event that new information is requested from either the institution or the enforcement staff to assist the committee in arriving at findings of violations, both parties will be afforded an opportunity to be represented at the time such information is provided to the committee.
  - **32.7.6.2 Basis of Findings.** The committee shall base its findings on information presented to it that it determines to be credible, persuasive and of a kind on which reasonably prudent persons rely in the conduct of serious affairs.
  - **32.7.6.3 Imposition of Penalty.** If the committee determines that there has been a violation or questionable practice, it shall impose an appropriate penalty (see Bylaw 19.6); or it may recommend to the Management Council suspension or termination of membership in an appropriate case.
  - **32.7.6.4 Voting Requirements.** The finding of a violation or the imposition of a penalty or recommended action shall be by majority vote of the members of the committee present and voting. If fewer than eight members are present, any committee action requires a favorable vote of at least three committee members. (Revised: 10/12/94)

#### 32.8 HEARINGS BEFORE AN INDEPENDENT HEARING OFFICER

- 32.8.1 Committee Referral. When the Committee on Infractions determines that an independent hearing officer should be used (per Bylaw 19.2.2), the committee will refer to the hearing officer all appropriate factual allegations for consideration. (Adopted: 1/11/94)
- 32.8.2 Hearing Procedures. When assigned allegations for consideration, a hearing officer shall promptly schedule a hearing that shall be conducted in accordance with enforcement procedures applicable to Committee on Infractions hearings (see Bylaw 32.7). (*Adopted: 1/11/94*)
- 32.8.3 Notification of Findings. After the hearing, the hearing officer shall report findings of fact in writing to the Committee on Infractions and the parties who participated in the hearing within 30 days after the conclusion of the hearing or, if later, within 30 days after the filing of any posthearing written materials requested by the hearing officer. (Adopted:1/11/94)
- 32.8.4 Findings Set Aside. A finding arrived at by a hearing officer shall not be set aside by the Committee on Infractions except on a determination that: (Adopted: 1/11/94)
- (a) The hearing officer's finding clearly is contrary to the evidence presented to the hearing officer;
- (b) The facts found by the hearing officer do not constitute a violation of the Association's rules; or
- (c) A procedural error affected the reliability of the infraction that was used to support the hearing officer's finding.

#### 32.9 NOTIFICATION OF COMMITTEE ACTION

32.9.1 Infractions Report. The committee, without prior public announcement, shall be obligated to submit promptly an infractions report, which sets forth its findings and penalty to be imposed, to the chief executive officer of the member institution (with copies to those individuals receiving copies of the official inquiry)

#### Notification of Committee Action/32.9.1—Appeal Procedure/32.10.5

that has been subject to the official inquiry. The following procedures shall apply to the infractions report:

- (a) After an institutional hearing, the Committee on Infractions shall prepare and approve the final infractions report; (Revised: 10/12/94)
- (b) The infractions report(s) of the Committee on Infractions and the appropriate appeals committee per Bylaw 19.3 shall contain a consolidated statement of all penalties, corrective actions, requirements, and other conditions and obligations of membership imposed on a member institution found in violation of NCAA legislation. The statement of such actions shall include, but not be limited to, the penalties imposed on the institution, eligibility rules to be applied, applicable executive regulations, the adjustment of individual and team standings in NCAA championship events, and the request for the return of any awards and net receipts received for participation in an NCAA championship; and (Revised: 10/12/94)
- (c) The committee's infractions report shall be sent to the chief executive officer of the involved institution and any involved individuals under the chair's signature or under the signature of a committee member selected to act for the chair. The report shall be sent by overnight mail service, and the committee's administrator shall confirm receipt by the institution and involved individuals in order that the 15-day appeal period applicable to this report may be established. (Revised: 10/12/94)
- 32.9.2 Release to Media. Once the infractions report has been received by the institution, the report, with names of individuals deleted, shall be made available to the national wire services and other media outlets.
  - **32.9.2.1 Public Comment Before Release.** The committee's public announcement related to an infractions case shall be made available to the national wire services and other media outlets. In this regard, the involved institution shall be advised of the text of the announcement before its release and shall be requested not to comment publicly concerning the case before the time the NCAA's public announcement is released.
  - **32.9.2.2 Public Announcement and Comment at Release.** The chair or a member of the Committee on Infractions shall make the committee's public announcement related to major infractions when the committee determines that an announcement is warranted in addition to distribution of the written report. (Adopted: 1/16/93)

#### 32.10 APPEAL PROCEDURE

- 32.10.1 Written Notice of Appeal. To be considered by the appropriate appeals committee per Bylaw 19.3, the member institution's written notice of appeal of the Committee on Infractions' findings (subject to the conditions of Bylaw 32.10.2) or the penalty, or both, shall be received by the NCAA president not later than 15 calendar days from the date of the public release of the committee's report. The member's notice of appeal shall contain a statement of the date of the public release of the committee's report and a statement indicating whether the institution desires to submit its appeal in writing only or whether the institution will be represented before the appropriate appeals committee per Bylaw 19.3 at the time the appeal is considered. (Revised: 1/16/93, 1/10/95, 4/26/95)
- 32.10.2 Bases for Granting an Appeal. A penalty determined by the Committee on Infractions may be set aside on appeal if the appropriate appeals committee per Bylaw 19.3 determines that the penalty is excessive or inappropriate based on all the evidence and circumstances. Determinations of fact and violations arrived at by the Committee on Infractions shall not be set aside on appeal, except on a showing that: (Revised: 1/10/95)
- (a) The committee's finding clearly is contrary to the evidence presented to the committee;
- (b) The facts found by the committee do not constitute a violation of the Association's rules; or
- (c) A procedural error affected the reliability of the information that was used to support the committee's finding.
- 32.10.3 Appeal by an Individual Staff Member. Any current or former institutional staff member who participates in a hearing before the Committee on Infractions and is involved in a finding of a violation and who exercises the opportunity to appeal any of the findings in question (subject to the conditions of Bylaw 32.10.2) must submit a written notice of appeal to the NCAA president not later than 15 calendar days from the date of the public release of the committee's report. The individual and personal legal counsel may appear before the appropriate appeals committee per Bylaw 19.3 at the time it considers the pertinent findings. The institution shall be requested to notify its current staff members, and the enforcement staff will notify all other individuals directly of the appeal opportunity. (Revised: 1/16/93, 1/10/95, 4/26/95, 1/6/96)
- 32.10.4 Report to Infractions Appeals Committee. The committee shall forward a report of the case to the appropriate appeals committee per Bylaw 19.3 at the time of public announcement. (Revised: 1/16/93, 1/10/95)
- 32.10.5 Committee on Infractions' Response to an Appeal. The Committee on Infractions shall submit

#### Appeal Procedure/32.10.5—Appeal Hearings/32.11.4

a response to the appropriate appeals committee per Bylaw 19.3 on each case that has been appealed. This response shall be in the form of an expanded infractions report, which will include: (Revised: 1/16/93, 10/12/94)

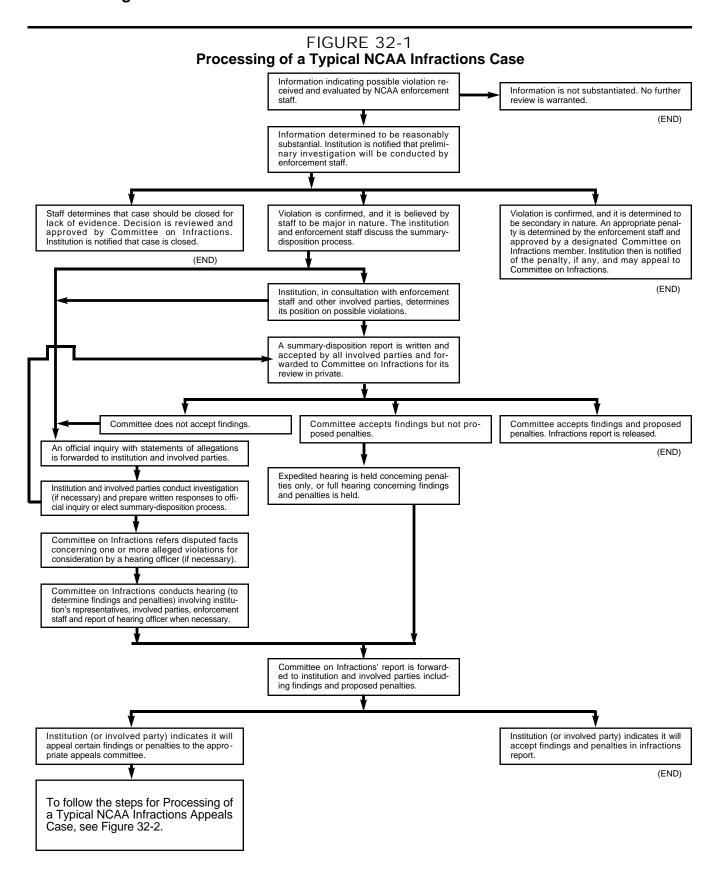
- (a) The violations of the NCAA constitution and bylaws, as determined by the committee; (Revised: 10/12/94)
- (b) A statement of the committee's proposed penalties;
- (c) A statement of the origin of the case;
- (d) Related factors appropriate for consideration in judgment of the case;
- (e) Disciplinary or corrective actions taken by the institution or conference or any other agency involved in the particular incident;
- (f) If appropriate, any additional information that was presented to the committee during its consideration of the case that the Committee on Infractions deems to be relevant to the consideration of the appeal; and (Adopted: 10/12/94)
- (g) An attachment to the response will be a transcript of any hearing conducted by the Committee on Infractions. (Adopted: 10/12/94)
- 32.10.6 Expanded Infractions Report to Institution and Media. A copy of the Committee on Infractions' expanded infractions report to the appropriate appeals committee per Bylaw 19.3, (as described in Bylaw 32.10.5) shall be provided to the institution before the time of its appearance before the appropriate appeals committee per Bylaw 19.3. Any press release regarding the expanded report shall meet the requirements of Bylaw 32.9.2. (Revised: 10/18/89, 1/16/93, 4/20/94, 1/10/95)
- 32.10.7 New Evidence. If an institution (or involved party) appeals findings of major violations or penalties, a showing of new evidence directly related to the findings in the case that is discovered during the appeals process shall be referred back to the Committee on Infractions for its review (see Bylaw 19.02.3). (Adopted: 1/6/96)

#### 32.11 APPEAL HEARINGS

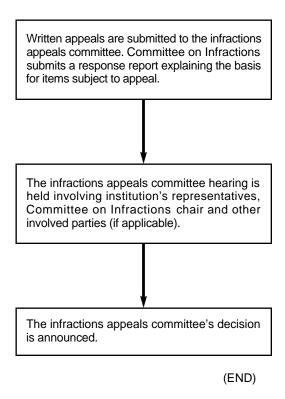
- 32.11.1 Hearing Procedures. In its appeal to the appropriate appeals committee per Bylaw 19.3, the member institution may challenge the Committee on Infractions' findings of fact or penalties, or both, according to the following hearing procedures: (Revised: 1/16/93, 1/10/95)
- (a) If the institution elects to be represented in person before the appropriate appeals committee per Bylaw 19.3, the institution shall be permitted a reasonable time to make its oral presentation to supplement the institution's written appeal. The chair or another member of the Committee on Infractions then shall be permitted a reasonable time to present orally the committee's report. The period of time for the presentation by the institution and the Committee on Infractions shall be left to the discretion of the chair of the appropriate appeals committee per Bylaw 19.3; (Revised: 1/10/95)
- (b) If the member institution elects to appeal in writing only, the Committee on Infractions' written report shall be considered without an appearance by a committee representative; and
- (c) The appropriate appeals committee per Bylaw 19.3 then shall act on the member's appeal, by majority vote of the members of the appropriate appeals committee per Bylaw 19.3 present and voting, and may accept the Committee on Infractions' findings and penalty or alter either one or both. (Revised: 8/2/91, 1/10/95, 1/6/96)
- 32.11.2 Consideration by Infractions Appeals Committee or Management Council. The appropriate appeals committee per Bylaw 19.3 shall consider the statements and evidence presented and, at the discretion of any of its members, may question representatives of the member institution or the Committee on Infractions, as well as any other persons appearing before it, in order to determine the facts related to the appeal. Further, under the direction of the appropriate appeals committee per Bylaw 19.3, questions and information may be exchanged between and among all parties participating in the hearing. (Revised: 1/16/93, 1/10/95)
- 32.11.3 Infractions Appeals Committee/Management Council—Determination of Hearing Procedures. The procedure to be followed in the conduct of the hearing will be determined by the appropriate appeals committee per Bylaw 19.3. However, the operating policies and procedures governing the determination of the individuals who may participate in the hearing, as well as the policies and procedures defining the committee's or appropriate division steering committee's standards for consideration of information and determination of findings and penalties, shall be consistent with the established policies and procedures related to these matters that apply to hearings conducted by the Committee on Infractions. (Revised: 1/16/93, 1/10/95)
- 32.11.4 Decision Final. Any decision in an infractions case by the appropriate appeals committee per

Bylaw 19.3 shall be considered final. Any Management Council decision in an infractions case shall be considered final on adjournment of the Management Council meeting during which the appeal was acted, except for the January pre-Convention Management Council meeting, in which case, the decision shall be considered final at the end of the Management Council session before the opening business session of the Convention. (Revised: 1/16/93, 1/10/95)

32.11.5 No Further Review. Determinations of fact and violations arrived at in the foregoing manner by the Committee on Infractions or by the appropriate appeals committee per Bylaw 19.3, on appeal, shall be final, binding and conclusive and shall not be subject to further review by the Management Council or any other authority. (Revised: 1/16/93, 1/10/95)



# FIGURE 32-2 Processing of a Typical NCAA Infractions Appeals Case



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